Pavement raveling detection and measurement from synchronized intensity and range images

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35 ABSTRACT

36 Raveling on asphalt surfaces is a loss of fine and coarse aggregates from the asphalt matrix. The severity of 37 raveling could be an important indicator of the state of pavements as excessive raveling not only reduces the 38 ride quality, but eventually leads to pothole formation or cracking. Hence, it is important to detect and quantify 39 raveling. In this paper, an effort has been made, for the first time, to quantify raveling from a combination of 2D 40 and 3D images. First, a texture descriptor method called Laws' texture energy measure is used in conjunction 41 with the Gabor filter and other imorphological operation to distinguish road areas from others. Then, signal 42 processing techniques are used to detect and quantify raveling. Hundreds of industrial images are used to test as 43 well as to show the promise of the proposed algorithm.

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46 Key word: Raveling, range images, 3D imaging, Gabor filter, Laws' texture energy, region segmentation

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52 INTRODUCTION

53 It is fundamental for road authorities across the world, to define, firstly, a data collection method to acquire 54 knowledge of the pavement condition within a limited time and management cost, without traffic disruption, 55 ensuring safety of the workforce and the traffic in general. In the last twenty years, the rapid advancement in the 56 processing power of computer, communication, laser and imaging technology made it possible to collect and 57 analyze large amount of road surface distress information, avoiding high degree of variability, providing 58 meaningful quantitative information, and leading to avoid inconsistencies. Current methods for distress 59 identification use equipped vehicles with high resolution cameras and sensors to record pavement surface 60 images and profile at traffic speed providing accurate information for optimal maintenance and rehabilitation 61 needs despite some limitation still exist on the accuracy of crack detection [1]. Another major issue with the 2D 62 video-based systems is their inability to discriminate dark areas not caused by pavement distress such as tire 63 marks, oil spills, shadows, and recent filings [2]. Moreover, the shadows and poor illumination are also major 64 problems for daytime operation though they can overcome using additional lighting systems or by acquiring 65 data in the night after sunset [3].

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67 Other than the more traditional 2D image analysis to detect pavement distress (cracks, patches, potholes, etc.),
68 new systems and procedures are proposed to obtain 3D pavement evaluation which has the potential to capture
69 more accurate surface features and extract and quantify information that were extremely difficult from the 2D
70 dimensional survey. For example, until now, the extent and the severity measurement of raveling has been a
71 subjective assessment, estimating the area with the missing stone.

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74 APPLICATION OF 3D TECHNOLOGY IN PAVEMENT CONDITION SURVEY

75 Research in 3D technology for pavement evaluation is a recent development. Therefore, the literature in this 76 area is limited. The 3D laser, photogrammetry and stereo vision techniques are the most popular among various 77 types of 3D technology available [4.5]. All these systems have great potentials but also have limitations when 78 equipment and management costs are considered. There are two main challenges to overcome before they are 79 widely used in payement evaluation. The first one is to capture the image in a consistent manner overcoming the 80 effect of lighting, shadows, etc. The second challenge is to develop a fast and accurate algorithm to separate 81 different defects accurately. The following sections highlight the key research on the 3D image capturing and 82 processing techniques.

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An early systems for the 3D imaging of pavement surfaces was based on the photogrammetric principle [6]. Although the system yielded good results, ensuring lighting requirement was very difficult for the paired camera used in the system to obtain high fidelity 2D images of the pavement surfaces. Another system, known as LIDAR (Light Detection and Ranging) was widely used which composed of a rotating laser scanning system, GPS receiver and an IMU [7]. Although initially the system attracted widespread attention, due to the difficulty in making significant improvement in the resolution of the system in the last decades, and the popularity of laser based 2D imaging system, the usage of this technique has been limited to niche applications [7].

92 In 2008, Laurent et al proposed a 3D Transverse Laser Profiling System for the Automatic Measurement of 93 Road Cracks, which then subsequently implemented as a commercial system with a custom made software to 94 preprocess the data [8]. In this system, known as Laser Crack Measurement System (LCMS), high-speed 95 cameras were used together with custom optics and laser line projectors to acquire both 2D images and high-96 resolution 3D profiles of the road. The system could be operated by night or by day under all types of lighting 97 conditions — in both sunlit and shaded areas. Various pavement types like regular or open-graded asphalt, 98 chipseal and concrete, can be measured at survey speeds up to 100km/h, and on roads reaching 4m in width.

100 Wang et al also used the same technique as LCMS, developed a prototype automated vehicular platform 101 including laser based sensors that can capture 1mm resolution 3D representation of pavement surface even in 102 adverse lighting condition and the development of an algorithm and software to produce results on pavement 103 distresses [9]. However, the software, Pavevision 3D, used in this system has substantially better performance than the LCMS in terms of 3D line rate and, 2D visual data. Other recent laser based 3D system is being 104 105 proposed by [10], where a real-time 3D scanning system was used for the inspection of pavement distortion 106 such as visualization of rutting and shoving using a high-speed 3D transverse scanning techniques based on structured light triangulation. To improve the accuracy of the system, a multi-view coplanar scheme was 107 108 employed in the calibration procedure so that more feature points can be used and distributed across the field of 109 view of the camera. A sub-pixel line extraction method is applied to the laser stripe location, which includes 110 filtering, edge detection and spline interpolation. The pavement transverse profile is then generated from the 111 laser stripe curve and approximated by line segments. Sun et al proposed a new method of analysis based on the

sparse representation to decompose the pavement profile signal into a summation of the mainly pavement profile and cracks [11].

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116 RAVELING OF ASPHALT SURFACES

In simple terms, raveling on asphalt surfaces is a loss of fine and coarse aggregates from the asphalt matrix due 117 to the adhesion failure at the interface. In many cases raveling is a combination of more than one contributing 118 physical mechanisms by which the aggregate is separated from the binder; such as surface type, improper 119 mixture design (lower binder content then the specification, high proportion of dust), inadequate compaction, 120 121 weathering, traffic, ageing of bitumen, the high intense hydrostatic pressure created by a combination of traffic 122 and water entering the pavement through interconnecting voids [12, 13], moisture or freeze-thaw cycles due to 123 seasonal variation, effect of snow plowing in winter months. Excessive raveling not only reduces the ride quality, but eventually leads to pothole formation or cracking. In addition, in recent years surface dressing and 124 125 other thin surfacing systems are increasingly used as a means of preventative maintenance for pavement 126 preservation. These surfaces are prone to reveling because of combination of factors as mentioned earlier. 127 Therefore, there the severity of raveling could be an important informant to evaluate the state of the pavement. 128

129 The measurement of raveling is based on the visual observation rather than any derived quantification. The 130 severity level is rated by the degree of aggregate loss within a segment of a road. The segment is typically one 131 tenth of the mile or a kilometer and expressed relative to the surface area of the surveyed lane. It is important to 132 note that raveling is measured or observed differently depending on the surface type. For Bituminous Surface 133 Treatment (BST) raveling is caused by the loss of aggregate and the binder is exposed. On the other hand, for chip sealed pavements, as they tend to look raveled because of the inherent nature of the chip seal surface, it 134 135 may be mistaken as raveling which is actually an excess asphalt resulting loss of aggregate, and should be rated 136 as flushing [12]. The various stages of raveling are usually described as light (loss of surface fines), moderate 137 (loss of fines and some larger aggregate exposed), and severe (loss of fine and coarse aggregate). The extent of 138 raveling could be localized (patchy areas, usually in the wheel paths), on the wheel path (majority of wheel 139 tracks is affected, but little or none elsewhere in the lane) or could extend through the entire lane width (most of 140 the lane is affected) [12].

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143 **RESEARCH OBJECTIVES**

144 In this paper Laws' texture energy measures are used to detect texture boundaries in intensity (i.e. 2D) images 145 (Figure 1) to distinguish road surfaces from lane marking and other painted surfaces. In addition, the Gabor 146 filter, a frequency domain based technique, is used to enhance the edges that result from the texture boundary 147 detection as described above. Furthermore, some morphological operations are performed to further improve the

segmentation accuracy.



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FIGURE 1 An intensity image and its corresponding range image [Courtesy Dynatest UK Ltd.]

155 LAWS' TEXTURE ENERGY MEASURES

Surfaces can be distinguished from their texture. Several texture analysis methods exist. Co-occurrence matrices, autocorrelation features and wavelet-based methods are to name a few [14]. The laws' texture energy method measures the amount of texture variation within a finite-sized window. Texture energy is computed within a 5x5 window, usually. A number of masks are formed from the vectors shown below.

- 160161L5 (Level)162E5 (Edge)=[-1, -2, 0, 2, 1]
- **163** S5 (Spot) = $\begin{bmatrix} -1 & 0 & 2 & 0 & -1 \end{bmatrix}$
- 164 R5(Ripple) = [1 -4 6 -4 1]
- 165

R5 vector has been designed to detect ripples in the images. The rationale behind these detections is that the texture of a given image can be broken down into very fundamental geometric shape descriptions like edges, spots, levels, etc. These 4 vectors are then used to form 5x5 masks.

		ר1]						<u>[</u> -1	0	2	0	–1
		4						-4	0	8	0	-4
170	The mask L5S5 is formed in the following manner:	6	× [-1	0	2	0	-1] =	-6	0	12	0	-6
		4						-4	0	8	0	-4
		$\lfloor_1 \rfloor$						L_{-1}	0	2	0	-1 []]

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In total, 16 such masks are formed: L5L5, L5E5, L5S5, L5R5, E5L5, E5E5, E5S5, E5R5, S5L5, S5E5, S5S5,
S5R5, R5L5, R5E5, R5S5 and R5R5.

173 S5R5, R5L5, R5E5, R5S5 and 1 174

175 Pavement images are convolved with the above masks as shown in Figure 2.



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180 181 **FIGURE 2 Mask convolution.** $y_i = \sum_{i=1}^{N} x_i \times m_i$, where Pixels $= N = L \times L$ [15]

179 The resulting image from convolution can be used to detect texture boundaries.

182 GABOR FILTER

183 The Gabor filter is a frequency based technique that has been used for object recognition, edge detection and 184 optical character recognition. This filter is very special in the sense that visual cortex cells in mammals can be 185 expressed by Gabor functions. The filter has the ability to respond to different orientations, hence it helps 186 distinguish objects oriented in different directions. The Gabor filter is implemented as a filter bank consisting of 187 filters with a number of orientations (see θ below). 188

The Gabor filter is formed by the modulation of a Gaussian envelope by a complex sinusoid. The filter's real
 part can be expressed as follows,

$$|192 g_i(x,y) = \frac{1}{2\pi\sigma^2} e^{-\frac{1}{2\sigma^2} \left[(x')^2 + (\gamma y')^2 \right]} \{ \sin[\frac{2\pi}{\lambda} (x\cos\theta + y\sin\theta) + \psi] \}$$
(1)

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195 Where, $x' = x\cos\theta + y\sin\theta$ and $y' = -x\sin\theta + y\cos\theta$. Here σ is the standard deviation of the Gaussian 196 neighborhood in the x' direction. γ is the ellipticity of the filer. θ is desired orientation of the filter, λ is the 197 wavelength of the sinusoid, ψ is the phase offset of the modulation factor, which decides the symmetry or anti-

- 198 symmetry of the filter and the width (*a*) and the length (*b*) of the elliptical Gaussian (2D) envelope and the angle 199 between the orientation of the sinusoidal wave vector and the two dimensional Gaussian axes.
- **Figure 3** shows three different Gabor filters where the orientation, θ , or the wavelength, λ , is changed. The pictures depict the continuous domain representations of the Gabor filter.
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FIGURE 3 Gabor filter with $\theta=0$, $\lambda=2$ (a), Gabor Filter with $\theta=0$, $\lambda=0.5$ (b) and Gabor filter with $\theta=\pi/4$, $\lambda=5$ (c) [16]

For practical image processing applications the continuous function has to be digitized and represented by a mask as discussed in the previous section. Then the image is convolved with the mask as explained in Figure 2.
For a detailed explanation of the theory, refer to [17], where the Gabor filter is utilized for pavement crack detection.

213214 MORPHOLOGICAL OPERATIONS ON BINARY IMAGES

215 Binary images are the images that consist only black (gray level 0) and white pixels (gray level 1), i.e. the image 216 has two intensity levels only. Blobs in binary images are the collection of white pixels that are connected by a 217 neighborhood. A lone white pixel, that does not have any neighbors, is also considered as a blob. According to 8 neighborhoods, the middle pixel with value x_5 in Figure 2 will have all the 8 pixels surrounding it { x_1 , x_2 , x_3 , x_4 , 218 219 x_6, x_7, x_8, x_9 as its neighbors. When it comes to 4-neighborhood, the pixels { x_2, x_4, x_6, x_8 are considered the 220 neighbors of the middle pixel, x_5 . The corner connectivity is not considered for a 4-neighborhood. In this paper only 8 neighborhood is used (this is the default option with MATLAB's Image Processing Toolbox's 221 222 morphological functions).

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FIGURE 4 Two morphological operations: dilation (a) and erosion (b) performed with 8 pixel connectivity on an image with two blobs [18]

A number of morphological operators are available. Out of these, dilation and erosion are used in this project.
 Image morphology is frequently used for image enhancement. In a general sense, dilation adds white pixels to
 an image based on any given criteria. Whereas, the erosion operation removes white pixels from the image.

235 Dilation

Any black pixel that has a white pixel in its 8 – neighborhood is turned into a white pixel (i.e. its value is set to 1). This operation is depicted in **Figure 4** (a), where the image on the left is the original image and the right one shows the dilated version. To explain it more, for the lone white pixel in the top right corner of the original image in **Figure 4**(a), the dilation operation makes all the pixels in its 8-neighborhood white. The *bwmorph* function of MATLAB has been used with the dilation option chosen [19]

241242 Erosion

Erosion removes any white pixels that have at least one black pixel in its 8-neighborhood (See Figure 4 (b)).
Only the four white pixels, shown in the image after erosion, have white pixels entirely in their 8-neighborhood.
Once again MATLAB's *bwmorph* is employed used with the dilation option [19].

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Two other operations are also performed on the images. Boundary extraction, extracts the boundaries of every blob in the image based on 8-neighborhood. MATLAB function *boundaries* are used to extract blob boundaries.
Hole filling replaces the black pixels, fully inside a blob, with white ones. For this purpose *imfill* function of MATLAB is employed.

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252253 EXPERIMENTATION

254 Image Acquisition

The 2D and 3D images used in this study are obtained by Dynatest UK Ltd. using the LCMS system on a road section in the UK. There is some post-processing on the images by the Pavemetrics software and the resulting are 2D (i.e. intensity) and 3D (i.e. Range) both of which are 8-bit grayscale images. The size of the road imaged by the systems is $10 \times 4.16 \text{ m}^2$. Both the images have a resolution of 2500×1040 . Hence, once pixel images an area of $4 \times 4 \text{ mm}^2$ on the road. Ninenhundred 2D-3D image pairs have been supplied by Dynatest.

261 Texture Edge Detection: Laws' texture energy masks

262 The target here is to segment road areas from the paints, lines, etc. found in the images and then to look for 263 raveled areas, preferably using the range images.. The use of 3D image for the purpose of region segmentation is very limited as distinguishing different surfaces from each other using 3D imaging can result in ambiguity. This 264 265 is especially the case for a road surface, as it can be smooth and rough at two different locations at ground level. 266 This variation will result in 3D images with low and high range fluctuations. Furthermore two different surfaces 267 can exhibit same smoothness, in their range values, as such cannot be differentiated. Hence, range images are 268 not very good for distinguishing different surfaces. Intensity (i.e. 2D) images are used to extract road surface in 269 this study.

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As seen in **Figure 5** (a), the intensity images are complex with varying amounts of image intensities, within any given type of surface. The texture within a given surface change greatly as well. Due to these variations, image segmentation techniques like thresholding and edge detection are not found to give effective results. To segment different the road regions from the non-road areas, the 16 masks based on Laws' texture energy measured are used to convolve the 2D intensity image.

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277 It is experimentally found that the average of the images resulting from the convolution with the masks S5L5 278 and L5S5 give the best texture segmentation for these images. The corresponding texture edge image is shown 279 in Figure 5 (b). It can be seen from Figure 5 (b) that many of the pseudo edges, which are due to intensity 280 variations, are eliminated, esp. when compared with Figure 5 (a). However, many micro edges are still detected, 281 preventing a clear segmentation between road and other regions. To eliminate these a thresholding operation is performed. The thresholded, hence binary, image is shown in Figure 5 (c). The segmentation is not perfect as 282 there remain lots of edges within the letters 'S', 'L' and 'W', written on the road. In addition, the lane markings 283 284 that are at the right- and leftmost regions of the image, have line segments missing.



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FIGURE 5 An intensity image (a), its Laws' texture edge image (b) and the threshold edge image (c)

99 Texture Edge Enhancement: The Gabor Filter

Twelve Gabor filters at orientations {0°, 15°, 30°, 45°, 60°, 75°, 90°, 105°, 120°, 135°, 150°, 165°} are used to convolve the image given in 5 (c). Other parameters used for the Gabor filters are, $\gamma = 1$, $\sigma = 12$, $\lambda = 40$ and $\psi = 0$. All 12 images resulting from the filters are then thersholded. Figures 6 (a) and 6 (b) show the threshold images for the filter orientations, θ , of 0° and 90°, respectively.

As seen in **Figure 6** (a), the orientation $\theta=0^{\circ}$ picks up all the horizontal edges in **Figure 5** (c). From Figure 6 (b), it can be seen that the 90° orientation Gabor filter detects all the vertical edges. In addition to orientation based edge detection, Gabor filters also have a smearing effect hence fill up the gaps (non-detections) in the line segments in Figure 5 (c). The main disadvantage is that the line segments tend to get thicker after processing with Gabor filters. However, this drawback works to the advantage of this project, as it leads to a more conservative detection, i.e. under detection, of the road surface.



FIGURE 6 Gabor response for θ=0° (a), Gabor response for θ=90° (b) and overall response of Gabor 12 filter bank

The 12 thresholded images resulting from the 12 Gabor filters are then combined into a single image using the logical OR operation (i.e. If one of the images has a white pixel the corresponding pixel in the aggregated image will be set to white). The aggregated image is shown in Figure 6 (a).

323 Figure 6 (a) detects most of the desired features detections, but the outer contour of the letter 'S' is not fully 324 detected. To make sure that all the contours are properly closed, dilation operation is performed 8 times and then 325 erosion is also carried out 8 times. This will close of contours while maintaining line thicknesses the same, in general. Then a hole filling operation is implemented. The resulting image, with a better contour describing 'S' 326 327 is shown in Figure 7 (a). In Figure 7 (a), the linear lane marking near the top right corner appears as broken, 328 due to its small thickness. However, in reality, there exists a 'U' shaped contiguous contour starting from and 329 finishing in the top edge of the image. Figure 7 (b) shows the image obtained by the logical OR of Images 6 (c) 330 and (7a). For the unclosed contours that touch the image edges at two, or more, places, a 'closing' scheme is 331 implemented so that parts of the image edge completes the contour. Then, all closed contours (i.e. blobs) are 332 filled for the holes with the *imfill* function. This image is shown in Figure 7 (c). The foregoing process 333 completes the region segmentation operation.

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FIGURE 7 Image after morphological operations (a), after logical OR (b) and the final detection (c)

RESULTS

Figures 8 (a) and 8 (b) show the detected boundaries embedded in original intensity and range images, respectively. The sand patch test covers an area of $250 \times 250 \text{ mm}^2$, in general [20]. This amounts to an a square area of 62×62 pixels in the image as 1 pixel is $4 \times 4 \text{ mm}^2$. Figure 8 (c) highlights the square tiles that are on the road surface and will be analyzed for raveling.

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The raveling is proposed to be quantified by the amount of range variation found within a window of 62 x 62 pixels. The measure of standard deviation of the range values inside a window is used here. However, if the standard deviation, within a window, alone is considered as a measure of raveling, it may lead to erroneous results. For example, within the window highlighted in red in **Figure 8** (c), the surface profile of the road

351 changes drastically.



FIGURE 8 Detection embedded in the original intensity (a) and range (b) images, and the tiles to be analyzed for raveling (c).

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The range data for this window is plotted in 3D in **Figure 9(a)** and it can be seen that the road profile is a low frequency variation. Hence, only the high frequency variations of the road must be considered for raveling detection. Here, 3D window data are proposed to be filtered with a Gaussian filter mask of 5 x 5 size with a standard deviation (σ) of 3.9.

A Gaussian is given by the following function with A being a constant,

363 364	$g_i(x,y) = Ae^{-\frac{1}{2\sigma^2}[x^2+y^2]}$						(2)
365	The designed Gaussian mask is 0.045.	0.768 0.848 0.876 0.848 0.848 0.768	0.848 0.936 0.968 0.936 0.848	0.876 0.968 1.000 0.968 0.876	0.848 0.936 0.968 0.936 0.848	0.768 0.848 0.876 0.848 0.768	. This is a normalized mask.

The 3D data of the window, when convoluted with this mask, results in the low-pass data shown in **Figure 9** (b). In **Figure 9** (b) all high frequency components in the original data are removed. Now, the low-pass data, in **Figure (9b)**, is subtracted from the original data in **Figure 9** (a) to reveal the high frequency changes to which raveling contributes. This is high-pass filtered data in effect. The high frequency variations are shown in **Figure 9** (c).



FIGURE 9 The range data of a 62 x 62 pixel window (a), its low-pass filtered form (b) and its high-pass filtered form(c)

The standard deviation of the high-pass filtered data is 11.66 given in the 8 bit range [0 255], as the range image
is 8 bits, called units hereafter. If the conversion factor between the range image data and the physical range
value, in meters, the standard deviation value can also be expressed in meters. In this paper, the standard
deviation value above is used to quantify the amount of raveling in that particular window.

The above algorithm was tested on the 900 2D-3D image pairs, and the maxim and minimum value of standard deviation of the high-pass filtered window data, among all range images, are 17.4 and 2.9 units, respectively. The raveling condition for a window, thus found, is proposed to be classified as good, average or bad, denoted by the window highlighted in green, orange or red colour. Here, windows with standard devition less than 5 unit are characterized as good, the ones that fall in the range of 5 – 10 units are branded average and the windows that have standard devitions greater than 10 units are considered as badly raveled. Figures 10 and 11 show two intensity-range image pairs with the third images showing the range image showing the detections.





FIGURE 11 Intensity(a), range(b) and detection embedded range (c) images

394 **DISCUSSION**

In the supplied image set of 900 pairs, the road surface is correctly detected in all images. Very rarely, small islands of road surface is is characterized as non-road, e.g. the ones surrounded by blue contours immediately above and to the left of the longer arrow in Figure 11(c). This usually happens when the local intensity of the road surface, in the 2D image, is at its highest. However, when considering all 900 images these false negatives are found to be extremely rare (<< 1%).

The range images supplied by Dynatest are preprocessed with proprietary software that is available with the hardware. Hence, the actual depth values are not valuable for the range images. If a conversion factor is available to translate the range image intensities to depth values in meters, the raveling can be expressed in either volumetric format (i.e. cubic meters per a 250 x 250 mm² window) or as a roughness-like value in meters. Furthermore, in the presence of a conversion factor, a bench marking process can be devised so that the raveling measure proposed here can be correlated to some standard procedures to detect raveling, e.g visual survey.

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408 CONCLUSIONS

409 This paper provides a methodology, for the first time, to detect and quantify raveling from 2D and 3D images 410 that are captured in a synchronous manner. Using an array of methods available within image processing, it has

411 been shown that road surfaces can be accurately segmented from other painted areas on the road. Additionally,

412 signal processing methods are used to process and measure raveling from the 3D range images.

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