

Flexible Shear Mode Transducer for Structural Health Monitoring Using Ultrasonic Guided Waves

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Abstract—The application of the fundamental shear-horizontal wave mode for guided wave structural health monitoring is undoubtedly beneficial due to its nondispersive characteristics. Existing guided wave shear mode transducers are rigid and brittle, because of these characteristics, bonding them to irregular surfaces (i.e., curved surfaces) is challenging. There is a huge market interest in the development of a flexible shear mode transducer, which eases the transducer bonding process onto irregular surfaces and improves the surface contact between the transducer and the structure. This study presents a flexible shear mode transducer for structural health monitoring using low-frequency guided waves (20–120 kHz). The proposed transducer is manufactured using piezoceramic, and based on the results of this study, it exhibits the directional excitation of fundamental shear-horizontal mode at 20–120 kHz. Finite element analysis and laboratory experiments were conducted to study the behavior of the proposed transducer. Field trials were conducted on a liquid storage tank with an undulated surface (due to corrosion). The performance of the proposed transducer is also compared to the commercially available macro fiber composite transducers. The proposed transducer was driven by the industrialized ultrasonic guided wave inspection system; Teletest Focus+ in line with the application of tank floor inspection using ultrasonic guided waves.

Index Terms—Directionality, flexibility, industrialization, shear mode transducer, structural health monitoring (SHM), ultrasonic guided wave (UGW).

I. INTRODUCTION

STRUCTURAL health monitoring (SHM) of degrading engineering structures due to various factors (such as corrosion) is important as degradation could lead to structural

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instability causing harm to the industry and the environment. Low-frequency (20–120 kHz) ultrasonic guided waves (UGWs) are widely used to inspect the structural health of elongated structures (i.e., pipes, plates, rails, cables) due to their inherent feature of long-range propagation [1]. Commercial UGW systems have evolved vastly over two decades to fulfill industry requirements. The development of UGW transducers is a core aspect with great interest, particularly for the inspection of complex structures (such as floor of liquid storage tanks). Early research on transducer development for commercial UGW systems has been conducted by Alleyne and Cawley, where they developed a transducer with thickness-shear motion to excite Lamb waves on a plate [2]. The development of flexible transducers for UGW application became imperative since early 2000 with the interest of inspecting structures with curved and/or irregular surfaces [3], [4].

Piezoelectric films (polyvinylidene fluoride, PVDF) is one of the earliest flexible transducers for UGW application [5]. PVDF transducers are flexible and durable. Compared to lead zirconate titanate (PZT), PVDF requires higher actuation power and heavy amplification. PVDFs work better in a higher frequency range (0.5–4 MHz) [5], and this limits the long-range propagation capabilities of UGW due to the typically higher attenuation rates at these frequencies. Another transducer was developed by combining the electromechanical efficiency of PZT with mechanical flexibility. Examples of these are active fiber composite (AFC) and macro fiber composite (MFC) [3]. AFC and MFC are thin piezoceramic fibers that are aligned unidirectionally and exploit the use of interdigitated electrodes, which deliver a stronger longitudinal piezoelectric effect along the length of fibers [4]. This is performed by applying an electric field in the direction of fiber axis, which in turn generates a stronger longitudinal (d_{33} constant) piezoelectric effect. AFCs operate in low hundreds of kHz range [6] and MFCs operate in tens of kHz to MHz range [7], [8]. The main advantage of MFCs in comparison to AFCs and PVDFs is the reduced manufacturing cost. MFCs are mass manufactured and distributed by Smart Material Corp. [9].

The patch transducer is a piezoceramic-based flexible transducer (commercial name—DuraAct), which was designed by German Aerospace Centre and is manufactured and distributed by PI Ceramic (PIC) GmbH [10]. The DuraAct transducer operates in kHz to MHz range depending on the application requirement [11]. DuraAct transducers were initially used to detect the

82 impact of a tail boom structure in 2010 using guided waves
 83 [12]. Since then, DuraAct transducers are used for many ap-
 84 plications using UGWs in different frequency ranges. DuraAct
 85 transducers have gained a higher interest in the Aerospace in-
 86 dustry to inspect composite materials [13], [14]. Compared to
 87 other flexible transducers, DuraAct transducers have proven to
 88 survive under harsh environmental conditions [15]. Standard
 89 MFCs cannot operate beyond 80°C, whereas DuraAct can oper-
 90 ate up to 150–200°C, though the mechanical flexibility is limited
 91 at these temperatures. Lifespan of piezoceramic patch transduc-
 92 ers was investigated in 2007 [16]. A key parameter investigated
 93 in this study was the flexibility of piezoceramic patch transduc-
 94 ers by studying the performance of the transducer under tensile
 95 stress. As an outcome, minimum bending radius (r_{\min}) can be
 96 calculated as follows and it depends on the ceramic thickness:

$$r_{\min} = 8.e^{t.4.4} \quad (1)$$

97 where e is the Euler constant, and t is the thickness of the
 98 ceramic layer in mm.

99 Common features of the aforementioned flexible transducers
 100 (PVDF, AFC, MFC, and DuraAct) are flexibility, lightweight
 101 [17], and more importantly, these transducers predominantly
 102 excite Lamb modes [18]. According to the literature, research
 103 on flexible transducer development was limited to excite Lamb
 104 modes [18], [19] or they operate at a higher frequency range
 105 (above 0.5 MHz) [20]–[22].

106 As stated in the literature, the most preferable UGW mode for
 107 the inspection of liquid storage tank floors is the fundamental
 108 shear-horizontal wave mode due to the relatively low attenua-
 109 tion and dispersion rates [1], [23]. Based on surface irregularities
 110 caused by the corrosion, transducers have to be flexible and can-
 111 not be rigid and/or brittle for this application. Currently, com-
 112 mercially available MFC transducers are used to obtain a better
 113 surface contact compared to rigid transducers [9]. However,
 114 based on directionality patterns of the MFC transducer, only the
 115 fundamental symmetric Lamb mode can be used for inspection,
 116 as the fundamental shear mode is excited in the diagonal direc-
 117 tion, which complicates the signal processing [24]. The MFC
 118 transducer generates both fundamental Lamb modes in one di-
 119 rection, which complicates the signal interpretation. There is a
 120 higher market interest in the development of a flexible trans-
 121 ducer, which generates the fundamental shear-horizontal mode
 122 perpendicular to the axis of vibration. According to author's
 123 knowledge, no attention has been given to develop a flexible
 124 transducer, which predominantly excites shear modes with di-
 125 rectionality at low-frequency range (20–120 kHz).

126 This study presents a flexible transducer, which generates the
 127 fundamental shear-horizontal mode perpendicular to the axis of
 128 vibration. The frequency range of interest is 20–120 kHz due
 129 to the long-range propagation capabilities of UGWs for SHM
 130 [1]. The behavior of the proposed transducer was studied using
 131 numerical simulations, and laboratory experiments were con-
 132 ducted to validate the numerical results. Proposed transducers
 133 were also installed onto a liquid storage tank with an undu-
 134 lated surface (due to corrosion) to study the performance over a
 135 range of frequencies. Commercially available Teletest Focus+

UGW system [25] was used to excite the transducer during both
 laboratory and field trials.

This paper is organized as follows. Numerical simulations
 are presented in Section II, and the manufacturing process of
 the proposed flexible shear mode (FSM) transducer is reported
 in Section III. Laboratory experiments and field trial results are
 documented in Section IV. Section V presents the performance
 review of the proposed transducer, followed by the conclusions
 in Section VI.

II. NUMERICAL SIMULATION

A. Finite Element Analysis (FEA)

Finite element analysis (FEA) has been performed to study the
 wave propagation behavior (directionality) of the proposed FSM
 transducer. With increased complexity of the analysis, analytical
 calculations are no longer practical [26]. A three-dimensional
 (3-D) model was built to conduct the aforementioned numerical
 analysis using ABAQUS/EXPLICIT version 6.13 [27]–[29]. A
 solid transient analysis was conducted, which is governed by
 Navier's equation of motion for an isotropic media as follows
 [1]:

$$(\lambda + \mu) \nabla \nabla \cdot u + \mu \nabla^2 u = \rho \left(\frac{\partial^2 u}{\partial t^2} \right) \quad (2)$$

where λ and μ are Lamé constants, u is the 3-D displacement
 vector, ∇^2 is the 3-D Laplace operator, and ρ is the material den-
 sity. Unknown potentials governing the Lamb (3) and shear (4)
 modes can be extracted as follows by substituting the potentials
 of Helmholtz decomposition into (2):

$$\left(\frac{\partial^2 \emptyset}{\partial t^2} \right) = c_l^2 \nabla^2 \emptyset \quad (3)$$

$$\left(\frac{\partial^2 \Phi}{\partial t^2} \right) = c_s^2 \nabla^2 \Phi \quad (4)$$

where \emptyset is the compressional scalar potential, Φ is the equivolu-
 minal vector potential, and c_l and c_s are the velocities of Lamb
 and shear modes, respectively.

A circular plate was modeled with a 0.4 m radius and a thick-
 ness of 6.25 mm. Dispersion curves for a 6.25 mm thick steel
 plate are illustrated in Fig. 1. Dispersion curves are plotted us-
 ing the commercially available software DISPERSE [30]. The
 dispersion curve diagram illustrates the velocity of mode in re-
 lation to the frequency with separate curves for each existing
 mode in a frequency range. Based on the geometry and the oper-
 ating frequency range of the current study, excitable modes
 are fundamental symmetric Lamb mode S0, asymmetric Lamb
 mode A0, and shear-horizontal mode SH0. As illustrated in
 Fig. 1, SH0 is the only nondispersive mode in the UGW oper-
 ating frequency range, which is of a great interest for UGW
 inspection [1]. The size of the active ceramic plate of the pro-
 posed FSM transducer is $25 \times 25 \times 0.2 \text{ mm}^3$ (width, height, and
 thickness, respectively). The overall actuator size is $38 \times 30 \times$
 0.5 mm^3 due to the necessary encapsulation and electrical con-
 tacts. Since the difference in volume is passive, it does not have a

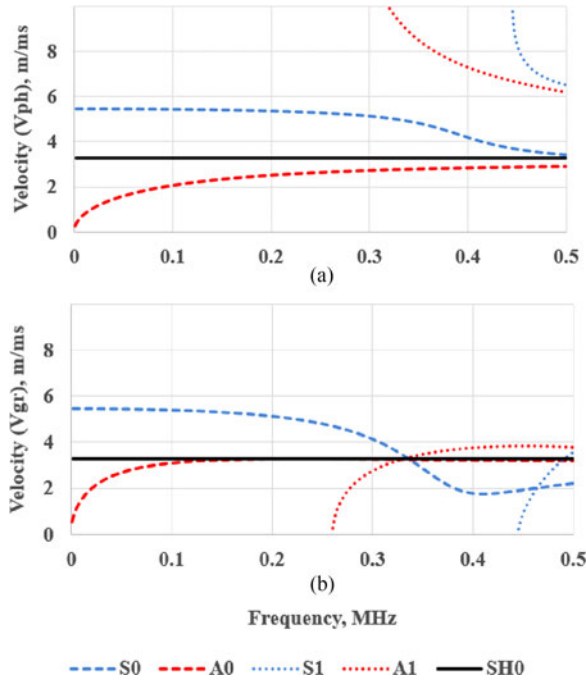


Fig. 1. Dispersion curves for a 6.25 mm thick steel plate: (a) phase velocity and (b) group velocity.

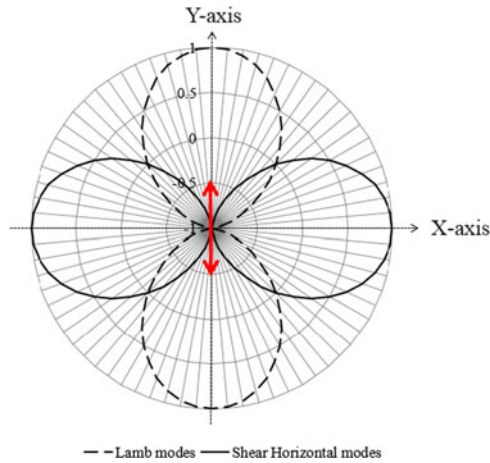


Fig. 2. Directivity pattern of the Lamb and shear-horizontal modes due to the surface shear vibration in the y -axis caused by a point source. The vertical axis represents the amplitude.

181 significant influence on the performance or symmetry of the
182 waves generated.

183 Theoretical wave propagation directionality of a point source
184 vibrating in-plane is illustrated in Fig. 2 [24]. Unlike Lamb
185 modes, SH modes propagate perpendicular to the axis of vibra-
186 tion. As shown in Fig. 2, if the transducer vibrates in the y -axis,
187 SH mode should propagate in both x -axis directions and Lamb
188 modes should propagate in both y -axis directions [31]. Assumed
189 material properties for the FEA are presented in Table I. The
190 vibration mode of the modeled PIC 255 soft PZT [32] is the 1–5
191 thickness-shear mode.

192 Layout of the FE model is illustrated in Fig. 3. Transducer
193 was bonded at the center of the plate using tie constraint to

TABLE I
ASSUMED MATERIAL PROPERTIES FOR THE NUMERICAL SIMULATION

Property	Steel	PIC 255 soft PZT
Density (ρ)	7830 kg/m ³	7800 kg/m ³
Young's modulus (E)	207 GPa	110 GPa
Poisson's ratio (ν)	0.3	0.36
Piezoelectric charge (d_{15})		$550 \cdot 1e - 12$ m/V
Piezoelectric voltage (g_{15})		$37 \cdot 1e - 3$ Vm/N
Coupling factor (k_{15})		0.66

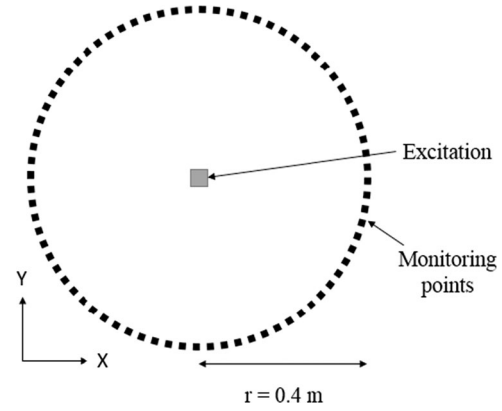


Fig. 3. Layout of the finite element model.

obtain a firm surface contact [27]. Size of mesh elements (h_o) 194
was in the range of 3.125–3.13 mm and calculated as follows: 195

$$h_o = \frac{c}{Nf_o} \quad (5)$$

where c is the velocity of the slowest mode, N is the number 196
of elements per wavelength, and f_o is the frequency of interest. 197
The linear eight node brick elements with reduced integration 198
(ABAQUS element type C3D8R) were used to achieve efficient 199
computation time, and the mesh refinement was such that there 200
were at least eight elements to represent the smallest possible 201
wavelength in the main lobe of the frequency bandwidth. This 202
level of mesh refinement has been validated in the previous stud- 203
ies [26], [33]. The excitation tone-burst was a 90 kHz 5-cycle 204
Hann-windowed pulse. This particular frequency and the num- 205
ber of cycles were chosen in order to individually identify modes 206
due to the length limitation of the modeled plate. Transmitted 207
signals were monitored 0.4 m away from the excitation. 208

B. FEA Results

209 Numerical results are illustrated in Figs. 4 and 5. Fig. 4 repre- 210
sents contour plots of propagated waves at different time incre- 211
ments and illustrates that the SH0 mode is predominantly excited 212
in both x -axis directions according to the coordinates shown in 213
Fig. 2. The polar plot in Fig. 5(a) validates the above-mentioned 214
claim and matches with the theoretical wave propagation behav- 215
ior of a shear mode transducer as reported in the literature 216
(see Fig. 2). The time-domain data presented in Fig. 5(b) show 217
that SH0 is the only propagated mode at 90°. This gives the confi- 218
dence to use the proposed FSM transducer for the tank floor 219
inspection using UGW due to the predominant and directional 220

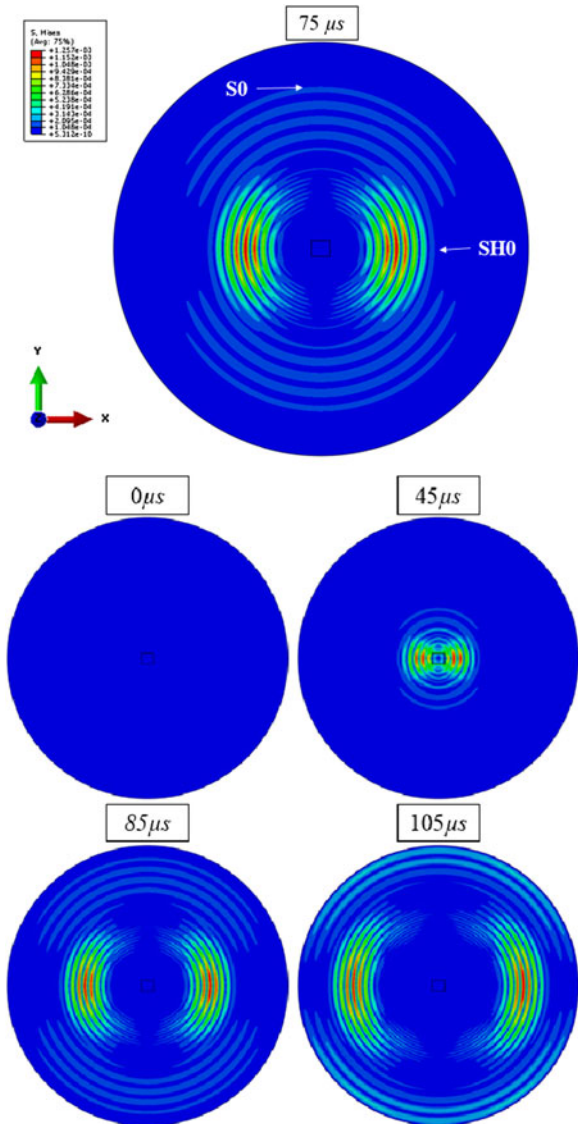


Fig. 4. FEA results; wave propagation at different time increments on a 0.4 m radius steel plate at 90 kHz and the color scale represents the von-Mises stress.

221 excitation of SH0. This is further investigated in Section IV-B
 222 and further discussed in Section V. The first mode to arrive is
 223 S0, which is the fastest mode, and then SH0 and A0. Time of
 224 arrival (ToA) of each mode is presented in Table II, and ToA
 225 can be calculated as follows:

$$\text{ToA} = \frac{x}{V_{gr}} \quad (6)$$

226 where x is the distance of propagation, and V_{gr} is the group
 227 velocity of the mode of interest.

III. MANUFACTURING PROCESS OF THE PROPOSED TRANSDUCER

230 The proposed FSM transducer has a similar manufacturing
 231 process in relation to the existing DuraAct transducers, which
 232 operates longitudinally (see Section I) [12]. Manufacturing pro-
 233 cess of the proposed transducer is as follows. The first step of

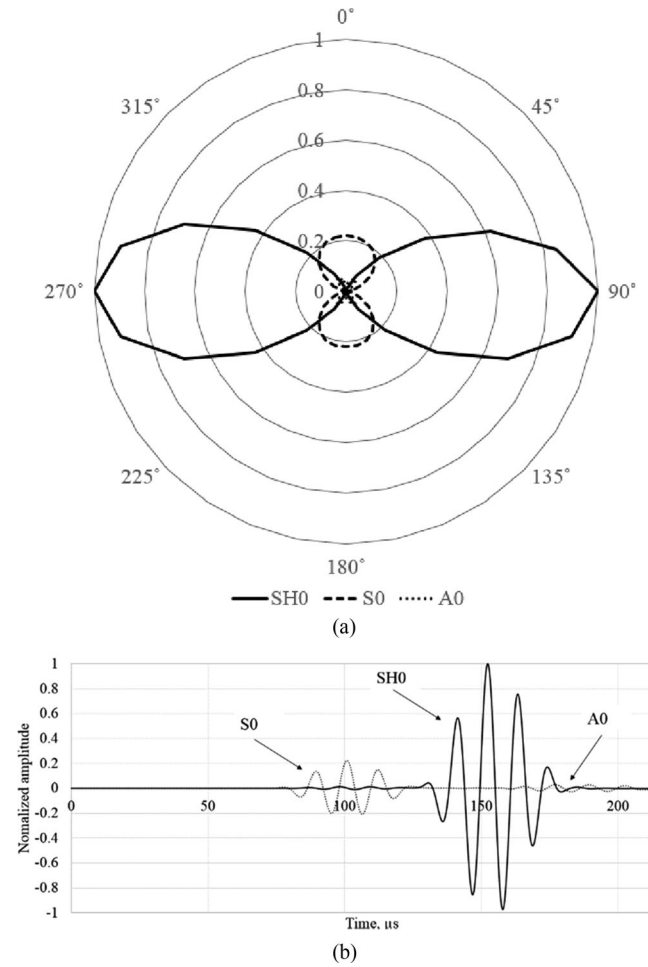


Fig. 5. Numerical modeling results at 90 kHz. (a) Polar plot illustrating the propagation directionality of the proposed shear transducer and the vertical axis represents the normalized amplitude and (b) time-domain data illustrating the monitored data at 0° (dotted line) and 90° (solid line).

TABLE II
 NUMERICAL RESULTS—ToA OF EACH MODE MONITORED 0.4 M AWAY
 FROM THE POINT OF EXCITATION, WHICH CORRESPONDS TO THE
 INFORMATION SHOWN IN FIG. 5

Mode	Velocity, V_{gr} (m/ms)	ToA (μs)
S0	5.4	74
SH0	3.2	125
A0	2.6	153

manufacturing the proposed FSM transducer is the production
 of thickness-shear piezoceramic plates. The PZT material used
 in the FSM transducer is PIC 255 soft PZT [32]. PZT blocks,
 approximately in the dimensions of $40 \times 30 \times 25 \text{ mm}^3$
 are polarized at 2, 5–3, 5 kV/mm. Followed by cutting blocks into
 plates at 0° toward the polarization vector. The polarization is
 in-plane and marked by a small notch, as illustrated in Fig. 6.
 Then, electrodes are sputtered and plates are cut to final size
 (dimensions of the active element are shown in Fig. 6). The
 production process for these shear plates has to be carried out
 in ambient temperature (i.e., significantly less than the Curie

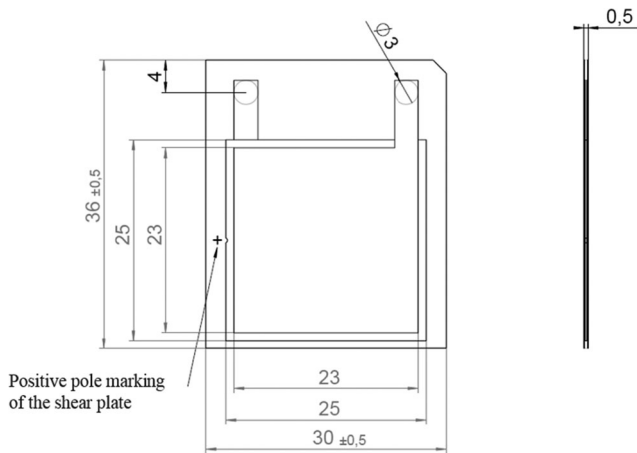


Fig. 6. Schematics of the proposed FSM transducer, dimensions are in mm. Published courtesy of PI Ceramic GmbH.

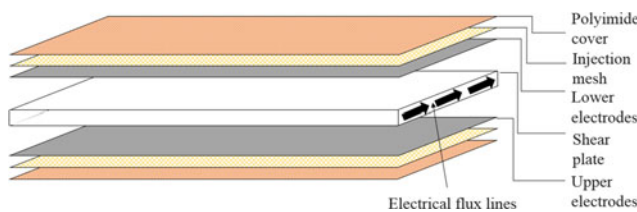


Fig. 7. Different layers in the proposed FSM transducer.

245 temperature). When an electrical signal is applied to the electrodes, the polarization vector of ferroelectric domains in the material is forced to turn toward the signal field, resulting in an opposite shear movement of electrode surfaces in the direction of the polarization field.

250 After completion of the production of shear plates, next step is the assembly process. Various layers of the proposed FSM transducer are dry-stacked in an autoclave as follows (see Fig. 7):

- 253 1) Polyimide prepreg cover,
- 254 2) Lower injection mesh (polyimide),
- 255 3) Lower collector electrodes,
- 256 4) Manufactured PZT shear plate and the positioning frame,
- 257 5) Upper collector electrodes and soldering pads,
- 258 6) Upper injection mesh, and
- 259 7) Polyimide prepreg cover.

260 This stack is then sealed on two sides. One open side is connected to a polyimide resin tank and the other is open to the autoclave's vacuum. Then, the autoclave is evacuated and heated up to $\sim 200^\circ\text{C}$. The vacuum draws the resin through both injection mesh layers, which is followed by the resin curing. At the final step, transducers were laser-cut from the cured stack.

266 Soldering pads were also uncovered during this process.
 267 Curing of the transducer exerts a permanent lateral pressure of ~ 44 MPa. This in return results in a much tighter potential bending radius because this inner stress has to be overcome before there is any actual negative stress in the surface of the ceramic. This will inherit the flexibility to the proposed transducer, which is an important characteristic in bonding transducers on to an irregular or curved surface. Thickness of the PZT element used in the proposed FSM transducer is 0.2 mm and

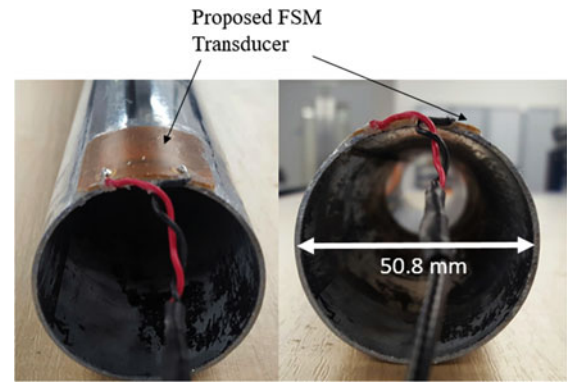


Fig. 8. Photograph of the proposed FSM transducer attached to a 50.8 mm diameter pipe to illustrate the flexibility of the transducer (illustrating 10 mm bending radius).

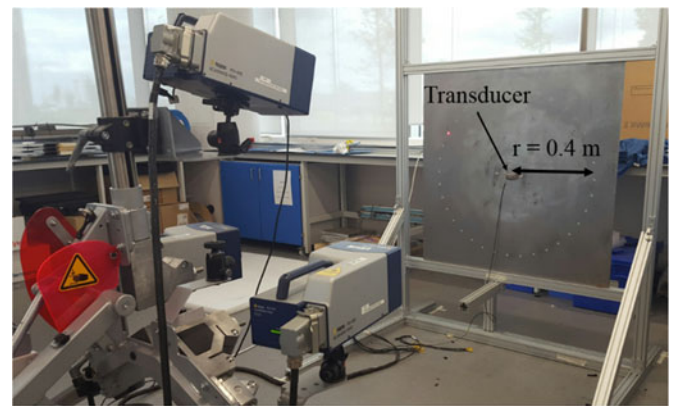


Fig. 9. Laboratory experimental setup.

275 according to (1), bending radius of the proposed FSM transducer is 20 mm. Photographs of the proposed FSM transducer are shown in Fig. 8. The proposed FSM transducer is attached to the edge of a 50.8 mm diameter steel pipe using acrylic adhesive showing a 10 mm bending radius for illustration purposes.

IV. EXPERIMENTAL VALIDATION

A. Laboratory Experiment

282 A total of 20 prototype FSM transducers were manufactured as explained in Section III by PIC GmbH [10]. These transducers were then tested under laboratory conditions to validate the FEA results in Section II-B. 1 m square 6.25 mm thick mild steel plate was chosen to match the material properties assumed for FEA in Section II-B. Retroreflective tapes [34] were attached on the plate with a radius of 0.4 m from the center of the plate. Polytec 3D PSV-400 scanning vibrometer [35] was used to monitor the signals 0.4 m away from the excitation. This type of experimental setup is validated to be accurate in order to characterize transducers in the literature by Haig *et al.* [24]. 90 kHz 5-cycle Hann-windowed pulse was used as the input tone-burst, and the transducer was driven by the commercially available UGW system Teletest. The experimental setup is illustrated in Fig. 9.

296 Laboratory experimental results are illustrated in Fig. 10. The propagation directionality of wave modes is plotted against

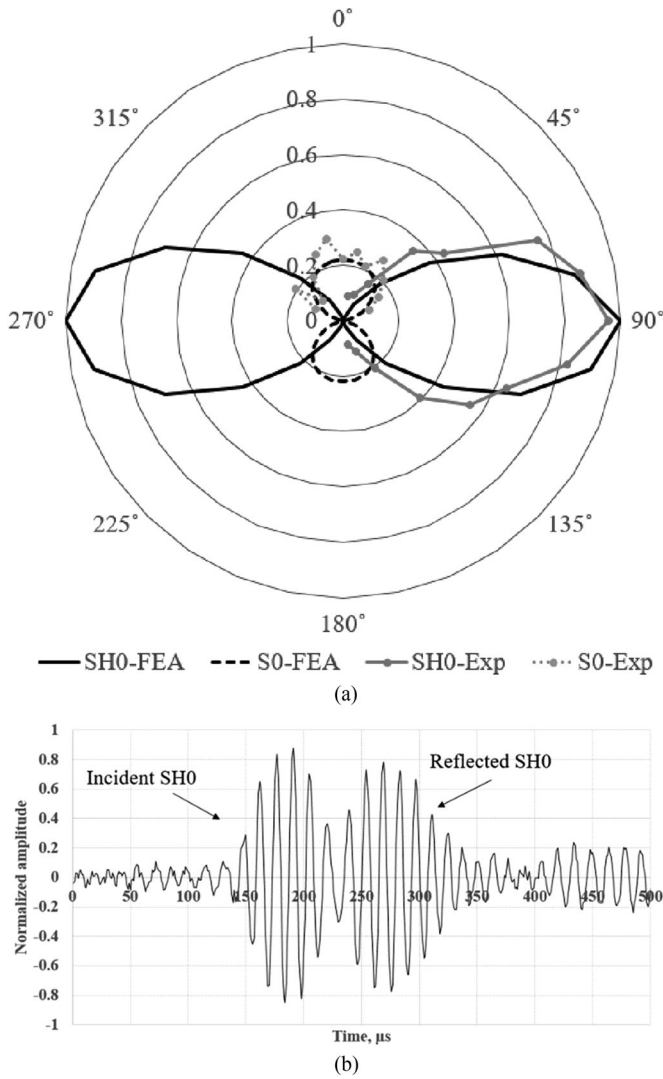


Fig. 10. Laboratory experimental results at 90 kHz. (a) Polar plot illustrating the propagation directionality of the proposed FSM transducer and the vertical axis represents the normalized amplitude and (b) time-domain data illustrating the monitored data at 90°.

298 normalized FEA results [see Fig. 10(a)]. A0 mode is eliminated
 299 due to being buried within the noise level. Directionality patterns
 300 of the proposed FSM transducer match with FEA results. This
 301 illustrates that the SH0 mode can be excited perpendicular to
 302 the axis of vibration by the proposed FSM transducer. Time-
 303 domain data collected at 90° are illustrated in Fig. 10(b). The
 304 first pulse to arrive is the incident SH0 followed by the reflected
 305 SH0 from the near edge. These results can be used to verify that
 306 the propagation direction of SH0 is perpendicular to the axis of
 307 vibration. Numerical and experimental ToA of SH0 is presented
 308 in Table III.

309 B. Field Trials

310 Field trials were performed on a 4 m diameter liquid storage
 311 tank to study the UGW propagation across the tank floor. The
 312 tank floor surface is undulated due to corrosion. Thickness of

TABLE III
 COMPARISON OF NUMERICAL AND EXPERIMENTAL RESULTS—ToA OF SH0
 MODE GENERATED BY THE PROPOSED FSM TRANSDUCER 0.4 m AWAY
 FROM THE POINT OF EXCITATION, WHICH CORRESPONDS TO THE
 INFORMATION SHOWN IN FIG. 10

Mode	Velocity, V_{gr} (m/ms)	Numerical ToA (μ s)	Experimental ToA (μ s)
SH0	3.2	125	127

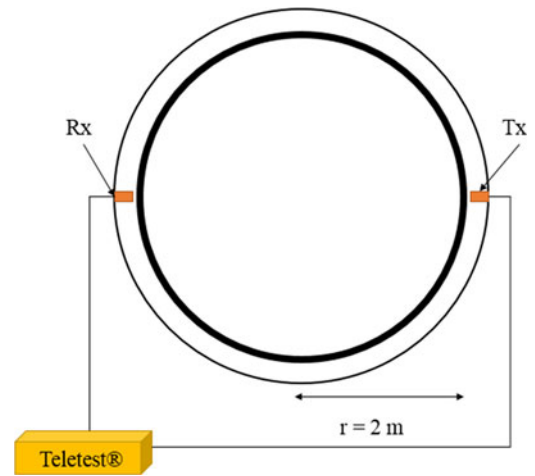


Fig. 11. Setup of the field trials and attached sensors.



Fig. 12. Attached FSM transducer on the 4 m diameter tank floor without any surface preparation.

the floor plate is 6.25 mm. Two transducers were attached on
 opposite sides of the tank floor plate (see Fig. 11). Transducers
 were bonded to the surface using an acrylic adhesive, and during
 the curing process, transducers were held in place with a magnet
 to achieve a rigid surface contact. The Teletest UGW system was
 used to drive the FSM transducer. The data collection was in
 pitch-catch configuration in order to identify excited modes
 discretely. A frequency sweep was conducted from 20 to 120 kHz
 with 1 kHz increments. Hann-windowed pulse modulation was
 applied to excite a discrete input signal. Fig. 13 illustrates the
 contour plot for the acquired data (frequency range of 20–120 kHz)
 and also time-domain representation at 60 kHz.

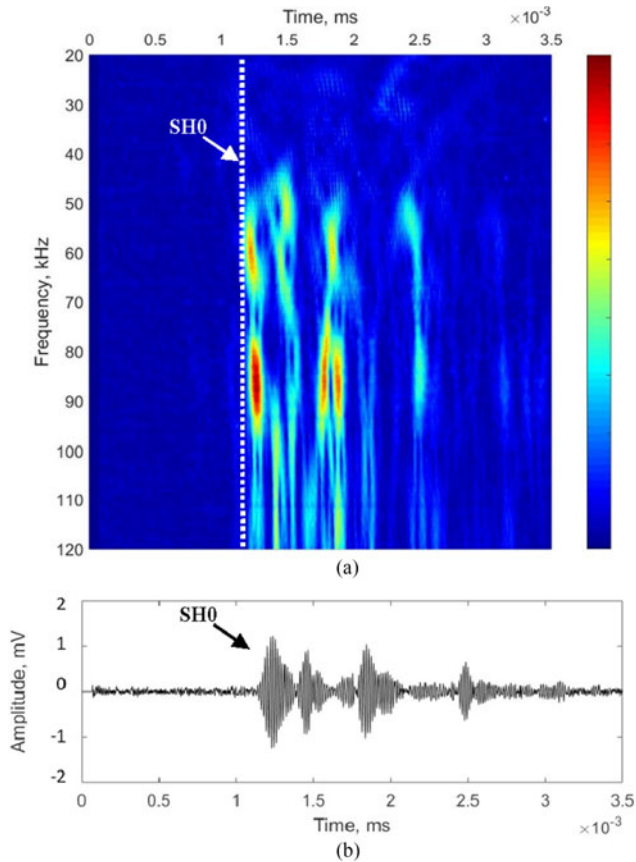


Fig. 13. Field trial results (proposed FSM transducer). (a) Contour plot over 20–120 kHz with 1 kHz increments (dashed line represents the ToA of SH0) and (b) time-domain data at 60 kHz.

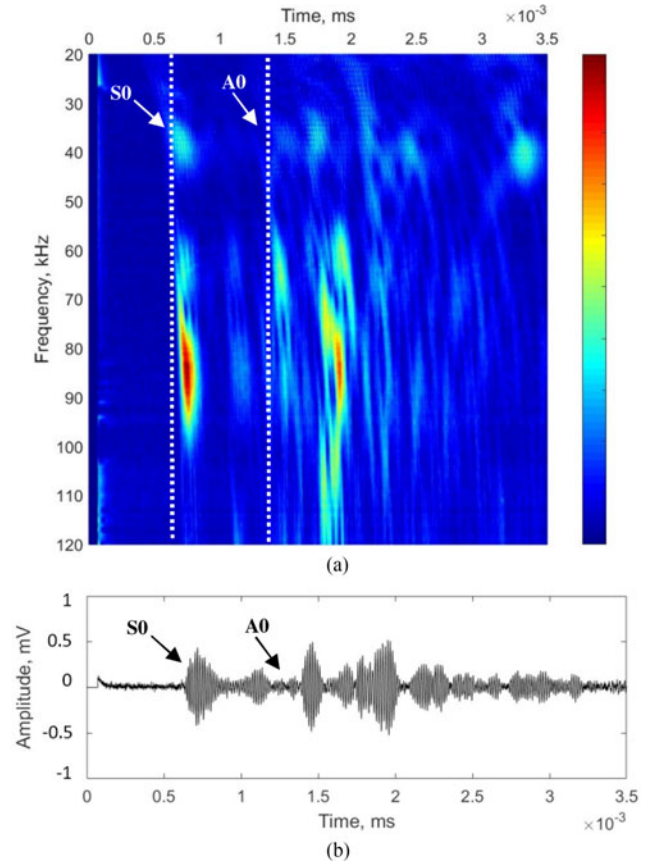


Fig. 14. Field trial results (MFC transducer [9]). (a) Contour plot over 20–120 kHz with 1 kHz increments (dashed lines represent the ToA of S0 and A0) and (b) time-domain data at 60 kHz.

V. PERFORMANCE REVIEW OF THE PROPOSED FSM TRANSDUCER

326
327

328 In this study, an FSM transducer was investigated to operate
329 at the UGW operating frequency range (20–120 kHz) to excite
330 the SH0 mode perpendicular to the axis of vibration. As
331 illustrated in Fig. 2, the SH0 mode should propagate perpendicular
332 to the axis of vibration. However, commercially available
333 flexible MFC transducers excite SH0 diagonally rather than
334 perpendicular to the axis of vibration [24]. This complicates
335 the signal processing and transducer bonding process. In order
336 to overcome this problem, PIC investigated on modifying their
337 current DuraAct patch transducers to excite the SH0 mode for
338 low-frequency UGW applications.

A. Numerical Simulations and Laboratory Trials

339 An FEA was performed to study the characteristics and directionality
340 of the proposed FSM transducer. FEA results are illustrated in
341 Figs. 4 and 5. These results illustrate that the propagation of
342 SH0 is perpendicular to the axis of vibration. SH0 has been
343 predominantly excited compared to S0 and A0 modes. Time-domain
344 results in Fig. 5 illustrate that the A0 mode has comparatively
345 low amplitude, which can be neglected in experimental trials as
346 it is buried within the 6 dB noise level.

347 Laboratory trials were conducted to validate FEA results in
348 Section II-B. Experimental results are in good agreement
349

350 with FEA results, which corresponds to the directionality of
351 the FSM transducer. Time-domain data received at 90° shown
352 in Fig. 10(b) confirms the directional excitation of SH0. Only
353 pulses present are the incident SH0 mode and the reflected SH0
354 mode from the near edge [see Fig. 10(b)]. Table III summarizes
355 the ToA of SH0 from FEA and experimental trials; it has an
356 error of $2 \mu\text{s}$, which is due to the potential assumed material
357 property mismatch.

B. Field Trials

358 As explained in Section I, the primary application of the proposed
359 FSM is to excite the SH0 mode to examine the structural health
360 of above ground liquid storage tank floors. Therefore, FSM
361 transducers were installed on a field tank to study the amplitude
362 response over a range of frequencies. The dashed line in
363 Fig. 13(a) highlights the ToA of the SH0 mode and it is also
364 the first mode to arrive. The fastest mode (S0) in the UGW
365 frequency range is suppressed due to the directional excitation
366 of SH0.
367

368 Commercially available MFC transducers are also investigated
369 in this study as they are currently used to inspect the tank
370 floor using Lamb modes [36]. The same experimental setup is
371 used, as illustrated in Fig. 11. MFC transducers use only S0
372 for inspection due to the complex propagation directionality of
373 SH0 [24]. Experimental results are illustrated in Fig. 14 and

TABLE IV
FIELD TRIAL RESULTS OF THE PROPOSED FSM AND MFC [9] TRANSDUCERS—TOA OF EACH MONITORED MODE 4 M AWAY FROM THE POINT OF EXCITATION COMPARED TO THE EXPECTED TOA, WHICH CORRESPONDS TO THE RESULTS SHOWN IN FIGS. 13 AND 14

	S0	SH0	A0
Excitation	MFC	FSM	MFC
Velocity, V_{gr} (m/ms)	5.4	3.2	2.6
Expected ToA (μ s)	740	1250	1538
Monitored ToA (μ s)	698	1214	1356
Error (%)	5.6	2.8	11.8

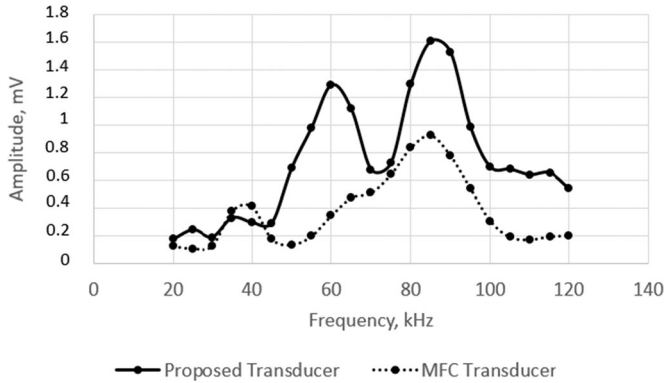


Fig. 15. Amplitude response of investigated transducers (proposed FSM and MFC [9] transducers) over a range of frequencies.

lines in contour plot represent the ToA of S0 and A0. First mode to arrive is the fastest S0 mode. The monitored field trial ToA information is summarized against the expected ToA at 60 kHz in Table IV.

Amplitude response over a range of frequencies for the mode of interest from FSM and MFC (SH0 and S0, respectively) is summarized in Fig. 15. Based on the results illustrated in Fig. 15, FSM transducer has high amplitude response compared to the MFC transducer for the studied application. Both transducers investigated in this study exhibit a broadband frequency response; however, due to the excitability, it shows a high amplitude response at the regions of 55–65 and 80–90 kHz for both transducers. The excitability of a particular mode is defined by the ratio of surface displacement of that mode to applied force when both quantities are measured at the location of interest and the direction [37]. The surface displacement caused by a transducer depends on the material and geometric features of the structure under inspection, which, therefore, cause high amplitude response for certain frequencies due to resonance [38].

C. Applications and Further Work

Based on the characteristics of the proposed FSM transducer, it can be used to assess the structural degradation of assets using UGW for following applications:

- 1) Tank floor inspection,
- 2) Pipe inspection,
- 3) Bridge inspection,
- 4) Railway rail inspection, and
- 5) Composite inspection.

The FSM transducer has a better surface contact compared to the conventional rigid UGW transducers due to its flexibility. However, adaptability of the FSM transducer to other structures has to be investigated and quantified in future studies. Furthermore, defect sensitivity and performance at elevated temperature of the proposed FSM transducer have to be assessed in relation to SHM applications mentioned above. A controlled experiment can be conducted by introducing a growing defect to acquire data over elevating temperatures. The proposed transducer also has the potential to be used in medical applications to obtain a better contact due to its flexibility; this will be investigated in future studies.

VI. CONCLUSION

SHM using UGW is a mature field but can be advanced by achieving higher quality assessment of structural health. There is a gap in the knowledge to improve UGW transducers and their surface contact with structures. As a solution, much research has been conducted on flexible transducers for UGW applications. The present study investigated a flexible transducer, which can be used to excite the SH0 mode perpendicular to the axis of vibration. The proposed FSM transducer can be used to advance the quality of UGW inspection on structural health. The directionality of the proposed transducer is investigated using FEA, and numerical results are validated using the 3-D laser vibrometer under laboratory conditions. Then, field trials were performed to investigate the amplitude response over a frequency range of 20–120 kHz on a liquid storage tank. Commercially available Teletest Focus+ UGW system was used in both laboratory and field experiments to drive the transducer. The proposed transducer can improve the surface contact on irregular surfaces as an inherent feature. Compared to the commercially available MFC transducer, FSM transducer has a high amplitude response. This transducer can also ease the signal processing due to the directional excitation of SH0 and open up new applications of SHM using UGW.

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Queries

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- Q1. Author: Please note that Refs. [18] and [20] were identical, and Ref. [20] has been deleted. The subsequent references have been renumbered. Please check. 667
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- Q2. Author: Please provide the citation of Fig. 12 in the text. 669
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- Q8. Author: Please provide the year in which Jamil Kanfoud received the Master's and M.Phil. degrees, and Tat-Hean Gan received the Graduate and Ph.D. degrees. 676
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- Q9. Author: Please provide the educational details of Cem Selcuk. 678

Flexible Shear Mode Transducer for Structural Health Monitoring Using Ultrasonic Guided Waves

Premesh S. Lowe, *Member, IEEE*, Timo Scholehwar, Jimmy Yau, Jamil Kanfoud, Tat-Hean Gan, and Cem Selcuk

Abstract—The application of the fundamental shear-horizontal wave mode for guided wave structural health monitoring is undoubtedly beneficial due to its nondispersive characteristics. Existing guided wave shear mode transducers are rigid and brittle, because of these characteristics, bonding them to irregular surfaces (i.e., curved surfaces) is challenging. There is a huge market interest in the development of a flexible shear mode transducer, which eases the transducer bonding process onto irregular surfaces and improves the surface contact between the transducer and the structure. This study presents a flexible shear mode transducer for structural health monitoring using low-frequency guided waves (20–120 kHz). The proposed transducer is manufactured using piezoceramic, and based on the results of this study, it exhibits the directional excitation of fundamental shear-horizontal mode at 20–120 kHz. Finite element analysis and laboratory experiments were conducted to study the behavior of the proposed transducer. Field trials were conducted on a liquid storage tank with an undulated surface (due to corrosion). The performance of the proposed transducer is also compared to the commercially available macro fiber composite transducers. The proposed transducer was driven by the industrialized ultrasonic guided wave inspection system; Teletest Focus+ in line with the application of tank floor inspection using ultrasonic guided waves.

Index Terms—Directionality, flexibility, industrialization, shear mode transducer, structural health monitoring (SHM), ultrasonic guided wave (UGW).

I. INTRODUCTION

STRUCTURAL health monitoring (SHM) of degrading engineering structures due to various factors (such as corrosion) is important as degradation could lead to structural

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instability causing harm to the industry and the environment. Low-frequency (20–120 kHz) ultrasonic guided waves (UGWs) are widely used to inspect the structural health of elongated structures (i.e., pipes, plates, rails, cables) due to their inherent feature of long-range propagation [1]. Commercial UGW systems have evolved vastly over two decades to fulfill industry requirements. The development of UGW transducers is a core aspect with great interest, particularly for the inspection of complex structures (such as floor of liquid storage tanks). Early research on transducer development for commercial UGW systems has been conducted by Alleyne and Cawley, where they developed a transducer with thickness-shear motion to excite Lamb waves on a plate [2]. The development of flexible transducers for UGW application became imperative since early 2000 with the interest of inspecting structures with curved and/or irregular surfaces [3], [4].

Piezoelectric films (polyvinylidene fluoride, PVDF) is one of the earliest flexible transducers for UGW application [5]. PVDF transducers are flexible and durable. Compared to lead zirconate titanate (PZT), PVDF requires higher actuation power and heavy amplification. PVDFs work better in a higher frequency range (0.5–4 MHz) [5], and this limits the long-range propagation capabilities of UGW due to the typically higher attenuation rates at these frequencies. Another transducer was developed by combining the electromechanical efficiency of PZT with mechanical flexibility. Examples of these are active fiber composite (AFC) and macro fiber composite (MFC) [3]. AFC and MFC are thin piezoceramic fibers that are aligned unidirectionally and exploit the use of interdigitated electrodes, which deliver a stronger longitudinal piezoelectric effect along the length of fibers [4]. This is performed by applying an electric field in the direction of fiber axis, which in turn generates a stronger longitudinal (d_{33} constant) piezoelectric effect. AFCs operate in low hundreds of kHz range [6] and MFCs operate in tens of kHz to MHz range [7], [8]. The main advantage of MFCs in comparison to AFCs and PVDFs is the reduced manufacturing cost. MFCs are mass manufactured and distributed by Smart Material Corp. [9].

The patch transducer is a piezoceramic-based flexible transducer (commercial name—DuraAct), which was designed by German Aerospace Centre and is manufactured and distributed by PI Ceramic (PIC) GmbH [10]. The DuraAct transducer operates in kHz to MHz range depending on the application requirement [11]. DuraAct transducers were initially used to detect the

82 impact of a tail boom structure in 2010 using guided waves
 83 [12]. Since then, DuraAct transducers are used for many ap-
 84 plications using UGWs in different frequency ranges. DuraAct
 85 transducers have gained a higher interest in the Aerospace in-
 86 dustry to inspect composite materials [13], [14]. Compared to
 87 other flexible transducers, DuraAct transducers have proven to
 88 survive under harsh environmental conditions [15]. Standard
 89 MFCs cannot operate beyond 80°C, whereas DuraAct can oper-
 90 ate up to 150–200°C, though the mechanical flexibility is limited
 91 at these temperatures. Lifespan of piezoceramic patch transduc-
 92 ers was investigated in 2007 [16]. A key parameter investigated
 93 in this study was the flexibility of piezoceramic patch transduc-
 94 ers by studying the performance of the transducer under tensile
 95 stress. As an outcome, minimum bending radius (r_{\min}) can be
 96 calculated as follows and it depends on the ceramic thickness:

$$r_{\min} = 8.e^{t.4.4} \quad (1)$$

97 where e is the Euler constant, and t is the thickness of the
 98 ceramic layer in mm.

99 Common features of the aforementioned flexible transducers
 100 (PVDF, AFC, MFC, and DuraAct) are flexibility, lightweight
 101 [17], and more importantly, these transducers predominantly
 102 excite Lamb modes [18]. According to the literature, research
 103 on flexible transducer development was limited to excite Lamb
 104 modes [18], [19] or they operate at a higher frequency range
 105 (above 0.5 MHz) [20]–[22].

106 As stated in the literature, the most preferable UGW mode for
 107 the inspection of liquid storage tank floors is the fundamental
 108 shear-horizontal wave mode due to the relatively low attenua-
 109 tion and dispersion rates [1], [23]. Based on surface irregularities
 110 caused by the corrosion, transducers have to be flexible and can-
 111 not be rigid and/or brittle for this application. Currently, com-
 112 mercially available MFC transducers are used to obtain a better
 113 surface contact compared to rigid transducers [9]. However,
 114 based on directionality patterns of the MFC transducer, only the
 115 fundamental symmetric Lamb mode can be used for inspection,
 116 as the fundamental shear mode is excited in the diagonal direc-
 117 tion, which complicates the signal processing [24]. The MFC
 118 transducer generates both fundamental Lamb modes in one di-
 119 rection, which complicates the signal interpretation. There is a
 120 higher market interest in the development of a flexible trans-
 121 ducer, which generates the fundamental shear-horizontal mode
 122 perpendicular to the axis of vibration. According to author's
 123 knowledge, no attention has been given to develop a flexible
 124 transducer, which predominantly excites shear modes with di-
 125 rectionality at low-frequency range (20–120 kHz).

126 This study presents a flexible transducer, which generates the
 127 fundamental shear-horizontal mode perpendicular to the axis of
 128 vibration. The frequency range of interest is 20–120 kHz due
 129 to the long-range propagation capabilities of UGWs for SHM
 130 [1]. The behavior of the proposed transducer was studied using
 131 numerical simulations, and laboratory experiments were con-
 132 ducted to validate the numerical results. Proposed transducers
 133 were also installed onto a liquid storage tank with an undu-
 134 lated surface (due to corrosion) to study the performance over a
 135 range of frequencies. Commercially available Teletest Focus+

UGW system [25] was used to excite the transducer during both
 laboratory and field trials.

This paper is organized as follows. Numerical simulations
 are presented in Section II, and the manufacturing process of
 the proposed flexible shear mode (FSM) transducer is reported
 in Section III. Laboratory experiments and field trial results are
 documented in Section IV. Section V presents the performance
 review of the proposed transducer, followed by the conclusions
 in Section VI.

II. NUMERICAL SIMULATION

A. Finite Element Analysis (FEA)

Finite element analysis (FEA) has been performed to study the
 wave propagation behavior (directionality) of the proposed FSM
 transducer. With increased complexity of the analysis, analytical
 calculations are no longer practical [26]. A three-dimensional
 (3-D) model was built to conduct the aforementioned numerical
 analysis using ABAQUS/EXPLICIT version 6.13 [27]–[29]. A
 solid transient analysis was conducted, which is governed by
 Navier's equation of motion for an isotropic media as follows
 [1]:

$$(\lambda + \mu) \nabla \nabla \cdot u + \mu \nabla^2 u = \rho \left(\frac{\partial^2 u}{\partial t^2} \right) \quad (2)$$

where λ and μ are Lamé constants, u is the 3-D displacement
 vector, ∇^2 is the 3-D Laplace operator, and ρ is the material den-
 sity. Unknown potentials governing the Lamb (3) and shear (4)
 modes can be extracted as follows by substituting the potentials
 of Helmholtz decomposition into (2):

$$\left(\frac{\partial^2 \emptyset}{\partial t^2} \right) = c_l^2 \nabla^2 \emptyset \quad (3)$$

$$\left(\frac{\partial^2 \Phi}{\partial t^2} \right) = c_s^2 \nabla^2 \Phi \quad (4)$$

where \emptyset is the compressional scalar potential, Φ is the equivolu-
 minal vector potential, and c_l and c_s are the velocities of Lamb
 and shear modes, respectively.

A circular plate was modeled with a 0.4 m radius and a thick-
 ness of 6.25 mm. Dispersion curves for a 6.25 mm thick steel
 plate are illustrated in Fig. 1. Dispersion curves are plotted us-
 ing the commercially available software DISPERSE [30]. The
 dispersion curve diagram illustrates the velocity of mode in re-
 lation to the frequency with separate curves for each existing
 mode in a frequency range. Based on the geometry and the op-
 erating frequency range of the current study, excitable modes
 are fundamental symmetric Lamb mode S0, asymmetric Lamb
 mode A0, and shear-horizontal mode SH0. As illustrated in
 Fig. 1, SH0 is the only nondispersive mode in the UGW op-
 erating frequency range, which is of a great interest for UGW
 inspection [1]. The size of the active ceramic plate of the pro-
 posed FSM transducer is $25 \times 25 \times 0.2 \text{ mm}^3$ (width, height, and
 thickness, respectively). The overall actuator size is $38 \times 30 \times$
 0.5 mm^3 due to the necessary encapsulation and electrical con-
 tacts. Since the difference in volume is passive, it does not have a

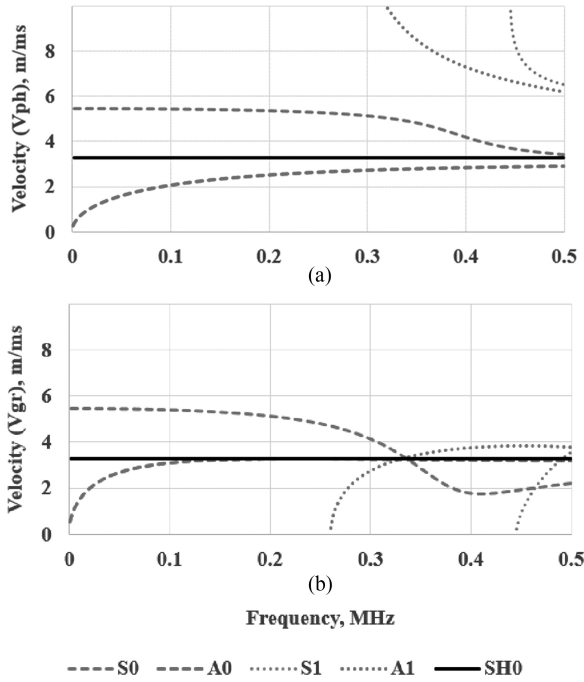


Fig. 1. Dispersion curves for a 6.25 mm thick steel plate: (a) phase velocity and (b) group velocity.

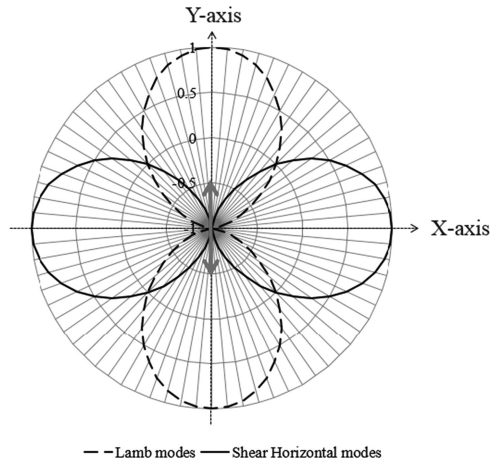


Fig. 2. Directivity pattern of the Lamb and shear-horizontal modes due to the surface shear vibration in the y -axis caused by a point source. The vertical axis represents the amplitude.

181 significant influence on the performance or symmetry of the
182 waves generated.

183 Theoretical wave propagation directionality of a point source
184 vibrating in-plane is illustrated in Fig. 2 [24]. Unlike Lamb
185 modes, SH modes propagate perpendicular to the axis of vibra-
186 tion. As shown in Fig. 2, if the transducer vibrates in the y -axis,
187 SH mode should propagate in both x -axis directions and Lamb
188 modes should propagate in both y -axis directions [31]. Assumed
189 material properties for the FEA are presented in Table I. The
190 vibration mode of the modeled PIC 255 soft PZT [32] is the 1–5
191 thickness-shear mode.

192 Layout of the FE model is illustrated in Fig. 3. Transducer
193 was bonded at the center of the plate using tie constraint to

TABLE I
ASSUMED MATERIAL PROPERTIES FOR THE NUMERICAL SIMULATION

Property	Steel	PIC 255 soft PZT
Density (ρ)	7830 kg/m ³	7800 kg/m ³
Young's modulus (E)	207 GPa	110 GPa
Poisson's ratio (ν)	0.3	0.36
Piezoelectric charge (d_{15})		$550 \cdot 1e - 12$ m/V
Piezoelectric voltage (g_{15})		$37 \cdot 1e - 3$ Vm/N
Coupling factor (k_{15})		0.66

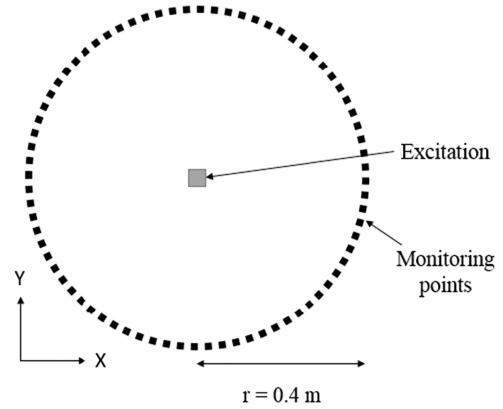


Fig. 3. Layout of the finite element model.

obtain a firm surface contact [27]. Size of mesh elements (h_o) 194
was in the range of 3.125–3.13 mm and calculated as follows: 195

$$h_o = \frac{c}{Nf_o} \quad (5)$$

where c is the velocity of the slowest mode, N is the number 196
of elements per wavelength, and f_o is the frequency of interest. 197
The linear eight node brick elements with reduced integration 198
(ABAQUS element type C3D8R) were used to achieve efficient 199
computation time, and the mesh refinement was such that there 200
were at least eight elements to represent the smallest possible 201
wavelength in the main lobe of the frequency bandwidth. This 202
level of mesh refinement has been validated in the previous stud- 203
ies [26], [33]. The excitation tone-burst was a 90 kHz 5-cycle 204
Hann-windowed pulse. This particular frequency and the num- 205
ber of cycles were chosen in order to individually identify modes 206
due to the length limitation of the modeled plate. Transmitted 207
signals were monitored 0.4 m away from the excitation. 208

B. FEA Results

209 Numerical results are illustrated in Figs. 4 and 5. Fig. 4 repre- 210
sents contour plots of propagated waves at different time incre- 211
ments and illustrates that the SH0 mode is predominantly excited 212
in both x -axis directions according to the coordinates shown in 213
Fig. 2. The polar plot in Fig. 5(a) validates the above-mentioned 214
claim and matches with the theoretical wave propagation behav- 215
ior of a shear mode transducer as reported in the literature 216
(see Fig. 2). The time-domain data presented in Fig. 5(b) show 217
that SH0 is the only propagated mode at 90°. This gives the confi- 218
dence to use the proposed FSM transducer for the tank floor 219
inspection using UGW due to the predominant and directional 220

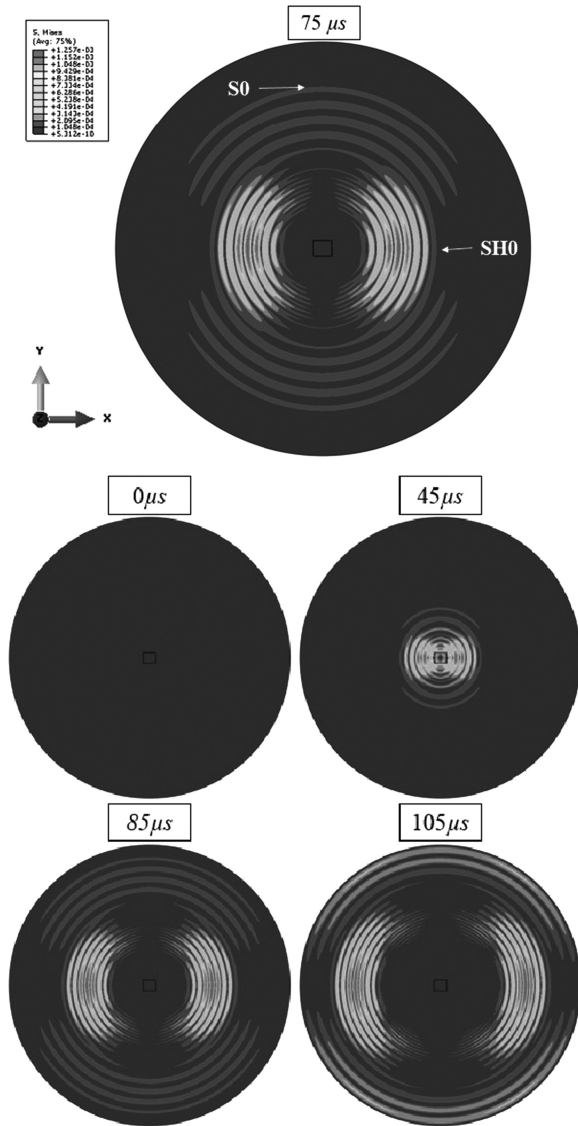


Fig. 4. FEA results; wave propagation at different time increments on a 0.4 m radius steel plate at 90 kHz and the color scale represents the von-Mises stress.

221 excitation of SH0. This is further investigated in Section IV-B
 222 and further discussed in Section V. The first mode to arrive is
 223 S0, which is the fastest mode, and then SH0 and A0. Time of
 224 arrival (ToA) of each mode is presented in Table II, and ToA
 225 can be calculated as follows:

$$\text{ToA} = \frac{x}{V_{gr}} \quad (6)$$

226 where x is the distance of propagation, and V_{gr} is the group
 227 velocity of the mode of interest.

228 III. MANUFACTURING PROCESS OF THE 229 PROPOSED TRANSDUCER

230 The proposed FSM transducer has a similar manufacturing
 231 process in relation to the existing DuraAct transducers, which
 232 operates longitudinally (see Section I) [12]. Manufacturing pro-
 233 cess of the proposed transducer is as follows. The first step of

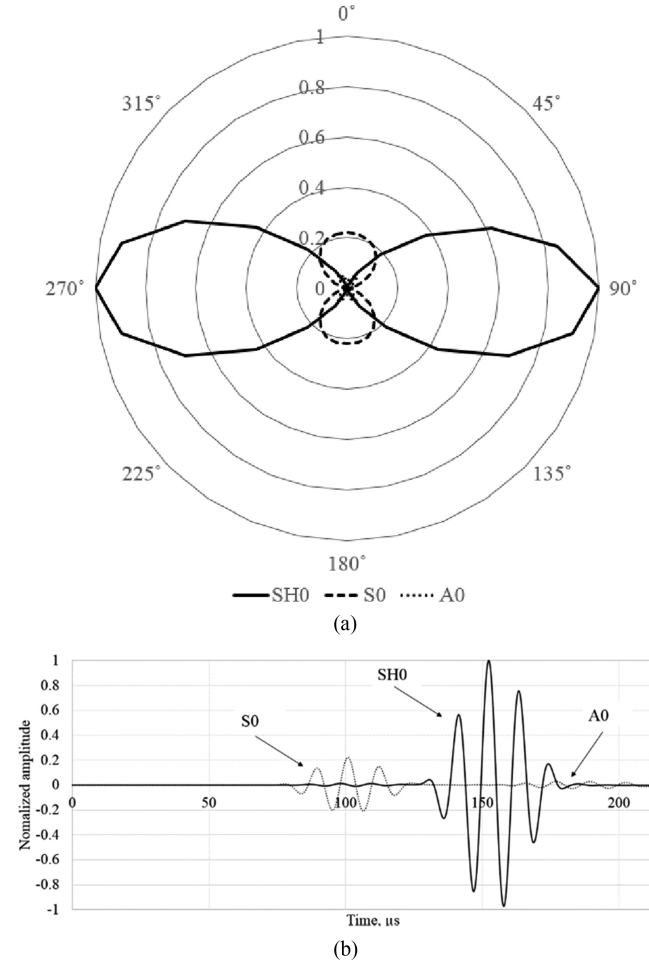


Fig. 5. Numerical modeling results at 90 kHz. (a) Polar plot illustrating the propagation directionality of the proposed shear transducer and the vertical axis represents the normalized amplitude and (b) time-domain data illustrating the monitored data at 0° (dotted line) and 90° (solid line).

TABLE II
 NUMERICAL RESULTS—ToA OF EACH MODE MONITORED 0.4 M AWAY
 FROM THE POINT OF EXCITATION, WHICH CORRESPONDS TO THE
 INFORMATION SHOWN IN FIG. 5

Mode	Velocity, V_{gr} (m/ms)	ToA (μ s)
S0	5.4	74
SH0	3.2	125
A0	2.6	153

234 manufacturing the proposed FSM transducer is the production
 235 of thickness-shear piezoceramic plates. The PZT material used
 236 in the FSM transducer is PIC 255 soft PZT [32]. PZT blocks,
 237 approximately in the dimensions of $40 \times 30 \times 25 \text{ mm}^3$ are
 238 polarized at 2, 5–3, 5 kV/mm. Followed by cutting blocks into
 239 plates at 0° toward the polarization vector. The polarization is
 240 in-plane and marked by a small notch, as illustrated in Fig. 6.
 241 Then, electrodes are sputtered and plates are cut to final size
 242 (dimensions of the active element are shown in Fig. 6). The
 243 production process for these shear plates has to be carried out
 244 in ambient temperature (i.e., significantly less than the Curie

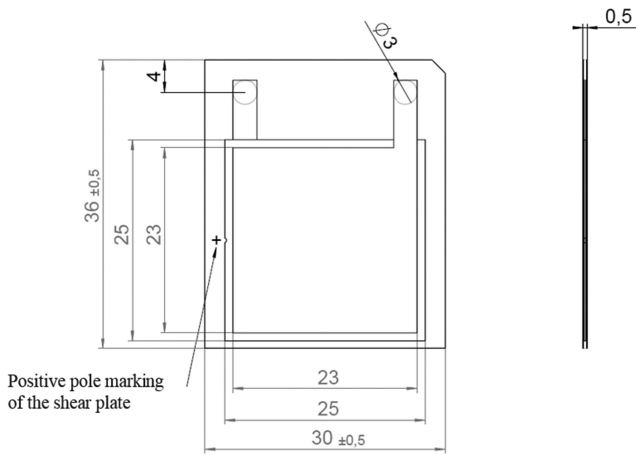


Fig. 6. Schematics of the proposed FSM transducer, dimensions are in mm. Published courtesy of PI Ceramic GmbH.

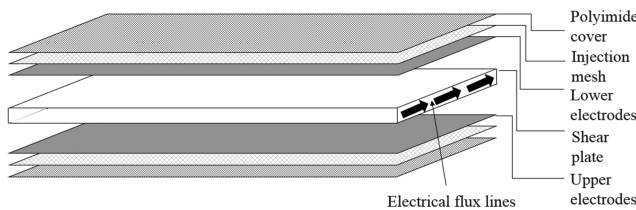


Fig. 7. Different layers in the proposed FSM transducer.

245 temperature). When an electrical signal is applied to the electrodes, the polarization vector of ferroelectric domains in the material is forced to turn toward the signal field, resulting in an opposite shear movement of electrode surfaces in the direction of the polarization field.

250 After completion of the production of shear plates, next step is the assembly process. Various layers of the proposed FSM transducer are dry-stacked in an autoclave as follows (see Fig. 7):

- 253 1) Polyimide prepreg cover,
- 254 2) Lower injection mesh (polyimide),
- 255 3) Lower collector electrodes,
- 256 4) Manufactured PZT shear plate and the positioning frame,
- 257 5) Upper collector electrodes and soldering pads,
- 258 6) Upper injection mesh, and
- 259 7) Polyimide prepreg cover.

260 This stack is then sealed on two sides. One open side is connected to a polyimide resin tank and the other is open to the autoclave's vacuum. Then, the autoclave is evacuated and heated up to $\sim 200^\circ\text{C}$. The vacuum draws the resin through both injection mesh layers, which is followed by the resin curing. At the final step, transducers were laser-cut from the cured stack.

266 Soldering pads were also uncovered during this process.
 267 Curing of the transducer exerts a permanent lateral pressure of ~ 44 MPa. This in return results in a much tighter potential bending radius because this inner stress has to be overcome before there is any actual negative stress in the surface of the ceramic. This will inherit the flexibility to the proposed transducer, which is an important characteristic in bonding transducers on to an irregular or curved surface. Thickness of the PZT element used in the proposed FSM transducer is 0.2 mm and

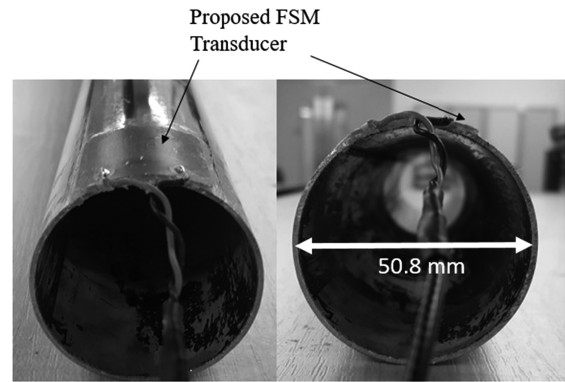


Fig. 8. Photograph of the proposed FSM transducer attached to a 50.8 mm diameter pipe to illustrate the flexibility of the transducer (illustrating 10 mm bending radius).

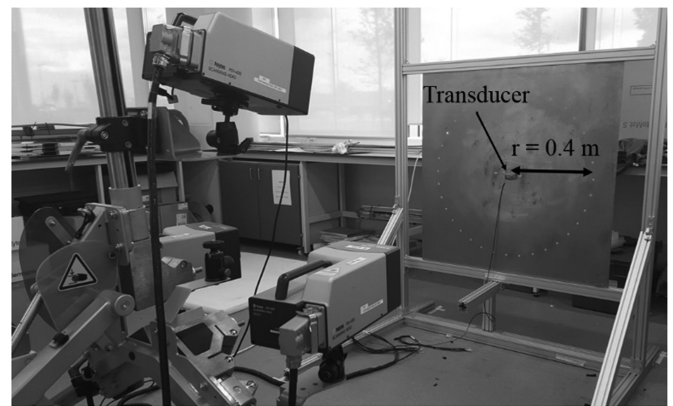


Fig. 9. Laboratory experimental setup.

275 according to (1), bending radius of the proposed FSM transducer is 20 mm. Photographs of the proposed FSM transducer are shown in Fig. 8. The proposed FSM transducer is attached to the edge of a 50.8 mm diameter steel pipe using acrylic adhesive showing a 10 mm bending radius for illustration purposes.

IV. EXPERIMENTAL VALIDATION

A. Laboratory Experiment

282 A total of 20 prototype FSM transducers were manufactured as explained in Section III by PIC GmbH [10]. These transducers were then tested under laboratory conditions to validate the FEA results in Section II-B. 1 m square 6.25 mm thick mild steel plate was chosen to match the material properties assumed for FEA in Section II-B. Retroreflective tapes [34] were attached on the plate with a radius of 0.4 m from the center of the plate. Poltec 3D PSV-400 scanning vibrometer [35] was used to monitor the signals 0.4 m away from the excitation. This type of experimental setup is validated to be accurate in order to characterize transducers in the literature by Haig *et al.* [24]. 90 kHz 5-cycle Hann-windowed pulse was used as the input tone-burst, and the transducer was driven by the commercially available UGW system Teletest. The experimental setup is illustrated in Fig. 9.

296 Laboratory experimental results are illustrated in Fig. 10. The propagation directionality of wave modes is plotted against

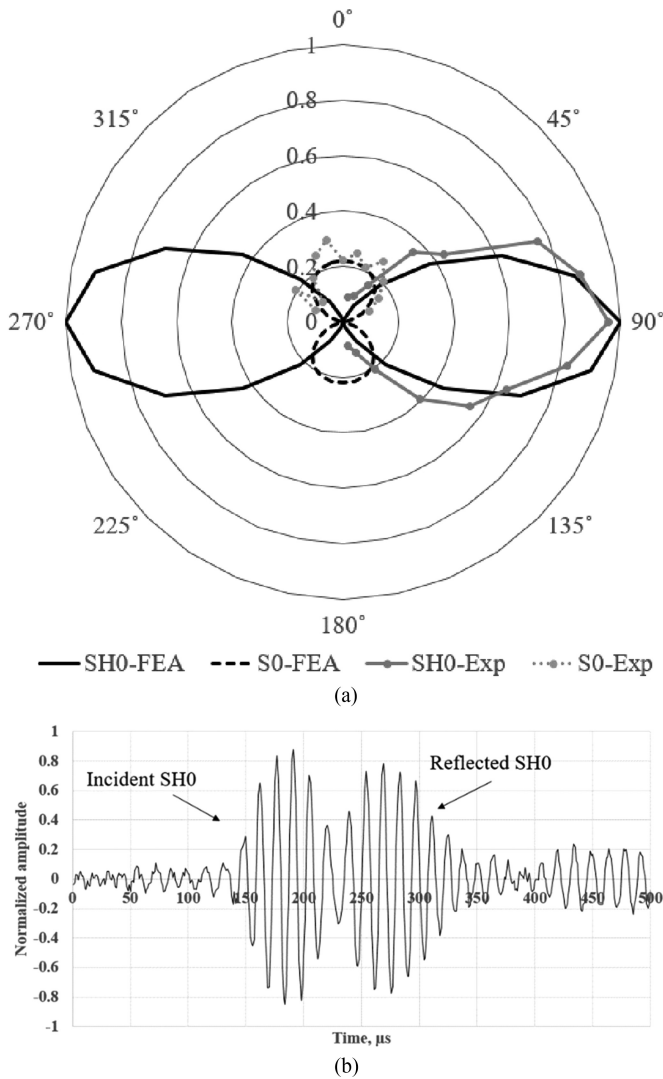


Fig. 10. Laboratory experimental results at 90 kHz. (a) Polar plot illustrating the propagation directionality of the proposed FSM transducer and the vertical axis represents the normalized amplitude and (b) time-domain data illustrating the monitored data at 90°.

298 normalized FEA results [see Fig. 10(a)]. A0 mode is eliminated
 299 due to being buried within the noise level. Directionality patterns
 300 of the proposed FSM transducer match with FEA results. This
 301 illustrates that the SH0 mode can be excited perpendicular to
 302 the axis of vibration by the proposed FSM transducer. Time-
 303 domain data collected at 90° are illustrated in Fig. 10(b). The
 304 first pulse to arrive is the incident SH0 followed by the reflected
 305 SH0 from the near edge. These results can be used to verify that
 306 the propagation direction of SH0 is perpendicular to the axis of
 307 vibration. Numerical and experimental ToA of SH0 is presented
 308 in Table III.

309 B. Field Trials

310 Field trials were performed on a 4 m diameter liquid storage
 311 tank to study the UGW propagation across the tank floor. The
 312 tank floor surface is undulated due to corrosion. Thickness of

TABLE III
 COMPARISON OF NUMERICAL AND EXPERIMENTAL RESULTS—ToA OF SH0
 MODE GENERATED BY THE PROPOSED FSM TRANSDUCER 0.4 m AWAY
 FROM THE POINT OF EXCITATION, WHICH CORRESPONDS TO THE
 INFORMATION SHOWN IN FIG. 10

Mode	Velocity, V_{gr} (m/ms)	Numerical ToA (μ s)	Experimental ToA (μ s)
SH0	3.2	125	127

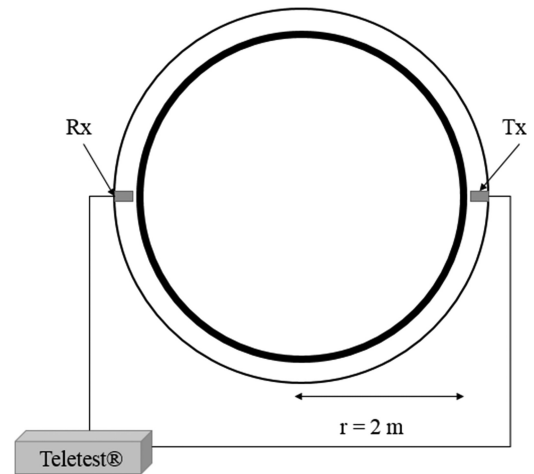


Fig. 11. Setup of the field trials and attached sensors.



Fig. 12. Attached FSM transducer on the 4 m diameter tank floor without any surface preparation.

the floor plate is 6.25 mm. Two transducers were attached on
 opposite sides of the tank floor plate (see Fig. 11). Transducers
 were bonded to the surface using an acrylic adhesive, and during
 the curing process, transducers were held in place with a magnet
 to achieve a rigid surface contact. The Teletest UGW system was
 used to drive the FSM transducer. The data collection was in
 pitch-catch configuration in order to identify excited modes
 discretely. A frequency sweep was conducted from 20 to 120 kHz
 with 1 kHz increments. Hann-windowed pulse modulation was
 applied to excite a discrete input signal. Fig. 13 illustrates the
 contour plot for the acquired data (frequency range of 20–120 kHz)
 and also time-domain representation at 60 kHz.

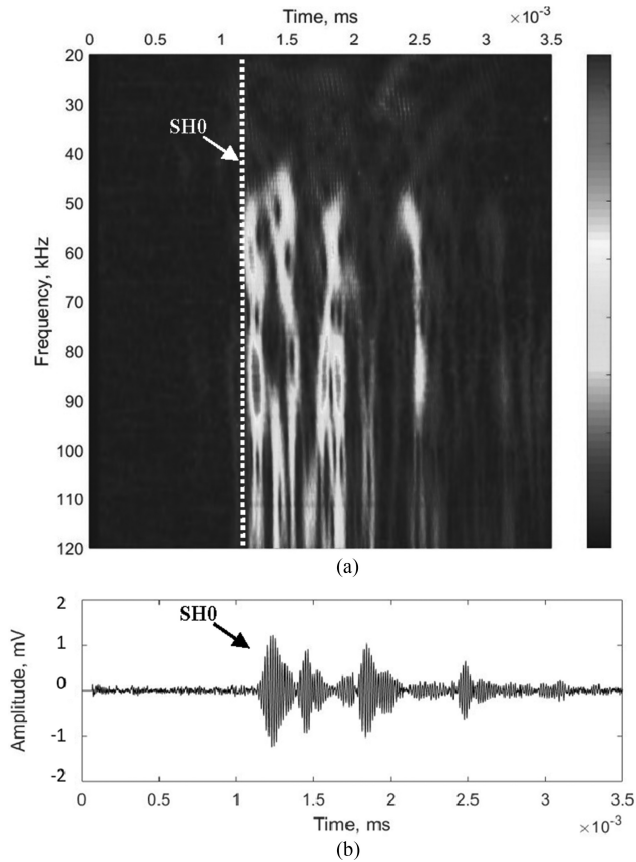


Fig. 13. Field trial results (proposed FSM transducer). (a) Contour plot over 20–120 kHz with 1 kHz increments (dashed line represents the ToA of SH0) and (b) time-domain data at 60 kHz.

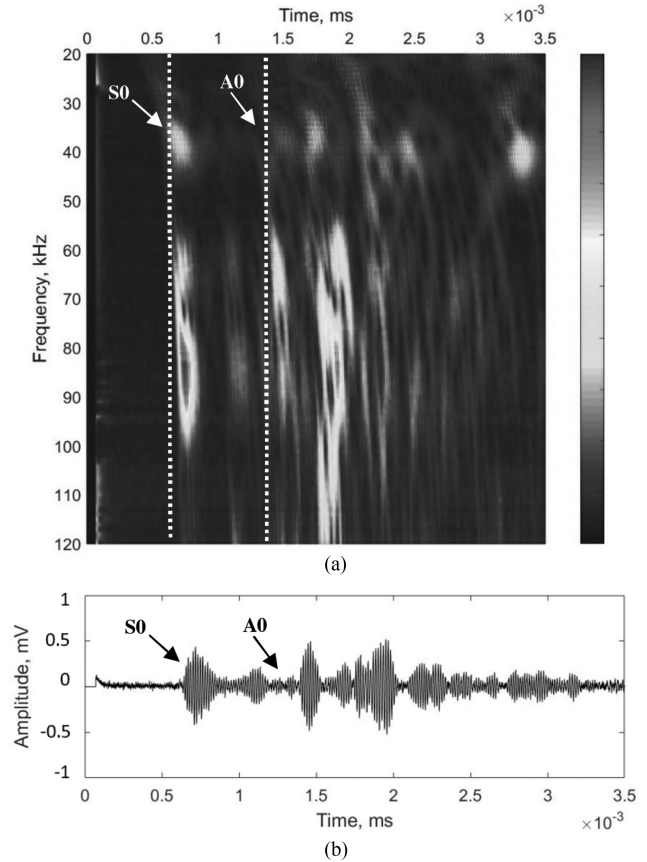


Fig. 14. Field trial results (MFC transducer [9]). (a) Contour plot over 20–120 kHz with 1 kHz increments (dashed lines represent the ToA of S0 and A0) and (b) time-domain data at 60 kHz.

V. PERFORMANCE REVIEW OF THE PROPOSED FSM TRANSDUCER

326
327

328 In this study, an FSM transducer was investigated to operate
329 at the UGW operating frequency range (20–120 kHz) to excite
330 the SH0 mode perpendicular to the axis of vibration. As
331 illustrated in Fig. 2, the SH0 mode should propagate perpendicular
332 to the axis of vibration. However, commercially available
333 flexible MFC transducers excite SH0 diagonally rather than
334 perpendicular to the axis of vibration [24]. This complicates
335 the signal processing and transducer bonding process. In order
336 to overcome this problem, PIC investigated on modifying their
337 current DuraAct patch transducers to excite the SH0 mode for
338 low-frequency UGW applications.

A. Numerical Simulations and Laboratory Trials

340 An FEA was performed to study the characteristics and directionality
341 of the proposed FSM transducer. FEA results are illustrated in Figs. 4 and 5. These results illustrate that the propagation of SH0 is perpendicular to the axis of vibration. SH0 has been predominantly excited compared to S0 and A0 modes. Time-domain results in Fig. 5 illustrate that the A0 mode has comparatively low amplitude, which can be neglected in experimental trials as it is buried within the 6 dB noise level.

348 Laboratory trials were conducted to validate FEA results
349 in Section II-B. Experimental results are in good agreement

350 with FEA results, which corresponds to the directionality of
351 the FSM transducer. Time-domain data received at 90° shown
352 in Fig. 10(b) confirms the directional excitation of SH0. Only
353 pulses present are the incident SH0 mode and the reflected SH0
354 mode from the near edge [see Fig. 10(b)]. Table III summarizes
355 the ToA of SH0 from FEA and experimental trials; it has an
356 error of 2 μ s, which is due to the potential assumed material
357 property mismatch.

B. Field Trials

358 As explained in Section I, the primary application of the proposed
359 FSM is to excite the SH0 mode to examine the structural health
360 of above ground liquid storage tank floors. Therefore, FSM
361 transducers were installed on a field tank to study the amplitude
362 response over a range of frequencies. The dashed line in Fig. 13(a)
363 highlights the ToA of the SH0 mode and it is also the first mode
364 to arrive. The fastest mode (S0) in the UGW frequency range is
365 suppressed due to the directional excitation of SH0.
367

368 Commercially available MFC transducers are also investigated
369 in this study as they are currently used to inspect the tank floor
370 using Lamb modes [36]. The same experimental setup is used,
371 as illustrated in Fig. 11. MFC transducers use only S0 for
372 inspection due to the complex propagation directionality of SH0
373 [24]. Experimental results are illustrated in Fig. 14 and dashed

TABLE IV
FIELD TRIAL RESULTS OF THE PROPOSED FSM AND MFC [9]
TRANSDUCERS—TOA OF EACH MONITORED MODE 4 M AWAY FROM THE
POINT OF EXCITATION COMPARED TO THE EXPECTED TOA, WHICH
CORRESPONDS TO THE RESULTS SHOWN IN FIGS. 13 AND 14

	S0	SH0	A0
Excitation	MFC	FSM	MFC
Velocity, V_{gr} (m/ms)	5.4	3.2	2.6
Expected ToA (μ s)	740	1250	1538
Monitored ToA (μ s)	698	1214	1356
Error (%)	5.6	2.8	11.8

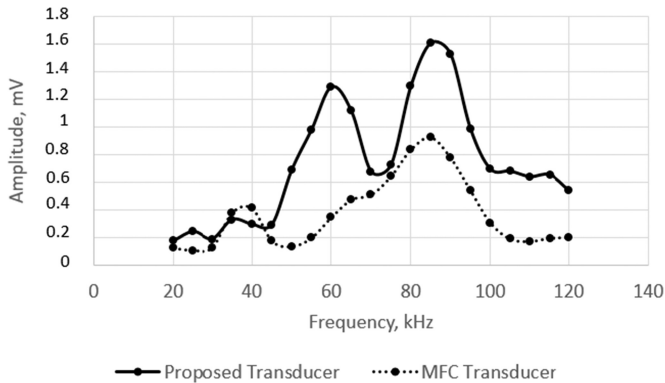


Fig. 15. Amplitude response of investigated transducers (proposed FSM and MFC [9] transducers) over a range of frequencies.

lines in contour plot represent the ToA of S0 and A0. First mode to arrive is the fastest S0 mode. The monitored field trial ToA information is summarized against the expected ToA at 60 kHz in Table IV.

Amplitude response over a range of frequencies for the mode of interest from FSM and MFC (SH0 and S0, respectively) is summarized in Fig. 15. Based on the results illustrated in Fig. 15, FSM transducer has high amplitude response compared to the MFC transducer for the studied application. Both transducers investigated in this study exhibit a broadband frequency response; however, due to the excitability, it shows a high amplitude response at the regions of 55–65 and 80–90 kHz for both transducers. The excitability of a particular mode is defined by the ratio of surface displacement of that mode to applied force when both quantities are measured at the location of interest and the direction [37]. The surface displacement caused by a transducer depends on the material and geometric features of the structure under inspection, which, therefore, cause high amplitude response for certain frequencies due to resonance [38].

C. Applications and Further Work

Based on the characteristics of the proposed FSM transducer, it can be used to assess the structural degradation of assets using UGW for following applications:

- 1) Tank floor inspection,
- 2) Pipe inspection,
- 3) Bridge inspection,
- 4) Railway rail inspection, and
- 5) Composite inspection.

The FSM transducer has a better surface contact compared to the conventional rigid UGW transducers due to its flexibility. However, adaptability of the FSM transducer to other structures has to be investigated and quantified in future studies. Furthermore, defect sensitivity and performance at elevated temperature of the proposed FSM transducer have to be assessed in relation to SHM applications mentioned above. A controlled experiment can be conducted by introducing a growing defect to acquire data over elevating temperatures. The proposed transducer also has the potential to be used in medical applications to obtain a better contact due to its flexibility; this will be investigated in future studies.

VI. CONCLUSION

SHM using UGW is a mature field but can be advanced by achieving higher quality assessment of structural health. There is a gap in the knowledge to improve UGW transducers and their surface contact with structures. As a solution, much research has been conducted on flexible transducers for UGW applications. The present study investigated a flexible transducer, which can be used to excite the SH0 mode perpendicular to the axis of vibration. The proposed FSM transducer can be used to advance the quality of UGW inspection on structural health. The directionality of the proposed transducer is investigated using FEA, and numerical results are validated using the 3-D laser vibrometer under laboratory conditions. Then, field trials were performed to investigate the amplitude response over a frequency range of 20–120 kHz on a liquid storage tank. Commercially available Teletest Focus+ UGW system was used in both laboratory and field experiments to drive the transducer. The proposed transducer can improve the surface contact on irregular surfaces as an inherent feature. Compared to the commercially available MFC transducer, FSM transducer has a high amplitude response. This transducer can also ease the signal processing due to the directional excitation of SH0 and open up new applications of SHM using UGW.

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and signal processing on ultrasonic guided wave. He has authored or co-authored more than 20 peer-reviewed journals and conferences. His research interests include ultrasonic sensor development, sound energy focusing, and fouling removal using high power ultrasonics.

Dr. Lowe has been serving as a Reviewer for the *IEEE Journals, NDT&E*, and *Sensors Journal* since 2014. He is an executive member of the IEEE UK&I Section serving as the Chapter Officer since 2017.



Timo Scholehwar received the Engineering degree in materials science from the Technical University Dresden, Dresden, Germany, in 2002, and the Ph.D. degree in 2011.

He started out as an Assistant Researcher with the Fraunhofer IKTS, Dresden, Germany, in 1997. In 2007, he became an Engineer for Piezo Components and Devices, PI Ceramic GmbH, Lederhose, Germany, and a Senior Design Engineer for Piezo Materials and Components in 2017.



Jimmy Yau was born in Hong Kong. He is currently working toward the B.Eng. degree in mechanical engineering at the University of Surrey, Guildford, U.K.

In 2016, he joined the Brunel Innovation Centre, Uxbridge, U.K., as a Project Technical Assistant and he was involved in ultrasonic sensor and encapsulation design. His research interests include sensor development, numerical methods, and structural health monitoring.

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Jamil Kanfoud received the Master's degree in general engineering from l'Ecole Polytechnique de Tunisie, Tunisia, and the M.Phil. degree in numerical modeling from l'Université de Technologie de Compiègne, Compiègne, France.

He is a Technical Manager with the Brunel Innovation Centre, Uxbridge, U.K. Before joining Brunel University, he worked on modeling and optimizing a novel porous noise canceling solution for the Ariane V launcher fairings using BIOT-Allard theory. He has five years' academic

experience, including two years heading the Electromechanical Department, ESPRIT. At TWI, he worked on active and passive vibration damping, and developed condition and structural health monitoring strategies and technologies for aerospace, renewable energy, and oil and gas using techniques ranging from vibration to acoustic emission. Since joining Brunel University, he has been working on developing research areas in nondestructive testing and condition monitoring including the development of novel sensing techniques (plenoptic cameras), the adoption of machine learning for inspection, and monitoring applications. He has a track record of proposing and managing collaborative projects and building strategic partnerships with industrial partners to increase technology readiness level of novel technologies in the field of structural integrity.

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Tat-Hean Gan received the Graduate degree (first-class honors) in electrical and electronics engineering from the University of Nottingham, Nottingham, U.K., the M.Sc. degree (distinction) in advanced mechanical engineering in 1998 and the Ph.D. degree in engineering specializing in advanced ultrasonic imaging from the University of Warwick, Coventry, U.K., and the Executive MBA degree from the University of Birmingham, Birmingham, U.K., in 2006.

He has worked as an academic and in the industry for many years. He is currently a Professor with Brunel University London, Uxbridge, U.K., where he is also the Chair of acoustic waves technologies with the School of Engineering and Design. He is also an Associate Director of TWI Ltd., and the Technology Director of the National Structural Integrity Research Centre, the U.K.'s first industry-led postgraduate education and research center in structural integrity. He has authored or co-authored more than 100 papers and has contributed to several books in the field of nondestructive testing. His research interests include signal and image processing, sensor development, asset integrity management, and structural assessment.

Dr. Gan has received CEng, EurIng, and IntPE (U.K.) status and is also a Fellow of the Institute of Engineering and Technology, British Institute of Non-Destructive Testing, International Society of Condition Monitoring, and International Society of Engineering Asset Management. He was a recipient of The Welding Institute Lidstone Award who is deemed to have made the most significant contribution to the advancement of welding technology.



Cem Selcuk is the Business Development Consultant and the Manager for Innovation Accelerators with TWI Ltd., Great Abington, U.K. He was the Manager and the Head of the Brunel Innovation Centre. He is an active professional member of the EPMA, APMI, IOM3 (FIMMM), and The Welding Institute (FWeldI). He has been the Chairman of the Particulate Engineering Committee, hence a member of the Materials Science and Technology Board of the IOM3. He has more than 190 citations to his publications

(more than 70) in peer-reviewed journals. He has presented at numerous international conferences, authored book chapters and industrial project reports, and contributed to white papers.

Mr. Selcuk has both served as a Referee and an Editor. He sits on the editorial board of *Powder Metallurgy*, the official journal of EPMA.

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Queries

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- Q1. Author: Please note that Refs. [18] and [20] were identical, and Ref. [20] has been deleted. The subsequent references have been renumbered. Please check. 667
668
- Q2. Author: Please provide the citation of Fig. 12 in the text. 669
- Q3. Author: Please provide the page range in Refs. [7], [11], [12], [20], and [36]. 670
- Q4. Author: Please check Ref. [31] as set for correctness. 671
- Q5. Author: Please provide the areas of study in which Premesh S. Lowe received the Ph.D. degree and Tat-Hean Gan received the Executive MBA degree. 672
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- Q6. Author: Please provide the area of study and the institution's name in which Timo Scholehwar received the Ph.D. degree. 674
- Q7. Author: Please check the edits made to the educational details of Timo Scholehwar, and correct if necessary. 675
- Q8. Author: Please provide the year in which Jamil Kanfoud received the Master's and M.Phil. degrees, and Tat-Hean Gan received the Graduate and Ph.D. degrees. 676
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- Q9. Author: Please provide the educational details of Cem Selcuk. 678