## 29 Abstract

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31	The neutral model of cultural evolution, which assumes that copying is unbiased, pro-
32	vides precise predictions regarding frequency distributions of traits and the turnover
33	within a popularity-ranked list. Here we study turnover in ranked lists, and identify
34	where the turnover departs from neutral model predictions to detect transmission
35	biases in three different domains: color terms usage in English language 20 <sup>th</sup> century
36	books, popularity of early (1880-1930) and recent (1960-2010) USA baby names,
37	and musical preferences of users of the website Last.fm. To help characterize the
38	type of transmission bias, we modify the neutral model to include a content-based
39	bias and two context-based biases (conformity and anti-conformity). How these
40	modified models match real data helps us to infer, from population scale
41	observations, when cultural transmission is biased, and, to some extent, what kind of
42	biases are operating at individual level.
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44	1. Introduction
45	
46	The cultural evolution research program (Boyd & Richerson, 1988; Mesoudi, 2011)
47	has focused on the fact that humans (and, partly, other animals) use various
48	heuristics, referred to as social learning strategies (Laland, 2004; Rendell et al.,
49	2011) or transmission biases (Boyd & Richerson, 1988), to choose when, what, or
50	from whom, to copy. Henrich & McElreath (2003) distinguished between content-

51 *based* biases, where inherent features of the cultural traits at stake determine the

- 52 choice, and *context-based* biases, where the choice relates instead on features
- 53 extracted from the social context. For example, Morin (2013) explained the success
- 54 of direct-gaze portraits over indirect-gaze ones, in painting traditions where both
- 55 forms are present, with a content-based bias, namely that direct eye-gaze is more
- 56 cognitive appealing (more attractive, attention-catching, etc.) that indirect eye-gaze.

In the case of context-based biases, instead, the choice is not directly determined by
the features of the traits, but, for example, by their commonality (conformist bias,
Henrich & Boyd, 1998), or by the fact that they are possessed by individuals
perceived as more successful or knowledgeable (prestige bias, Henrich & Gil-White,
2001).

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63 The adaptive value of different cultural transmission biases has been 64 elucidated trough theoretical models (see examples in Rendell et al., 2011) and 65 laboratory experiments support in general models' predictions (see examples in 66 Mesoudi, 2009). However, we still miss a full understanding of the impact of 67 transmission biases in real life cultural dynamics (Henrich & Broesch, 2011). In 68 particular, it would be desirable to develop methodologies that allow inferring biases 69 operating at individual level from observed, population scale, frequency patterns 70 (Kandler & Shennan, 2013; Mesoudi & Lycett, 2009; Shennan, 2011). On the one 71 hand, these patterns are the only available information on past cultural traditions, so 72 they are especially relevant for anthropologists and archaeologists (Kempe et al., 73 2012; Lycett, 2008; Rogers et al., 2009; Shennan, 2011). On the other hand, these 74 kinds of information are today ubiquitously accessible in form of digitized data, 75 offering an unprecedented opportunity for testing cultural evolutionary hypothesis 76 (Acerbi et al., 2013).

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In order to identify individual level biases from aggregate, population scale,
data, we follow previous works that studied departures from the predictions of
models of cultural evolution that assume that social learning is completely unbiased,
that is, individuals choose at random from whom to copy (Acerbi et al., 2012; Kandler
& Shennan, 2013; Mesoudi & Lycett, 2009; Shennan, 2011). This class of models –
known as "neutral" or "random copying" models (Bentley et al., 2004; Lieberman et
al., 2005; Neiman, 1995) — provide detailed predictions on the expected outcomes

of the cultural evolutionary process, and have been shown able to account for
empirical regularities observed in cultural domains as diverse as decoration patterns
in Neolithic pottery (Neiman, 1995), popularity of first names (Hahn & Bentley, 2003)
or dog breeds (Herzog et al., 2004, see also Ghirlanda et al., 2013), and usage of
keywords in academic publications (Bentley, 2008).

90

91 Mesoudi & Lycett (2009) added biases to the neutral model and examined 92 through computer simulations how they may impact the frequency distribution of 93 cultural traits. The neutral model produces characteristic right-skewed distributions, 94 where very few traits are very popular, and the vast majority of traits remain rare. 95 Conformity, Mesoudi & Lycett (2009) showed, produces "winner-take-all" 96 distributions, which are even more skewed than the ones produced by neutral 97 models, since popular traits are proportionally more advantaged. Anti-conformity, or 98 negative frequency-dependent copying (a bias against popular traits), produces 99 instead distributions where the majority of traits result at intermediate frequencies. 100

101 Others concentrated on the change through time of frequencies, comparing 102 empirical data with model results. Kandler & Shennan (2013) used the probability of 103 the observed number of cultural variants present in a population as another 104 diagnostic prediction of the neutral model that could be readily compared to real 105 data, and they showed that discrepancies with neutral model predictions suggested 106 the presence of context-based, frequency-dependent biases in decoration of pottery 107 in early Neolithic Europe. Steele et al. (2010) did not find significant differences 108 between neutral model predictions and data regarding frequency distributions, but 109 they showed the existence of a correlation between functional characteristic of the 110 traits studied (Bronze Age vessels) and their abundance, which may be a signature 111 of a content-based bias.

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113 In this paper, we focus on departures from a different set of predictions of the 114 neutral model, namely predictions on the turnover of cultural traits. Popular examples 115 of turnover are widespread information on "new entries" in various top charts (Top 5, 116 Top 40, etc.), a ubiquitous feature in contemporary culture. However, it is possible to 117 calculate the turnover for any cultural domain - examples include frequency of 118 pottery designs (Bentley et al., 2004), word usage (Bentley, 2008), or bird song 119 elements (Byers et al., 2010) — knowing the frequencies through time of different 120 cultural traits.

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122 We define turnover, for a list of cultural traits ranked in order of abundance of size y, 123 the number z of new traits that enter in that list at each time step considered. For 124 example, the turnover of recent females baby names in USA is around 1 for a top list 125 of size 10 (Bentley et al., 2007), meaning that, every year, on average, one new 126 name enters in the Top 10. Measuring turnover for different sizes of top lists 127 indicates where exactly change happens. For example, If turnover is rapid for large 128 top lists (e.g. Top 100 or Top 1,000) and this contrasts with comparatively slow 129 turnover for small list sizes (e.g. Top 5), this may indicate a bias toward popular 130 traits.

131

132 Although it may appear simple, turnover in top lists in neutral evolution is a 133 highly challenging analytical problem (Eriksson et al., 2010). In order to make 134 meaningful interpretations from turnover, we use the neutral model as our null model. 135 Using simulations, Bentley et al. (2007) found that the turnover yielded by the neutral 136 model was close to data from various cultural domains, such as Top-100 record 137 charts, first names, and popularity through time of dog breeds in USA, and proposed 138 a simple rule of thumb, by which  $z = y\sqrt{\mu}$ , where z is the average turnover of variants 139 in the top list of size y, and  $\mu$  is the innovation rate. We will refer to the function that 140 transform the ranked list's size y in the turnover z as 'turnover profile'.

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142	Subsequently, Evans & Giometto (2011) extended and improved the
143	conjecture of Bentley et al. (2007), confirming that the turnover profile for the neutral
144	model may be indeed considered approximately linear. However, they showed that,
145	in general, turnover can be more precisely described by:
146	$z = d \cdot \mu^a \cdot y^b \cdot N^c \tag{1}$
147	where N is the population size, and d, a, b, c vary for different parameter
148	combinations. Simplifying Evans & Giometto (2011) formula, it is possible to describe
149	the turnover profile for a large area of the parameter space with a generic function:
150	$z = a \cdot y^b \tag{2}$
151	with a encompassing all the other variables in the full equation of Evans & Giometto
152	(2011), and, in the case of neutral model, $b = 0.86$ . Importantly, the value of $b$
153	determines the shape of the function that describes the turnover profile, with $b \approx 1$
154	describing a linear relation between $z$ and $y$ (as in the rule of thumb conjectured by
155	Bentley et al., 2007).
156	
157	Here we use this formula to describe the turnover profile of three cultural
158	domains, namely color terms usage in English language 20 <sup>th</sup> century books,
159	popularity of early (1880-1930) and recent (1960-2010) USA baby names, and
160	musical preferences of users of the website Last.fm, showing when the turnover
161	profile differs from neutral model predictions.
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163	Then, we follow previous work (Mesoudi & Lycett, 2009) in introducing, with
164	small modifications, three transmission biases to the neutral model, and observing
165	turnover in the resulting simulated popularity distributions. In the first model
166	("Attraction model"), transmission is content-biased, i.e. some traits are favored in
167	respect to others since they are more "attractive" because of their intrinsic features
168	(Claidière & Sperber, 2007; Morin, 2013). The second and the third are context-

169 based biases: conformity, where transmission is positively frequency-biased (i.e. the 170 popular traits are preferred in respect to the unpopular ones), and anti-conformity, 171 where transmission is negatively frequency-biased (i.e. the unpopular traits are 172

173 The turnovers yielded by these models differ from neutral model predictions 174 in a consistent way. Content bias and conformist bias produce 'convex' turnover 175 profiles, indicating that popular traits change slower than what would be expected 176 under neutral model assumptions. On the contrary, an anti-conformist bias in cultural 177 transmission produces a 'concave' turnover, where popular traits change faster than 178 what would be expected. The models' turnovers reproduce the profiles found in the 179 cultural domains examined, allowing us to infer, from population level data, when 180 cultural transmission is biased, and, to some extent, what kind of biases are 181 operating.

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183 2. Turnover in empirical data

preferred in respect to the popular).

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185 2.1 Methods

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187 2.1.1 Color terms

188 Universals in color naming have a long history in anthropology and linguistic. Berlin & 189 Kay (1969) proposed that the basic color terms of a language could be predicted if 190 one knows how many color terms are present in that language. For example, if a 191 language has two color terms, they will be approximately indicating 'dark/cool' and 192 'light/warm' (somewhat analogous, but wider, than English language 'black' and 193 (white'); if a languages has three terms, 'red' will be added, and so on. Recent 194 researches have shown through computational models (Baronchelli et al., 2012; 195 Loreto et al., 2012), or iterated learning experiments (Xu et al., 2013), that weak

cognitive constraints, coupled with cultural transmission process, can indeed producea hierarchically structured, and regular, color taxonomy.

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199 Taking advantage of a possible cultural universal, we are interested to check 200 if this might reflect in the usage of color terms in books (see also Dehaene & Mehler, 201 1992) and has an effect on their turnover. We looked for the number of time color 202 terms are used in the Google Books Ngram corpus (Michel et al., 2011), which, in the 203 latest available version (July 2012), contains over 8 millions books (Lin et al., 2012). 204 We consider only English language books (the language with the biggest sample 205 size) from 1900 to 2000, for a total of 2,980,271 volumes. The Ngram database gives 206 information on how many times, in a given year, an 1-gram or an n-gram is used, 207 where a 1-gram is a string of characters uninterrupted by space (generally a word, 208 but also numbers, typos, etc.) and an n-gram is a sequence of *n* 1-grams. 209 210 Basic color terms were retrieved from the "Simple English" version of 211 Wikipedia (from http://simple.wikipedia.org/wiki/List of colors). Bi-grams (e.g. 'Electric 212 blue') and terms which main referent was likely not to be the color (e.g. 'Chocolate', 213 'Gold') were excluded, leaving a total of 61 1-grams. For each 1-gram we collected 214 the yearly occurrences (case insensitive). Since the number of books varies 215 considerably through years, we normalized the count of 1-grams using the yearly 216 occurrences of the word 'the' (as in Acerbi et al., 2013, notice however that 217 normalization does not affect the turnover but it is used only for visualizing the trends 218 through time). For each year we ranked the color terms according to their popularity, 219 and calculated the average turnover profile on 100 years for the most popular 30 220 elements. The data were then fitted with the generic function for the turnover profile 221 (equation 2), and with the same function, but assuming unbiased copying (b = 0.86):  $z = a \cdot y^{0.86}$ 222 (3)

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# 224 <u>2.1.2 Baby names</u>

225 Bentley et al. (2007) found that USA baby names exhibited an approximately linear 226 turnover profile that was consistent with their neutral model predictions. However, 227 they also found a difference between female and male baby names, namely that the 228 slope of turnover of female names was higher, corresponding to the well-known 229 finding that there is more innovation in naming girls than boys (Lieberson, 2000; 230 Hahn & Bentley, 2003; Bentley et al., 2007; Gureckis & Goldstone, 2009). Another 231 interesting trend in baby names popularity is that preferences, over time, shifted 232 toward more novel names, becoming less uniform (Lieberson, 2000). In 1950, for 233 example, the 76% of newborn males were given one of the 100 most popular names, 234 while today the percentage fell down to 43%.

235 Taking into account these facts, we divided the data collected from the Social 236 Security Administration of the USA (from http://www.ssa.gov/OACT/babynames/) in 237 early (first 50 years of records: 1880 to 1930) and recent (last 50 years of records: 238 1960 to 2010) periods, and also separated male and female names. For each 239 dataset, we ranked the names according to their yearly popularity, and we calculated 240 the average turnover on 50 years for the most popular 30 elements, analogously to 241 the color terms data. As described above, we then fitted the turnover profile of the 242 four datasets (early males, recent males, early females, recent females) with the 243 generic function (equation 2) and with the unbiased-copying function (equation 3). 244

# 245 <u>2.1.3 Musical preferences</u>

Last.fm (http://www.last.fm) is a music website that offers several social networking functionalities. Last.fm builds a profile of registered users (while there is not an official statistics, the count of users is estimated to be around 40 million), integrating information provided by the users with data about the songs that they listen to in their devices, on various internet-radios, or on the Last.fm own radio. An interesting feature of the website is that users can create and join "groups" related to various

common interests. Groups may be linked to particular countries ('Nederlanders!'),
musical tastes ('Female fronted Metal'), artists ('Queen'), or more generic labels
('Addicted to Last.fm').

255 We used Last.fm official APIs (http://www.last.fm/api) to track the 'weekly 256 artist chart' (i.e. the 100 most listened artists in a group) for 52 consecutive weeks 257 (starting from 14 September 2012) for a sample of 30 groups defined by specific 258 musical genres (e.g. 'Acid Jazz', 'BLUES!', '80s Gothic Metal'), and for a sample of 259 30 "generalist" groups (groups related to countries or generic labels, e.g. 'Indonesia', 260 'Music Is My Girlfriend', 'I Hate Music Snobs'). We choose groups with more than N =261 3,000 members (for "genre-based" groups: average N = 7,195. For "generalist" 262 groups: average N = 8,998) that were present in the 'Recently Active Groups' page 263 (http://www.last.fm/community/groups/active) when starting the data collection. In 264 Electronic Supplementary Materials, we provide the complete list of the groups we 265 used in the analysis, and their size. We finally calculated the average turnover profile 266 on 52 weeks for the most popular 30 elements in each group.

In this domain, we were interested to compare how the turnover profiles
varied between the two different samples, expecting members of "genre-based"
groups, differently from members of "generalists" groups, to be biased toward a
subset of artists. We thus fitted the 60 turnover profiles with the generic function
(equation 2) to find their *b* values, and measured the dissimilarity between the two
samples.

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274 2.2 Results

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276 <u>2.2.1 Color terms</u>

Figure 1 shows the frequency, normalized with the yearly count of occurrences of the word 'the', through the 20th century, of the most used 8 color terms: 'white', 'black', 'red', 'green', 'blue', 'brown', 'yellow', and 'gray' (as it is spelled in Wikipedia). First, it

is interesting to point out the resemblance with the original taxonomy proposed by
Berlin & Kay (1969), with the only main difference that the color term 'yellow' is less
frequent than what would be expected. Second, it is worth to notice that the term
'black' roughly double the frequency from 1960 to 2000, in concert with Civil Rights
Movement (Smith, 1992).

285 From Fig. 1 one can infer that color terms show a consistent stability in their 286 usage in books through time. We used Akaike's Information Criterion (AIC) to 287 compare the relative likelihood of the two functions to describe turnover profile 288 (Fig.2). The generic function (equation 2) has a lower AIC, and its Akaike's weight 289 (i.e. the relative probability of being correct, in respect to the alternative function that 290 assumes unbiased copying - Burnham & Anderson, 2002) is  $\omega = 0.999$ . The best 291 fitting of the generic function has an exponent of b = 1.88. This suggests that the 292 cultural dynamics underlying the usage of color terms in English 20th century books 293 might not be best described as an unbiased copy process.

294

# 295 <u>2.2.3 Baby names</u>

296 In three out of the four cases we took in consideration, early male names, and recent 297 male and female names, the generic function fits better the data than the alternative 298 unbiased- copying function (Fig. 3). In detail, the generic function's fit of the turnover 299 profile of USA male baby names from 1880 to 1930 has an Akaike's weight of  $\omega =$ 300 0.999 and an exponent of b = 1.69, while, from 1960 to 2010, these values are  $\omega =$ 301 0.999 and b = 0.51. For female names, the fit of early (1880 to 1930) names turnover 302 results in  $\omega = 0.485$  and b = 0.81, while for recent (1960 to 2010) female names the 303 values are  $\omega = 0.999$  and b = 0.56.

304

The turnover profile of early male baby names (Fig. 3 Top-left), analogously to the above reported turnover of color terms in English books (Fig. 2), has an exponent b > 0.86, which indicates that popular items change (relatively) slower than

308 less popular items. On the contrary, the turnovers of recent baby names (both

females and males, Fig. 3 Right panels) have an exponent b < 0.86, meaning that

310 popular names change relatively faster than less popular names. In the case of early

311 female baby names (Fig.3 Bottom-left), the fit of the turnover profile does not allow to

312 distinguish between the two alternative functions.

313

## 314 <u>2.2.3 Musical preferences</u>

Values of *b* obtained for "genre-based" groups  $(1.06 \pm 0.23, N = 30)$  were

316 significantly higher (two samples *t* test,  $t_{56} = 3.64$ , *P* < 0.001) than the values for

317 "generalist" groups (0.85  $\pm$  0.19, N = 30), indicating that popular artists tend to be

318 more stable in the top positions of "genre-based" groups' charts than in "generalist"

319 groups' charts (Fig.4). Interestingly, the average value of *b* for "generalist" groups is

320 almost exactly the value predicted by the neutral model turnover profile. In Electronic

- 321 Supplementary Materials, we provide the data of the values of *b* for all 60 groups
- 322 considered.

323

324 **3. Models** 

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327

# 328 <u>3.1.1 Neutral model</u>

329 We first reproduced the neutral model of cultural evolution described in Bentley et al.

330 (2004). We consider a population of *N* individuals, each with a single cultural trait. At

- the beginning, each individual has a different cultural trait. The model runs in discrete
- time steps. At each time step, all individuals are simultaneously assigned a new

333 cultural trait. With a small probability  $\mu$ , an individual will introduce a new cultural trait.

- 334 The remaining individuals  $(1-\mu)$  copy the cultural trait from a randomly selected
- individual of the previous generation.

<sup>326 3.1.</sup> Methods

We run the model until reaching a steady state (for  $r = 4\mu - 1$  time steps) and after that we calculate the turnover, averaging it on  $T = 50 + \mu - 1$  time steps (values for *r* and *T* are extracted from Evans & Giometto, 2011). We study the turnover for top list sizes (*y*) from 10 to 100 (with a step of y = 1) and for population from 200 to 10,000 individuals (with a step of N = 200). Finally, for all parameters, we consider three values of the probability of innovation ( $\mu$ ): 0.005; 0.01; 0.02.

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336

#### 344 <u>3.1.2 Attraction model</u>

In the neutral model, transmission is unbiased: the  $(1 - \mu)$  proportion of individuals who copy choose randomly from whom to copy, and copy independently of any consideration on the cultural trait they possess. We implemented content-biased transmission by assigning to each cultural trait *i* a value  $\alpha_i$  (attractiveness), randomly extracted from a standard normal distribution (i.e. with mean 0 and standard deviation 1), meaning that the majority of traits will have intermediate values of  $\alpha \approx 0$ , while few traits will be particularly attractive, and few will be particularly unattractive.

353 As in the neutral model, the  $(1-\mu)$  individuals who copy pick up randomly an 354 individual from the previous time step, but their decision whether to copy or not may 355 depend on the attractiveness of their traits. A parameter C determines, for each 356 copying event, the probability that transmission will be content-biased. At each time 357 step, a fraction of  $C(1-\mu)$  individuals (on average) only copy if their own trait "is not 358 attractive enough". This is implemented by having individuals compare the 359 attractiveness of the trait *i* they already bear with the attractiveness of a trait *j* 360 randomly extracted in the population, such that an individual copies another 361 individual's trait only if  $\alpha_{j} > \alpha_{i}$ . The remaining fraction of individuals  $(1 - C)(1 - \mu)$ 362 copy unconditionally as in the standard neutral model.

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364 Simulations are run in the same conditions described above for the neutral 365 model, and three values of the parameter *C* are tested: 0.1; 0.5; 0.9.

366

## 367 <u>3.1.3 Conformist model</u>

368 We implement frequency-dependent biases by giving to individuals information on 369 which traits are present in a "top list" of size 10 (following Mesoudi & Lycett, 2009, 370 where conformist individuals adopt the top 1 trait in the population). Analogously to 371 the attraction model, in the conformist model the parameter *C* determines the 372 probability of a copying event being biased. In the conformist (positive frequency-373 dependent) model, a fraction of  $C(1 - \mu)$  individuals "know" whether or not the trait 374 they bear is one of the 10 most popular traits in the population. If it is, they do not 375 copy, while if not in the top 10, then they go ahead and copies another individual's 376 variant. In other words, the  $C(1 - \mu)$  conformist individuals copy only if the trait they 377 bear is not popular. Simulations are run as described above, with the same C values 378 reported for the attraction model (0.1; 0.5; 0.9).

379

## 380 <u>3.1.4 Anti-conformist model</u>

As above, a fraction of  $C(1 - \mu)$  individuals has an information on whether or not the trait they bear is one of the most 10 popular traits in the population. In the anticonformist (negative frequency-dependent) model, however, they copy only if the trait they bear is among the 10 most popular traits in the population, i.e. they will get rid of their traits if they are popular. Simulations are run as described above. A Matlab code to reproduce all the models is provided in Electronic Supplementary Materials.

389 *3.2 Results* 

391 The neutral model reproduces the results reported in Bentley et al. (2007) and Evans 392 & Giometto (2011). While the turnover profile appears approximately linear (see 393 example in Fig. 5 Top-left, N = 5,000;  $\mu = 0.01$ ), the extended analysis of different 394 parameters (following Evans & Giometto, 2011), suggests indeed that in a wide area 395 of the parameter space the turnover yielded by the neutral model is better described 396 by an exponential function. We fitted the turnover of the simulated data with the 397 generic function (equation 2) and we found that, in most cases, the exponent b is 398 lower than 1 (Fig. 6 Top-left). This result is consistent with the results of Evans & 399 Giometto (2011), that, as discussed above, found an overall best fit of b = 0.86 in 400 their simulations. In Figure 1 ESM (Electronic Supplementary Materials) we 401 additionally show that indeed the generic function (equation 2, with *b* free to vary) 402 does not fit the simulated data better than the neutral model theoretical expectations 403 (equation 3, b = 0.86), confirming that simulated turnover profiles are consistent with 404 random copying.

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406 In Fig. 6, the white space represents an area of the parameter space where 407 the total number of traits in the population (*S*), at equilibrium, is lower than the size of 408 the top list on which the turnover is calculated (*y*), so that is not possible to calculate 409 the turnover. In the case of the neutral model, this corresponds to the limit found by 410 Evans & Giometto (2011) of  $N\mu < 0.15\gamma$ .

411

Both the attraction and the conformist model yield instead a 'convex' turnover (see example in Fig. 5 Top-right and Bottom-left, N = 5,000;  $\mu = 0.01$ ; C = 0.5), where popular traits change relatively slower than unpopular ones. The extended analysis of the parameter space (Fig.6 Top-right and Bottom-left) confirms that *b* is consistently higher than 0.86. Content-biased copying and, especially, positive frequency-dependent biased copying also produce a lower number of traits at equilibrium in respect to the neutral model, which results in a wider area of the

parameter space where is not possible to calculate the turnover (i.e. the white space
in Fig. 6). This is due to the fact that, in both cases, a subset of few traits is favored
in respect to the others (in the attraction model because they are 'intrinsically' better,
and in the case of the conformist model because, for random reasons, they became
more popular).

424

425 Finally, an anti-conformist bias produces a 'concave' turnover (see example 426 in Fig. 5 Bottom-right, N = 5,000;  $\mu = 0.01$ ; C = 0.5), where popular traits change 427 more rapidly than what would be expected under the hypothesis of unbiased 428 copying. Again, extending the analysis to different population sizes and various sizes 429 of the top lists (Fig.6 Bottom-right) confirms that, in all parameter space, the fitted 430 exponent b is constantly lower than 0.86. The space in which was not possible to 431 calculate the turnover (S < y, i.e. the white space in Fig. 6) is here more limited in 432 respect to the neutral case, because a negative frequency-dependent bias tends to 433 favor proportionally low-frequency traits, increasing the total number of cultural traits 434 S in the population.

435 Results with higher ( $\mu = 0.02$ ) and lower ( $\mu = 0.005$ ) innovation rates are 436 consistent with this general picture for the four models, and they are not reported 437 here.

438

#### 439 **4. Discussion**

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Using a simple formula to describe the turnover profile of a given cultural domain, we have shown that the turnover of color terms in English books of the 20<sup>th</sup> century, early and recent male names, recent female names, and artists success in "genre-based" Last.fm users groups deviates from neutral model predictions, suggesting the presence of some form of cultural selection. On the contrary, the turnover of early female names and popularity of artists in "generalist" Last.fm users

groups cannot be distinguished by the one produced by an unbiased-copyingprocess.

449

450 Modifications of the neutral model show that, by introducing biases in cultural 451 transmission, it is possible to reproduce the diagnostic features of the turnover 452 profiles we studied in empirical data. In particular, we focused on the shape of the 453 turnover profile (determined by the value of the exponent *b* in equation 2). The main 454 result is that biases that select popular traits produce 'convex' turnover profiles, 455 where b is consistently higher than neutral model predictions (b = 0.86), while biases 456 that favor unpopular traits produce 'concave' turnover profile, and an exponent b 457 consistently lower then the one produced by unbiased copying.

458

459 Comparing empirical data with models outcomes, for color terms in English books of the 20<sup>th</sup> century, the turnover is described by a convex function, that 460 461 indicates that positive selection acts on the most popular traits, and is then relaxed 462 for less popular, reflecting the existence of individual level biases towards a subset of 463 colors. In the case of baby names, we can distinguish the case of early boys names, 464 where we find again a convex function; early girls names, where the turnover profile 465 is undistinguishable from neutral model; and recent names, where for both males 466 and females the function is concave. This corresponds to the well-known facts that 467 name popularity became less uniform over time and that there is more innovation in 468 girls names than in boys names. The turnover of recent names indicates indeed that 469 popular names are negatively selected, i.e. they change more than what would be 470 expected, both for males and females. Negative selection is relaxed, for girl names, 471 in early years, while for boy names there is an opposite effect, with popular name 472 positively selected. Finally, in the case of musical preferences, we have shown that it 473 is possible to identify users groups that are more or less biased towards a subset of 474 specific artists by comparing the values of b that better describe their turnover profile,

with higher values of *b* indicating that the preference for some artists is stable in
certain users groups (as it happens in the case of the "genre-based" groups that we
analyzed).

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479 While recent names turnover is an example of anti-conformism, or negative 480 frequency dependent bias, we cannot distinguish, with this results, between cultural 481 attraction and conformist bias in the other cases, because they all produce the same 482 effect of increased selection of popular traits. It reasonable however to assume that, 483 for the empirical case we examined, the 'convex' turnover of color terms popularity is 484 a result of content bias, while for early boys names and musical preferences in 485 Last.fm "genre-based" groups a conformist bias is likely to act. The dynamics and the 486 conditions where one would expect context or content biases being predominant in 487 cultural evolution have received some attention (Claidière & Sperber, 2007; Henrich 488 & Boyd, 2002; Morin, 2011, Walters & Kendal 2013) but we still need more research 489 in order to be able to understand, starting from population level data, the exact 490 biases involved in cultural change.

491

492 Although different implementations of the models can change the details of 493 the results (for example different proportion of attractive versus non-attractive traits, 494 or different copying mechanisms, e.g. individuals evaluating explicitly the observed 495 traits instead of their own), the general relation between the shape of the turnover 496 profile and the underlying transmission bias is likely to be independent from these 497 differences. Also, our implementation of the frequency-dependent biases (both 498 conformist and anti-conformist bias), based on individuals having information on a 499 "top list" of cultural items, may seem at odds with the more common strategy used in 500 the cultural evolution literature. In general (see for example Henrich & Boyd, 1998), 501 conformist bias is modeled by having individuals copying common traits with a 502 probability higher than traits' frequency. As a variation on standard conventions in

503 modeling conformist bias, our "top list" implementation seems an appropriate 504 assumption about individuals' knowledge of popularity levels in a population (see 505 Eriksson et al., 2007 for discussion). Additionally, it is a computationally efficient 506 means to model conformity, consistent with prior models, as an extension of 507 assumptions that individuals copy the most popular trait (Mesoudi & Lycett, 2009). In 508 any case, different implementations (a more standard – explicitly frequency-509 dependent — bias, or different values of N in the top N) may again change the 510 details of our results (e.g. the bend in the turnover profile will occur at rank N) but not 511 the general conclusion. Regarding this last point, frequency-dependent biases 512 produce, in fact, in our model, a turnover profile possibly described by two distinct 513 linear functions (see examples in Fig. 5 Bottom). However, we decided to focus on 514 the more general 'concavity' or 'convexity' of the profile to compare with the empirical 515 data, as we believe that such a strong effect might be obscured by other factors in 516 real cultural dynamics. On the other side, an interesting possibility would be to check 517 on which degree empirical turnover profiles could be described by two linear 518 functions, as a sign of a possible effect on cultural evolution of the ubiquitous 519 presence of public "top N" lists.

520

521 Another promising extension of our model would be to analyze outcomes for 522 more realistic conditions involving bigger population sizes, or, possibly, for population 523 sizes changing in time. Simulating larger population (N > 10,000) is however 524 computationally demanding. Evans and Giometto (2011) showed that, in any case, 525 the dependence of the turnover profile on N is not strong. They found for equation (1) 526 a value of the exponent c = 0.09, meaning that, for example, a tenfold increase in N 527 should increase the turnover *z* only by a factor of about 1.2. 528 Similarly, we assumed that individuals could copy cultural traits only from the 529 previous generation, while in reality - say, in the first names case - one is free to 530 pick up traits from virtually all past generations, as long as the information is

preserved. Bentley et al. (2011) explored this possibility by introducing a "memory parameter" in the neutral model, allowing individuals to look at more than one previous generation. They showed, in respect to the turnover profile, that increasing memory reduces the effect of the innovation rate, but further studies are needed to understand how memory could impact on the "shape" of the turnover profile, as the introduction of specific transmission biases does.

537

538 Many recent works analyzed departures from neutral model (a review is 539 Shennan, 2011), however this work is to our knowledge the first analysis showing 540 that popularity turnover may be a strong indicator of the presence of cultural 541 selection. Of course, we deliberately choose cultural domains where we suspected 542 the presence of transmission biases, but similar analyses can be used in general on 543 population level data, and being especially meaningful where one does not know 544 whether forms of cultural selection are acting.

545

This seems especially important today, since a new level of accessibility as well as volume of data concerning human behavior might transform the study of cultural evolution. Most of those data, however, are more easily tractable, or even only accessible, in the form of aggregate, population-level, information. Methods allowing inferring individual behaviors from aggregate data and, particularly, to connect this massive amount of information to well established theories can be a valuable contribution to the study of cultural evolution.

553

We also believe that out "top list"-based implementation of frequencydependent biases could be seen as computationally equivalent to the mechanism embedded within demographic models of cumulative knowledge, in which each individual effectively learns from the most skilled individual (prestige bias), even among a population of thousands (Henrich 2004, 2006; Powell et al. 2009). In taking

this forward, we might also hypothesize that this cognitive bias towards copying the

560 "best" – most popular or most skilled – is exploited and distorted in the modern era,

- 561 when digital technology actually makes it possible to copy using information at global
- 562 level, no matter how large the population size.

563

- 564 Acknowledgments
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#### 699 Figure legends

700 701 Figure 1. Color terms usage frequencies in 20th century English books. Line 702 colors represent the actual color terms. Values are smoothed using Friedmans 'super 703 smoother' through R function supsmu(). 704 705 Figure 2. Turnover in popularity usage of color terms in 20th century English 706 books. The continuous and dotted lines represent respectively the best fit according 707 to the generic function (equation 2) and a fit assuming unbiased copying (b = 0.86). 708 709 Figure 3. Turnover in popularity of USA baby names. The continuous and dotted 710 lines represent respectively the best fit according to the generic function (equation 2) 711 and a fit assuming unbiased copying (b = 0.86). Top-left: male baby names from 712 1880 to 1930. Top-right: male baby names from 1960 to 2010. Bottom-left: female 713 baby names from 1880 to 1930. Bottom-right: female baby names from 1960 to 714 2010. 715

716 Figure 4. Values of the exponent b of the turnover profile in the artists weekly 717 chart for 30 "generalists" and 30 "genre-based" groups of Last.fm users. Boxes 718 represent the interguartile range of the data. The horizontal lines inside the boxes 719 indicate the mean values. The horizontal lines outside the boxes indicate the 720 minimum and maximum values. The dotted line is the prediction assuming unbiased 721 copying. 722 723 Figure 5. Examples of turnover in simulated data. Top-left: neutral model. Top-724 right: attraction model. Bottom-left: conformist model (positive frequency-dependent). 725 Bottom- right: anti-conformist model (negative frequency-dependent). N (population 726 size) = 5,000,  $\mu$  (innovation rate) = 0.01. For the biased transmission models, C =

727 0.5. All data are averaged on 100 simulation runs.

728

729	Figure 6. Values of the exponent b of the turnover fit in simulated data. Top-left:
730	neutral model. Top-right: attraction model. Bottom-left: conformist model (positive
731	frequency- dependent). Bottom-right: anti-conformist model (negative frequency-
732	dependent). In all cases, $\mu$ (innovation rate) = 0.01. For the biased transmission
733	models, $C = 0.5$ . The white area in the plots represents the area of the parameter
734	space where the total number of traits at steady state was minor than the size of the
735	top list on which turnover was calculated ( $S < y$ ). Notice that, for the conformist and
736	anti-conformist model, $y$ (the size of the top list on which the turnover was calculated)
737	starts at 20, since for lower values some fits were ill conditioned. All data are

738 averaged on 100 simulation runs.











