



# Search for associated production of a Z boson with a single top quark and for tZ flavour-changing interactions in pp collisions at $\sqrt{s} = 8$ TeV

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## Abstract

A search for the production of a single top quark in association with a Z boson is presented, both to identify the expected standard model process and to search for flavour-changing neutral current interactions. The data sample corresponds to an integrated luminosity of  $19.7 \text{ fb}^{-1}$  recorded by the CMS experiment at the LHC in proton-proton collisions at  $\sqrt{s} = 8$  TeV. Final states with three leptons (electrons or muons) and at least one jet are investigated. An events yield compatible with tZq standard model production is observed, and the corresponding cross section is measured to be  $\sigma(\text{pp} \rightarrow \text{tZq} \rightarrow \ell\nu\ell^+\ell^-q) = 10_{-7}^{+8} \text{ fb}$  with a significance of 2.4 standard deviations. No presence of flavour-changing neutral current production of tZq is observed. Exclusion limits at 95% confidence level on the branching fractions of a top quark decaying to a Z boson and an up or a charm quark are found to be  $\mathcal{B}(\text{t} \rightarrow \text{Zu}) < 0.022\%$  and  $\mathcal{B}(\text{t} \rightarrow \text{Zc}) < 0.049\%$ .

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## 1 Introduction

The top quark is the most massive particle in the standard model (SM) of particle physics. Since its discovery in 1995 [1, 2], considerable advances have been made in understanding its properties. At hadron colliders top quarks arise predominantly from the production of top quark-antiquark ( $t\bar{t}$ ) pairs through the strong interaction. However, top quarks may also be produced singly from electroweak processes through three different production mechanisms. These are categorised by the virtuality of the  $W$  boson involved in the interaction:  $t$ -channel,  $s$ -channel and associated  $tW$  production. At the CERN LHC, the  $t$ - and  $tW$  channel production have been observed by the ATLAS and CMS Collaborations and their cross sections have been measured at both 7 and 8 TeV, respectively [3–8]. The ATLAS and CMS Collaborations have recently published results of searches for  $s$ -channel single top quark production using 8 TeV data [9, 10]. The high integrated luminosity and centre-of-mass energy at the LHC motivate the search for rare SM single top quark production processes, such as the production of a single top quark in association with a  $Z$  boson, where the top quark is produced via the  $t$  channel and the  $Z$  boson is either radiated off one of the participating quarks or produced via  $W$  boson fusion (Fig. 1). These production mechanisms, referred to here as  $tZq$ -SM production, lead to a signature with a single top quark, a  $Z$  boson, and an additional quark. The process is sensitive to the coupling of the top quark to the  $Z$  boson, as illustrated in Fig. 1(middle-right). It is also related to  $WZ$  boson production, as can be seen in Fig. 1(bottom-left). Thus, the observation of  $tZq$  production and the subsequent measurement of the production cross section represent a test of the SM. The predicted  $tZq$ -SM production cross section for proton-proton collisions at a centre-of-mass energy of 8 TeV, at next-to-leading order (NLO), is  $\sigma(pp \rightarrow tZq) = 236_{-4}^{+11}$  (scale)  $\pm 11$  (PDF) fb [11], where  $t$  denotes either a top quark or antiquark. The first uncertainty is associated with the renormalisation and factorisation scales used, and the second one is associated with the choice of parton distribution functions (PDFs). The CTEQ6M set of PDFs [12] is used to determine the predicted cross section. The cross section of the three-lepton final state,  $\sigma(pp \rightarrow t\ell^+\ell^-q) \mathcal{B}(t \rightarrow \ell\nu b)$ , where  $\ell$  denotes a charged lepton (electron, muon, or tau), is calculated to be

$$\sigma(pp \rightarrow t\ell^+\ell^-q) \mathcal{B}(t \rightarrow \ell\nu b) = 8.2 \text{ fb}$$

with a theoretical uncertainty of less than 10%. The calculation is made in the five-flavour scheme, where  $b$  quarks are considered as coming from the interacting protons, with MADGRAPH5\_aMC@NLO [13], using the NNPDF (version 2) PDF set [14]. This includes lepton pairs from off-shell  $Z$  bosons with an invariant mass  $m_{\ell^+\ell^-} > 50$  GeV. This cross section is used as a reference in this paper. The ATLAS and CMS Collaborations have published results searching for  $t\bar{t}Z$  production, which is also sensitive to the coupling of the top quark to the  $Z$  boson [15–18]. A production cross section of  $\sigma(pp \rightarrow t\bar{t}Z) = 200_{-70}^{+80}$  (stat)  $_{-30}^{+40}$  (syst) fb was measured by CMS at 8 TeV [16]. Within the SM, any flavour-changing neutral current (FCNC) involving the top quark and the  $Z$  boson, referred to here as  $tZ$ -FCNC, is forbidden at tree level and is suppressed at higher orders because of the GIM mechanism [19]. Some SM extensions, such as  $R$ -parity violating supersymmetric models [20], top-colour assisted technicolour models [21] and singlet quark models [22], predict enhancements of the FCNC branching fraction, which could be as large as  $\mathcal{O}(10^{-4})$  [23]. The production of a single top quark in association with a  $Z$  boson is sensitive to both  $tZq$  and  $tgq$  anomalous couplings [23–25] as shown in Figs. 2 and 3. Searches for FCNC in the top quark sector have already been performed at the Fermilab Tevatron [26, 27] and at the LHC. The ATLAS Collaboration performed searches for anomalous  $tgq$  couplings [28] and the CMS Collaboration performed searches for  $t\gamma q$  anomalous couplings [29], while both the ATLAS and CMS Collaborations performed searches for

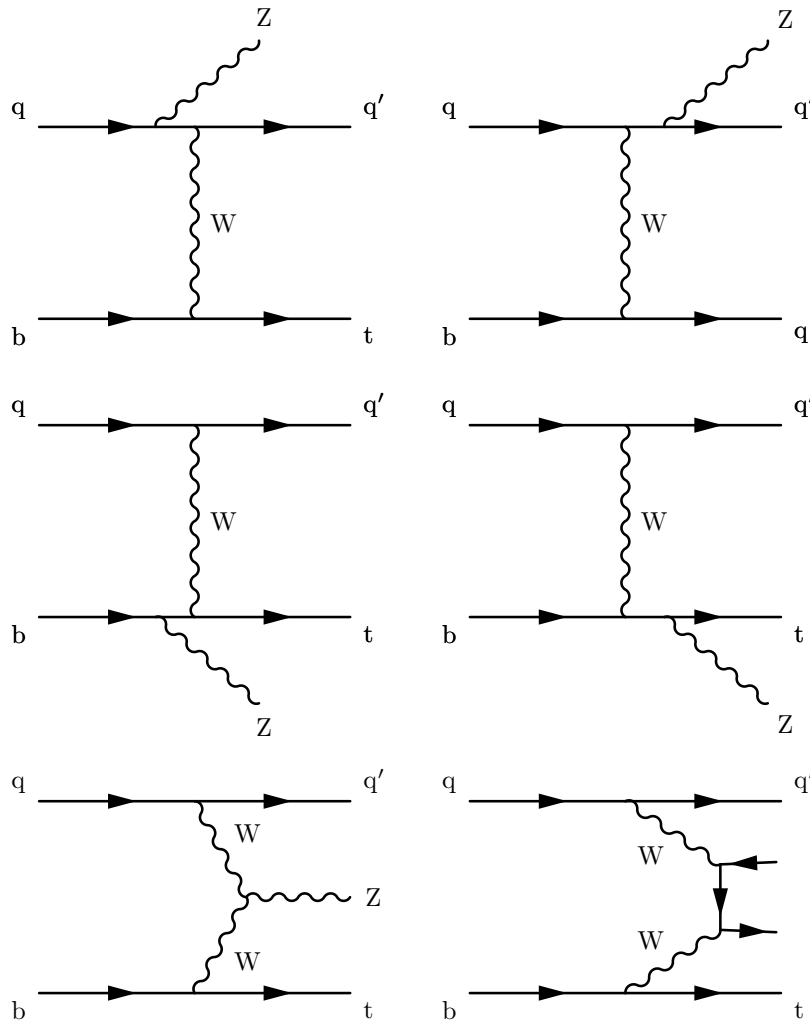


Figure 1: Leading-order  $tZq$  production Feynman diagrams (all but bottom-right). The initial- and final-state quarks denoted  $q$  and  $q'$  are predominantly first generation quarks, although there are smaller additional contributions from strange- and charm-initiated diagrams. The bottom-right diagram represents the NLO nonresonant contribution to the  $tZq$  process.

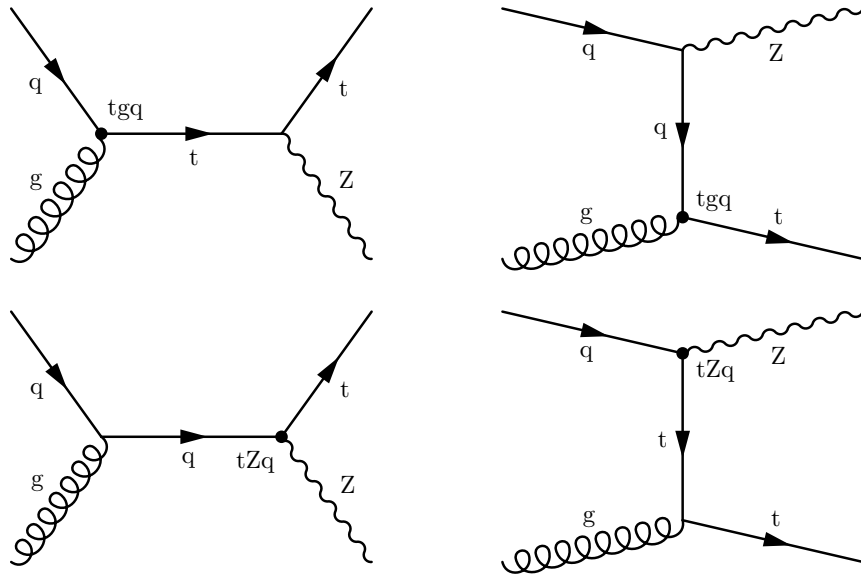


Figure 2: Feynman diagrams for the production of  $tZ$  in  $tZ$ -FCNC channels.

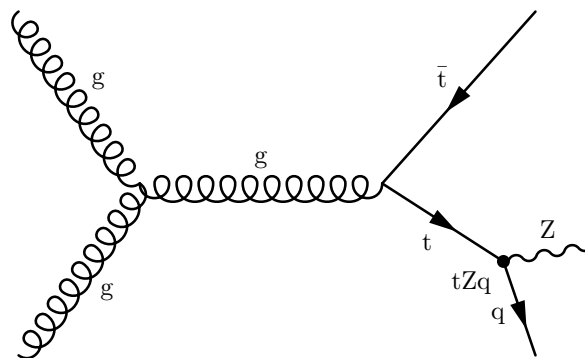


Figure 3: Feynman diagram for the production of  $tZq$  in the  $t\bar{t}$ -FCNC channel.

tZq anomalous couplings [30, 31]. The most stringent exclusion limit at 95% confidence level (CL) on the branching fraction  $\mathcal{B}(t \rightarrow Zq)$ , set by the CMS Collaboration, excludes branching fractions greater than 0.05% [31]. In this paper, two separate searches, using similar event selections and background estimates, are presented: a search for tZq-SM production and a search for tZ-FCNC production from anomalous couplings. Both searches are performed using a data set of proton-proton collisions at a centre-of-mass energy of 8 TeV, corresponding to an integrated luminosity of  $19.7 \text{ fb}^{-1}$ . In tZq-SM production, because the processes involved are based on  $t$ -channel single top quark production, the signature consists of a single top quark, a Z boson, and an additional jet preferentially emitted in the forward region of the detector (absolute pseudorapidity  $|\eta| > 2.4$ ). The search for tZ-FCNC is performed by combining the single top quark and  $t\bar{t}$  production modes. The single top quark production leads to a signature containing a top quark and a Z boson (single-top-quark-FCNC) with no extra jets from the matrix-element calculation. For the  $t\bar{t}$  production mode ( $t\bar{t}$ -FCNC), the FCNC vertex appears in the decay of the top quark, and leads to the same signature as for tZq-SM, but with the jet not associated with the b quark being produced in the central region of the detector. Both searches are performed in the trilepton final state, where both the W boson from the top quark and the Z boson decay into either electrons or muons, resulting in four possible leptonic combinations in the final state:  $eee$ ,  $\mu\mu\mu$ ,  $\mu\mu e$ , and  $ee\mu$ . As they are not specifically excluded, there is also a contribution from leptonic  $\tau$  decays. The main sources of background to these searches are  $t\bar{t}$  production, single top quark production, diboson production,  $t\bar{t}V$  ( $V = W$  or  $Z$ ) and Drell-Yan (DY) production. The tZq-SM production is a key irreducible background to the FCNC search. The discrimination between signal and background is achieved using a boosted decision tree (BDT) and the nonprompt backgrounds are estimated from the data, whereas other backgrounds are estimated from simulation using constraints from data.

## 2 Theoretical framework

The generation of the tZq-SM events is performed at NLO using the MADGRAPH5\_aMC@NLO v5.1.3.30 generator [13]. For the tZ-FCNC production, the description and generation of signal events follow the strategy detailed in Ref. [25]. The generation is achieved by describing the relevant interactions in terms of a set of effective operators that are independent of the underlying theory. The searches are thus performed in a model-independent way. The signature corresponding to the tZ-FCNC processes can be produced both via strong tgq and weak tZq couplings, as illustrated in Fig. 2. The  $t\bar{t}$ -FCNC production, where the anomalous coupling appears in the top quark decay, is presented in Fig. 3. Both of these production modes can be incorporated into the SM Lagrangian  $\mathcal{L}$  using effective operators of dimensions 4 and 5 [25]:

$$\begin{aligned} \mathcal{L} = & \sum_{q=u,c} \left[ \sqrt{2} g_s \frac{\kappa_{\text{tgq}}}{\Lambda} \bar{t} \sigma^{\mu\nu} T_a (f_q^L P_L + f_q^R P_R) q G_{\mu\nu}^a \right. \\ & + \frac{g}{\sqrt{2} c_W} \frac{\kappa_{\text{tZq}}}{\Lambda} \bar{t} \sigma^{\mu\nu} (\hat{f}_q^L P_L + \hat{f}_q^R P_R) q Z_{\mu\nu} \\ & \left. + \frac{g}{4 c_W} \zeta_{\text{tZq}} \bar{t} \gamma^\mu (\bar{f}_q^L P_L + \bar{f}_q^R P_R) q Z_\mu \right] + \text{h.c.} \end{aligned} \quad (1)$$

The effects of new physics contributions are quantified through the dimensionless parameters  $\kappa_{\text{tgq}}$ ,  $\kappa_{\text{tZq}}$ , and  $\zeta_{\text{tZq}}$  together with the complex chiral parameters  $f_q^{L,R}$ ,  $\hat{f}_q^{L,R}$ , and  $\bar{f}_q^{L,R}$ , which can be constrained as  $|f_q^L|^2 + |f_q^R|^2 = |\hat{f}_q^L|^2 + |\hat{f}_q^R|^2 = |\bar{f}_q^L|^2 + |\bar{f}_q^R|^2 = 1$ . The energy scale at which these effects are assumed to be relevant is parametrised by  $\Lambda$ . The two couplings to the gluon,  $\kappa_{\text{tgu}}/\Lambda$  and  $\kappa_{\text{tgc}}/\Lambda$ , relate to the diagrams shown at the top of Fig. 2, while the

four couplings to the Z boson,  $\kappa_{tZu}/\Lambda$ ,  $\zeta_{tZu}$ ,  $\kappa_{tZc}/\Lambda$ , and  $\zeta_{tZc}$  relate to the diagrams shown at the bottom of Fig. 2. The anomalous couplings related to the weak and strong sectors are assumed to be independent of each other, although interference is expected to occur between the  $\kappa_{tZq}/\Lambda$  and  $\zeta_{tZq}$  contributions. The sensitivity to the  $\kappa_{tZq}/\Lambda$  coupling is poor in comparison to other channels [28], while  $\zeta_{tZq}$  couplings lead to very small cross sections [25]. For these reasons we consider here only cases where  $\kappa_{tZq}/\Lambda \neq 0$ , while setting  $\zeta_{tZq} = 0$  and  $\kappa_{tZq}/\Lambda = 0$ . Furthermore, the interference between single top quark and  $t\bar{t}$ -FCNC processes is neglected and the 4 fermion interactions are not included in this analysis [32].

### 3 CMS detector

The central feature of the CMS apparatus is a superconducting solenoid of 6 m internal diameter, providing a magnetic field of 3.8 T. Within the solenoid volume are a silicon pixel and strip tracker, a lead tungstate crystal electromagnetic calorimeter (ECAL), and a brass and scintillator hadron calorimeter (HCAL), each composed of a barrel and two endcap sections. Forward calorimeters extend the pseudorapidity coverage provided by the barrel and endcap detectors. Muons are measured in gas-ionisation detectors embedded in the steel flux-return yoke outside the solenoid. The ECAL provides coverage in pseudorapidity  $|\eta| < 1.48$  in the barrel region and  $1.48 < |\eta| < 3.0$  in two endcap regions (EE). A preshower detector consisting of two planes of silicon sensors interleaved with a total of  $3X_0$  of lead is located in front of the EE. The electron momenta are estimated by combining energy measurements in the ECAL with momentum measurements in the tracker [33]. The relative transverse momentum resolution for electrons with  $p_T \approx 45$  GeV from  $Z \rightarrow ee$  decays ranges from 1.7% in the barrel region to 4.5% in the endcaps [33]. The dielectron mass resolution for  $Z \rightarrow ee$  decays when both electrons are in the ECAL barrel is 1.9%, and is 2.9% when both electrons are in the endcaps. Muons are measured in the range  $|\eta| < 2.4$ . Matching muons to tracks measured in the silicon tracker results in a relative  $p_T$  resolution for muons with  $20 < p_T < 100$  GeV of 1.3–2.0% in the barrel and better than 6% in the endcaps. The  $p_T$  resolution in the barrel is better than 10% for muons with  $p_T$  up to 1 TeV [34, 35]. Events of interest are selected using a two-tiered trigger system [36]. The first level, composed of custom hardware processors, uses information from the calorimeters and muon detectors to select events at a rate of around 100 kHz within a time interval of less than 4  $\mu$ s. The second level, known as the high-level trigger, consists of a farm of processors running a version of the full event reconstruction software optimised for fast processing, and reduces the event rate to less than 1 kHz before data storage. A more detailed description of the CMS detector, together with a definition of the coordinate system used and the relevant kinematic variables, can be found in Ref. [37].

### 4 Monte Carlo simulation

Simulated  $tZq$ -SM and  $t\bar{t}Z$  events are produced, at NLO, with the MADGRAPH5\_aMC@NLO v5.1.3.30 generator [13], interfaced with PYTHIA version 8.212 [38] for parton showering and hadronisation. Several of the background processes considered in this analysis ( $t\bar{t}$  and  $t\bar{t}W$  production, diboson production and Z boson production in association with multiple jets) are produced at leading order (LO) using the MADGRAPH5\_aMC@NLO Monte Carlo (MC) generator interfaced with PYTHIA version 6.426 [39]. Single top quark background processes ( $tW$  and  $t\bar{t}W$ ) are simulated using the POWHEG v.1.0 r1380 generator [40–43], which is interfaced to PYTHIA version 8.212 for parton showering and hadronisation. The  $tZ$ -FCNC events are generated at LO using the MADGRAPH5\_aMC@NLO generator interfaced with PYTHIA version 6.426. The  $\kappa$  Lagrangian terms presented in Eq. (1) are implemented as a new model

in MADGRAPH5\_aMC@NLO by means of the FEYNRULES package [44] and of the universal FEYNRULES output format [45]. The complex chiral parameters are fixed to the following values:  $\hat{f}_q^R = 0$  and  $\hat{f}_q^L = 1$ . All samples generated with POWHEG and MADGRAPH5\_aMC@NLO use the CT10 [46] PDF set. The value of the top quark mass used in all the simulated samples is  $m_t = 172.5 \text{ GeV}$ . All samples include W boson decays to  $\tau$  leptons, as well as to electrons and/or muons. The characterisation of the underlying event uses the PYTHIA Z2\* tune [47, 48] for the MADGRAPH5\_aMC@NLO and POWHEG samples, and the CUETP8M1 tune [48] for the tZq-SM sample. Additional samples of tZq-SM, tZ-FCNC,  $t\bar{t}V$ , and WZ are generated, varying the renormalisation and factorisation scales, for studies of systematic effects. For the  $t\bar{t}V$  and WZ backgrounds, further samples are generated varying the merging threshold in MADGRAPH5\_aMC@NLO. The expected cross sections are obtained from next-to-next-to-leading-order calculations for  $t\bar{t}$  [49] and  $Z/\gamma^*$  processes [50], NLO plus next-to-next-to-leading-logarithmic calculations for single top quark production in the  $tW$  or  $t\bar{t}W$  channels [51], and NLO calculations for VV [52] and  $t\bar{t}V$  [53, 54] processes. For all samples of simulated events, multiple minimum-bias events generated with PYTHIA are added to simulate the presence of additional proton-proton interactions (pileup) from the same bunch crossing or in neighbouring proton bunches. To refine the simulation, the events are weighted to reproduce the distribution in the number of pileup vertices inferred from data. Most generated samples contain full simulation of detector effects, using the GEANT4 package [55], including simulation of the machine running conditions, while the FCNC samples are processed using a fast simulation of the detector [56].

## 5 Event reconstruction and data selection

In the searches presented in this paper, the signal signature contains a Z boson and a top quark, which both decay leptonically to either electrons or muons. Thus the final state for both searches consists of three leptons (electrons and/or muons, including those coming from tau decays), plus an escaping undetected neutrino that is inferred from an imbalance in the transverse momentum. The signature also includes a bottom quark jet (b jet) that arises from the hadronisation of the b quark produced in the top quark decay. In the final state for tZq-SM production, or for  $t\bar{t}$ -FCNC, there is an additional jet arising from the hadronisation of a light or a charm quark. The data used in this analysis were collected with the CMS detector during the 2012 proton-proton data taking period at a centre-of-mass energy of 8 TeV. The data are selected online using triggers that rely on the presence of two high- $p_T$  leptons,  $ee$ ,  $e\mu$ , or  $\mu\mu$ . The highest- $p_T$  lepton is required to satisfy  $p_T > 17 \text{ GeV}$ , while the second-highest- $p_T$  lepton must satisfy  $p_T > 8 \text{ GeV}$ . In addition, the trigger selection requires loose lepton identification for both lepton flavours; electrons are additionally required to pass online isolation requirements. The resulting trigger efficiencies are 99% for  $eee$  and  $ee\mu$ , 98% for  $\mu\mu\mu$  and 89% for  $\mu\mu e$ . For tZ-FCNC production, the trigger acceptance is enhanced by using single-lepton and trilepton triggers with various  $p_T$  thresholds, resulting in a trigger efficiency close to 100%, after all selection cuts. The trigger efficiency is obtained from data collected with an independent trigger selection based on missing transverse momentum. The missing transverse momentum vector  $\vec{p}_T^{\text{miss}}$  is defined as the projection on the plane perpendicular to the beams of the negative vector sum of the momenta of all reconstructed particles in an event. Its magnitude is referred to as missing transverse momentum,  $p_T^{\text{miss}}$ . A particle-flow event reconstruction algorithm [57, 58] identifies each individual particle with an optimised combination of information from the various elements of the CMS detector. The energy of the photons is directly obtained from the ECAL measurement. The energy of the electrons is determined from a combination of the electron momentum at the primary interaction vertex as determined by the tracker, the



energy of the corresponding ECAL cluster, and the energy sum of all bremsstrahlung photons spatially compatible with originating from the electron track. The momentum of the muons is obtained from the curvature of the corresponding track. The energy of the charged hadrons is determined from a combination of their momentum measured in the tracker and the matching ECAL and HCAL energy deposits. Finally, the energy of the neutral hadrons is obtained from the corresponding corrected ECAL and HCAL deposits. The tracks reconstructed in the silicon tracker are used to identify and construct a series of interaction vertices, which correspond to the pileup. For each vertex, the sum of the transverse momenta squared of the associated tracks is calculated. The vertex whose sum is largest is taken to be the event primary vertex, provided that it is reconstructed using four or more tracks and that it lies within 24 cm of the nominal interaction point in the  $z$  direction and within 2 cm in the transverse plane. Each event must contain exactly three electrons and/or muons, reconstructed by the particle-flow algorithm. Each lepton must have  $p_T > 20$  GeV and  $|\eta| < 2.5$  (electron) or  $|\eta| < 2.4$  (muon) and must be isolated. Isolation is determined by calculating the sum of  $p_T$  of all the other reconstructed particles that lie within a cone of fixed radius  $\Delta R = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2}$  around the lepton, correcting for the expected contribution from pileup [59] and dividing the corrected sum by the  $p_T$  of the lepton. The resulting quantity is denoted  $I_{\text{rel}}$ . For electrons, the cone size is set to  $\Delta R = 0.3$  and  $I_{\text{rel}}$  must be less than 0.15. For muons, the cone size is set to  $\Delta R = 0.4$  and  $I_{\text{rel}}$  must be less than 0.12. Events that contain additional leptons, satisfying the same kinematic selection but with relaxed lepton identification criteria, are rejected. Lepton isolation and identification efficiencies in simulation are corrected to match the ones measured in data using a tag-and-probe method [60]. Two of the same-flavour leptons in each event are required to have opposite electric charge, and have an invariant mass,  $m_{\ell\ell}$ , compatible with the Z boson mass, i.e.  $76 < m_{\ell\ell} < 106$  GeV. In the eee and  $\mu\mu\mu$  channels, the pair of oppositely charged leptons having an invariant mass closest to the Z boson mass is used to form the Z boson candidate. In the  $e\mu\mu$  and  $\mu\mu e$  channels, the same-flavour leptons are used to form the Z boson candidate. For all channels, the third lepton is assumed to come from the decay of the W boson. Jets are clustered from the particles reconstructed using the particle-flow algorithm with the infrared and collinear safe anti- $k_T$  algorithm [61, 62], operated with a distance parameter  $R = 0.5$ . Jet momentum is determined as the vectorial sum of all particle momenta in the jet, and is found from simulation to be within 5 to 10% of the true particle-level jet momentum over the whole  $p_T$  spectrum and detector acceptance. An offset correction is applied to jet energies to take into account the contribution from pileup interactions. Corrections for the jet energy are derived from simulation, and are corrected with in situ measurements of the energy balance in dijet and photon+jet events [63]. For the tZ-FCNC analysis, only jets that satisfy  $p_T > 30$  GeV and  $|\eta| < 2.4$  are used in the results presented here, while for the tZq-SM analysis, the maximum allowed value of  $|\eta|$  is relaxed to 4.5 to improve the signal acceptance, as for single top quark  $t$ -channel processes the extra light jet is mostly produced in the forward region. Jets that are reconstructed close to a selected lepton ( $\Delta R < 0.5$ ) are removed. Jets that originate from the hadronisation of a b quark are identified (tagged) using the combined secondary vertex algorithm [64]. This algorithm combines various track-based variables with vertex-based variables to construct a discriminating observable in the region  $|\eta| < 2.4$ . The discriminant is used to distinguish between b jets and non-b jets. For the results presented here, the so-called *loose* operating point is used. This corresponds to a b tagging efficiency of about 85% and a misidentification probability of 10% for light-flavour or gluon jets, as estimated from QCD multijet simulations. The value of the b tagging discriminant is also used in the multivariate discriminator. Corrections to the b tagging discriminant shape have been determined using  $t\bar{t}$  and multijet control samples, and are then applied to the signal and background data sets [64]. In the search for tZq-SM production, two or more selected jets are required, one or more of which

must also satisfy the b tagging requirements. In the search for tZ-FCNC production, two different signal selections are considered. In a first selection, denoted as single-top-quark-FCNC selection, exactly one selected jet is required, which has to pass the b tagging requirement. A second selection (t $\bar{t}$ -FCNC selection) asks for at least two selected jets with at least one passing the b tagging requirement. The selections result in a signal-enriched sample, with either single-top-quark-FCNC or t $\bar{t}$ -FCNC events. To further reject backgrounds, two additional selections are made on the missing transverse momentum and the transverse mass of the W boson,  $m_T^W$ . These selections are applied to the signal regions only and are optimised to maximise the expected significance. The optimisation is made for the tZq-SM and tZ-FCNC signals separately. For the tZq-SM analysis,  $m_T^W > 10$  GeV is required while for the tZ-FCNC analysis we require  $p_T^{\text{miss}} > 40$  GeV and  $m_T^W > 10$  GeV. These selections define the signal regions for the analyses. In addition to the signal region, a background-enriched control region is defined by requiring one or two selected jets, but vetoing events containing a b-tagged jet, in order to increase the DY and WZ content. The event selections for the control and signal regions are presented in Table 1, while the number of events remaining for each process, after all selections have been applied is shown in Table 2 for the tZq-SM shape analysis.

Table 1: The event selections for the signal and control regions for the SM and FCNC analyses.

SM signal tZq	SM control WZ	FCNC signal single-top-quark	FCNC signal t $\bar{t}$	FCNC control WZ
$\geq 2$ jets, $ \eta  < 4.5$ $\geq 1$ b tag $m_T^W > 10$ GeV	1 or 2 jets, $ \eta  < 4.5$ 0 b tag	1 jet, $ \eta  < 2.4$ 1 b tag $m_T^W > 10$ GeV $p_T^{\text{miss}} > 40$ GeV	$\geq 2$ jets, $ \eta  < 2.4$ $\geq 1$ b tag $m_T^W > 10$ GeV $p_T^{\text{miss}} > 40$ GeV	1 or 2 jets, $ \eta  < 2.4$ 0 b tag

Table 2: The number of events remaining for each process, after all selections have been applied, in the control and signal regions for the tZq-SM shape analysis. WZ+h.f. denotes WZ + heavy flavour.

Process	Control Region	Signal Region
t $\bar{t}$ Z	1.76 $\pm$ 0.18	10.91 $\pm$ 0.44
ZZ	10.64 $\pm$ 0.03	1.58 $\pm$ 0.01
WZ+h.f.	104.73 $\pm$ 1.32	34.34 $\pm$ 0.76
WZ	426.92 $\pm$ 2.67	58.00 $\pm$ 0.98
DY	192.95 $\pm$ 13.89	49.24 $\pm$ 7.02
tZq	5.89 $\pm$ 0.03	16.05 $\pm$ 0.04
Total prediction	743 $\pm$ 18	170 $\pm$ 9
Data	763	154

## 6 Analysis method

In order to enhance the separation between signal and background processes, a multivariate discriminator is used in both the tZq-SM and FCNC searches. The discriminator is based on the BDT algorithm [65] implemented in the standard toolkit for multivariate analysis TMVA [66]. A range of different quantities are used as input variables for the BDTs. They are selected based on their discriminating power and include kinematic variables related to the top quark and

the Z boson, such as  $p_T$ , pseudorapidity, and charge asymmetry  $q_\ell |\eta|$ , where  $q$  and  $\eta$  are the charge and  $\eta$  of the lepton from the W decay, as well as jet properties, particularly those related to b tagging or the pseudorapidity of the recoiling jet. The BDTs are trained using half of the simulated samples for these processes and they are trained separately for each channel. The output discriminant distribution is then fitted, in the signal region, for each channel, to determine whether there are any signal events present in the data. The second half of the simulated samples are used to test that overtraining did not occur. For the SM search, the  $\text{BDT}_{tZq\text{-SM}}$  is used to discriminate between the  $tZq\text{-SM}$  signal and the dominating  $t\bar{t}Z$  and WZ background processes. The  $\text{BDT}_{tZq\text{-SM}}$  distribution is fitted, together with the  $m_T^W$  distribution in the control region. The results of the fits are presented in Fig. 4 for the four channels combined. For

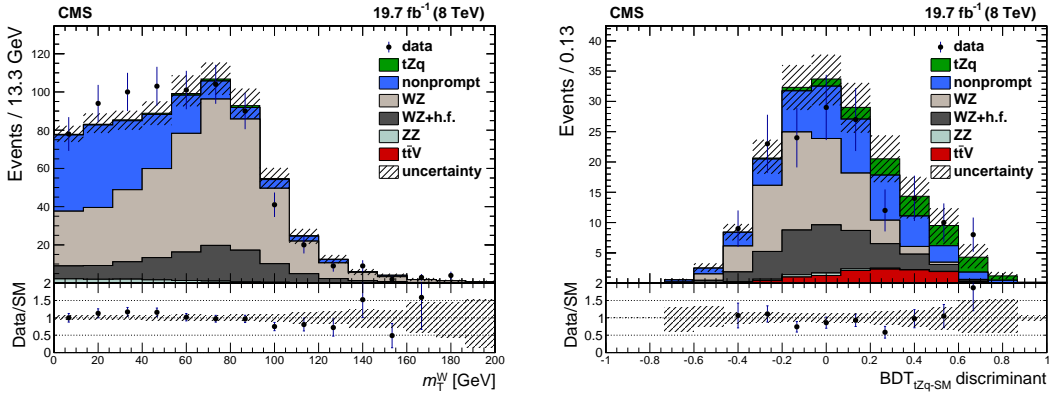


Figure 4: Data-to-prediction comparisons after performing the fit for  $m_T^W$  distribution in the control region (left) and for the  $\text{BDT}_{tZq\text{-SM}}$  responses in the signal region (right). The four lepton channels are combined. The lower panels show the ratio between observed and predicted yields, including the total uncertainty on the prediction.

the FCNC searches, the  $\text{BDT}_{tZ\text{-FCNC}}$  and  $\text{BDT}_{t\bar{t}\text{-FCNC}}$  are used to discriminate FCNC processes from the SM background processes. The  $\text{BDT}_{tZ\text{-FCNC}}$ , and  $\text{BDT}_{t\bar{t}\text{-FCNC}}$ , distributions are fitted, together with the  $m_T^W$  distribution in the control region. The results of the fits are presented in Fig. 5 for the four channels combined. A number of different background processes are considered. These include  $t\bar{t}$ , single top quark, diboson,  $t\bar{t}V$ , and DY production. The contamination from W+jets events involves two nonprompt leptons and is found to be negligible. Diboson production is dominated by the WZ sample, which is split into two parts: the production of WZ events in association with light jets, or in association with heavy-flavour jets. The ZZ production contributes with a small number of background events. While the cross section of WW production is slightly higher than ZZ production, a nonprompt lepton would have to be selected to replicate the signal, making its contribution to the background negligible. The  $t\bar{t}$  SM and the DY backgrounds populate the signal region if they contain a reconstructed nonprompt lepton that passes the lepton identification and isolation selections; as the nonprompt lepton rates are not well modelled by the simulation, these backgrounds are estimated from data. The  $m_T^W$  distribution is used as a discriminator in the background-enriched region to estimate the backgrounds related to nonprompt leptons, as well as the dominant WZ background. Both the shape and normalisation of the other backgrounds are estimated from simulation.

The normalisation of the nonprompt lepton and WZ background is estimated by fitting the  $m_T^W$  distribution. The  $m_T^W$  distribution peaks around the W mass for a lepton and  $p_T^{\text{miss}}$  from a W boson decay, while for nonprompt lepton backgrounds it peaks close to zero and falls rapidly. This difference in shape allows a simultaneous estimation of the nonprompt lepton and the

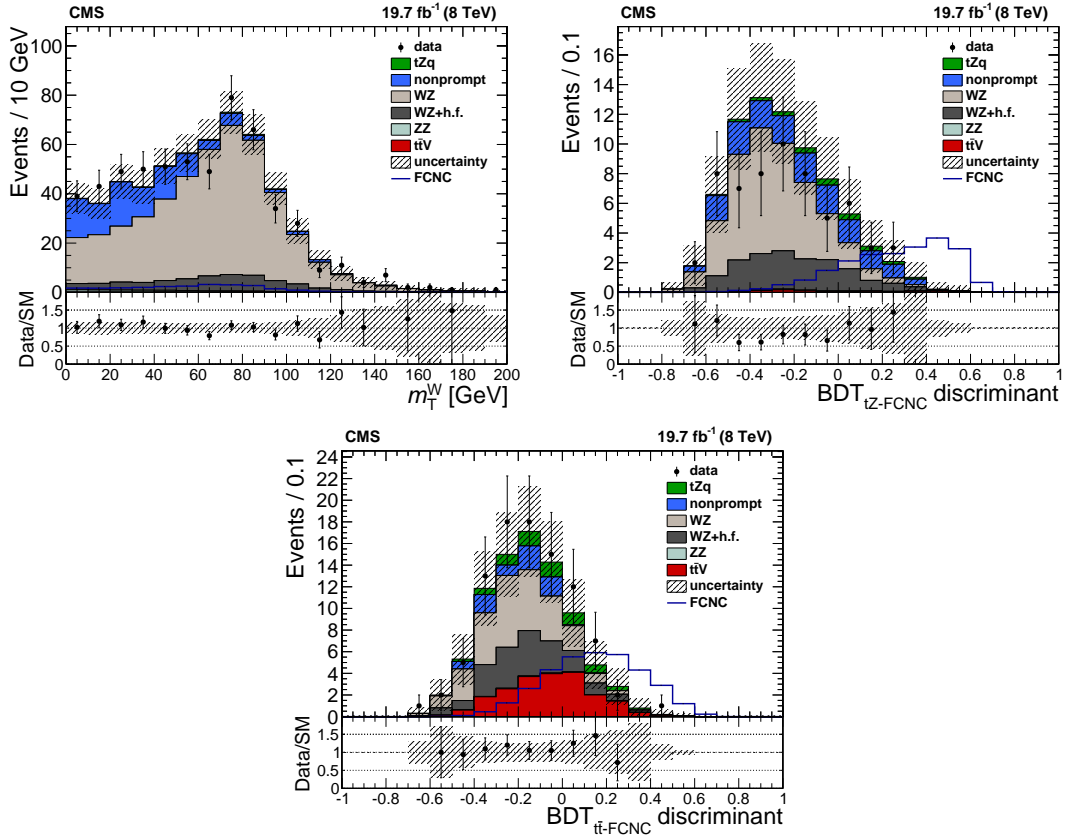


Figure 5: Data-to-prediction comparisons for the  $tZ$ -FCNC search after performing the fit for  $m_T^W$  distribution in the control region (top-left), and for the BDT responses in the single top quark ( $BDT_{tZ-FCNC}$ ) (top-right), and  $t\bar{t}$  ( $BDT_{t\bar{t}-FCNC}$ ) (bottom), signal regions. An example of the predicted signal contribution for a value  $\mathcal{B}(t \rightarrow Zu) = 0.1\%$  (FCNC) is shown for illustration. The four channels are combined. The lower panels show the ratio between observed and predicted yields, including the total uncertainty on the prediction.

WZ backgrounds to be made. In the  $ee\mu$  and  $\mu\mu e$  final states, the same-flavour opposite-sign leptons are assumed to come from the  $Z$  boson, hence the remaining lepton (third lepton) is assumed to come from the  $W$  boson and is used to compute the transverse mass. For the  $eee$  and  $\mu\mu\mu$  final states, both opposite sign combinations are considered. The normalised  $m_T^W$  distributions (templates) for events containing a nonprompt lepton are obtained by inverting the isolation criteria on the third lepton. The resulting event sample is expected to be dominated by DY events, although a small number of  $t\bar{t}$  events are expected. The signal is extracted by performing a simultaneous binned maximum-likelihood fit to the distributions of the signal samples and the background-enriched control region, using the two different discriminators. The background-enriched control region helps to constrain the backgrounds in the signal sample by means of nuisance parameters. A common fit is performed simultaneously for the four different final states ( $eee$ ,  $ee\mu$ ,  $\mu\mu e$ , and  $\mu\mu\mu$ ). In order to validate the fit procedure, an addi-

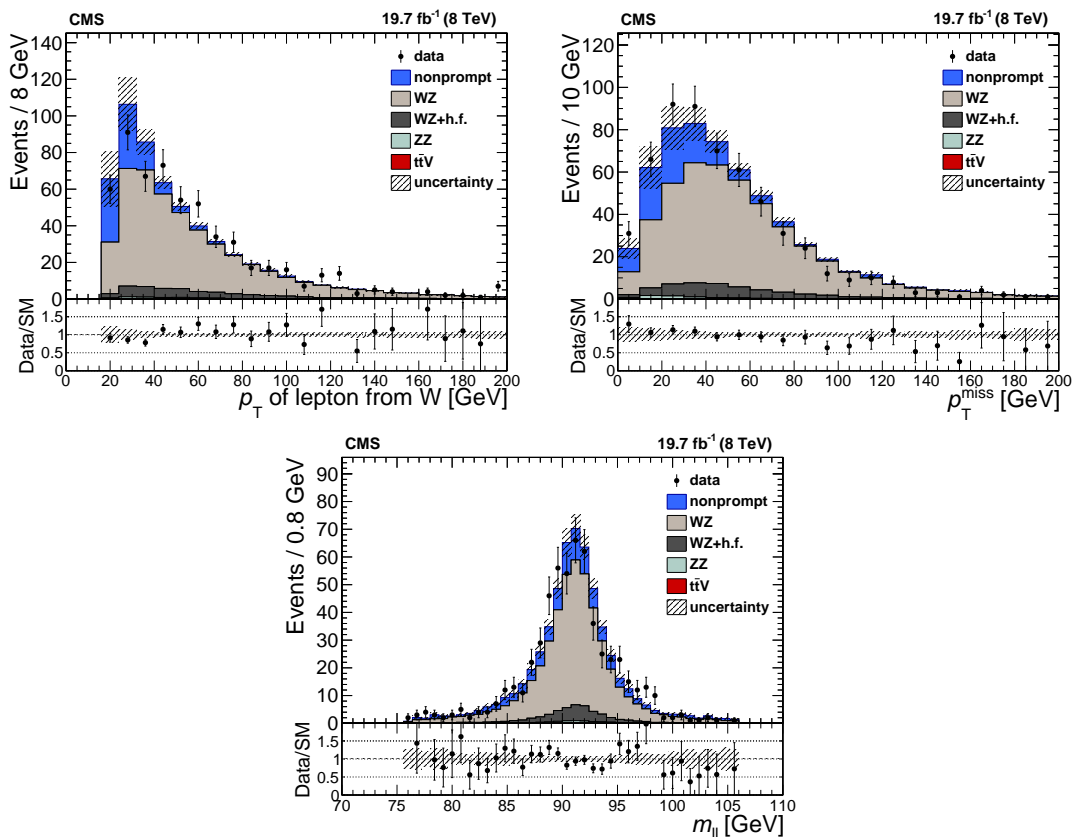


Figure 6: Data-to-prediction comparisons in the background-enriched samples, after applying background normalisation scaling factors as described in the text, of the  $p_T$  of the lepton from the  $W$  boson (top-left),  $p_T^{\text{miss}}$  (top-right), and  $m_{\ell\ell}$  (bottom). The four channels are combined. The lower panels show the ratio between observed and predicted yields, including the total uncertainty on the prediction. The distributions shown here are for the  $tZ$ -FCNC search, where  $WZ + \text{h.f.}$  denotes  $WZ + \text{heavy flavour}$ .

tional fit is performed in the background-enriched region only and the background normalisations are extracted from this fit. These normalisations are used to compare the data to the predictions as shown in Fig. 6. Reasonable agreement in normalisation and shape between data and predictions is found, validating the background model.

## 7 Systematic uncertainties

Different sources of systematic uncertainty are considered. They can affect the number of events passing the selection, the shape of the BDT response, or both.

- **Luminosity measurement:** The integrated luminosity measurement is extracted using the pixel cluster counting method [67], with the corresponding uncertainty being  $\pm 2.6\%$ .
- **Pileup estimation:** The uncertainty in the average expected number of additional interactions per bunch crossing is  $\pm 5\%$ .
- **Lepton trigger, reconstruction, and identification efficiency:** To ensure that the efficiency of the dilepton triggers observed in data is properly reproduced, a set of data-to-simulation corrections is applied to all simulated events ; likewise, an additional set of corrections ( $p_T$ - and  $\eta$ - dependent) is used to ensure that the efficiency for reconstructing and identifying leptons observed in the data is correctly reproduced in the simulation. The corrections are varied by their corresponding uncertainties, which amounts to about 4% per event for the trigger selection and 2% per event for the lepton selection. For the  $tZ$ -FCNC production the trigger selection is extended, which increases the acceptance and in turn leads to a reduction in the trigger uncertainty.
- **Jet energy scale (JES), jet energy resolution (JER), and missing transverse momentum:** In all simulated events, all the reconstructed jet four-momenta are simultaneously varied by the uncertainties associated with the jet energy scale and resolution. Changing the jet momenta in this fashion causes a corresponding change in the total momentum in the transverse plane, thus affecting  $p_T^{\text{miss}}$  as well. The contribution to  $p_T^{\text{miss}}$  that is not from particles identified as leptons or photons, or that are not clustered into jets is varied by  $\pm 10\%$  [68].
- **b tagging:** The b tagging and misidentification efficiencies are estimated using control samples [69]. The resulting corrections are applied to all simulated samples to ensure that they reproduce the efficiencies in data. The corrections are varied by  $\pm 1$  standard deviation ( $\sigma$ ).
- **Background normalisation:** The normalisation of the nonprompt lepton and WZ background processes are estimated from data while performing the final fit. The normalisation uncertainties in the backgrounds estimated from simulation are taken as 30%. The WZ + jets sample is split into two parts: WZ + light-flavour jets and WZ + heavy-flavour (b and c) jets. The normalisations of these two backgrounds, which are treated separately, are left free in the fit.
- **Z boson  $p_T$ :** Uncertainty coming from the Z boson  $p_T$  reweighting is accounted for by not applying, or applying twice, the reweighting.
- **Physics process modelling:** The renormalisation and factorisation scales used in the WZ,  $tZ$ -SM and  $tZ$ -FCNC signal simulation, as well as for the  $t\bar{t}Z$  simulated samples, are multiplied or divided by a factor of two, and the corresponding variations are considered as shape systematic uncertainties. The procedure used in PYTHIA to match the partons in the matrix-element calculation with those in the parton showering includes a number of scale thresholds. These are varied in the simulated WZ sample and the resulting variation is taken as the associated systematic uncertainty.
- **PDFs:** The nominal PDF sets used for the analyses described in this paper are quoted in Section 4. In order to compute the corresponding uncertainty, simulated events

are reweighted by using the eigenvalues associated to each PDF set. The corresponding variations are summed in quadrature and the results are compared with the nominal prediction. Uncertainties estimated from different PDF sets are also compared and the largest uncertainty is taken.

- **Simulated sample size:** The statistical uncertainty arising from the limited size of the simulated samples is taken as a source of systematic uncertainty using the “Barlow-Beeston light” method [70].

The systematic sources, variation and type (shape/normalisation) are summarised in Table 3. For a given source of systematic uncertainty there is 100% correlation between the 4 channels, except for the lepton misidentification where the  $\mu\mu\mu$  and  $ee\mu$  channels are 100% correlated and the  $\mu\mu e$  and  $eee$  channels are 100% correlated, due to the isolation inversion of the lepton candidate from the  $W$  decay.

Table 3: The systematic sources, variation and type, which represent how the uncertainty is treated in the likelihood fit.

Systematic source	Variation	Type
Z+jets, $t\bar{t}$	$\pm 30\%$	norm.
Muon misidentification	floating in the fit	norm.
Electron misidentification	floating in the fit	norm.
Z $p_T$	$\pm 1\sigma$	shape
WZ+l jets norm.	floating in the fit	norm.
WZ+l jets matching	$\pm 1\sigma$	shape
WZ+l jets scale	$Q^2 \times 4, Q^2/4$	shape
WZ+hf jets norm.	floating in the fit	norm.
WZ+hf jets matching	$\pm 1\sigma$	shape
WZ+hf jets scale	$Q^2 \times 4, Q^2/4$	shape
tZq	$\pm 30\%$	norm.
tZq scale	$Q^2 \times 4, Q^2/4$	norm.+shape
ZZ	$\pm 30\%$	norm.
Single top	$\pm 30\%$	norm.
$t\bar{t}V$	$\pm 30\%$	norm.
Trigger	$\pm 1\sigma$	norm.
Lepton selection	$\pm 1\%$	norm.+shape
JES	$\pm 1\sigma(p_T, \eta)$	norm.+shape
JER	$\pm 1\sigma(p_T, \eta)$	norm.+shape
Uncertainty $p_T^{\text{miss}}$	$\pm 10\%$	norm.+shape
b tagging	$\pm 1\sigma(p_T, \eta)$	norm.+shape
Pileup	$\pm 1\sigma$	norm.+shape
PDF	$\pm 1\sigma$	norm.+shape
tZ-FCNC scale	$Q^2 \times 4, Q^2/4$	norm.+shape
Luminosity	$\pm 2.6\%$	norm.

## 8 Results

The fit is performed on the BDT discriminant distributions in the signal samples, and on the  $m_T^W$  distributions in the background-enriched sample, for each of the four final states ( $eee$ ,  $ee\mu$ ,  $\mu\mu e$ ,

and  $\mu\mu\mu$ ). This is implemented using the Theta program [71], with most of the systematic uncertainties treated as nuisance parameters. Prior to fitting, the templates for each background process are scaled to correspond to the predicted SM cross section, including all relevant corrections, and the integrated luminosity of the data sample used for the analysis. The systematic uncertainties discussed in Section 7 are included in the fit. For each source of systematic uncertainty,  $u$ , a nuisance parameter,  $\theta_u$ , is introduced. Systematic uncertainties can affect the rate of events and/or the shape of the template distribution. The data are used to constrain the nuisance parameters for all systematic uncertainties except for those related to the physics process modelling and PDF parameters. The significance is calculated using a Bayesian technique.

### 8.1 Search for tZq-SM production

By performing a simultaneous fit on the  $m_T^W$  distribution in the background-enriched sample and on the BDT outputs in the signal region, the number of events in excess of the background-only hypothesis is determined. This excess can then be compared to the SM expectation for tZq production in order to measure the cross section. The efficiency times acceptance for the BDT-based analysis is 0.10 for the inclusive cross section. The measured cross sections for the

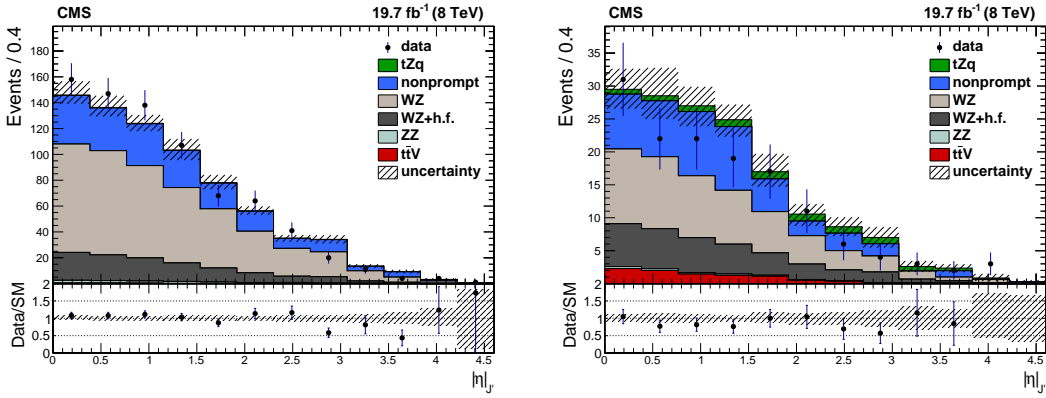


Figure 7: Data-to-prediction comparisons after performing the fit for the  $|\eta|$  distribution of the recoiling jet in the control region (left), and the signal region (right). The four lepton channels are combined. The lower panels show the ratio between observed and predicted yields, including the total uncertainty on the prediction.

individual channels and the channels combined are shown in Table 4. The combined measured signal tZq cross section is found to be  $10_{-7}^{+8}$  fb and is consistent with the SM prediction of 8.2 fb with a theoretical uncertainty of less than 10%. For illustration, the data-to-prediction comparisons, including the post-fit uncertainties, are presented in Fig. 7 for the  $|\eta|$  distribution of the leading jet not originating from the top quark decay ( $\eta_Y$ ) in the control region and in the signal region. The corresponding observed and expected significances are 2.4 and 1.8 standard deviations, respectively, with the expected significance having a one standard deviation range of [0.4 – 2.7] at 68% CL. The observed signal exclusion limit on the tZq cross section is 21 fb at 95% CL. As a cross-check, the search for tZq-SM is also performed using a counting experiment. The main differences in the event selection compared to the BDT-based analysis are a tighter electron isolation requirement,  $I_{\text{rel}} < 0.1$ , and a tighter  $m_{\ell\ell}$  selection  $78 < m_{\ell\ell} < 102$  GeV. For this analysis, the WZ background is estimated by counting the number of events in a region enriched in WZ events, defined by inverting the b tagging requirements. Contamination of other sub-dominant processes is subtracted using the prediction of the simulation and a systematic uncertainty is estimated by varying their yields according to their respective uncertainties. Ad-



Table 4: The measured cross sections, together with their total uncertainties, for the individual channels and the channels combined for the BDT-based analysis.

Channel	Cross section (fb)
eee	$0^{+9}$
ee $\mu$	$11^{+13}_{-10}$
$\mu\mu e$	$24^{+19}_{-16}$
$\mu\mu\mu$	$5^{+9}_{-5}$
Combined fit	$10^{+8}_{-7}$

ditional systematic uncertainties due to the WZ modelling are accounted for by considering renormalisation and factorisation scale variations as well as matching threshold variations. For the cross-check analysis the total expected number of events is  $15.4 \pm 0.5$ , dominated by  $t\bar{t}Z$  events ( $5.2 \pm 0.3$ ) and WZ events ( $3.6 \pm 0.2$ ). The contribution from ZZ,  $t\bar{t}$ , and DY events is  $2.7 \pm 0.3$ , and the contribution from  $t\bar{t}W$  events is  $0.5 \pm 0.02$ . The expected number of signal events is  $3.4 \pm 0.1$ . A total of 20 events passing all signal selections are observed in the data. The efficiency times acceptance for the counting experiment is 0.021 for the inclusive cross section. The measured cross sections for each channel, and the combination of channels, is calculated using the ROOSTATS package [72]. The results obtained are shown in Table 5. The cross section

Table 5: The measured cross sections for the individual channels and the channels combined for the counting analysis.

Channel	Cross section (fb)
eee	$29^{+32}_{-24}(\text{stat})^{+8}_{-7}(\text{syst})$
ee $\mu$	$6^{+23}_{-6}(\text{stat})^{+4}_{-3}(\text{syst})$
$\mu\mu e$	$19^{+24}_{-18}(\text{stat}) \pm 5(\text{syst})$
$\mu\mu\mu$	$20^{+19}_{-15}(\text{stat})^{+4}_{-3}(\text{syst})$
Combined fit	$18^{+11}_{-9}(\text{stat}) \pm 4(\text{syst})$

is measured to be  $18^{+11}_{-9}(\text{stat}) \pm 4(\text{syst})$  fb, in agreement with the SM prediction and with the BDT-based result. The corresponding signal significance is observed to be 1.8 standard deviations, while the expected significance is 0.8 standard deviations, with a 68% CL range of [0 -1.59].

## 8.2 Search for tZ-FCNC production

To search for tZ-FCNC interactions, the single-top-quark-FCNC,  $t\bar{t}$ -FCNC and background-enriched samples are combined in a single fit. The result of the fit is consistent with the SM-only hypothesis. Exclusion limits at 95% CL for tZ-FCNC are calculated by performing simultaneously the fit in the single-top-quark-FCNC-,  $t\bar{t}$ -FCNC-, and WZ-enriched regions. The limits are calculated for different combinations of tZu and tZc anomalous couplings, as shown in Fig. 8.

The independent exclusion limits are summarised in Table 6 where the branching fraction of the coupling not under consideration is assumed to be zero. A more stringent limit is observed on the tZu couplings compared to the tZc couplings as a result of the larger cross section for tZ-FCNC in the tZu channel. The limits are  $\mathcal{B}(t \rightarrow Zu) < 0.022\%$  and  $\mathcal{B}(t \rightarrow Zc) < 0.049\%$ , which improve the previous limits set by the CMS Collaboration [31] by about a factor of two.

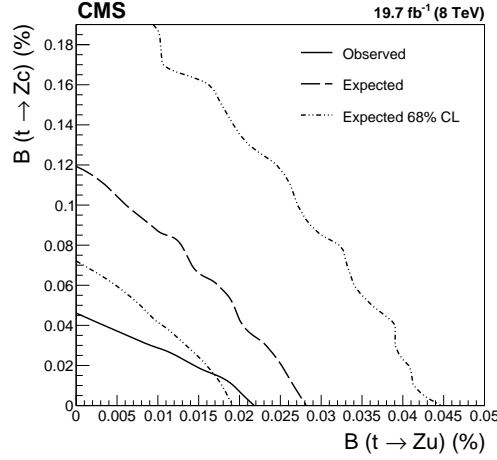


Figure 8: The expected and observed exclusion limits at 95% CL on  $\mathcal{B}(t \rightarrow Zc)$  as a function of the limits on  $\mathcal{B}(t \rightarrow Zu)$ . The expected 68% CL is also shown.

Table 6: Expected and observed 95% exclusion limits on the branching fraction of the tZ-FCNC couplings.

Branching fraction	Expected	68% CL range	95% CL range	Observed
$\mathcal{B}(t \rightarrow Zu)$ (%)	0.027	0.018 – 0.042	0.014 – 0.065	0.022
$\mathcal{B}(t \rightarrow Zc)$ (%)	0.118	0.071 – 0.222	0.049 – 0.484	0.049

## 9 Summary

A search for the associated production of a top quark and a Z boson, as predicted by the standard model was performed with the full CMS data set collected at 8 TeV, corresponding to an integrated luminosity of  $19.7 \text{ fb}^{-1}$ . An events yield compatible with tZq standard model production is observed, and the corresponding cross section is measured to be  $10_{-7}^{+8} \text{ fb}$ . The corresponding observed and expected significances are 2.4 and 1.8 standard deviations, respectively. A search for tZ production produced via flavour-changing neutral current interactions, either in single-top-quark or  $t\bar{t}$  production modes, was also performed. For this search the standard model tZq process was considered as a background. No evidence for tZ-FCNC interactions is found, and limits at 95% confidence level are set on the branching fraction for the decay of a top quark into a Z boson and a quark. The limits are  $\mathcal{B}(t \rightarrow Zu) < 0.022\%$  and  $\mathcal{B}(t \rightarrow Zc) < 0.049\%$ , which improve the previous limits set by the CMS Collaboration by about a factor of two.

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- 41: Also at P.N. Lebedev Physical Institute, Moscow, Russia
- 42: Also at California Institute of Technology, Pasadena, USA

- 43: Also at Budker Institute of Nuclear Physics, Novosibirsk, Russia
- 44: Also at Faculty of Physics, University of Belgrade, Belgrade, Serbia
- 45: Also at INFN Sezione di Roma; Università di Roma, Roma, Italy
- 46: Also at University of Belgrade, Faculty of Physics and Vinca Institute of Nuclear Sciences, Belgrade, Serbia
- 47: Also at Scuola Normale e Sezione dell'INFN, Pisa, Italy
- 48: Also at National and Kapodistrian University of Athens, Athens, Greece
- 49: Also at Riga Technical University, Riga, Latvia
- 50: Also at Institute for Theoretical and Experimental Physics, Moscow, Russia
- 51: Also at Albert Einstein Center for Fundamental Physics, Bern, Switzerland
- 52: Also at Adiyaman University, Adiyaman, Turkey
- 53: Also at Istanbul Aydin University, Istanbul, Turkey
- 54: Also at Mersin University, Mersin, Turkey
- 55: Also at Cag University, Mersin, Turkey
- 56: Also at Piri Reis University, Istanbul, Turkey
- 57: Also at Gaziosmanpasa University, Tokat, Turkey
- 58: Also at Ozyegin University, Istanbul, Turkey
- 59: Also at Izmir Institute of Technology, Izmir, Turkey
- 60: Also at Marmara University, Istanbul, Turkey
- 61: Also at Kafkas University, Kars, Turkey
- 62: Also at Istanbul Bilgi University, Istanbul, Turkey
- 63: Also at Yildiz Technical University, Istanbul, Turkey
- 64: Also at Hacettepe University, Ankara, Turkey
- 65: Also at Rutherford Appleton Laboratory, Didcot, United Kingdom
- 66: Also at School of Physics and Astronomy, University of Southampton, Southampton, United Kingdom
- 67: Also at Instituto de Astrofísica de Canarias, La Laguna, Spain
- 68: Also at Utah Valley University, Orem, USA
- 69: Also at Argonne National Laboratory, Argonne, USA
- 70: Also at Erzincan University, Erzincan, Turkey
- 71: Also at Mimar Sinan University, Istanbul, Istanbul, Turkey
- 72: Also at University of Sydney, Sydney, Australia
- 73: Also at Texas A&M University at Qatar, Doha, Qatar
- 74: Also at Kyungpook National University, Daegu, Korea