# Nanoscale Zr-containing precipitates; a solution for significant improvement of high-temperature strength in Al-Si-Cu-Mg alloys

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## Abstract:

This work aims to reveal the valuable role of Zr in cast Al-Si-Cu-Mg alloys utilised at elevated temperatures. The Al7Si2Cu0.2Zr alloy, subjected to well-tuned heat treatment process, was benchmarked against the conventional Al7Si0.5Cu alloy. Microstructural investigation showed that the main strengthening phases in the Al7Si2Cu0.2Zr alloy are  $\theta'$ , Q', Al-Si-Cu-Zr and Al-Si-Zr precipitates. Two Zr-containing precipitates (Al-Si-Cu-Zr and Al-Si-Zr) with the size of 80-200 nm are formed during solutionising at530 °C, which can be considered as the first ageing step. Other two Cu-containing precipitates ( $\theta'$  and Q') at the size of 20 nm are formed during ageing (170 °C). Nano-sized Zr-containing precipitates are mostly exhibited elliptical morphology with coherent/semi-coherent interfaces with the  $\alpha$ -Al matrix, making them more stable at elevated temperatures. As a result, the yield strength is improved at room temperature from 261 to 291 MPa, and the ultimate tensile strength (UTS) is improved from 282 to 335 MPa for the Al7Si2Cu0.2Zr alloy, compared with the Al7Si0.5Cu alloy. Moreover, the mechanical properties are significantly improved at elevated temperatures. The yield strength and UTS at 200 °C are 177 and 186 MPa, respectively, for the Al7Si0.5Cu alloy. But these are224 and 246 MPa, respectively, for the Al7Si2Cu0.2Zr

alloy. The improvement of mechanical properties at elevated temperatures is mainly attributed to the refined microstructure and the precipitation of strengthening phases containing slow-diffused Zr element to retard the precipitation coarsening. Furthermore, the addition of Cu changes the precipitates from  $\theta'$  and  $\beta''$  in the Al7Si0.5Cu alloy to  $\theta'$  and Q' in the Al7Si2Cu0.2Zr alloy which, in turn, induce a complementary effect on the improvement of mechanical properties.

**Keywords:** Aluminium alloys, Microstructure, Mechanical properties, Heat treatment, Phase transition, Precipitation strengthening

#### **1. Introduction**

The reduction of fuel consumption and concomitant decrease of CO<sub>2</sub> emission are taken into account as remarkable necessities for the advanced automotive industries to keep their users at highly competitive market. Diesel and direct fuel injection gasoline engines' performance can be promoted by increasing the combustion pressure and temperature in engines [1]. Among aluminium alloys, Al-Si-Cu-Mg are the most versatile alloys, comprising 85% to 90% of the total aluminium cast parts produced for automotive industry [1,2]. However, since most of the hypoeutectic Al-Si-Cu-Mg alloys used for the production of engine blocks and cylinder head suffer from the significant drop in their performance at temperatures higher than 150 °C, a wide range of investigations have been derived on the increase of engine performance at temperatures over 150 °C. At high temperatures, the alloy's precipitates such as  $\beta$ " and  $\theta$ ' which maintain the alloy strength at room temperature are usually coarsened or dissolved. As a result, the lack of strengthening phases leads to the considerable decline of alloy performance that limits their practical applications in engine blocks, cylinder heads, or heat shields [3–7]. Although higher Cu content improves the mechanical properties via forming a higher fraction of Al<sub>2</sub>Cu phase, the concentrations of Si and Cu in the industrial

Al-Si based alloys have reached their limits because the addition of Cu increases corrosively and shrinkage porosities [8].

Cast aluminium alloys can be strengthened through various mechanisms including solidsolution hardening, grain refinement and precipitate hardening, among which precipitation hardening is used to fortify castings for high temperature applications. Historically, most efforts to develop high-strength, thermally-stable Al alloys have sought alloying elements that exhibit both limited solid-solubility at ageing temperature and low diffusivity in Al. This approach was originally promoted by Adam [9] who argued, based on diffusion-controlled coarsening theory, that dispersed phases formed from such alloying additions would be resistant to Ostwald ripening [8,10]. Borne out of these ideas are the rapidly-solidified alloys based on the eutectic Al-Fe system. These include the well-known Al-Fe-V-Si alloys developed by Skinner et al. [11,12], as well as more complex Al-Fe based systems with ternary and often quaternary additions such as Ce, Ni, Co, Zr, Mo, V [9,13]. These alloys, however, derive their high-temperature strength from a large volume fraction of stable precipitates that are formed directly from the melt during rapid solidification [5].

In order to improve high temperature mechanical properties of cast Al-Si-Cu-Mg alloys manufactured by commercial casting processes, such as gravity die casting, efforts have been made to modify the existing alloys with new alloying elements [14,15], which generally have limited solid-solubility at ageing temperatures for enhancing the precipitates strengthening and lowering the diffusivity in aluminium for retarding the Ostwald ripening [8,16]. Several studies have been carried out to evaluate the effect of different alloying elements such as Ni, Fe, Cr, Ti, V, Sc and Zr on high temperature mechanical properties of cast Al-Si alloys [17–31]. Those phases consisting of fine Fe and homogeneously dispersed Fe phases can

potentially improve mechanical properties [32]. However, presence of Fe in the Al-Si-Cu-Mg alloy led to the formation of the needle-shaped β-Al<sub>5</sub>FeSi phase, which is responsible for the reduction of alloy ductility [22,33,34]. Addition of Ni in the Al-Si-Cu-Mg alloy causes a reduction in alloy strength at room temperature mainly due to a decrease in the available Cu for precipitation strengthening through forming Al<sub>3</sub>CuNi. An increase in content of the Al<sub>3</sub>CuNi and Al<sub>9</sub>NiFe phases is also responsible for some reduction in ductility [4]. It is found that the increase of Cr content exhibits a detrimental effect on the elevated temperature tensile strength of eutectic Al-Si-Cu-Mg alloys [35]. Although Ti has a small diffusivity in Al, only a small concentration of Ti is incorporated in the precipitates [36]. However, Ti is not as effective as Zr at reducing precipitate coarsening and hardening Al alloys. The disparity of solid and liquid solubility of Ti in Al is much greater than that of Zr in Al. Thus, relatively small liquid solubility of Ti in Al limits the amount of solute retained in Al solid solution during solidification [37]. Although V and Hf exhibit low diffusion rate in  $\alpha$ -Al, solubility of V and Hf in liquid state is lower than that in the solid state. Hence, few V and Hf precipitates are normally formed after solidification at room temperature [37]. Sc was found to form a shallow solvus curve allowing for precipitation strengthening, and form thermodynamically stable Al<sub>3</sub>Sc phase [37], but this element is not affordable to be used in automotive industries due to its high cost. However, as an relatively cost effective element, Zr has the smallest diffusion rates and lattice parameter mismatch with Al matrix [37,38]. The Zr-containing intermetallics in pure Al and Al-Si alloy have been found thermodynamically stable at elevated temperatures. Therefore, the addition of Zr can prevent dislocation climbing and reduce the precipitate coarsening at elevated temperatures [39–41].

The effect of Zr addition on the pure aluminium is discussed in previous studies [36,37]. However, commercially used Al alloys contain high level of alloying elements making more difficult to study Zr-containing phases due to the presence of high amount of solute alloying elements in the Al matrix and also their interaction with Zr. Although recent studies demonstrated the constructive effect of Zr on the mechanical properties of cast Al-Si-Cu-Mg alloys, [18,22,33], it is still unclear how Zr contributes in strengthening of Al-Si-Cu-Mg cast alloys having high amount of Si and Cu and low solidification rate. Furthermore, previous studies focused more on the effect of Zr on the mechanical properties rather than figuring out the microstructural transition occurs due to the addition of Zr and makes the alloy stronger at elevated temperatures. In previous studies the formation of large intermetallics during solidification was correlated to the mechanical properties improvement in Al-Si-Cu-Mg alloys, while this study reveals the formation of nano-sized Zr-containing phases whose size and chemical composition differs from Zr-containing phases forming in binary Al-Zr system.

Therefore, the present study aims to understand the interaction of Si, Cu and Zr in the cast Al-Si-Cu-Mg alloys produced by gravity casting. The comparison study was carried out for the industrially popular Al7Si0.5Cu alloy and the Al7Si2Cu0.2Zr alloy having modified amount of Cu and Zr. The tensile strength at ambient temperature and at 200 °C were studied and compared for these two alloys. The microstructures under as-cast and T6 conditions were thoroughly studied by SEM and TEM to reveal the mechanism of property improvement. The discussions are focused on the contribution of Zr on the formation of various phases in Al-Si-Cu-Mg alloys. Moreover, the role of Cu and Zr for the improvement of elevated temperature mechanical properties of gravity cast Al-Si-Cu-Mg alloys is disclosed.

## 2. Experimental procedure

The commercially available EN-AC-42000 (Al7Si0.5Cu) alloy was used as baseline material in the present study. For making castings from Al7Si0.5Cu alloy, the EN-AC-42000 alloy

was melted at 740 °C. For making the Al7Si2Cu0.2Zr alloy, the master alloys of Al-50 wt.% Si, Al-10 wt.% Ti, pure Al and pure Mg ingots were weighted in a required ratio and melted at 740 °C together with Al-10 wt.% Zr master alloy and pure Cu ingots. The alloys were melted in the electrical resistance furnace (Carbolite) at 740 °C. When the melt was fully homogenised, the melt was subjected to degassing, during which argon was blown into the melt by a commercial rotatory degasser at 400 rpm for 3 min. Thereafter, the melt was poured into the boron nitride painted steel mould, designed based on ASTM B108 standard [42], to produce dog-bone shape tensile specimens. Chemical composition analysis was carried out using a Perkin-Elmer Optima 5300 dual view ICP-AES. The results are shown in the Table 1. The specimens were subjected to the T6 heat treatment consisting of solutionising at 530 °C for 6 h followed by artificial ageing at 160 °C for 24 h in air-circulating furnace (Carbolite).

Specimens for microstructural characterization were prepared using standard metallographic technique with a final polish to the mirror-like surface using colloidal alumina. The grain structure was revealed via electro-etching method in which specimens were etched under voltage of 5 VDC in the Baker's etchant solution containing 200 ml H<sub>2</sub>O and 10 ml Fluoroboric acid (35%). The microstructure of as-cast and heat-treated specimens were investigated by several tools. Grain structure characterisation was performed using Zeiss Scope A1 optical microscope in polarised mode. Quantitative analysis of the microstructure was performed using AxioVision Rel. 4.8 software. Microstructural evaluation was also carried out by JEOL 2100F (JEOL Ltd.) high resolution transmission electron microscopy (HRTEM) and SUPRA 35VP (Carl-Zeiss Company) field emission scanning electron microscopy (EDS). The operating voltages of TEM and FE-SEM were adjusted on 200 and 20 kV, respectively. The TEM samples were cut from the aged Al7Si0.5Cu and

Al7Si2Cu0.2Zr specimens and were grinded to less than 100  $\mu$ m thicknesses. 3 mm diameter samples were punched, and the further reduction of thickness was obtained by Gatan precision ion polishing system (PIPS) adjusted at 5.0 kV and at an incident angle of 4°.

The room and high temperature tensile tests were performed by Instron 5500 Universal Electromechanical Testing Systems equipped with Bluehill software and a 50 kN load cell. Room temperature tensile test was carried out according to ASTM E8/E8M [43], while high temperature tensile test was conducted according to the ASTM E21 [44] in which specimens were exposed to the 200 °C for at least 40 min in an electrically heated air-circulating chamber before performing the tensile test. Dynamic strain gauge extensometer was utilized for strain measurement. The tensile test results, with applied strain rate of 1 mm/min, were extracted from at least 6 tensile tests for each defined condition.

## 3. Results and discussion

### 3.1. Grain refinement

Fig. 1 shows the variation of grain sizes between two alloys and the effect of heat treatment on the grain size. Clearly, the Al7Si2Cu0.2Zr alloy comprises finer grains in comparison to the Al7Si0.5Cu alloy. The average grain size of the as-cast Al7Si0.5Cu alloy was 402  $\mu$ m, while it reduced to 207  $\mu$ m in the as-cast Al7Si2Cu0.2Zr alloy, which is almost 50 % reduction. According to the theory of heterogeneous nucleation [45], the grain size is controlled by two factors: undercooling of melt and nucleation sites. The addition of Zr results in the formation of Zr-containing intermetallics which are stable at temperatures over 650 °C and can act as nucleation sites [46]. The effect of Zr on the grain refinement of Al alloys was comprehensively discussed by Wang et al. [47] and also seen in other studies [48]. It is observed that the addition of 0.1 wt. % Zr does not induce a tangible effect on grain size, while further addition of Zr at a level over its maximum solubility (~ 0.11% Zr) can decrease the grain size considerably. A sharp reduction of grain size was seen at 0.2 wt. % Zr content, but grain refinement decelerates at Zr percentages over 0.2 wt.%. In order to verify the nucleation potency of Al<sub>3</sub>Zr particles, particularly those located at the grain centre, the crystallographic relationship between Al and Al<sub>3</sub>Zr was studied by E2EM model, EBSD and TEM observations [48]. The results showed that there is a good match between some directions and planes of Al and Al<sub>3</sub>Zr particles. For instance, (114) plain in Al<sub>3</sub>Zr are nearly parallel to  $(11\overline{1})$  plain in Al. Hence, it was demonstrated by Wang et al. [47] that the Al<sub>3</sub>Zr particles act as potent nucleants for Al. It was also shown that smaller misfit and mismatch values between grain refiner and matrix induces higher grain refinement efficiency [49] because of lower interfacial energy between the grain refiners and the solid formed on the grain refiners. Therefore, small mismatches values between Al<sub>3</sub>Zr and Al indicates the high grain refining efficiency of Al<sub>3</sub>Zr for Al [47]. Moreover, broader particle sizes were observed for Al<sub>3</sub>Zr particles (0-115 μm) as compared with TiB<sub>2</sub> particles (0-6 μm). Very slow cooling rate (1 K s<sup>-1</sup>) and higher Zr content also result in the formation of larger Al<sub>3</sub>Zr particles. According to the free growth theory, grains initially nucleate on the biggest nucleating particles since smaller undercooling is required, then with increasing the undercooling smaller particles act as nucleation sites [47,50]. It is also seen that grain size was basically not affected by heat treatment, indicating that no considerable grain growth occurred during heat treatment (Figs. 1b and d). The grain sizes after heat treatment were 397 µm and 201 µm in the Al7Si0.5Cu and Al7Si2Cu0.2Zr alloys, respectively.

## 3.2. Phase analysis

Figs. 2-4 show the SEM micrographs of as-cast and heat-treated specimens for the Al7Si0.5Cu and Al7Si2Cu0.2Zr alloys. The Fe-rich intermetallics in Fig. 2a have needle

shape morphology. The same type of Fe-rich intermetallics was also identified after heat treatment (Fig. 2b), confirming that the Fe-rich intermetallics were not dissolved during heat treatment. According to the Figs. 2a and 3a, Si phases were connected in both alloys under as-cast condition. These were obviously refined and showed the round shapes after heat treatment (Figs. 2b and 4a). In other words, Si phases were modified by heat treatment. The morphological modification of the Si phases can be attributed to the addition of the Sr and heat treatment. The recent investigation via atom probe tomography and HRTEM [51] has confirmed that Si morphology corresponds to the competing growth of Al and Si phases in Al-Si alloys. The flaky eutectic structure of Si forms when the Si structures grow in advance with respect to the Al phase. Therefore, the morphological transition of Si eutectic from flaky to round-shape occurs when both Al and Si phases grow simultaneously at a common growth front. The mechanical obstruction and absorption of Sr modifier into the Si crystal reduces Si growth rate. Since the amount of Al trapped during solidification is higher than its solubility limit in Si, Al diffuses and forms defects. High defect density of Al-Sr segregations was identified in the modified Si structure. As the trapped Al in the Si phases in the Sr modified alloy is four times higher than that in the unmodified alloy which, in turn, exhibits the incorporation of the large fractions of Al and Sr into the Si phase [51].

The phase analysis of as-cast Al7Si2Cu0.2Zr alloy, using EDS, is displayed in Figs. 3b-e. Fig. 3a shows that the diversity of the phases in the as-cast Al7Si2Cu0.2Zr alloys is higher than that in as-cast Al7Si0.5Cu alloy shown in Fig. 2a. Although these two alloys contained the Fe-containing phases with the same format of  $Al_{15}(Fe,Mn)_3Si_2$ , the as-cast Al7Si2Cu0.2Zr alloy comprised other intermetallic phases including Al-Si-Zr-Ti phase,  $Al_2Cu$  ( $\theta$ ) and  $Al_5Mg_8Si_6Cu_2$  (Q) phases. Figs. 4a and b show the SEM micrographs of the heat-treated Al7Si2Cu0.2Zr alloy. The fine and round shape phases in Fig. 4a were identified

as Si, Fe-containing phases (Fig. 4c) and Al-Si-Zr-Ti phase (Fig. 4d). These phases were expected to be formed during solidification and not dissolved or partially dissolved during heat treatment because of their stability at elevated temperatures [18,52]. The sub-micron Al-Si-Zr and Al-Si-Cu-Zr phases were also observed Figs. 4f and g.

## 3.3. Nanoscale Zr-containing precipitates

The bright field TEM (BF-TEM) micrographs of Al7Si0.5Cu alloy taken along the [001] Al axis is shown in Fig. 5a, which exhibits the presence of nano-sized  $\theta'$  and  $\beta''$  precipitates in the alloy after T6 heat treatment. Both  $\theta'$  and  $\beta''$  were needle-shaped. However, a white shadow was often observed at the vicinity of black needle-shape part in the  $\beta''$  due to the strain induced by the formation of  $\beta''$ . As shown in Fig. 5b, the selected area diffraction pattern (SADP) of the Al7Si0.5Cu alloy revealed the diffraction patterns of both  $\theta'$  and  $\beta''$  precipitates. The diffraction of  $\alpha$ -Al matrix appeared as strong bright points, while the diffraction pattern of  $\theta'$  and  $\beta''$  precipitates were those diffraction streaks passing among the Al diffraction pattern. Based on the diffraction pattern of the  $\theta'$  and  $\beta''$  precipitates, both phases were in the metastable condition, indicating peak strength state for the heat-treated Al7Si0.5Cu alloy. Fig. 5c shows the high resolution TEM micrograph of the embedded  $\beta''$  phases aligned to the [100] direction. Fig. 5d exhibits the fast Fourier transmission (FFT) micrograph of  $\beta''$  precipitate, marked by rectangular in Fig. 5c, which confirmed the presence of  $\beta''$  phase in the microstructure.

Figs. 6 and 7 show the precipitates in the Al7Si2Cu0.2Zr alloy. Basically, four types of precipitates were found in the  $\alpha$ -Al matrix of Al7Si2Cu0.2Zr alloy. These precipitates included two Zr-containing precipitates (Al-Si-Cu-Zr and Al-Si-Zr) with the size of 80-200

nm (Fig. 6) and two Cu-containing precipitates (θ'and Q') which were around 20-30 nm (Fig. 7).

Fig. 6a shows two types of Zr-containing phases, which were identified as Al-Si-Zr-Cu and Al-Si-Zr phases. The corresponding SADP in Fig. 6b confirmed the presence of those phases. The size of oval-shaped Al-Si-Zr precipitates were 80-200 nm. In order to determine the formation stage of Zr-containing precipitates, the microstructure of the specimens under ascast and solutionising condition were examined. Figs. 8a and b show the high-resolution SEM micrographs of the Al7Si2Cu0.2Zr alloy under as-cast and solutionising conditions, respectively. The as-cast microstructure Fig. 8a exhibits a microstructure without any nanoscale Zr precipitates, while the Zr-containing precipitates formed during the solutionising treatment (530 °C, 6h) are obvious in Fig. 8b. In fact, the solutionising heat treatment acted as an ageing treatment for formation of Zr-containing precipitates. Since Zr is a slow diffusion element, Zr-containing phases form normally at temperatures higher than 400 °C [53]. The formation of Al<sub>3</sub>Zr precipitates in binary Al-Zr system at temperatures above 400 °C was already reported in previous studies [54].

Figs. 6d and f show the EDS-TEM of the Al7Si2Cu0.2Zr alloy which exhibits the presence of the Al-Si-Cu-Zr and Al-Si-Zr precipitates. It is reported that Zr forms Al<sub>3</sub>Zr precipitates in binary Al-Zr system [54], however, addition of high amount of Si results in formation of (Al,Si)<sub>3</sub>Zr phase [26,53]. Al<sub>3</sub>Zr have spherical shape and their size normally is round 20 nm [53], while the addition of high amount of Si results in changing of the size and shape of Zr-containing phase, which is demonstrated by as Gao et al. [53] for Al-3Si-0.5Zr system. They observed that Zr-containing phases in Al-3Si-0.5Zr system has coarse elliptical shaped morphology with the average size of 100 nm [53], which is in agreement with the results of

present investigation. Based on the Fig. 6d, it seems that in Al7Si2Cu0.2Zr alloy, due to the high amount of Cu, in some cases Cu is also partitioned into the Al-Si-Zr phases resulting in the formation of the Al-Si-Zr-Cu phases. In Al-Si-Zr-Cu phase, Cu substituted Al as a result of which (Al<sub>1-x-y</sub>,Si<sub>x</sub>,Cu<sub>y</sub>)<sub>3</sub>Zr can be proposed for this phase [55].

Unlike the Al-Si-Cu-Zr and Al-Si-Zr precipitates formed after solutionising treatment,  $\theta'$  and Q' phases formed after ageing treatment. Higher magnification TEM micrograph of the Al7Si2Cu0.2Zr alloy after ageing taken along the [100] Al axis, Fig. 7a, reveals uniform distribution of the  $\theta'$  and Q' phases whose size were between 20 and 30 nm, respectively.  $\theta'$  phases exhibited needle-like morphology with the long axis parallel to {100} $\alpha$ -Al and Q' phases had the rod-shaped morphology laying on the {100} $\alpha$ -Al. The insert in Fig. 7a is the corresponding SADP of  $\theta'$  and Q' phases. According to the SADP, Fig. 7a, both  $\theta'$  and Q' phases were in the metastable condition as they were seen as streaks passing among the Al diffraction pattern. Fig. 7b shows the HRTEM micrograph of the  $\theta'$  phase forming a coherent interface with  $\alpha$ -Al and the insert displays the FFT of  $\theta'$  phase. Fig. 7c displays the HRTEM micrograph of the Q' phase taken along the (100) $\alpha$ -Al zone axis together with the insert which exhibits the FFT of the Q' phase. Hence, it is concluded that higher Cu level led to the formation of  $\theta'$  and Q' phase in the Al7Si2Cu0.2Zr alloy, instead of the formation of  $\beta''$  and  $\theta'$  which were identified in the Al7Si0.5Cu alloy.

Fig. 9 shows the morphology of Al-Si-Zr phases in Al7Si2Cu0.2Zr alloy. Smaller edge in the Al-Si-Zr precipitate showed incoherent interface in Fig. 9a, while the coherent interface was observed at the larger interfaces with Al the matrix Fig. 9b. Coherent interface could maximize the strengthening effect of the dispersed precipitates. Furthermore, the coherency could minimize the surface energy per unit area of the interface, resulting in stability at

elevated temperatures by reducing the driving force for precipitate coarsening [16]. Therefore, the formation of semi-coherent precipice was an important factor, which can potentially improve the mechanical properties at elevated temperatures.

#### **3.4. Mechanical properties**

According to the Figs. 10 and 11, the Al7Si2Cu0.2Zr alloy provided much improved yield strength and UTS in comparison with the Al7Si0.5Cu alloy. At ambient temperature, the Al7Si2Cu0.2Zr alloy had a yield strength of 291MPa and UTS of 335MPa, but the Al7Si0.5Cu alloy had a yield strength of 261 MPa and UTS of 282 MPa. The mechanical property enhancement is due to the grain refinement and precipitate formation. While the four different kinds of nano-sized strengthening phases were formed and dispersed homogeneously in the matrix of the Al7Si2Cu0.2Zr alloy, two kinds of strengthening precipitates were found in the Al7Si0.5Cu alloy. The increment of precipitates volume fraction due to the formation of new precipitates leads to the reduction of distance between precipitates [56]. The formation of Cu and Zr-containing phases can be interpreted by Orowan and Hall-Petch theories [34]. According to the Orowan mechanism, expressed by Eq. 1, lower amount of  $\lambda$  leads to shorter dislocation movement and the higher improvement of yield strength.

$$\Delta \sigma_{orowan} = \frac{Gb}{\lambda} \tag{1}$$

In Eq. 1, *G* and *b* are shear modulus and Burger's vector, respectively [56–58]. The precipitates hinder dislocations gliding to increase the resistance against further deformation under loading. Apart from the precipitate strengthening, grain boundary influences substantially on the yield strength. As shown in Fig. 1, the Al7Si2Cu0.2Zr alloy consists of finer grains than the Al7Si0.5Cu alloy. The enhancement of yield strength through grain refinement has been well documented through Hall-Petch relation [56][56,57].

When the testing temperature was increased from ambient temperature to 200°C, the yield strength was decreased from 291 to 224 MPa and the UTS was declined from 335 to 246 MPa for the heat-treated Al7Si2Cu0.2Zr alloy. Similar trend could be found in the Al7Si0.5Cu alloy. The yield strength was dropped from 261 to 177 MPa and the UTS was decreased from 282 to 186 MPa, which was 34 and 37 % of the increment. The significant reduction of yield strength and UTS at 200 °C corresponds to the softening of alloy on the account of dissolution and coarsening of strengthening phases. At elevated temperatures, dislocations can bypass the obstacles by climbing, which facilitate and accelerate dislocation gliding and concomitant deformation. Also, higher numbers of slip systems are activated with the increase of temperature.

Coarsening phenomenon is defined by Ostwald ripening theory in which larger precipitates tend to grow at the expense of smaller ones to reduce the surface energy per volume of system. The kinetics is governed by the solubility and diffusivity of the precipitate's elements together with the interfacial energy between the precipitate and matrix (Eq. 2). The coarsening rate is determined by:

$$kT = k_0 exp\left(\frac{-Q_k}{RT}\right) \tag{2}$$

where k is coarsening rate and  $Q_k$  is the activation energy for coarsening which is the sum of the diffusion activation energy and solubility [59]. Hence, the coarsening rate of each precipitate is strongly controlled by the bulk diffusion of its elements in the matrix, as the precipitates containing elements which have sluggish diffusivity in Al matrix, particularly at elevated temperatures, retard the precipitate coarsening and reduce the mechanical strength at elevated temperatures. The diffusivity (D) of Zr in  $\alpha$ -Al at elevated temperatures, according to the Table 2, is much less than that of Cu. Zr has a high diffusion activation energy of 242 *KJ/mol* and low diffusivity of  $1.20 \times 10^{-20} m^2/s$  at 400 °C in  $\alpha$ -Al [36]. It also should be noted that maximum Zr and Ti solid solubility in binary Al-M alloys at 400 °C are 0.083 and 0.79 at.%, respectively, which is much less than Cu solubility at the same temperature which is around 2 at.%. Thus, the partitioning of Zr among the Al-Si-Zr-Cu and Al-Si-Zr precipitates in the Al7Si2Cu0.2Zr alloy leads to the increase of  $Q_k$  at elevated temperatures, which is capable of retarding the coarsening of Zr-containing precipitates. In addition to the partitioning of Zr in the Al-Si-Zr-Cu precipitate in the Al7Si2Cu0.2Zr alloy, Zr also forms Al-Si-Zr precipitates that exhibit higher resistance against coarsening at 200 °C and even higher temperatures as [26,60]. Therefore, the improvement of mechanical properties in Al7Si2Cu0.2Zr alloy at elevated temperatures is governed by the formation of precipitates containing Zr element. Moreover, the formation of Q' phase by tuning the Cu content can significantly increase the resistance of the alloy at elevated temperatures [61].

The Al7Si2Cu0.2Zr alloy showed an elongation of 1.77% and the Al7Si0.5Cu alloy had an elongation of 2.74 % at ambient temperature (Fig. 12). The reduction of elongation in the Al7Si2Cu0.2Zr alloy is because the increased Cu content to introduce higher amount of precipitates as well as the formation of shrinkage porosities in the matrix. The addition of Cu alters the solidification process and forms micro-porosity in the interdendritic area to lower the elongation[62]. However, the elongation of both alloys is maintained at acceptable level for practical applications.

#### 4. Conclusions

1 The grain size is  $\sim 200 \ \mu m$  in the Al7Si2Cu0.2Zr alloy and  $\sim 400 \ \mu m$  in the Al7Si0.5Cu alloy, confirming a significant reduction of grain size in the Al7Si2Cu0.2Zr alloy.

- 2 The main strengthening phases in the Al7Si2Cu0.2Zr alloy are  $\theta'$ , Q', Al-Si-Cu-Zr and Al-Si-Zr precipitates. Two Zr-containing precipitates (Al-Si-Cu-Zr and Al-Si-Zr) with the sizes around 100-200 nm are formed during solutionising at 530 °C, which can be considered as the first ageing step, while, other two Cu-containing precipitates ( $\theta'$  and Q') with the sizes around 20 nm are formed during ageing at 170 °C.
- 3 The Al7Si2Cu0.2Zr alloy offers improved mechanical properties than the Al7Si0.5Cu alloy at ambient and elevated temperatures. At ambient temperature, the yield strength is 291MPa for the Al7Si2Cu0.2Zr alloy, and 261 MPa for the Al7Si0.5Cu alloy. When the testing temperature is at 200°C, the yield strength is 224 MPa for the Al7Si2Cu0.2Zr alloy and 177 MPa for the Al7Si0.5Cu alloy.
- 4 Nano-sized Al-Si-Zr phase is found in the primary  $\alpha$ -Al phase of the Al7Si2Cu0.2Zr alloy, showing elliptical morphology and coherent/semi-coherent in interfaces with the  $\alpha$ -Al matrix.
- 5 The improved mechanical properties of Al7Si2Cu0.2Zr alloy at elevated temperatures are mainly due to the formation of nano-scale Zr-containing precipitates showing small diffusivity in Al matrix at elevated temperatures.

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#### **Figure captions:**

- Fig. 1. Optical micrographs showing the microstructure of (a and b) the Al7Si0.5Cu alloy and (c and d) the Al7Si2Cu0.2Zr alloy under (a and c) as-cast and (b and d) T6 heat-treated conditions.
- Fig. 2. Backscatter SEM (BS-SEM) micrographs and related EDS analysis of different phases in Al7Si0.5Cu alloy under (a) as-cast and (b) T6 heat-treated condition.
- Fig. 3. (a) BS-SEM micrograph showing the microstructure of the Al7Si2Cu0.2Zr alloy under as-cast condition and (b-e) the corresponding EDS analysis of different phases in the Al7Si2Cu0.2Zr alloy.
- Fig. 4. (a and b) BS-SEM micrographs of the Al7Si2Cu0.2Zr alloy under T6 heat-treated condition and (c-g) the related EDS analysis of different phases in the Al7Si2Cu0.2Zr alloy.
- Fig. 5. (a) Bright field TEM micrograph of T6 heat-treated Al7Si0.5Cu alloy showing the morphology of β'' and θ'phases, (b) corresponding SADP , (c) high resolution TEM (HRTEM) image showing the morphology of embedded β'' phase, (d) Fast Fourier transformation (FFT) of β'' phase.
- Fig. 6. Bright field TEM images along the [001]Al axis from the T6 heat-treated Al7Si2Cu0.2Zr alloy showing (a) Al-Si-Cu-Zr and Al-Si-Zr precipitates, (b) corresponding SADP, (c) the Al-Si-Cu-Zr precipitate, (d) TEM-EDS analysis of the Al-Si-Cu-Zr precipitate, (e) the Al-Si-Zr precipitate and (f) TEM-EDS analysis of the Al-Si-Zr precipitate.
- Fig. 7. (a) Bright field TEM images showing the morphology of θ' and Q' phases in the T6 heat-treated Al7Si2Cu0.2Zr alloy, the insert is the corresponding SADP, (b) HRTEM image showing the morphology of θ' phase and the insert showing the FFT of the θ' phase, (c) HRTEM image of Q' lying on the {100} plane and insert showing the FFT

of the Q' phase.

- Fig. 8. High resolution secondary electron SEM (SE-SEM) micrographs of the Al7Si2Cu0.2Zr alloy under (a) as-cast condition and (b) after heat treatment at 530 °C for 6h.
- Fig. 9. HRTEM micrographs of the T6 heat-treated Al7Si2Cu0.2Zr alloy showing (a) coherent and (b) incoherent interfaces of the Al-Si-Zr precipitate with α-Al matrix.
- Fig. 10. Yield strength (YS) at ambient temperature and 200 °C of the experimental alloys under as-cast and T6 heat-treated conditions.
- Fig. 11. Ultimate tensile strength (UTS) at ambient temperature and 200 °C of the experimental alloys under as-cast and T6 heat-treated conditions.
- Fig. 12. Elongation at ambient temperature and 200 °C of the experimental alloys under ascast and T6 heat-treated conditions.

## Table captions:

Table 1. Chemical composition of the Al7Si0.5Cu and Al7Si2Cu0.2Zr alloys.

Table 2. Diffusion data for selected elements solutes in  $\alpha$ -Al [1].



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Fig. 12. Elongation at ambient temperature and 200  $^{\circ}$ C of the experimental alloys under as-cast and T6 heat-treated conditions.

| Elements (wt. %) | Si  | Cu   | Mg   | Fe   | Mn   | Sr    | Ti  | Zr  | Al   |
|------------------|-----|------|------|------|------|-------|-----|-----|------|
| Al7Si0.5Cu       | 6.8 | 0.48 | 0.3  | 0.09 | 0.06 | 0.015 | 0.2 | -   | Bal. |
| Al7Si2Cu0.2Zr    | 7.1 | 1.99 | 0.29 | 0.1  | 0.07 | 0.012 | 0.2 | 0.2 | Bal. |

Table 1. Chemical composition of the Al7Si0.5Cu and Al7Si2Cu0.2Zr alloys.

| Flomont | Pre-exponential, D <sub>0</sub> | Activation enthalpy, Q  | D at 400 °C            |  |
|---------|---------------------------------|-------------------------|------------------------|--|
| Liement | $(m^2S^{-1})$                   | (kJ mol <sup>-1</sup> ) | $(M^2S^{-1})$          |  |
| Al      | 1.37×10 <sup>-5</sup>           | 124                     | $3.25 \times 10^{-15}$ |  |
| Zr      | 7.28×10 <sup>-2</sup>           | 242                     | $1.2 \times 10^{-20}$  |  |
| Ti      | $1.12 \times 10^{-1}$           | 260                     | 7.39×10 <sup>-22</sup> |  |
| Cu      | 6.54 ×10 <sup>-5</sup>          | 136                     | $1.54 \times 10^{-15}$ |  |

Table 2. Diffusion data for selected elements solutes in  $\alpha$ -Al [1].