

1 TITLE PAGE

2 Original Article

3 Title: **A Recombinant fragment of Human Surfactant Protein D suppresses**  
4 **Basophil Activation, Th2 and B cell responses in Grass Pollen-induced**  
5 **Allergic Inflammation**

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31 led the project and wrote manuscript together with IS, ASQ and UK

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49 **At a Glance Commentary:**

50 **Scientific Knowledge on the Subject:**

51 Pulmonary surfactant protein D (SP-D) is a soluble pattern recognition innate  
52 immune molecule involved in the clearance of pathogens, apoptotic/necrotic cells,  
53 and down-regulation of allergic inflammation. A recombinant fragment of human SP-  
54 D (rfhSP-D) has been shown to be involved in pattern recognition of glycoprotein  
55 allergens derived from house dust mite (*Dermatophagoides pteronyssinus*)<sup>1,2</sup> and  
56 *Aspergillus fumigatus* and inhibit histamine release by sensitized basophils *in vitro*.  
57 The effect of rfhSP-D on allergic effector cell and allergen induced T, B cell  
58 responses are yet to be evaluated.

59 **What this study adds to the field:**

60 For the first time, it has been demonstrated that rfhSP-D prevents CD23-mediated  
61 IgE-facilitated allergen presentation by B cells to CD4<sup>+</sup> T cells and inhibits Th2 pro-  
62 allergic responses. rfhSP-D also inhibits IgE production by B cells. Moreover, the  
63 effect of rfhSP-D on allergen-induced basophil activation and histamine release at  
64 single cell level has been reported.

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72 **ABSTRACT:**

73 **Rationale:** rfhSP-D has been shown to suppress house dust mite and *Aspergillus*  
74 *fumigatus*-induced allergic inflammation in murine models.

75 **Objectives:** We sought to elucidate the effect of rfhSP-D on Fc $\epsilon$ RI and CD23-  
76 mediated grass pollen induced allergic inflammatory responses.

77 **Methods:** rfhSP-D, containing homotrimeric neck and lectin domains, was  
78 expressed in *Escherichia coli* BL21 ( $\lambda$ DE3) pLysS. PBMCs and sera were obtained  
79 from grass pollen allergic individuals (n=27). The effect of rfhSP-D on basophil  
80 activation and histamine release was measured by flow cytometry. IgE-facilitated  
81 allergen binding and presentation was assessed by flow cytometry. Th2 cytokines  
82 were measured in cell culture supernatants. The effect of rfhSP-D on IgE production  
83 by B cells when stimulated with CD40L, IL-4 and IL-21 was also determined.

84 **Results:** rfhSP-D bound to *Phleum pratense* in a dose- and calcium-dependent  
85 manner. Allergen-induced basophil responsiveness and histamine release was  
86 inhibited in the presence of rfhSP-D, as measured by CD63, CD203c  
87 (P=0.0086,P=0.04205), and intracellular-labelled DAO (P=0.0003,P=0.0148). The  
88 binding of allergen-IgE complexes to B cells was reduced by 51%(P=0.002) in the  
89 presence of rfhSP-D. This decrease was concomitant with reduction in CD23  
90 expression on B cells (P<0.001). rfhSP-D suppressed allergen-driven CD27<sup>-</sup>  
91 CD4<sup>+</sup>CRTH2<sup>+</sup> T cell proliferation (P<0.01), IL-4 and IL-5 levels (all, P<0.01).  
92 Moreover, rfhSP-D inhibited CD40L/IL-4 and IL-21-mediated IgE production(77.12%;  
93 P=0.02) by B cells.

94 **Conclusion:** For the first time, we show that rfhSP-D inhibited allergen-induced  
95 basophil responses at a single cell, level and suppressed CD23-mediated facilitated

96 allergen presentation and Th2 cytokine production. In addition, rfhSP-D inhibited IgE  
97 synthesis by B cells, which is also a novel observation.

98 Keywords: allergy; innate immunity; recombinant fragment of human Surfactant  
99 protein D, allergic rhinitis, T cells, Facilitated Allergen Presentation, IgE synthesis

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122 **Introduction**

123 Lung surfactant protein D (SP-D) is a soluble pattern recognition innate immune  
124 molecule involved in the clearance of pathogens, apoptotic/necrotic cells, and down-  
125 regulation of allergic inflammation.<sup>1, 2</sup> A recombinant form of truncated human SP-D,  
126 (rfhSP-D) comprising homotrimeric neck and C-type lectin or carbohydrate  
127 recognition domain (CRD), has been shown to be as effective as the full-length  
128 molecule in suppressing allergic parameters<sup>3, 4</sup> in a murine model of allergic  
129 hypersensitivity to *Aspergillus fumigatus* allergens.<sup>5, 6</sup> rfhSP-D can recognise  
130 glycoprotein allergens<sup>7</sup> and inhibit histamine release by sensitized basophils *in vitro*  
131 in response to house dust mite (*Dermatophagoides pteronyssinus*)<sup>6, 8, 9</sup> and  
132 *Aspergillus fumigatus* allergens. Madan et al demonstrated that therapeutic  
133 application of rfhSP-D caused a marked reduction in specific IgE and IgG1 levels,  
134 along with peripheral blood eosinophilia and pulmonary infiltration in BALB/c murine  
135 model of allergic bronchopulmonary aspergillosis (ABPA).<sup>10</sup> In addition, rfhSP-D  
136 treatment was also found to reduce the splenic levels of pro-allergic Th2 cytokines  
137 (IL-4 and IL-5) and increase the protective Th1 cytokine level (IFN- $\gamma$ ). Although  
138 rfhSP-D has been shown to modulate IgE driven allergic inflammation, the exact  
139 mechanisms by which it exerts its immunomodulatory effects remain unclear.

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141 We, therefore, tested if rfhSP-D binds to grass pollen allergen (*P. pratense*), inhibits  
142 histamine release and activation of basophils derived from grass pollen allergic  
143 individuals. We further hypothesized that rfhSP-D can inhibit IgE-facilitated antigen  
144 presentation (FAP), which is dependent on the interaction of allergen-IgE complexes  
145 with low-affinity IgE receptor (Fc $\epsilon$ RII or CD23) on the surface of B cells. Moreover,  
146 the effect of rfhSP-D on Th2 cells and IgE synthesis from B cells was examined.

147 Some of the results of this study have been previously reported in the form of  
148 abstract.<sup>11</sup>

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## 173 **METHODS**

### 174 ***Subjects***

175 Untreated well-characterized grass pollen allergic patients (SAR) (n=27) were  
176 recruited (Table I). All subjects were selected on the basis of moderate-to-severe  
177 seasonal allergic rhinitis and poor symptom control in previous years despite regular  
178 medication use. Subjects had a positive skin prick test response (wheal >5 mm) to  
179 *P.pratense* grass pollen extract (ALK Soluprick; ALK-Abello, Hørsholm, Denmark).  
180 The study protocol was approved by the Royal Brompton and Harefield Hospitals  
181 NHS Trust Ethics Committee. All subjects provided written informed consent.

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### 183 ***Expression and purification of rfhSP-D***

184 The recombinant fragment of human surfactant protein D molecule (rfhSP-D) was  
185 expressed in *E. coli*. Details of the methods are in this article's Online Repository.  
186 The endotoxin level in the protein preparation was determined by QCL-1000 Limulus  
187 amoebocyte lysate system (Allendale, Lonza, USA). The assay was linear over a  
188 range of 0.1–1.0 EU/mL (10 EU=1ng of endotoxin), and thus found to be under  
189 1EU/mL of rfhSP-D. BS<sup>3</sup> [Bis (sulfosuccinimidyl) suberate] cross-linking assay  
190 (Thermo Scientific, Pierce, UK) was used to confirm the trimerization of rfhSP-D.

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### 192 ***Binding of rfhSP-D to P. pratense allergen***

193 A 96-well maxisorp microtitre plate (Nunc, Thermo Fisher, Loughborough, UK) was  
194 coated overnight with 5 µg/mL Phlp allergen and left overnight at 4°C. Plate was  
195 blocked with 1% w/v BSA in PBS for 2 hrs at room temperature. The microtitre plate  
196 was then washed 3 times with PBS + 0.05% Tween 20, and biotinylated rfhSP-D or  
197 BSA (control) was added at varying concentrations in 5 mM CaCl<sub>2</sub>. Following the  
198 addition of rfhSP-D, the plate was further incubated for 2 hrs at 37°C and then



200 washed as before. HRP conjugated Streptavidin at 1 in 1000 dilution was added to  
201 each well and further incubated for 1 hr at 37°C, followed by an additional washing  
202 step. Binding of rfhSP-D to Phlp allergen was detected by addition of o-  
203 Pheneylenediamine (OPD) substrate (Sigma-Aldrich, Dorset, UK) and color was  
204 read at 415nm. Far western blotting was used to detect the binding of rfhSP-D with  
205 *P. pratense* extract. Details of the methods are described in the Methods section in  
206 this article's Online Repository.

### 207 ***Ex-vivo basophil reactivity and histamine release assay***

208 The effects of rfhSP-D on allergen-induced basophil responsiveness and histamine  
209 release at a single cell level was measured by flow cytometry.<sup>12</sup> Details of the  
210 methods are described under the Methods section in this article's Online Repository.  
211 Briefly, 0, 33 and 100 ng/mL of Phlp was added to heparinized whole blood obtained  
212 from grass pollen allergic patients with or without rfhSP-D (5 µg/mL) and incubated  
213 for 15 min in water bath at 37°C. Cells were then immunostained with anti-human  
214 CD3, CD303, CD294 (CRTh2), CD203c, CD63 and CD107a (all BD Biosciences,  
215 San Jose, CA). Erythrocytes from whole blood were lysed with BD lysing solution  
216 (BD Biosciences, San Jose, CA) for 10 min at room temperature in the dark;  
217 samples were centrifuged (5 min, 200 g) and the supernatants discarded. Cells were  
218 fixed, and then permeabilized with BD Cytofix/Cytoperm™ (BD Biosciences, San  
219 Jose, CA). Fluorochrome-labelled DAO (BD Biosciences, San Jose, CA) was added  
220 and the cells were incubated for 30 min at 4°C. Cells were washed and re-  
221 suspended in 450 µL ice-cold fixative solution (BD Biosciences, San Jose, CA) prior  
222 to acquisition on the BD FACSCanto II flow cytometer. Analyses were performed  
223 using BD FACSDiva V6.1.1 software (BD Biosciences, San Jose, CA).

224 ***IgE-Facilitated Allergen Binding assay***

225 IgE-facilitated allergen binding to B cells was performed as previously described.<sup>13,14</sup>  
226 CD23 enriched B cells were treated with 5 µg/mL rfhSP-D before or after allergen-  
227 IgE complexes formation in presence of 5 mM CaCl<sub>2</sub> for 1 hr. Indicator serum (20  
228 µL) containing high concentration of grass pollen (*P. pratense*) specific IgE>100KU/L  
229 was pre-incubated with 5 µL allergen (5 µg/mL) at 37°C for 1 hr to form allergen-IgE  
230 complexes. Next, 1×10<sup>5</sup> EBV-transformed B cells (5 µL) were then added to the  
231 allergen-IgE mixture and incubated for further 1 hr at 4°C. Cells were then washed  
232 and allergen-IgE complexes bound to B cells were detected using a polyclonal  
233 human anti-IgE-labelled antibody (Miltenyi Biotech, Woking, UK). Cells were  
234 acquired by flow cytometry (BD FACS Canto II flow cytometer, BD Biosciences, San  
235 Jose, CA) (See online supplement for further details).

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237 ***IgE- Facilitated Antigen Presentation (FAP)***

238 CD4<sup>+</sup>CD25<sup>-</sup> T cells and B cells were enriched by magnetic isolation from  
239 peripheral blood mononuclear cells (PBMCs) obtained from grass pollen allergic  
240 individuals. Sera from grass allergic subjects (20 µL) were pre-incubated with 0,  
241 0.1, 1 and 10 µg/mL allergen (5 µL) at 37°C for 1 hr to form allergen-IgE  
242 complexes, which were then added to autologous B cells (irradiated at 6000  
243 rads) and incubated for 18 hrs prior to co-culture with CD4<sup>+</sup>CD25<sup>-</sup> T cells for 6  
244 days. T cell proliferation was measured by tritiated thymidine (<sup>3</sup>H) incorporation  
245 and cytokine levels were measured in the cell culture supernatants using a  
246 commercially available MagPix Milliplex kit (EMD Millipore, Heartfordshire, UK)  
247 (see the Methods section of the Online Repository). Furthermore, PBMCs  
248 obtained were immunostained with Cell Trace violet dye and incubated with  
249 grass pollen allergen (0, 1, 5, 15 µg/mL) for 7 days in presence or absence of

250 rfhSP-D (5 µg/mL or 10 µg/mL) and BSA (10 µg/mL) at 37°C (5% v/v CO<sub>2</sub>). Cells  
251 were surface-stained with anti-human CD4, CD25, CD27 and CD294 (CRTH2)  
252 antibodies and intracellularly stained with anti-IL-4, IL-5 and IFN-γ antibodies  
253 (BD Biosciences, San Jose, CA).

#### 254 ***IgE secretion assay***

255 The immunomodulatory effects of rfhSP-D on IgE synthesis by B cell was  
256 assessed using PBMCs obtained from well-characterized grass pollen allergic  
257 individuals (n=10). PBMCs were stimulated with recombinant *P. pratense* (5  
258 µg/mL) and IL-4 (100 ng/mL) (R&D systems, Abingdon, U.K). CD40L (100  
259 ng/mL) (R&D systems, Abingdon, U.K), and IL-21 (100 ng/mL) (Prospec-Tany,  
260 USA) in the presence of rfhSP-D at 5 µg/mL and BSA at 5 µg/mL for 14 days at  
261 37°C. Total IgE levels were quantified in the cell culture supernatants using  
262 ImmunoCAP® Total IgE Fluoro-enzyme immunoassay.

#### 263 ***Statistical analysis***

264 Within group comparisons were performed using the Wilcoxon signed-rank test.  
265 Between-group comparisons were performed using the Mann-Whitney U test.  
266 Correlation coefficients were obtained by Spearman's method. The statistical  
267 software package used was GraphPad Prism, version 6 (GraphPad Software Inc.,  
268 San Diego California, USA); P-values below 0.05 were considered significant.

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275 **Results:**

276 ***rfhSP-D binds to Phleum pratense allergen in a calcium and carbohydrate-***  
277 ***dependent manner***

278 Affinity purified rfhSP-D containing homotrimeric neck and CRD regions appeared as  
279 a ~20 kDa band on a 15% SDS-PAGE (Figure 1A). A dose-dependent BS<sup>3</sup> cross-  
280 linking effect on rfhSP-D trimerization was observed; BS<sup>3</sup> induced cross-linking at the  
281 concentration of 1mM confirming trimerization of rfhSP-D in solution (Figure 1B).  
282 rfhSP-D was shown to bind to three grass pollen proteins around the region of 50, 40  
283 and 38 kDa via far western blot (Figure 1C). Optimal binding occurred at the 5 µg/mL  
284 concentration of rfhSP-D (Figure 1D). Moreover, this binding of rfhSP-D to *P.*  
285 *pratense* allergen was calcium- and partly carbohydrate-dependent since the  
286 interaction was inhibited in the presence of 5 mM EDTA (P=0.002) and 5 mM  
287 maltose (Figure 1E).

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289 ***rfhSP-D inhibits FcεRI-mediated basophil activation and histamine release***

290 *Ex vivo* grass pollen-induced basophil responsiveness, as measured by the expression  
291 of CD63 and CD203c<sup>bright</sup> on CRTH2<sup>+</sup> basophils, was inhibited by rfhSP-D (5 µg/mL)  
292 (Figure 2A). At the optimal allergen (*P. pratense*) concentration (33 ng/mL, 100 ng/mL),  
293 the proportion of CD63<sup>+</sup>CRTH2<sup>+</sup> and CD203c<sup>bright</sup>CRTH2<sup>+</sup> basophils was significantly  
294 higher in absence of rfhSP-D and decreased in presence of rfhSP-D (5 µg/mL)  
295 (P=0.0086, P=0.0205) (Figure 2A). Fluorochrome labelled-DAO was used to detect  
296 intracellular histamine in presence and absence of rfhSP-D following basophils *ex-vivo*  
297 allergen stimulation. The proportions of DAO<sup>-</sup>CD63<sup>+</sup> and DAO<sup>-</sup>CD203c<sup>bright</sup> basophils  
298 were significantly increased following *ex-vivo* grass pollen allergen stimulation in a  
299 dose-dependent manner. This increase in the proportions of DAO<sup>-</sup>CD63<sup>+</sup> and DAO<sup>-</sup>

300 CD203c<sup>bright</sup> basophils was inhibited when basophils were exposed to rfhSP-D (5  
301 µg/mL) at 33 and 100 ng/mL (Figure 2B, (P=0.0003, P=0.0148)). The proportions of  
302 DAO<sup>-</sup>CD63<sup>+</sup> and DAO<sup>-</sup>CD203c<sup>bright</sup> basophils were lower following IgE mediated cross-  
303 linking of FcεRI on basophils (Figure 2C and 2D) in presence of rfhSP-D (5 µg/mL)  
304 (P=0.0262, P=0.034).

305

### 306 ***rfhSP-D inhibits binding of allergen-IgE complexes to B-cells***

307 Using an *in vitro* functional assay of IgE-facilitated antigen presentation (IgE-  
308 FAP),<sup>13,15</sup> we assessed whether rfhSP-D would inhibit co-operative binding of  
309 allergen-IgE complexes to CD23 on the surface of B cells (Figure 3A and 3C).  
310 Allergen-IgE complexes binding to B cells were decreased in a dose-dependent  
311 manner in the presence of rfhSP-D and was optimal at 10 µg/mL (P=0.0001) (Figure  
312 3B). This reduction in allergen-IgE binding to B cells coincided with the reduction of  
313 CD23 expression on B cells when cells were pre-treated with rfhSP-D (spearman  
314 rank  $r=-0.383$ ;  $P<0.001$ ) (Figure 3D). However, rfhSP-D did not have an effect on  
315 preformed allergen-IgE complexes binding to CD23 on the surface of B cells (see  
316 Online Repository Figure E2, Online Repository methods).

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### 318 ***CD23-mediated and IgE-facilitated allergen presentation by B cells to T cells is*** 319 ***inhibited by rfhSPD***

320 To determine whether rfhSP-D could inhibit IgE-facilitated allergen presentation and  
321 CD4<sup>+</sup>CD25<sup>-</sup> T effector cell activation, autologous B cells were pre-incubated with 0,  
322 0.1, 1 or 10 µg/mL of grass pollen allergen, IgE-containing serum and rfhSP-D (0, 5  
323 or 10 µg/mL). CD4<sup>+</sup>CD25<sup>-</sup> T effector cells proliferated in an allergen dose-dependent  
324 manner (Figure 3E). rfhSP-D inhibited T effector cell proliferation at 5 (P=0.0002)

325 and 10 ng/mL (P=0.007). Similarly, IL-4<sup>+</sup>CD4<sup>+</sup>CD25<sup>-</sup> (P=0.007, P=0.002) and IL-  
326 5<sup>+</sup>CD4<sup>+</sup>CD25<sup>-</sup> (P=0.0033, P=0.0003) T cells proliferated in an allergen dose-  
327 dependent manner (Figure 3F and 3G).

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329 ***rfhSP-D inhibits grass pollen-driven Th2 cell responses and promotes Th1***  
330 ***responses***

331 PBMCs, obtained from grass pollen allergic individuals with seasonal allergic rhinitis,  
332 were stimulated with *P. pratense* extract (0, 1, 5 and 15 µg/mL) and exposed to  
333 varying concentrations of rfhSP-D (0, 5 and 10 µg/mL) for 6 days. rfhSP-D inhibited  
334 allergen-driven CD4<sup>+</sup>CD27<sup>-</sup>CRTH2<sup>+</sup> T cell proliferation in a dose-dependent manner  
335 when cells were stimulated with 1 µg/mL (5 µg/mL rfhSP-D , P<0.0006; 10 µg/mL  
336 rfhSP-D , P<0.0006), 5 µg/mL (5 µg/mL rfhSP-D , ns; 10 µg/mL rfhSP-D , P<0.048)  
337 and 15 µg/mL (5 µg/mL rfhSP-D , P<0.0006; 10 µg/mL rfhSP-D , P<0.0006) of *P.*  
338 *pratense* (Figure 4A and Table E1 in this article online repository). In addition to T  
339 cell proliferation, an allergen dose-dependent increase in the proportion of IL-4 and  
340 IL-5 producing CD4<sup>+</sup>CD27<sup>-</sup>CRTH2<sup>+</sup> T cells was also observed. IL-4<sup>+</sup> and IL-5<sup>+</sup>  
341 CD4<sup>+</sup>CD27<sup>-</sup>CRTH2<sup>+</sup> T cells were significantly increased at 1 µg/mL (P<0.007), 5  
342 µg/mL (P<0.007) and 15 µg/mL (P=0.007) when compared to 0 µg/mL of *P.*  
343 *pratense*. This increase in the proportion of IL-4<sup>+</sup> and IL-5<sup>+</sup> CD4<sup>+</sup>CD27<sup>-</sup>CRTH2<sup>+</sup> T  
344 cells was significantly reduced by rfhSP-D in a dose-dependent manner (Figure 4B  
345 and 4C). Conversely, rfhSP-D induced allergen-driven IFN-γ<sup>+</sup>CD4<sup>+</sup>CD27<sup>-</sup>CRTH2<sup>+</sup> T  
346 cell proliferation when stimulated with 1 µg/mL (5 µg/mL rfhSP-D , P=0.031; 10  
347 µg/mL rfhSP-D , P<0.007), 5 µg/mL (5 µg/mL rfhSP-D , P<0.007; 10 µg/mL rfhSP-D ,  
348 P<0.007) and 15 µg/mL (5µg/mL rfhSP-D , P<0.007; 10 µg/mL rfhSP-D , P<0.007) of  
349 *P. pratense* (Figure 4D).

350 ***rfhSP-D modulates P.pratense-driven Th2 responses***

351 We also studied the effect of rfhSP-D on *P. pratense* driven T cell proliferation via  
352 <sup>3</sup>H-Thymidine incorporation assay. Pre-treatment of PBMCs with rfhSP-D resulted in  
353 ~94% (P<0.0001) and 93% (P<0.0001) suppression of allergen-driven T cells  
354 proliferation when 5 µg/mL and 10 µg/mL rfhSP-D was used (see Figure E1 in this  
355 article online repository). The ability of rfhSP-D to inhibit allergen driven pro-allergic  
356 Th2 cytokine responses was also assessed using multiplex cytokine analysis. rfhSP-  
357 D (5 µg/mL) suppressed IL-4 (13.41%; P=0.0019), IL-5 (99.31%; P<0.0001), IL-9  
358 (99.82%; P<0.0001), IL-13 (99.48%; P<0.0001), IL-6 (64.70%; P=0.0286) and IL-17a  
359 (89.74 %; P=0.0286) levels (Figure 4E). rfhSP-D also suppressed Eotaxin (36.33%;  
360 P<0.0001) and MDC (93.78%; P<0.0001) levels, whereas no effect of rfhSP-D was  
361 observed on the secretion levels of CXCL8 (P=0.7808) and RANTES (P=0.2150)  
362 (Figure 4F).

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364 ***Effect of rfhSP-D on IgE production by B cells***

365 The immunomodulatory effect of rfhSP-D on IgE synthesis was determined by  
366 stimulating PBMCs obtained from allergic individuals with grass pollen allergen in the  
367 presence of CD40L, IL-4 and IL-21. CD40L and IL-4 induced IgE production, while  
368 IL-21 further enhanced IgE synthesis from B cells in PBMCs cultures (60.97%:  
369 P=0.3104). Remarkably, rfhSP-D inhibited CD40L/IL-4- and IL-21-induced total IgE  
370 production (77.12%; P=0.0195) (Figure 5).

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375 **Discussion**

376 In this study, we have shown, for the first time, that a recombinant fragment of  
377 human surfactant protein D (rfhSP-D) inhibits grass pollen allergen-induced basophil  
378 activation and suppresses histamine release at a single cell level. Furthermore,  
379 rfhSP-D prevents CD23-mediated IgE-facilitated allergen presentation by B cells to  
380 CD4<sup>+</sup> T cells and inhibits pro-allergic Th2 immune responses. Furthermore, rfhSP-D  
381 inhibits IgE production by B cells *in vitro* when stimulated with CD40L, IL-4 and IL-  
382 21.

383  
384 rfhSP-D has been shown to have therapeutic effects in murine models of allergy.<sup>6</sup>  
385 These include lowering of IgE levels, suppression of peripheral and pulmonary  
386 eosinophilia, and Th2 to Th1 cytokine polarization.<sup>6, 16</sup> However, this effect has only  
387 been shown in mice but not in humans.<sup>6</sup> rfhSP-D has previously been shown to have  
388 various immunomodulatory properties.<sup>2, 4, 16, 17, 18</sup> However, the underlying  
389 mechanisms by which rfhSP-D suppresses allergic inflammatory response have not  
390 been fully determined. *In vitro* studies showed that rfhSP-D bound to *P. pratense*  
391 allergen in a dose-, calcium- and carbohydrate-dependent manner. Far western blot  
392 revealed that rfhSP-D bound three proteins in the *P. pratense* extract which were  
393 ~50kDa, 40kDa and 38kDa in size. The interaction of rfhSP-D with the carbohydrate  
394 residues on the *P. pratense* allergens via CRDs is consistent with the previous  
395 reports.<sup>19, 20, 21</sup>

396  
397 rfhSP-D was able to inhibit *ex vivo* allergen-induced basophil activation, as  
398 measured by CD63 and CD203c expression. We demonstrated suppression of  
399 histamine release at the single cell level, using a novel method which utilizes  
400 fluorochrome-labelled DAO.<sup>12</sup> In the mid-1990s, an enzyme-affinity-gold method



401 based on the affinity of diamine oxidase (DAO) for its substrate, histamine, was used  
402 to localize intracellular histamine in mast cells.<sup>22</sup> Subsequently, a DAO-colloidal  
403 gold-based technique has also been used to localize histamine within basophils.<sup>23</sup>  
404 We used multi-parametric gating strategy to measure intracellularly labelled DAO at  
405 the single-cell level. This multi-parametric combined labeling of DAO and CD  
406 markers provides not only activation status at the single-cell level but also functional,  
407 allergen-specific basophil read-out. In a novel approach, we combined detection of  
408 two basophil surface markers as well as intracellular DAO. We show here that rfhSP-  
409 D inhibits allergen-induced basophil activation and suppresses histamine release.

410

411 The immunomodulatory effect of rfhSP-D on IgE-facilitated antigen presentation was  
412 also examined, using an IgE-Facilitated Allergen Binding (IgE-FAB) assay. This  
413 assay was used to examine allergen-IgE complexes binding to CD23 enriched B  
414 cells that were pre-treated with 5 µg/mL of rfhSP-D. Sera obtained from well-  
415 characterized grass pollen allergic patients with seasonal allergic rhinitis were used  
416 as a source of specific IgE.<sup>24</sup> This assay represents an *in vitro* model of facilitated  
417 allergen presentation, where allergen-IgE complexes are incubated with a B cell line.  
418 The complexes, bound to CD23 on the surface of B cells, are then detected by flow  
419 cytometry. Although the read-out from this assay does not examine directly the  
420 antigen presenting capacity of B cells to T cells, this assay, however, has been  
421 shown to serve as a representative of this process.<sup>25</sup> rfhSP-D suppressed the co-  
422 operative binding of allergen-IgE complexes to B cells by up to 51% when CD23-  
423 enriched B cells were pre-treated with 10 µg/mL rfhSP-D. This is an interesting and  
424 novel finding since it has been previously shown that serum level of soluble CD23  
425 (sCD23) correlates with allergic seasonal symptoms.<sup>26, 32</sup> Additional studies also  
426 suggest the involvement of CD23 in IgE regulation.<sup>27</sup> Moreover, when preformed

427 complexes were exposed to rfhSP-D, the binding of allergen-IgE complexes to CD23  
428 on the surface of B cells was unaffected. This finding suggests that rfhSP-D does  
429 block IgE sites that are required for binding to CD23. This is, therefore, the first  
430 study, which establishes a link between rfhSP-D and CD23, suggesting that an  
431 interference with facilitated antigen presentation by rfhSP-D is dependent on the  
432 interaction between rfhSP-D and CD23 (FcεRII). A reduction in CD23 expression will  
433 inhibit facilitated antigen presentation, and hence, allergen-induced Th2 cytokine  
434 response. This interaction between rfhSP-D and CD23 requires further  
435 characterization in order to better understand how rfhSP-D can play a role in IgE  
436 regulation. It appears that rfhSP-D may prevent the worsening of allergic symptoms  
437 occurring through CD23/IgE-mediated antigen presentation by B-cells.<sup>28</sup>

438

439 A link between an increased allergen specific IgE level found in the serum of atopic  
440 patients and a pronounced allergen-driven T cell proliferation has also been  
441 established *in vitro*.<sup>29</sup> Thus, we examined the effect of rfhSP-D on the antigen  
442 presentation and proliferation of CD4<sup>+</sup> T cells since the results from IgE FAB assay  
443 can correlate with reduction in T lymphocyte proliferation.<sup>27</sup> We compared the  
444 proliferation of untreated *P. pratense* stimulated PBMCs with those pre-treated with  
445 rfhSP-D prior to allergen stimulation. We used PBMCs obtained from 10 well-  
446 characterized atopic patients who were highly sensitized to *P. pratense* allergen.  
447 Pre-treatment of PBMCs with rfhSP-D showed suppression of allergen induced T-  
448 cell proliferation at 5 as well as 10 µg/mL concentration of rfhSP-D. The anti-  
449 proliferative effect of rfhSP-D on *P. pratense*-stimulated PBMCs in this study further  
450 conforms to an earlier study,<sup>9, 30</sup> where the inhibitory effect of rfhSP-D was shown on  
451 Derp allergen-stimulated lymphocyte proliferation.

452

453 The ability of rfhSP-D to inhibit allergen driven pro-inflammatory Th2 cytokine and  
454 chemokine production was also examined. rfhSP-D inhibited the production of pro-  
455 inflammatory Th2 cytokines such as IL-4, IL-5, IL-9 and IL-13, in addition to  
456 suppressing IL-6 and IL-17a. The effect of rfhSP-D on allergy-related chemokines  
457 was also examined, since chemokines facilitate infiltration at the site of  
458 inflammation.<sup>31</sup> rfhSP-D was found to suppress the production of Eotaxin and MDC;  
459 however, no effect of rfhSP-D was observed in the case of CXCL8 and RANTES  
460 levels whereas IFN- $\gamma$  production was increased in presence of both 5  $\mu$ g/mL and 10  
461  $\mu$ g/mL of rfhSP-D. Thus, rfhSP-D caused inhibition of chemokine and up-regulation  
462 of Th1 cytokine production, which would lead to decreased cellular infiltration.

463

464 A novel function of rfhSP-D being reported here is its clear suppressive effect on IgE  
465 synthesis. This was shown by co-incubating the PBMCs isolated from well-  
466 characterized atopic individuals with rfhSP-D for 14 days in the presence of B-cell  
467 switch factors, IL-4, CD40L along with IL-21. IL-21 was used in this assay since it  
468 has been previously shown to enhance IL-4 mediated IgE production by isolated  
469 human B cells.<sup>22</sup> This data lends further support to our hypothesis that rfhSP-D can  
470 modulate allergic inflammation by its ability to suppress IgE biosynthesis. The  
471 mechanism of these effects needs to be further explored by assessing whether  
472 rfhSP-D can interact with CD21 as well as membrane-bound IgE.

473

474 In summary, we have shown that rfhSP-D can interfere with IgE-facilitated antigen  
475 presentation, which represents a novel mechanism by which rfhSP-D suppresses  
476 pro-inflammatory Th2 lymphocyte-driven allergic inflammation and IgE production,  
477 and enhances secretion of Th1 cytokine production. However, further clinical studies

478 are required to establish the role of rfhSP-D as a novel immunomodulator for  
479 suppressing allergic inflammatory response.

480

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482 research funds.

483

484 **Table I. Subject characteristics**

485

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	Seasonal Allergic Rhinitis (n=27)
Gender (M:F)	15:12
Age (mean, range)	29 (23:64)
Allergen Grass Specific IgE (mean, SD)	33.9 ± 28.7
Total IgE (mean, SD)	387.1 ± 362.1
Allergen skin prick test (mm <sup>2</sup> ) (mean, SD)	7 (3.95)

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487 Distribution of age, gender, specific IgE, skin prick test.

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495 **Figure Legends:**

496 **Figure 1. Purification of recombinant fragment of human SP-D (rfhSP-D) and**  
497 **characterization of its binding to *P. pratense* extract** (A) 15% v/v SDS-PAGE  
498 showing purified rfhSP-D protein at ~20 kDa. (B) Trimerization of rfhSP-D was  
499 achieved at 1mM concentration of BS<sup>3</sup> (Bis[sulfosuccinimidyl] suberate) cross-linking  
500 agent. (C) Far western blot showing that rfhSP-D binds to three *P. pratense* proteins  
501 (50kDa, 40kDa and 38kDa). Lane (PM): protein marker, lane 2; the *P. pratense*  
502 extract, lane 3; western blot. (D) rfhSP-D binds to *P. pratense* extract. (E) The  
503 binding of rfhSP-D to *P. pratense* extract is calcium and carbohydrate-dependent and  
504 is inhibited by 5mM EDTA and 5mM Maltose. Data are presented as median  
505 (Interquartile ranges) and are representative of 5-7 independent experiments.

506 **Figure 2: rfhSP-D suppresses grass pollen allergen-driven CRTh2<sup>+</sup> basophil**  
507 **activation and histamine release.** Representative FACS plot analysis of  
508 CD63<sup>+</sup>CRTh2<sup>+</sup> basophils inhibited by rfhSP-D. CD63<sup>+</sup>CRTh2<sup>+</sup> and CD203c<sup>bright</sup>  
509 CRTh2<sup>+</sup> basophils from SAR patients (n=9) stimulated with *P. pratense* were  
510 suppressed in presence of rfhSP-D (5 µg/mL). (B) Representative FACS plot  
511 showing histamine suppression (DAO) in presence of rfhSP-D using intracellularly  
512 labelled Diamine Oxidase (DAO). DAO<sup>-</sup>CD63<sup>+</sup> and DAO<sup>-</sup>CD203c<sup>bright</sup> histamine  
513 release was suppressed by 5 µg/mL of rfhSP-D. (C) & (D) rfhSP-D suppressed  
514 basophil activation and histamine release, as measured by intracellularly labelled  
515 DAO stimulated with anti-IgE (100 ng/mL). Data are expressed as medians  
516 (interquartile ranges) \*P<0.05.

517 **Figure 3: Inhibition of IgE-Facilitated allergen binding and presentation by**  
518 **rfhSP-D.** The effect of rfhSP-D on co-operative binding of allergen-IgE complexes to  
519 CD23<sup>+</sup> B cells was assessed on grass pollen allergic (n=10) patients. Sera were

520 incubated with 1  $\mu\text{g}/\text{mL}$  *P. pratense* in presence of rfhSP-D (5 and 10  $\mu\text{g}/\text{mL}$ ) and  
521 BSA (10  $\mu\text{g}/\text{mL}$ ). (A) Representative FACS plot illustrating inhibition of allergen-IgE  
522 complex binding. (B) Dose-dependent inhibition of allergen IgE complex binding to B  
523 cells. (C) Representative FACS plot illustrating inhibition of CD23 binding. (D)  
524 Binding of allergen-IgE complexes onto CD23<sup>+</sup> B cells was reduced by 51%. rfhSP-D  
525 suppresses (E) CD4<sup>+</sup> CD25<sup>-</sup> T cell proliferation; (F) IL-4<sup>+</sup> CD4<sup>+</sup> CD25<sup>-</sup> T cells; and (G)  
526 IL-5<sup>+</sup> CD4<sup>+</sup> CD25<sup>-</sup> T cells (n=9). Data is expressed as medians (interquartile ranges).  
527 Between group analyses Mann-Whitney test was used and within group analysis  
528 Wilcoxon sign rank test was used. \*P<0.05, \*\*P<0.01 and \*\*\*P<0.001.

529 **Figure 4. rfhSP-D suppresses *P. pratense* stimulated T cell proliferative**  
530 **responses.** PBMCs from grass pollen allergic patients (n=9) were stimulated with *P.*  
531 *pratense* (0, 1, 5 and 15  $\mu\text{g}/\text{mL}$ ), and then exposed to 0, 5 or 10  $\mu\text{g}/\text{mL}$  of SP-D and  
532 10  $\mu\text{g}/\text{mL}$  BSA as a control. CD4<sup>+</sup>T cell proliferation was measured by flow cytometry  
533 using Cell Trace violet CD27<sup>-</sup>CD4<sup>+</sup>CRTH2<sup>+</sup> T cells. (A) rfhSP-D suppresses CD4<sup>+</sup>T  
534 cell proliferation in presence of Phlp at 1, 5 or 15 $\mu\text{g}/\text{mL}$  (n=9); (B) IL-4<sup>+</sup>CD4<sup>+</sup> T cells;  
535 and (C) IL-5<sup>+</sup>CD4<sup>+</sup> T cells in a dose-dependent manner. (D) Production of IFN-  
536  $\gamma$ <sup>+</sup>CD4<sup>+</sup> T Cells increased in presence of 5 and 10  $\mu\text{g}/\text{mL}$  rfhSP-D. Data are  
537 expressed as medians (interquartile ranges). Between group analyses Mann-  
538 Whitney U test was used and within group analysis Wilcoxon sign rank test was  
539 used: \*P<0.05, \*\*P <0.001, \*\*\*P <0.0001. (E) and (F) Cell culture supernatant was  
540 collected and secreted cytokines and chemokines were measured. All data are  
541 shown as mean ( $\pm$ SEM). P values were determined by Wilcoxon sign-rank test  
542 where \*P<0.05, \*\*P<0.01 and \*\*\*P<0.001 respectively.

543 **Figure 5. rfhSP-D inhibits IgE production from B cells in PBMCs culture.**  
544 PBMCs obtained from allergic individuals (n=10) were stimulated with grass pollen

545 allergen in the presence of IL-4, CD40L and IL-21 for 14 days. Total IgE production  
546 from B cells was measured in the cell culture supernatants by ImmunoCAP assay in  
547 presence of rfhSP-D and BSA (5 µg/mL). All data are shown as median (Interquartile  
548 range). P values were determined by Wilcoxon sign-rank test where \*\*represent  
549 P<0.01 and \*\*\*P<0.001.

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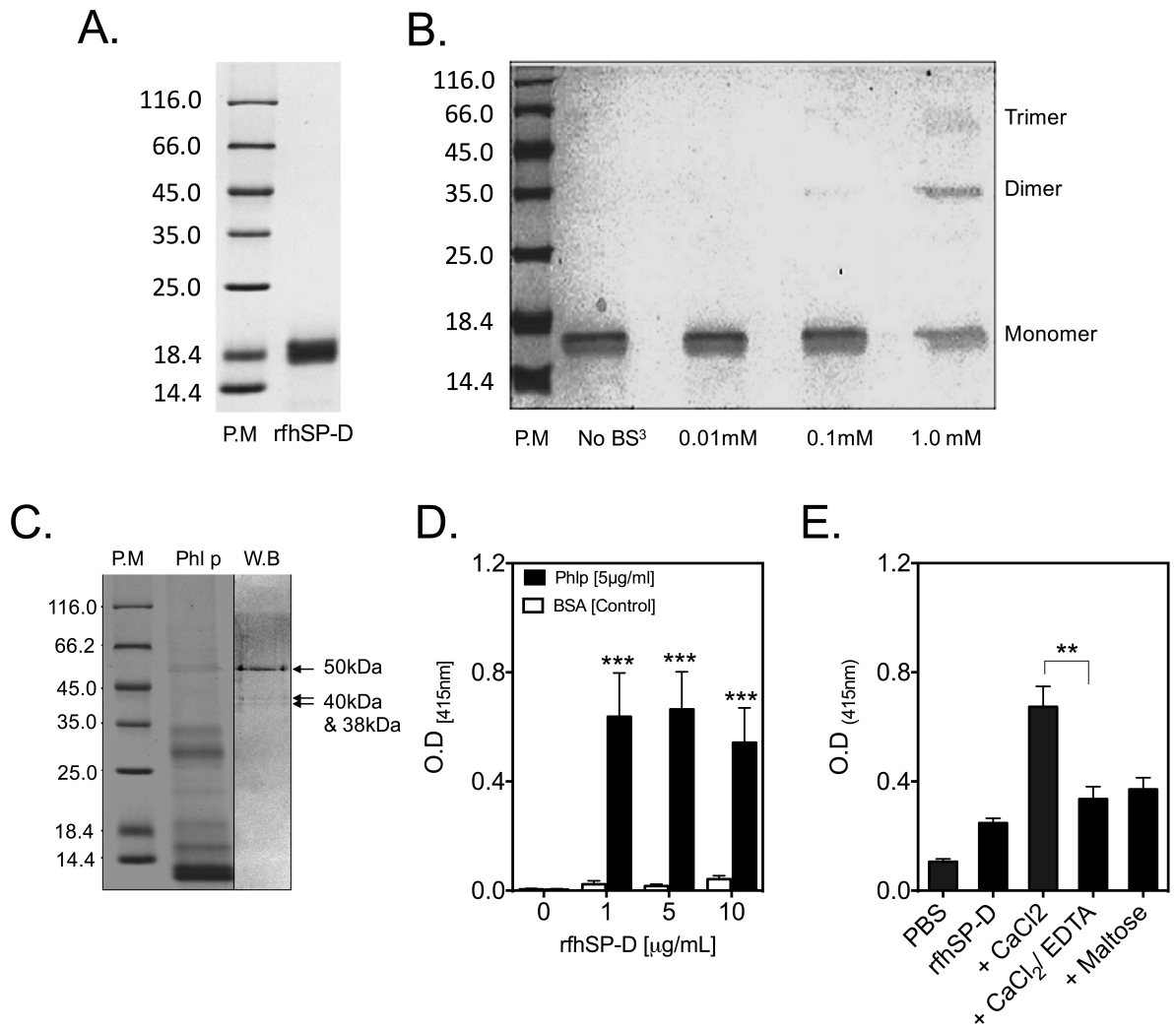
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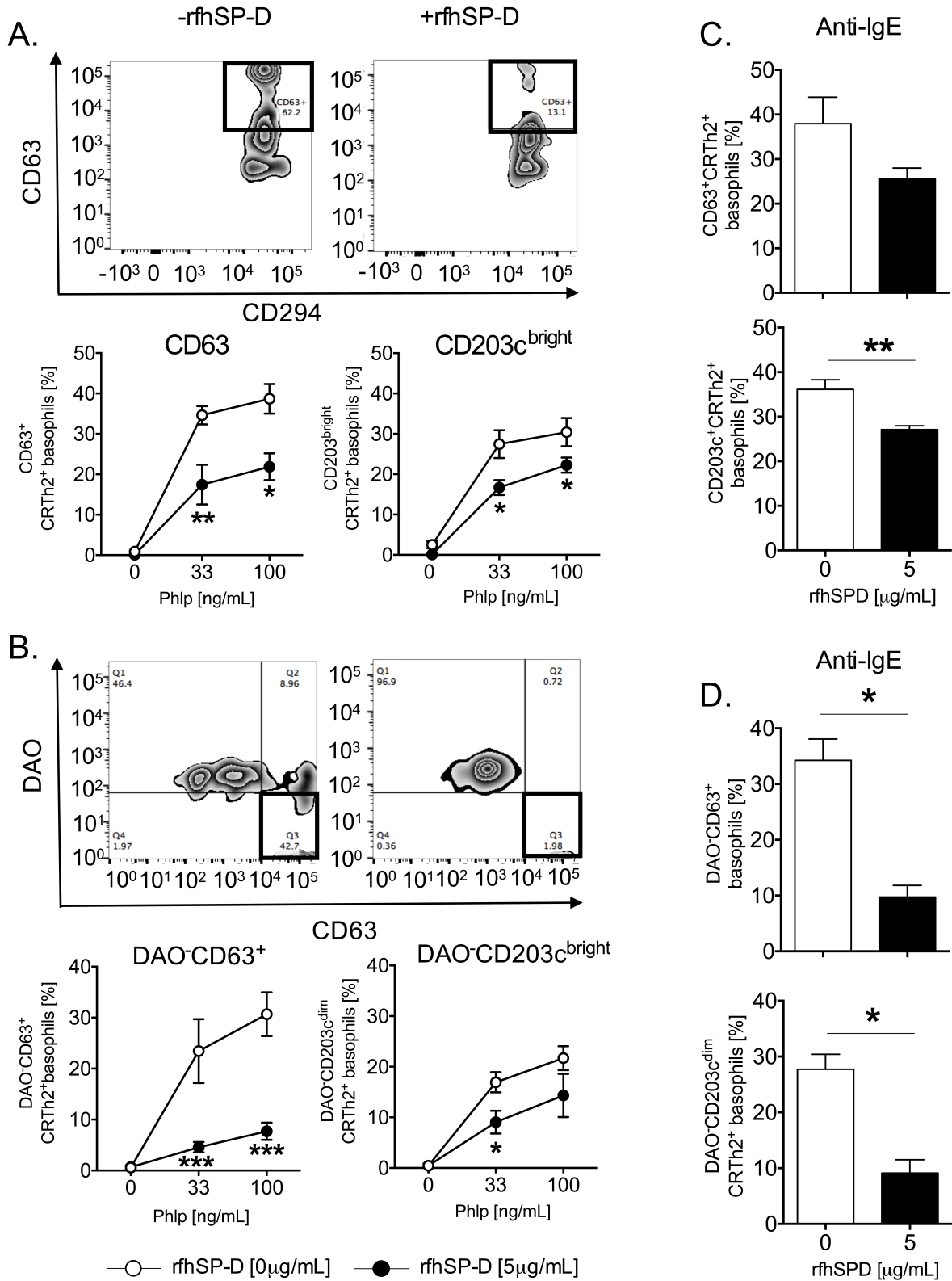
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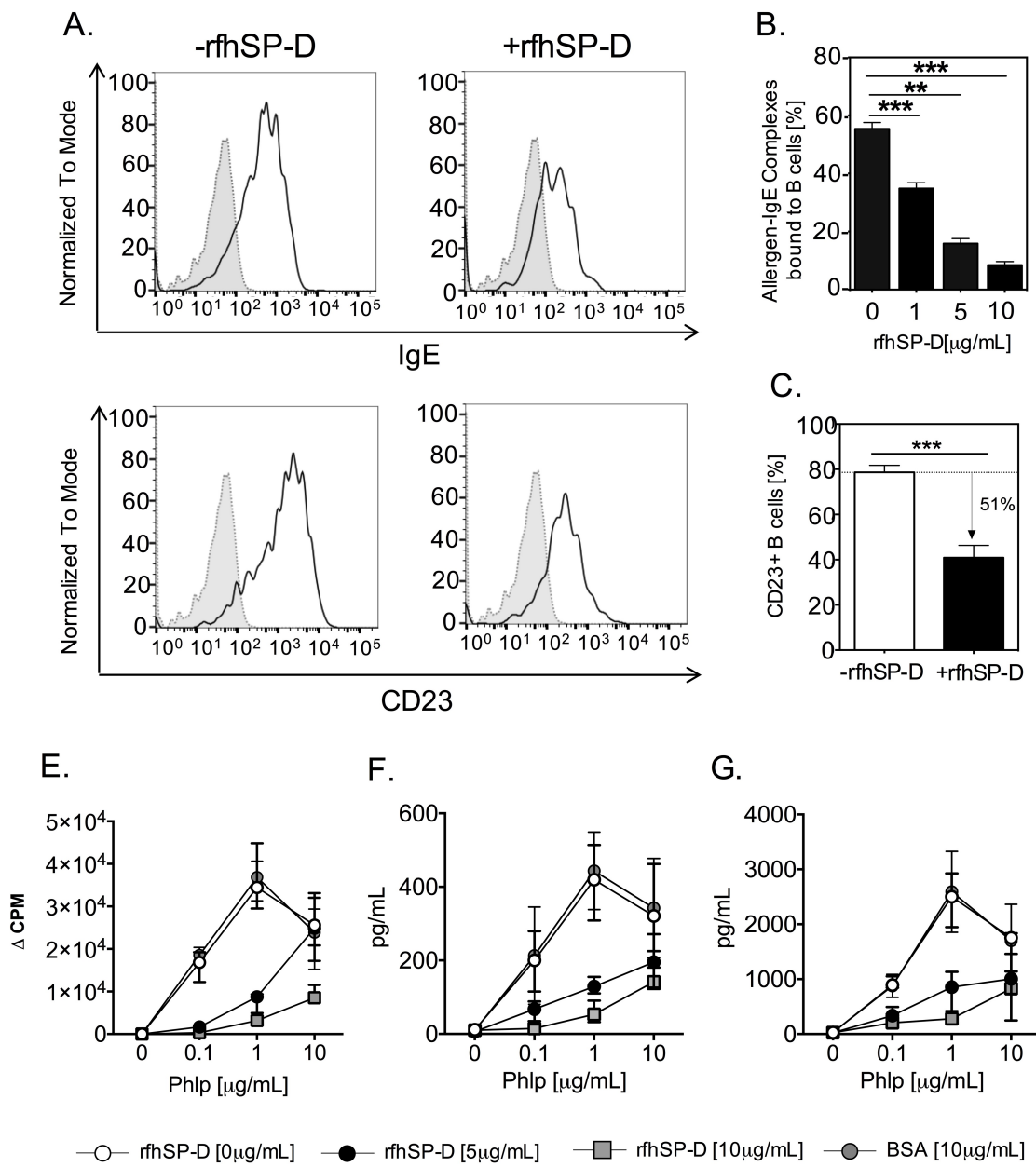
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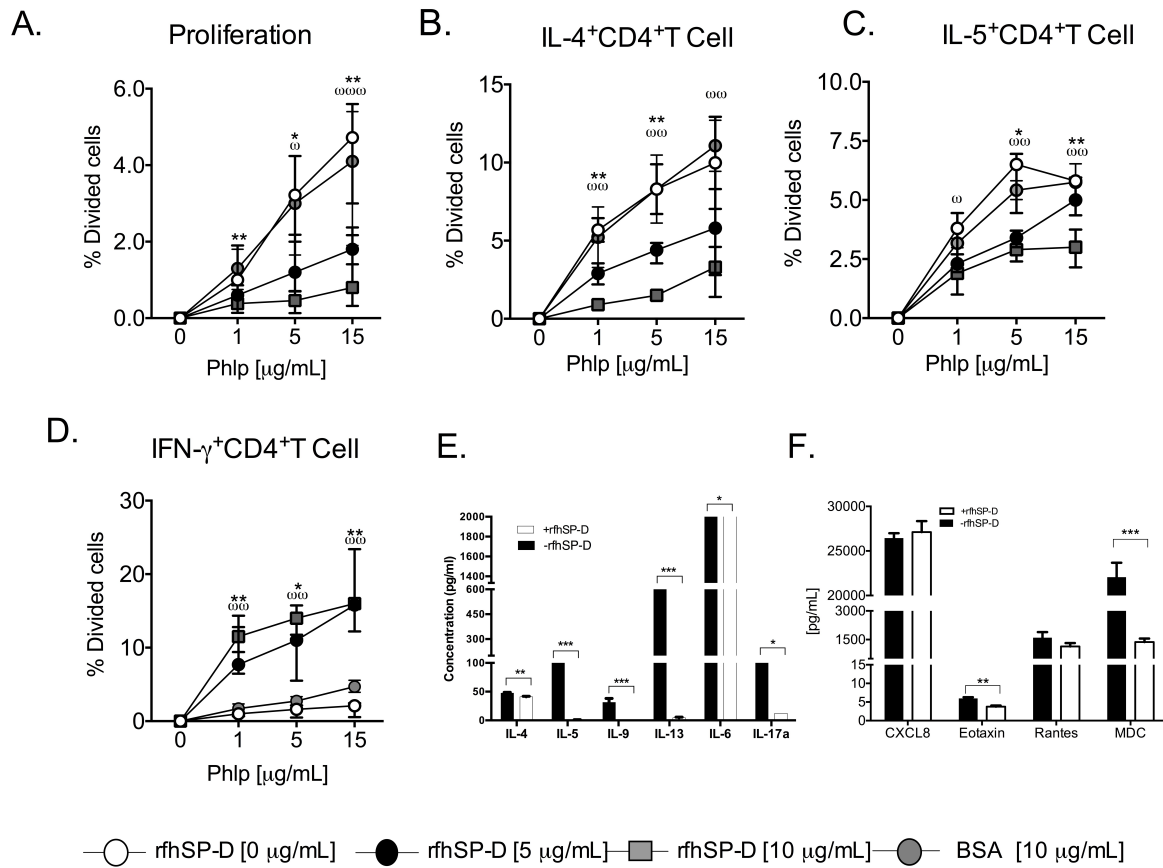
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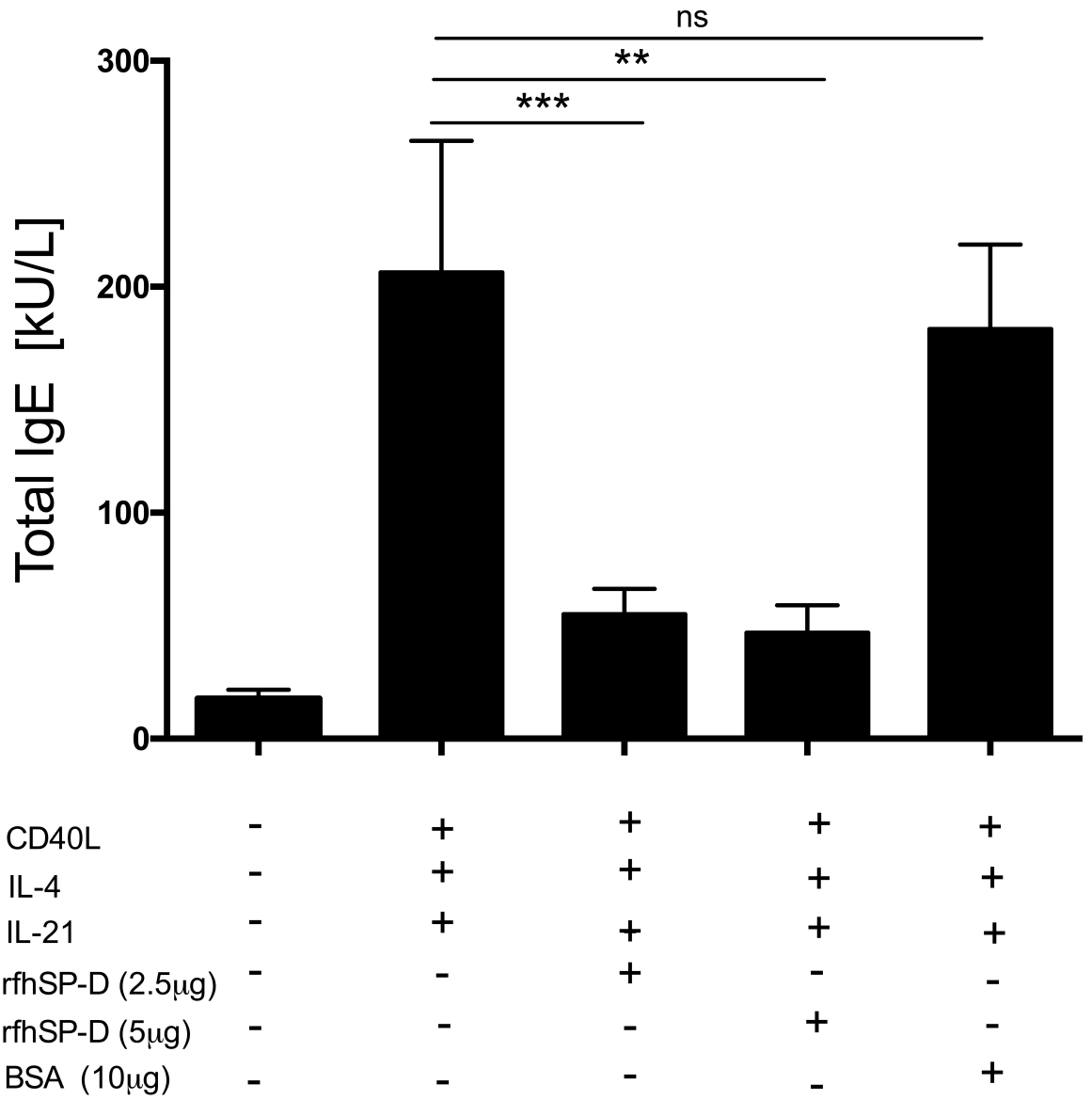
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Figure 4.

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Figure 5.