# Design and Optimization of High Pressure Ratio Radial Inflow Turbine for Automotive Organic Rankine Cycle Waste Heat Recovery Application

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## 11 Abstract

This paper presents a detailed design methodology of high pressure ratio radial 12 inflow turbines integrated in Organic Rankine Cycles. The methodology is coupled 13 14 with an optimization algorithm to optimize the input parameters specified by the designer. Moreover, a Design of Experiment technique is coupled to the design 15 methodology to study the effect of each individual input parameter on the turbine 16 performance. In addition, RefProP is implemented in the design methodology in 17 18 order to account for the thermodynamic properties at the inlet and exit of each 19 turbine stage. The maximum deviation between the current model and the test case was in the prediction of the rotor exit tip radius  $r_{5t}$  (which was used as input 20 parameter in the test case) with a value of 5.38%. In addition, the model 21 22 demonstrated the ability to optimize any existing radial inflow turbine. Based on 23 the steady-state cycle simulation, a radial inflow turbine with a pressure ratio of 7 24 was designed for an automotive application and demonstrated a total-to-static efficiency and power output of 74.4% and 13.6 kW, respectively, for a 200kW-class 25 26 engine.

28 Waste Heat Recovery; Internal Combustion Engine.

<sup>27</sup> Keywords: Organic Rankine Cycle; Radial Inflow Turbine; Optimization; Design of Experiment;

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	Nomenclature		
	Variables	Subscript	
1-5	Stations through turbine	b	back face
a	Speed of sound [m/s]	h	hub
А	area	hyd	hydraulic
b	blade height [m]	opt	optimum
BK	Blockage factor [-]	r	radial, rotor
С	Absolute velocity [m/s]	rms	root mean square
$\mathbf{C}\mathbf{f}$	Friction factor [-]	s	insentropic, stator
Cm	Meridional velocity [m/s]	t	tip, total
Сθ	Tangential velocity [m/s]	x	axial
Ca	Axial coefficient [-]	Greek Symbols	5
$\mathbf{Cr}$	Radial coefficient [-]	μ	Viscosity [Pa.s]
d	diameter [m]	η	Efficiency [-]
h	Enthalpy [kJ/kg]	в	Relative angle [deg]
Ka	Discharge coefficient of the axial component [-]	δ	Deviation angle [deg]
Kr	Discharge coefficient of the radial component [-]	3	Clearance [m]
Ka,r	Cross coupling coefficient of the axial and radial components [-]	ρ	Density [kg/m3]
1	length [m]	α	Absolute flow angle [deg]
Μ	Mach number [-]	¥	Setting angle
m'	Mass flow rate [kg/s]	ψ	Azimuth angle
Ν	Rotational speed [RPM]	Abbreviations	
0	Throat opening [m]	BSFC	Break specific fuel consumption
Р	Pressure [kPa]	DoE	Design of experiment
Q	Volume flow rate (m3/s)	DP	Design point
r	radius [m]	EoS	Equation of state
Re	Reynold number [-]	ICE	Internal combustion engine National Institute of
$\mathbf{s}$	Entropy [kJ/kg.k]	NIST	Standards and Technology
Т	Temperature [K]	NOx	Nitrogen Oxide
U	Tip speed [m/s]	OA	Optimisation Algorithm
w	Relative velocity [m/s]	ORC	Organic Ranke cycle
W	work [kW]	WHR	Waste heat recovery
Z	Axial length [m]		

# 32 1. Introduction

Commercial diesel engine manufacturers are under increasing pressure by public regulatory agencies to decrease pollutant and CO<sub>2</sub> emissions. State of the art vehicles embody both sophisticated after-treatment technologies to decrease exhaust pollutants and advanced combustion technologies for low CO<sub>2</sub> emissions. However, the goal of over 50% brake thermal efficiency cannot be achieved with the currently existing technology without the utilization of some type of waste heat recovery technology, as the majority of the fuel energy is wasted [1]. 40 Organic Rankine cycle (ORC) is considered as one of the crucial technologies to recover the wasted heat in low to medium heat sources due to the simplicity, 41 availability of the components and reliability [2], [3]. Wang and Zhang [4] stated 42 that the thermal efficiency of the combined system (six-cylinder diesel engine and 43 ORC) can be increased by 13.69% combined with a reduction in bsfc by 15.86%. 44 45 The analysis of Vaja and Gambarotta [5] demonstrated that a 12% increase in the 46 overall efficiency can be achieved with respect to the engine when coupled to an 47 ORC system. The ORC system is one operating on a Rankine cycle that uses organic fluid as the working medium instead of steam. Organic fluids possess lower 48 49 boiling points than steam which make them more desirable in low temperature heat sources. However, ORCs have usually low thermal efficiency levels due to the 50 51 low working temperatures of the organic fluids [6].

52 To avoid further reductions in efficiency levels, it is essential to select and design 53 the appropriate expansion machine. The expander is the most important 54 component in the ORC power plant as it is responsible for the power conversion. Comparing to positive displacement expanders, turbo-expanders offer many 55 advantages such as compact structure, light weight and high efficiency [7]. 56 57 Moreover, lubrication is not required when using turbo-machines which results in 58 cheaper and less complex design [8]. According to the open literature, radial inflow turbines showed better performance in low to medium heat sources compared to 59 60 axial ones [9], [10]. Such turbines are capable of achieving large enthalpy drops 61 from a single stage while axial turbines require more stages to handle similar 62 expansions. They are also more robust under increased blade loading, less 63 sensitive to blade profile inaccuracies and easier to manufacture [11]. In the 64 current study, the radial turbine was selected based on the detailed study by the authors which can be found in [6], [12]. 65

66 Several well-known preliminary design methodologies are included in the open 67 literature such as [13]–[17]. However, these conventional methodologies use ideal 68 gas as the working fluid, resulting in non-optimum turbine design when real gases, 69 such as organic fluids, are used. These methodologies also require some known 70 parameters, such as flow angles and radii, which have a non-negligible effect on 71 the efficiency of the design. In addition, such methodologies require a certain level 72 of previous empirical knowledge [18]. Recently, radial inflow turbine as an 73 expansion machine in ORC systems have been investigated in several studies such 74 as [7], [19]–[21]. However, only the study by Rahbar et al. [7] focused on the details of design of the turbine. When comparing the current methodology with Rahbar et 75 al. [7], the current model gives the opportunity for the designer to select one of 76 77 three objective functions (turbine efficiency, power or size). In Rahber's model, on 78 the other hand, the objective function is directly related to the cycle efficiency 79 rather than the turbine efficiency. Rahbar et al. [7] studied the effect of dynamic 80 turbine efficiencies on the cycle performance, rather than the constant turbine efficiency applied in most ORC studies. In addition, in the aforementioned models 81 82 [7], [19]–[21], some thermodynamic properties were derived based on ideal gas correlations. However, as shown in Fuhaid et al. [22], the relative deviation for 83 pressures within the range of the current study can be up to 40% when integrating 84 85 ideal gas equations of state. Therefore, only real gas equations of state were 86 applied in the current model. For the above reasons, the recent models [7], [19]-[21] were very brief ones with a lot of information missing which makes it difficult 87 for the designer to the follow the process of the design. The current model presents 88 a step-by-step design methodology for ORC radial inflow turbines. Moreover, a 89 well-established stator model [23] was integrated in order to account for the 90 91 expected supersonic flows at stator outlet due to high operating pressure ratios.

92 The current work is concentrated on the development of a design process of a full 93 radial-inflow turbine stage based on certain input parameters. These parameters 94 are optimized using an optimization technique integrated in the in-house code. In 95 the optimization algorithm, which is a genetic optimization technique that 96 eliminates the manual iterative procedure, the input parameters are considered 97 and optimized as design variables to result in the optimum solution of the objective function. In a subsequent step, the optimized design variables are used as input 98 99 parameters in the design code to estimate the performance and geometry of the 100 turbine at the design point. Well-established models developed by the National Institute of Standards and Technology (NIST) (RefProP) [24] are integrated in the 101 design procedure to account for the real gas properties. When the optimum design 102 is achieved based on the optimization algorithm, a further investigation of the 103 104 design variables is achieved using the Design of Experiment technique (DoE). The 105 DoE is used to investigate the impact of each single input parameter on the whole design process while the other input parameters are kept constant. However, the designer also can investigate the influence of more than one parameter at the same time. The operating conditions at the volute inlet are specified by the steady-state model of the ORC to begin the mean-line methodology. Therefore, the designed turbine must match the operating conditions of the ORC model.

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# 112 2. Powertrain Modelling

113 The proposed integrated powertrain model is schematically presented in Fig. 1. 114 The input parameters of the model are the geometric characteristics of the heat 115 exchanger (evaporator), the working fluid properties, the diesel engine maps and 116 the expander performance. The model solution includes the calculation of the 117 turbine power output, the ORC efficiency as well as the combined fuel 118 consumption, NOx specific emissions and powertrain power output. Detailed 119 results of the engine model can be found in [9].



Fig. 1: Left) Schematic representation of the ORC powertrain; (Right) Schematic
 representation of the thermodynamic ORC cycle

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# 124 2.1 Organic Rankine Cycle Modelling

An in-house MATLAB code has been developed for the thermodynamic modeling and optimization of the ORC system. The code utilizes RefProP to calculate the thermodynamic properties of the organic fluid at liquid and gaseous conditions. In this version of the ORC model, the system is optimized to operate at steady state conditions, while the heat exchanger is assumed ideal. In addition, for simplicity, the heat and pressure losses in the connecting pipes are neglected. The heat input from the exhaust gas is given by equations (1) and (2). The indexes areschematically described in the right section of Fig.1

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$$Q_{in} = m_{wf} (h_5 - h_2)$$
(1)

$$Q_{exh}^{\cdot} = m_{exh}^{\cdot} C p_{air} (T_{exh,in} - h_{exh,out})$$
(2)

The working fluid mass flow (*m*), the ORC peak pressure (which controls the superheating percentage) and the exhaust temperature can be optimized from the in-house code, using the cycle thermodynamic efficiency as the objective function by fulfilling the constraints shown in (3). Regarding the rejected heat, it is assumed ideally that the exit temperature of the organic fluid is equal to 320K, and can be obtained using equation (4).

$$T_{exh,out} \ge 200^{\circ}C \tag{3}$$

$$Q_{out} = m_{wf} (h_6 - h_8)$$
 (4)

140 The consumed power by the pump is determined by equation (5). The pump 141 efficiency was assumed constant in this study and equal to 0.65, and was 142 considered as a realistic value to reduce impact on the total ORC thermal efficiency 143 calculation.

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$$W_{pump} = \frac{m_{wf}(P_2 - P_1)}{\rho_1 \eta_{pump}}$$
(5)

The efficiency of the expander is given by the expander model through an 145 146 interpolation and extrapolation module, as expander efficiency varies at different 147 expander rotational speeds, pressure ratios and mass flow rates. Then the ORC 148 model calculates the power produced by the expander through equation (6). The net electric power produced by the ORC is given by equation (7). The efficiency of 149 150 the generator was assumed constant and equal to 0.92, while the mechanical losses are negligible, as the transmission ratio is 1:1 there are no gears between the 151 152 expander and the generator.

 $W_{expander}^{\cdot} = m_{wf}^{\cdot} (h_5 - h_{6,is}) \eta_{expander}$ (6)

$$W_{net} = W_{epander} - W_{pump} \tag{7}$$

$$\eta_{ORC} = \frac{W_{net}}{Q_{in}} \tag{8}$$

## 154 2.1 Engine Modelling

The engine model was based a Yuchai 7.25ℓ heavy duty diesel engine. It is a turbocharged, direct injection engine and fulfils the EURO III regulatory requirements. More details about the engine can be found in [25]. This engine appears to be a reasonable choice to apply a waste heat recovery system on, considering its high exhaust flow rate and the level of exhaust gas power available for conversion.

161 The modeling of this engine was performed using a commercial engine simulation 162 tool (GT-Power), in order to develop the required engine maps. The final calibrated 163 engine model calculates not only the fuel consumption, but also the exhaust gas 164 temperature, the exhaust mass flow rate (exhaust waste heat) as well as the engine 165 NOx emissions, which formation is based on a calibrat-ed extended Zeldovich 166 mechanism sub-model.

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#### 168 3. Fluid Selection

Selection of working fluid for an ORC system is of key importance for the cycle efficiency and network. It also represents the first step in the design of an ORC. In ORC systems, only working fluids with low Global Warming Potential (GWP) and Ozone Depletion Potential (ODP) should be utilized.

Among the hundreds of fluids available, it is necessary to select either non-173 flammable fluids or flammable fluids whose auto-ignition temperature is higher 174 175 than that of the exhaust gasses leaving the ICE. For example, only a small subset of the Alkanes can be considered. In particular, the Alkanes that have a 176 flammability limit that is higher than the heat source of the ORC in question. In 177 order to come up with the optimum fluid for the current applications, the authors 178 179 [26] proposed novel method for the selection of the proper working fluid for ORC-180 WHR systems based on a radial expander in which thermodynamic properties and

evaporator heat transfer surface are taken into account. The detailed results of the
proposed method can be found in [26]. The final screening was based on the effect
of the organic fluids on the required components of the ORC, namely,

- The evaporator heat transfer surface needs to be minimized due to the space
  constraints since this component has to be fitted into the immediate
  surroundings of the ICE exhaust manifold.
- The Radial turbine rotational speed is known to affect the turbine efficiency
  (furthermore, excessive rotational speeds lead to manufacturing and
  operational problems). The expander/turbine is directly coupled to the
  Power Conversion Unit (PCU), which performs the mechanical-electrical
  power conversion, and the alternator would become much more expensive.
- The Back work ratio (BWR), i.e., the ratio between pump and turbine power,
  must be minimized to maximize the cycle net power output.
- 194 The Turbine external diameter should fall within the dimensional
  195 constraints of the retrofitting capability of the technology.
- 196

Based on the screened fluids in [26], NOVEC 649 was selected the working fluid
for the current study. Table 1 presents the thermo-physical properties of the
selected fluid.

	<i></i>		D	Boiling	Molecular	
Fluid	Г <sub>с</sub> (К)	P <sub>cr</sub> (bar)	Р <sub>сг</sub> Кg/ <b>m</b> <sup>3</sup>	Point (K)	Mass (g/kmol)	GWP
Novec649	441.81	18.69	606.8	322.2	316.04	1

ODP

0

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 Table 1: Properties of the selected fluid (NOVEC 649).

## 201 4. Modelling of the Radial Inflow Turbine

Fig. 2 presents the full turbine stage. Radial-inflow turbines consist of three main components: volute, stator vanes and rotor blades. In some applications, a fourth component called diffuser is added to recover the otherwise wasted kinetic energy at the rotor exit and convert it into static pressure. The flow firstly enters the volute and is accelerated due to the reduced cross-section area in the stream-wise direction from 360° at the inlet to nearly 0° at the exit. Moreover, the tangential component of velocity increases before entering the nozzle vanes due to the reduced cross-section area, and flow is distributed evenly around the periphery of the stator inlet. After leaving the volute, the flow enters the stator vane where the fluid is further expanded and turned to enter the rotor blades in the optimum direction with the necessary tangential velocity. Finally, the fluid enters the most critical component of the turbine, which is the rotor, where the fluid is further expanded, converting the kinetic energy of the fluid into shaft power.



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Fig. 2: Architecture of the radial turbine stage.

Fig. 3 presents a schematic meridional view of the turbine stage, and Fig. 4
presents the h-s diagram through the turbine stage.



Fig. 4: Entalpy–Entropy diagram of the turbine stage.

## 4.1 Optimization Algorithm (OA)

225 An optimization technique is developed and coupled to the design procedure. The 226 OA is essential because it is used to optimize the turbine and eventually to achieve better ORC performance. This code is a genetic optimization technique that 227 eliminates the manual iterative procedure. The MATLAB optimization 228 229 ToolboxTM® [27] is used to optimize the geometry and performance of the turbine under specified design conditions. This toolbox provides functions to maximize 230 objective function and satisfy the user-defined constraints. It includes solvers for 231 linear programming, mixed-integer linear programming, quadratic programming, 232 233 nonlinear optimization and nonlinear least squares. Fmincon, which is a 234 constrained nonlinear minimization or maximization algorithm, is the solver used 235 in this study. This algorithm finds the constrained minimum of a scalar function of several variables at an initial estimate. The objective function is shown in 236 equation (9). 237

$$\gamma = a_0 \eta_{ts} + a_0 W_{out} + a_2 \frac{W_{out}}{d_{max}} \tag{9}$$

The multipliers  $a_0$ ,  $a_1$  and  $a_2$  are used to define the objective function. Turbine 238 total-to-static efficiency  $\eta_{ts},$  expander power output  $W_{out}$  and the expander power 239 over the turbine size  $\frac{W_{out}}{d_{max}}$  are the important objective functions of the turbine. For 240 241 example, if the turbine total-to-static efficiency  $\eta_{ts}$  is selected as the objective 242 function, then the multipliers become as follows:  $a_0 = 1$ ,  $a_1 = 0$  and  $a_2 = 0$ . 243 Different design criteria can lead to various optimized expander geometries. The 244 flowchart of the optimisation algorithm is presented in Fig. 5. For brevity, the three terms in equation (9) are represented as F1, F2 and F3. 245



## Fig. 5: Flowchart of the optimisation algorithm.

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# 250 4.2 Design Point (DP)

This section presents the detailed procedure of the mean-line modelling at the design point. The DP code obtains the thermodynamic properties of the working fluid at each turbine stage (volute, stator and rotor) using the integrated real gas EoS and determines the geometric and performance parameters using the genetic optimization algorithm.

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## 4.2.1 General Stage Modelling

For simplicity, fluid properties are assumed to be constant on a plane normal to its direction of motion. Therefore, these properties vary only along the mean streamline of the blade. Assuming the acceptable values of performance is common practice to proceed with the design.

**Table 2** presents the input parameters specified by the designer.

Thermodynamic In	puts	Performance Input
Parameters	Unit	Parameter Unit
<i>T</i> <sub>01</sub>	Κ	$\Psi$ and $\varphi$ -
<i>P</i> <sub>01</sub>	kPa	
$P_5$	kPa	
m <sup>.</sup>	Kg/s	

It is worth mentioning that the design code is an improved version of the design
procedure presented by Moustapha et al.[13]. Fig. 7 presents the detailed flowchart
of the proposed design methodology.

Considering that the stagnation temperature and pressure are given, the other thermodynamic properties can be easily found using EoS, as shown in equation (10). Equation (11) shows that  $s_{5s} = s_{o1}$  based on the h-s diagram, Fig. 4. In addition, the isentropic pressure at the rotor exit  $P_{5s}$  is calculated from the given pressure ratio. Therefore, the isentropic enthalpy  $h_{5s}$  can be found using EoS, as shown in equation (11).

$$\{T_{01}, P_{01}\} = EoS(\rho_{01}, S_{01}, a_{01}, fluid)$$
(10)  
$$\{P_{5s} = P_5, s_{5s} = s_{01}\} = EoS(h_{5s}, fluid)$$
(11)

274 Subsequently, the isentropic  $\Delta h_{is}$  and actual  $\Delta h_{act}$  enthalpies drops, and the 275 turbine power output  $W_{out}$  can be obtained using the following equations:

$$\Delta h_{is} = h_{o1} - h_{5s}$$

$$\Delta h_{act} = {}^{n}_{ts} \Delta h_{is} = h_{01} - h_{05}$$

$$(12)$$

$$(13)$$

$$W_{out} = m\Delta h_{act} \tag{14}$$

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# 277 4.2.2 Rotor Modelling

278 Rotor is the most significant component in the turbine stage because work transfer 279 occurs in this region. Therefore, this component will be analysed first. The rotor is 280 modelled based on two non-dimensional parameters, namely, loading coefficient  $\Psi$ 281 and flow coefficient  $\varphi$ , as outlined by Moustapha et al. [13]. These parameters are 282 shown in equations and .

$$\varphi = \frac{C_{m5}}{U_4}$$
(15)  
$$\Psi = \frac{\Delta h_{act}}{U_4^2}$$
(16)

The rotational speed  $U_4$  and the meridional velocity  $C_{m5}$  at the rotor outlet can be calculated because the loading coefficient  $\Psi$  and flow coefficient  $\varphi$  are imported from the optimization algorithm. Thus, the velocity triangle in Fig. 6 and the flow angles at the rotor inlet can be calculated.



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Fig. 6: Velocity triangles through the rotor.

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Given that the absolute velocity at rotor inlet  $C_4$  is now known, the static enthalpy  $h_4$  can be calculated using the First Law of Thermodynamics. The h - s diagram in Fig. 4 shows that  $s_4 = s_{o4}$ . To obtain  $s_{o4}$ , the stagnation pressure  $P_{o4}$  is calculated using equation (17) [23]. Therefore, all other stagnation properties, including  $s_{o4}$ , at the rotor inlet can be obtained using EoS at  $\{h_{04} = h_{01}, s_4 = s_{04}\}$ .

$$P_{o4} = P_{01} - \left[\frac{\rho_{o1}\Delta h_{act}(1 - {}^{n}_{ts})}{4{}^{n}_{ts}}\right]$$
(17)



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Fig. 7: Flowchart of the design point.

298 Consequently, the static thermodynamic properties at the rotor inlet can be found 299 using the EOS at  $\{h_4, s_4\}$ , as shown in equation.

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$$\{h_4, s_4 = s_{04}\} = EoS(\rho_4, P_4, T_4, a_4, fluid)$$
(18)

According to the Euler equation, equation (19), the power output of the turbine increases with negative values of the exit swirl  $C_{\theta 5}$ . However, some reduction in efficiency will occur[14]. Therefore,  $C_{\theta 5}$  is assumed to be zero to minimise the leaving loss of the rotor. One of the triangle parameters has to be obtained to complete the velocity triangle at the rotor exit. Aungier [23] proposed an effective procedure to estimate meridional speed  $C_{m5}$ , equation (20). When this 307 equation is applied, the velocity triangle at the rotor exit can be obtained using308 trigonometry rules.

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$$W_{output} = m (U_4 C_{\theta 4} - U_5 C_{\theta 5})$$
<sup>(19)</sup>

$$C_{m5} = C_{m4} \left( 1 + 5 \left( \frac{b_4}{r_4} \right)^2 \right)$$
(20)

Subsequently, the static enthalpy  $h_5$  is calculated using the First Law of Thermodynamics, as shown in equation (21), and the other thermodynamic properties are calculated using EoS, as shown in equation (22). Given that  $s_{05} = s_5$ , Fig. 4, the stagnation thermodynamic properties can be found using equation (23).

$$h_5 = h_{o5} + \frac{1}{2}C_5^2 \tag{21}$$

$$\{h_5, P_5\} = EoS(\rho_5, T_5, a_5, s_5, fluid)$$
(22)

$$\{S_{05}, h_{05}\} = EoS(\rho_{05}, T_{05}, a_{05}, P_{05}, fluid)$$
(23)

To fully define the rotor geometry, the axial length and blade thickness of the rotor at its trailing edge are defined using equations (24) and (25), respectively [23]. The rotor mean throat  $o_5$ , which is the smallest distance between two adjacent blades, is calculated based on the value of the relative Mach number  $M_{5rel}$ , as shown in equation, (26) [23].

$$\Delta z = 1.5 (r_{5t} - r_{5h}) \tag{24}$$

$$t_{b5} = 0.02 \, r_4 \tag{25}$$

$$\begin{cases} o_{5} = \frac{s_{5}C_{m5}}{W_{5}} \text{ for } M_{5rel} < 1 \\ o_{5} = \frac{s_{5}C_{m5}\rho_{5}}{\rho_{*}W_{*}} \text{ for } M_{5rel} \ge 1 \end{cases}$$
(26)

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The blade angle of the rotor exit  $\beta_{5,blade}$  can be obtained by the correlation shown in equation (27) as proposed by Suhrmann et al.[28], who stated that the correlation is based on the assumption that the relative flow angle  $\beta_5$  is equal to  $\beta_{5,blade}$  for zero mass flow, and the deviation  $\delta_5$  increases with mass flow.This equation is implicit in  $\beta_{5,blade}$  and can be solved through the application of a bisection method. It is worth mentioning that this correlation is applied with idealgases as the working fluid.

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$$\frac{90 - \beta_5}{90 - \beta_{5,blade}} = 1 + \left(m \cdot \frac{\sqrt{RT_{01}}}{P_5 D_4^2 (2\tan(90 - \beta_{5,blade}) - 0.5)}\right)^{0.02(90 - \beta_{5,blade}) - 0.255} \left(\frac{3\pi}{Z_r}\right) + 7.85 \frac{c_{rs}}{b_5}$$
(27)

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## 4.2.3 Interspace Modelling

330 A small space between the stator trailing edge and rotor leading edge is essential for the nozzle wakes to mix out before entering the rotor [13]. However, the value 331 of the interspace is a trade-off among reduced mechanical coupling, large-size 332 333 turbine and increased pressure losses. The increase in interspace distance results in higher fluid friction and boundary layer, whereas reducing the interspace 334 335 distance will result in lower blade row interaction [13]. In his CFD analysis, White 336 [29] stated that the reduction of the total pressure from the stator trailing edge to 337 rotor leading edge is 1.45%, which is sufficiently small to validate a constant total pressure in the interspace. Watanabe et al. [30] proposed a correlation to estimate 338 a suitable clearance gap between the stator exit and the rotor inlet, as shown in 339 equation, (28). 340

$$r_3 - r_4 = k b_3 \cos\left(\frac{\alpha_3 + \alpha_4}{2}\right),\tag{28}$$

341

where  $b_3$  is the stator blade height calculated using equation (29).  $\varepsilon_x$  and  $\varepsilon_r$  are the axial and radial tip clearances and given as a percentage of the exit blade height, equation (30).

$$b_3 = b_4 + \varepsilon_x \tag{29}$$

$$\varepsilon_x = \varepsilon_r = 0.04 \, b_5 \tag{30}$$

## 345 4.2.4 Stator Modelling

To reduce incidence loss, the nozzle vanes must be set at an appropriate blade angle to enable a smooth swirl flow at the rotor leading edge. The design procedure of the nozzle vanes are performed iteratively, as shown in the flowchart, Fig. 7. Li et al. [31] stated that the conservation of angular momentum can be applied in the vaneless space because the swirl coefficient between the stator exit and the rotor inlet is close to unity. Therefore, the tangential component of the velocity at the stator exit  $C_{\theta 3}$  can be calculated, as shown in equation, (31).

$$C\theta 3 = \frac{C_{\theta 4} \cdot r_4}{r_3} \tag{31}$$

To construct the velocity triangle at the stator exit, the absolute velocity  $C_3$  is calculated iteratively with a first assumption of  $C_3 = C_{\theta 3}$ . Subsequently, the static enthalpy  $h_3$  is calculated from the total enthalpy and the kinetic energy, as shown in equation, (32).

$$h_3 = h_{o3} + \frac{1}{2}C_3^2 \tag{32}$$

The other thermodynamic properties can now be obtained, as shown in equation (33). Then, the new value of mass flow rate  $m_{calc}$  is obtained using equation (34), and the process is repeated until convergence is achieved.

$$\{S_3 = S_4, h_3\} = EoS(\rho_3, T_3, a_3, P_3, fluid)$$
(33)

$$m_{calc} = 2\pi r_3 \rho_3 b_3 C_{m3}$$
(34)

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Similar to the rotor throat width, the stator throat width is calculated using 361 equation, (35) [23].  $s_v$  is the vane pitch at the trailing edge. Moreover, it is related 362 to the vane chord  $c_v$ , where  $\frac{c_v}{s_v} = 1.2 \text{ to } 1.3$ . This limit ratio is known as solidity  $\sigma$ , 363 and it is implemented in the optimization algorithm to reach the optimum value 364 within the limit. If the flow at the stator exit is supersonic  $(M_3 \ge 1)$ , the nozzle 365 throat width is calculated using the mass continuity equation between the throat 366 passage and the exit station, as shown in, (35).  $\rho_*$  and  $a_*$  are the density and speed 367 of sound at sonic conditions, respectively. 368

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$$\begin{cases} o_{3} = s_{v} \sin \alpha_{3} & for \quad M_{3} < 1 \\ o_{3} = \frac{C_{m3} \rho_{3}}{\rho_{*} \alpha_{*}} & for \quad M_{3} \ge 1 \end{cases}$$
(35)

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The number of nozzle vanes  $Z_s$  can be either calculated using equation (36) or defined by the user.

$$Z_s = \frac{2\pi r_3}{s_v} \tag{36}$$

The stator setting angle  $\gamma_3$  should be calculated iteratively to set the required throat width. The stator vane is positioned at the stator outlet radius  $r_3$  and rotated around the trailing edge with an initial guess of  $\gamma_3 \ge 5^\circ$ . Subsequently, the second vane is constructed by rotating the first vane around the origin by  $\frac{2\pi}{Z_s}$ , and the throat width will be the minimum distance between the two cascades. The iterative process is then repeated until convergence occurs at  $o_3$ . The radial chord length of the vane  $c_d$  is then calculated using equation.

$$c_d = c_v \cos \alpha_3 \tag{37}$$

Considering that the vane throat width  $o_3$  is now known, cosine rule can be used to account for the change in angular momentum between vane throat and exit, as shown in equation (38). With the application of the conservation of momentum between stator throat and stator exit, static density can be calculated using equation (39).

$$\cos \alpha_{th} = \frac{o_3 Z_s}{2\pi r_3}$$
(38)  
$$\rho_{th} = \rho_3 \frac{\tan \alpha_{th}}{\tan \alpha_2} \frac{r_{th}}{r_3}$$
(39)

385

Given that  $r_2$  is calculated from the two cascades, the stator setting angle at the 386 inlet  $\gamma_2$  is obtained using equation (40). To calculate the velocity triangle at the 387 388 stator inlet, an iterative process is essential to calculate the absolute velocity  $C_2$ 389 until convergence occurs at m. The volute loss is calculated using equation (41) [13]. Consequently, the isentropic static enthalpy  $h_{2s}$  is calculated to obtain the 390 391 rest of the thermodynamic properties at the stator inlet using equation (42). The static pressure  $P_2$  and the rest of the thermodynamic properties are then obtained 392 393 using EOS, as shown in equations (43) and (44), respectively. The new mass flow 394 rate is then calculated using equation (45).

$$\cos\gamma_2 = \frac{r_3 \cos\gamma_3}{r_2} \tag{40}$$

$$\Delta h_{vol} = \frac{1}{2} k_{vol} C_2^2 \tag{41}$$

$$h_{2s} = h_2 - \Delta h_{vol} \tag{42}$$

$$\{h_{2s}, S_1\} = EoS(P_2, fluid)$$

$$\{h_2, P_2\} = EoS(\rho_2, T_2, a_2, s_2, fluid)$$

$$m_{calc} = A_2 \rho_2 C_{m2}$$
(43)
(44)
(44)
(44)
(45)

## 396 4.2.5 Volute Modelling

Volute or the turbine inlet casing is used to distribute the fluid flow around the turbine periphery to provide a uniform mass distribution and uniform static pressure at the volute exit. An elliptical cross-section area is assumed due to the mathematical simplicity of ellipse calculations. Aungier [23] recommended a relationship between the ellipse semi-axes and the aspect ratio (AR), as shown in equation, (46). Therefore, the limit of AR is implemented in the optimization process to obtain the optimum value within the recommended limit.

$$0.75 \le AR \le 1.5 \tag{46}$$

Given that the volute radius is unknown, an iterative procedure is required with a first assumption of  $r_1 = r_2$ . Subsequently, equations (46) to (50) are solved iteratively until convergence is achieved.

$$C_1 = \frac{r_2 C \theta_2}{r_1 S C} \tag{47}$$

407 SC is the swirl coefficient that accounts for the effect of the wall friction in the 408 volute. In some studies [14], [23], the value of *SC* is assumed to be equal to one. 409 However, Moustapha et al.[13] stated that the analyses of radial turbine test data 410 suggest a value in the limit of 0.85 < SC < 0.95. In the current study, the value of 411 *SC* is imported from the optimization process, where the value lies in the limit 412 of 0.85 < SC < 1.

$$h_{1} = h_{01} - \frac{C_{1}^{2}}{2}$$

$$\{h_{1}, s_{1}\} = EoS(\rho_{1}, T_{1}, a_{1}, P_{1}, fluid)$$

$$(49)$$

$$A_1 = \frac{m}{\rho_1 C_1} \tag{50}$$

413

## 414 4.2.6 Losses Model

The majority of losses in the turbine stage occurred in the rotor. The loss model of the rotor is based on a well-established model outlined in [28], [32]. Five main losses, namely, incidence, passage, tip clearance, windage and exit energy are included in the present model. Subsequently, rotor loss is calculated as the sum of 419 the total losses of the rotor, as shown in equation, (51). Table 3 summarizes the

420 losses through the turbine stage.

$$\Delta h_{loss,rotor} = \Delta h_{incidence} + \Delta h_{passage} + \Delta h_{tip} + \Delta h_{windage} + \Delta h_{exit}$$
(51)

Type and correlation of losses	Equation
$\Delta h_{incidence} = \frac{1}{2} [W_4 \sin(\beta_4 - \beta_{4,opt})]^n$	(52)
$\Delta h_{passage} = \frac{1}{2} \left( 2f_t \frac{L_h}{D_h} \overline{W}^2 + \frac{r_4 C_4^2}{r_c Z_r} \right)$	(53)
$\Delta h_{tip} = \frac{U_4^3 Z_r}{8\pi} \Big( K_a \varepsilon_a C_a + K_r \varepsilon_r C_r + K_{a,r} \sqrt{(\varepsilon_a \varepsilon_r C_a C_r)} \Big)$	(54)
$\Delta h_{windage} = k_f \frac{\bar{\rho} U_4^3 r_4^2}{2\dot{m}}$	(55)
$\Delta h_{exit} = \frac{1}{2}C_5^2$	(56)

The new efficiency is subsequently calculated, as shown in equation (57). Theprocess is repeated until convergence is achieved.

$$n_{ts} = \frac{\Delta h_{act}}{\Delta h_{act} + \Delta h_{loss,volute} + \Delta h_{loss,stator} + \Delta h_{loss,rotor}}$$
(57)

425

## 426 4.3 Design of Experiments (DOE)

427 The indicator of the turbine quality is the total-to-static efficiency and power 428 output. In addition, the turbine is part of a complete system that is used as a WHR 429 system in ICEs. Moreover, the space in the interior of the vehicles is limited. 430 Therefore, evaluating the size of the turbine is also important. The maximum size 431 of the turbine is  $r_1$  as shown in Fig. 3.

The DOE is a parametric study based on the simultaneous variation of one or more input parameters while the other input parameters are maintained constant. In each run, a single input parameter (or multiple) is varied while the other parameters are kept constant. Once the run is completed, the optimum value of the varied input parameter that leads to the optimum objective function is fixed. In the next run, another input parameter is varied and the process is repeated until the entire set of input parameters is examined.

## 440 5. Results and Discussion

#### 441 5.1 Comparison with an Existing Model

The model is validated against a well-defined model, Glassman [17], to evaluate 442 its accuracy. In Glassman's case [17], some significant inputs, such as power, 443 444 rotational speed, stator exit angle, angular momentum distribution, rotor exit flow, specific heat ratio of the gas and stator radius ratios, must be specified by the 445 designer to solve the model. This indicates that the designer has to have enough 446 447 experience of empirical knowledge to specify the suitable input parameters. 448 Therefore, the proposed methodology in this work requires substantially fewer 449 input parameters, in which little or no experience of the empirical correlations is required. The results of both cases are compared in terms of geometry and 450 performance of the turbine. Table 4 presents the design input parameters of the 451 turbine presented in [17]. 452

453

Table 4: Design Input Parameters [238]

Parameter	Value	Unit
Fluid	Argon	-
Inlet stagnation temperature	1083.3	Κ
Inlet stagnation pressure	91	kPa
Exit static pressure	56.52	kPa
Rotational speed	38,500	rpm
Mass flow rate	0.277	Kg/s

454

455 The results of both cases are presented in Table 5, which clearly shows that the 456 results of the current model are in good agreement with the test case (Glassman's 457 model). In terms of turbine size, the current model overestimates the size, with 3.63% increase compared to the test case. The deviation in the turbine performance 458 459 between the two models is 2.3%, indicating the overestimation of the current model. This result can be justified by the fact that the input parameters ( $\varphi$ ,  $\Psi$ ) in 460 461 the current study are optimized for higher turbine performance. The maximum deviation between the two models is in the prediction of the rotor exit tip radius  $r_{5t}$ 462 with a value of 5.38%. The results in Table 5 indicate that the results in the current 463 model are in good agreement with the test case. Importantly, the model can 464 465 optimize any existing turbine. It is worth mentioning that the test case is just a theoretical study and no experiment has been done to validate it. 466

	Unit	Glassman	Current Model	Deviation %
Parameter				
Stator inlet radius $r_2$	mm	97.75	101.3	3.63
Stator exit radius $r_3$	mm	79.38	82.6	4.06
Absolute flow angle $\alpha_3$	deg	72	73.7	2.36
Number of nozzle vanes N <sub>v</sub>	-	16	16	0.00
Rotor inlet radius $r_4$	mm	78.74	76	3.48
Rotor exit hub radius $r_{5h}$	mm	19.36	18.7	3.41
Rotor exit tip radius $r_{5t}$	mm	55.42	58.4	5.38
Absolute flow angle $\alpha_4$	deg	71.92	72.5	0.81
Relative flow angle $\beta_4$	deg	-31.5	-33	4.76
Relative flow angle $\beta_5$	deg	-70.69	-72	1.85
Number of rotor blades $N_r$	-	12	12	0.00
Stage total-to-static efficiency	%	83	85.3	2.3
$\eta_{ts}$				

**Table 5**: Comparsion between the Current Model and Glassman's Model.

## 469 5.2 .Parametric Study Using DOE

Fig. 8 presents the effect of flow coefficient  $\varphi$  on the performance of the turbine 470 471 (power and efficiency), its maximum size, and Mach number at rotor inlet at 472 different pressure ratios. Fig. 8 shows that the flow coefficient  $\varphi$  has a significant 473 impact on the turbine total-to-static efficiency  $\eta_{ts}$  and overall turbine size  $r_1$ . The 474 increase in flow coefficient  $\varphi$  is detrimental to the turbine total-to-static efficiency 475  $\eta_{ts}$  and beneficial to the turbine compact size. The definition of the flow coefficient implies that the increase of this parameter leads to higher meridional velocity at 476 477 the rotor exit  $C_{m5}$  and, therefore, higher exit loss, as shown in equation (56). Fig. 478 8 depicts also the effects of flow coefficient  $\varphi$  on the turbine power output  $W_{out}$ , which decreases slightly as  $\varphi$  increases. This phenomenon can be justified by the 479 definition of  $\varphi$  and Euler equation. As  $\varphi$  increases, the rotor blade speed  $U_4$ 480 decreases, thereby resulting in low power output. The effect of  $\varphi$  on the Mach 481 number is insignificant because the two parameters have no direct relationship, as 482 shown in Fig. 8. Fig. 8 also depicts the effect of turbine total-to-static pressure ratio 483  $PR_{ts}$  while increasing  $\varphi$ . The variation of  $PR_{ts}$  has remarkable effects on the 484 investigated parameters. As  $PR_{ts}$  increases, the enthalpy drop through the turbine 485 stage increases, leading to high turbine power output  $W_{out}$ . The increase in 486 enthalpy drop leads to larger rotor diameter and, hence, larger turbine size  $r_1$ . The 487 488 increase in the pressure ratio likewise leads to high Mach number  $M_4$ , where the 489 flow becomes supersonic at  $PR_{ts} \geq 5$ .





**Fig. 8**: Effect of flow coefficient  $\varphi$  on the investigated parameters.

Fig. 9 presents the effect of loading coefficient  $\Psi$  on the same parameters 493 494 mentioned in the previous paragraph. Fig. 9 shows that the effect of  $\Psi$  has a slight 495 significance n  $\eta_{ts}$  and is insignificant on  $W_{out}$ . However, the results in Fig. 9 496 agrees well with Moustapha et al. [13], in which the turbine shows better 497 performance with  $\Psi$  falling in the range of 0.8–1. Furthermore, loading coefficient 498  $\Psi$  has a significant effect on the turbine size and Mach number, as shown in Fig. 499 9. This phenomenon is directly related to the definition of the loading coefficient  $\Psi$ 500 where the increase of  $\Psi$  leads to higher enthalpy drop and, therefore, a smaller 501 diameter. The decrease of  $U_4$  results in higher absolute velocity at the rotor inlet  $C_4$ , thereby leading to the higher Mach number  $M_4$ . 502





**Fig. 9**: Effect of loading coefficient  $\Psi$  on the investigated parameters.

506 Fig. 10 presents the effects of turbine rotational speed N on the four investigated parameters. The figure shows that the total-to-static efficiency  $\eta_{ts}$  increases 507 508 gradually with the turbine speed N with an increase of 11.7% from 20,000 rpm to 509 70,000 rpm. In agreement with Euler equation, the turbine power output  $W_{out}$ 510 presents the same trend as the  $\eta_{ts}$  with an increase of 12.5% from 20,000 rpm to 511 70,000 rpm. Fig. 10 also presents the effect of N on the two remaining parameters. 512 The increase in turbine speed N is significantly beneficial to the turbine size  $r_1$ . As 513 N increases,  $r_1$  substantially decreases with a decrease of 27.14% from 20,000 rpm 514 to 70,000 rpm. This observation can be justified by the definition of loading coefficient  $\Psi$ . For constant  $\Psi$ , the rotor radius  $r_4$  is inversely proportional to the 515 516 turbine speed N. This result also explains the increase in turbine efficiency  $\eta_{ts}$ , 517 where the friction and exit loss decrease with turbine size. The effect of turbine speed on the Mach number  $M_4$  of the rotor inlet is insignificant with a maximum 518 increase of 1% from 20,000 rpm to 70,000 rpm. 519





Fig. 10: Effect of rotational speed N on the investigated parameters.

523 Fig. 11 depicts the effect of working fluid mass flow rate on the four investigated parameters. Fig. 11 shows that  $W_{out}$  and  $\eta_{ts}$  increase substantially with the mass 524 flow rate of the working fluid. This observation is directly related to the Euler 525 equation. The figure also depicts the effect of the mass flow rate on turbine size  $r_1$ 526 527 and Mach number  $M_4$ . Clearly, the mass flow rate has a significant effect on the 528 turbine size  $r_1$  due to the increase in the enthalpy drop that leads to a larger 529 turbine size. Similarly,  $M_4$  increases substantially due to the increase in the enthalpy drop that leads to high rotor blade speed  $U_4$ . Therefore, a higher absolute 530 velocity  $C_4$  eventually results in higher  $M_4$ . 531



532 533

Fig. 11: Effect of mass flow rate *MFR* on the investigated parameters.

534 5.3 Design of the Optimum Turbine

The initial estimates of the input parameters presented in Table 6 are used tobegin the optimization process. This model has three objective functions:

- 537  $F_1$ : Total-to-static efficiency  $\eta_{ts}$
- 538  $F_2$ : Turbine power output  $W_{out}$

Variable	Value	Unit
P <sub>0</sub>	900	KPa
$T_0$	471.5	Κ
<b>P</b> <sub>5</sub>	128.5	KPa
Ν	40,000	rpm
$d_{max}$	52	mm
т <sup>.</sup>	0.8	Kg/s
Fluid	NOVEC 649	-

 Table 6: Input Conditions of the Current Turbine

540

The results of the three objective functions are briefly presented in Fig. 12. The figure shows the results when  $F_1$  is selected as the objective function. The optimum efficiency obtained is 74.4%, 71.8% and 70.1% when  $F_1$ ,  $F_2$  and  $F_3$  are selected, respectively. The high value of  $F_1$  is due to the low enthalpy losses shown in Fig. 13. The optimization of  $F_1$  results in low absolute velocity at turbine exit  $C_5$  and, hence, low exit loss. Moreover, the rotor speed  $U_4$  and relative speed  $W_4$  are the lowest, thereby resulting in lower tip clearance and incidence losses.



549





Fig. 12: Results of the OA using different objective functions.

Fig. 12 also presents the result when  $F_2$  is selected as the objective function. The maximum power output  $W_{out}$  is 14.3 kW, and 12.7 kW and 13.36 kW when  $F_1$ and  $F_3$  are selected, respectively. This result is directly related to the Euler equation, where the mass flow rate and enthalpy drop  $\Delta h_{act}$  are the highest.

557 In addition, Fig. 12shows the result when  $F_3$  is selected as the objective function. 558 In some applications in which the size of the component is limited, this objective 559 function is very crucial because higher turbine power and compact size are 560 combined. The optimum value of  $F_3$  is 310.7 kW/m; with turbine power and size 561 are 13.36 kW and 0.043 m, respectively. The turbine size is substantially improved 562 since its values are 0.05 m and 0.072 m for  $F_1$  and  $F_2$ , respectively.

Fig. 13 presents the contribution of the rotor aerodynamic losses at the three objective functions. For the three objective functions, the secondary losses are dominant. This phenomenon is due to the high turning at the blade exit that results in efficiency deterioration. Tip clearance is the second dominant loss, which is expected with organic fluids. Organic fluids have large densities and low operating mass flow rates, leading to smaller blade height at the rotor leading edge  $b_4$  and, therefore, large tip clearance.







572 Table 7 shows the details of the final optimized radial-inflow turbine. The relative 573 flow angle  $\beta_4$  is positive, which is in contrast with conventional turbines where a negative angle is always present. This phenomenon is beneficial to maintain 574 575 optimum incidence at the rotor inlet. Table 7 shows also that the Mach number at the stator exit  $M_3$  is greater than unity, thus indicating supersonic flows. However, 576 577 this result is expected due to the high pressure ratio (PR = 7). Moreover, organic 578 fluids usually have low values for the speed of sound, which leads to supersonic regimes. At the rotor exit, the values of Mach numbers  $(M_{5,rel} and M_5)$  are way 579 below unity, that is, the likelihood of the creation of shock waves at this region is 580 581 low.

5	8	3

Table 7: Detailed Geometry and Performance of the Optimized Turbine.

Parameter	Value		Unit
	Volute: 1 Inlet	2: Exit	
$r_1$	0.0507		m
$A_{th}$	0.0004		$m^2$
	Stator: 2 Inlet	3: Exit	
$M_2$	0.17		_
$M_3$	1.35		_
$r_2$	0.044		m
$r_3$	0.0356		m
$b_2$	0.004		m
$b_3$	0.004		m
$\alpha_2$	68.9		deg
$\alpha_3$	79.4		deg
$S_v$	0.013		m
$\beta_{blade,2}$	76.3		deg
$\beta_{blade,3}$	66.7		deg
$Z_s$	17		_
$o_v$	0.0016		m

$c_v$	0.01	m
	Rotor: 4 Inlet 5: Exit	
$M_5$	0.45	
$M_{5,rel}$	0.58	
$r_4$	0.034	m
$r_{5h}$	0.008	m
$r_{5t}$	0.023	m
$r_{rms,5}$	0.017	m
$b_4$	0.003443	m
$b_5$	0.015	m
$lpha_4$	77	deg
$\alpha_5$	10	deg
$eta_{blade,4}$	54	deg
$\beta_{blade,5}$	-45	deg
$N_r$	15	_
Ζ	0.0197	m
$\varepsilon_x = \varepsilon_r$	0.0005	m
	Performance	
$N_s$	0.5	—
R	0.46	—
v	0.642	_
$\eta_{ts}$	74.4	%
$W_{out}$	13.6	kW

585

## 586 6. Conclusion

587 This paper presented a full design methodology for a nozzled, high pressure ratio 588 radial-inflow turbines integrated in ORC systems and applied to a real-world 589 heavy-duty diesel engine. This methodology covered the preliminary and detailed aerodynamic design for the volute, nozzle vanes, and rotor blades. In addition, the 590 proposed design methodology was linked with an optimization algorithm in order 591 to obtain the best group of input parameters that eventually result in an optimum 592 593 objective function, namely, the turbine efficiency, power or size. In order to 594 investigate the effects of each input parameter individually, a design of experiment 595 investigation was implemented. The proposed methodology can be widely applied to any nozzled or nozzle-less, radial-inflow turbine in order to achieve the critically 596 597 important, high efficiency required of modern ORC turbo-expanders. In the future, 598 the authors aim to validate the proposed model with experimental results using a 599 built-in ORC system coupled to heavy duty diesel engine.

600

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