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Design for Social Sustainability: Using Digital Fabrication in the Humanitarian and Development Sector

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Abstract: The demand for humanitarian and development aid has risen to an unprecedented level in recent years. With a pressing need for new solutions, designers have started using digital fabrication (3D printing, CNC milling and laser cutting) to produce life-saving items. However, many organisations are failing to create the impacts they desire, and the social aspect of sustainability has been largely overlooked. This paper addresses this gap in knowledge by investigating guidelines for Design for Social Sustainability, specifically looking at digital fabrication for humanitarian and development projects. Building on existing literature and conducting three in-depth case studies of healthcare related products, the research develops a framework for Design for Social Sustainability. It provides useful guidelines to help plan and evaluate digital fabrication projects in the humanitarian and development sector. The findings show how design can trigger social sustainability at product, process and paradigm levels. Specifically, the case studies reveal the potential for digital fabrication to lead to more systems-focused, radical social sustainability. The paper concludes that an iterative and holistic approach to Design for Sustainability is needed, that begins by examining the social dimension first.

Keywords: social sustainability; digital fabrication; 3D printing; humanitarian; development; design for sustainability

1. Introduction

The demand for humanitarian and development aid has risen to an unprecedented level in recent years [1]. In a search for new solutions, designers have started using digital fabrication (3D printing, CNC milling and laser cutting) to produce life-saving items. In particular, the potential for digital fabrication to facilitate distributed manufacturing [2] and support the production of low-cost, customised products [3] has captured the imagination of the humanitarian and development sector [4]. Recently, digital fabrication has been used to produce a range of items including prosthetics, medical tools, emergency shelters, spare parts and communications infrastructure [5].

More broadly the sustainability of humanitarian and development projects is a well-known challenge [6–8]. Specifically, the sustainability of these digital fabrication interventions is a concern, with many organisations struggling to develop more than one-off products [5]. Whilst technology can promote radical social change and reduce poverty [9–11], it has also been criticised for ‘shifting the burden’ [12] and failing to address underlying social problems [13,14]. There is a growing recognition that sustainability is more than just the ‘green agenda’, and must consider social practices as part of a holistic approach [15,16]. Nonetheless, integrated approaches to sustainability have failed to examine social sustainability in adequate detail and have been criticised for being too broad [17].

In response to these concerns, research on social sustainability has increased in recent years [18]. However, Design for Social Sustainability (DfSS) remains a poorly understood concept [19,20] and there is even less guidance on how to practically implement it [21,22]. Several projects aimed at creating social good have been criticised for not creating social sustainability. For example, Playpumps was a system for pumping water in rural Africa using a children's merry-go-round. It received the 2000 World Bank Development Market Place Award and secured over \$60 million in funding [23]. However, users were not consulted before the pumps were installed and children did not use the pumps to play. Instead, adults had to awkwardly turn the merry-go-round by hand to pump water, which was more difficult than using a traditional hand pump. The pumps were also expensive and difficult to maintain and Playpumps closed operations in 2010 after the project was deemed no longer sustainable [24].

Until DfSS is conceptually clarified and supporting guidelines are created, we cannot expect projects to achieve their ultimate goals of sustainability. This study addresses this lack of knowledge by investigating DfSS, from the perspective of digital fabrication projects in the humanitarian and development sector. The paper is structured as follows. First, we define DfSS and position DfSS in relation to associated design approaches. Second, we describe the methods used to investigate DfSS in digital fabrication for humanitarian/development (DF4D) projects. Third, we build on existing knowledge of DfSS and use three DF4D case studies to create a DfSS framework. This framework is intended to guide decision-making at the start of design process and product evaluation at the end of the design process. We evaluate the case studies using the DfSS framework to illustrate its value. They highlight how DF4D projects can support DfSS. In the discussion, we contextualise these findings within sustainability literature, to advocate for a holistic and iterative approach that begins with social sustainability. Finally, we discuss the theoretical and practical implications of the framework, pointing out areas for future research.

What is Design for Social Sustainability (DfSS)?

The challenges of defining social sustainability have been widely reported [17,25–27] as it deals with social values that are complex, dynamic and difficult to quantify [28–30]. Recently, some notable works have improved our understanding of social sustainability. In particular, Einzenberg and Jabareen's [31] conceptualisation of social sustainability, Missimer et al.'s [16] investigation of the principles of social sustainability, Khan's [18] analysis of the themes of social sustainability and Benoît et al.'s [32] work on the social life-cycle assessment. Within this paper, we consider social sustainability as: *"the preservation of the social system, where people are not subject to structural obstacles to: health, influence, competence, impartiality and meaning-making"* [16]. In other words, social sustainability is necessary to sustain positive change that addresses pressing social challenges. We use this definition to investigate DfSS, design that promotes social sustainability both in outcome and process.

Since William Morris published the first social design manifesto in 1873, calling for better quality products and social conditions during the Industrial Revolution, design has always played an important role in the preservation of social systems. As widespread industrialisation took hold in the 20th century, several design practitioners including Buckminster Fuller, Victor Papanek and Nigel Whitely also advocated for a socially orientated design practice. Their calls for design to address *"the real issues and concerns, rather than the phoney desires dreamt up by capitalist manufactures and their 'lackeys' ... industrial designers"* [33], were manifested in the development of design approaches such as socially useful design, socially responsible design and social design.

Whereas these approaches focus largely on the creation of useful and accessible products that meet people's needs [33–37] and sometimes refer to economic, social and environmental issues [38,39], DfSS goes further to explicitly advocate for design that leads to the preservation of social systems and the removal of fundamental obstacles that destroy these systems. DfSS therefore calls for *"processes and practices that lead to products whose lifecycles have a less negative impact on the social system"* [40], providing *"the prospect of humans flourishing on the earth forever"* [41]. DfSS thus demands a multi-faceted approach which considers history, traditions, dialogue, equity and participation [42].

DfSS is naturally related to sustainable development, which is widely acknowledged in the Bruntland Report as “meeting the needs of the present without compromising the abilities of future generations to meet their needs” [43]. Whilst we do not believe that DfSS is explicitly for Bottom of Pyramid or Design for Development contexts, it is clear that DfSS addresses the marginalised in society [14,44,45]. In many ways, this is grounded in Papanek’s original call for designers to spend time working on projects in the Global South [46]. As such, we consider DfSS an appropriate lens for studying the suitability of digital fabrication based projects in the humanitarian and development sector.

2. Methods

In order to address the lack of support for designers working on DF4D projects, we decided to create a DfSS framework that could help guide decision-making at the start of the design process and help to evaluate the social sustainability of products at the end of the design process. To begin with, a literature review was conducted in order to identify the key themes and contributing factors of DfSS. A number of initial search terms were identified related to design, social sustainability, and similar terms. Literature was gathered using Scopus and Google Scholar, with the following searches: “social sustainability” AND “design”; “design for social sustainability”; “socially sustainable design”; “design for social impact”; “design for sustainable social impact”; “design for sustainable social change”; “socially useful design”; “socially responsible design”; “sustainable product design”. All articles were examined up until 2019 (March). When using Google Scholar, the first two pages for each search were retrieved for screening. This resulted in a total of 744 articles for review. An initial review of titles and, if necessary, abstracts was conducted to determine relevant papers. This resulted in the exclusion of 672 articles (34 were duplicates, 49 were not peer reviewed and 589 were irrelevant). At full paper review, 17 papers were removed as 11 papers were not relevant to social sustainability, and six papers were not relevant to design. This resulted in a total of 55 papers that met the criteria identified. A further nine papers were included using snowballing, resulting in a total of 64 papers.

Thematic analysis was selected to investigate the key themes of DfSS found within the literature. Thematic analysis is concerned with finding explicit (“semantic”) and implicit (“latent”) themes within data [47]. It is a useful approach for interpreting data in order to provide detailed accounts [48]. All the articles were read carefully and analysed according to their: key findings, terminology used (e.g., socially responsible design, design for sustainable development etc.), main focus (e.g., design education, design theory, design methods, metrics etc.), topics covered (e.g., participatory design, codesign, systems thinking, behavioural change etc.), methodology (e.g., case study, action research, interviews etc.) and research context (e.g., location, Global South/ Global North). This detailed analysis guided interpretation of the literature and key themes of DfSS were documented for each article. This resulted in an initial list of 46 themes. The key themes of DfSS were then analysed using a systematic process of defining categories and identifying the relationships between those categories in order to group conceptually similar themes [49]. This resulted in 36 sub-themes, which were further grouped into 15 themes. For example, the sub-themes ‘democratic/ participatory’, ‘collaborative’, ‘cooperative’, ‘bottom up’, and ‘relational/ people focused’ described the participation of different actors in the design process and therefore formed the higher level theme ‘participatory’. Similarly, ‘culturally sensitive design’, ‘situated design’, ‘appropriate technology’ and ‘local suitability’ were concerned with design that is appropriate for the context and these themes were grouped together to form the key theme ‘contextual’. This created a working list of DfSS key themes, shown in Table A1.

Next, to explore the relevance of these DfSS key themes to the DF4D context, three DF4D case studies were selected. Multiple case studies are a well-recognised way of gathering data about an emerging phenomenon [50]. These case studies were selected from a shortlist of case studies created by reviewing literature, conducting online searches, attending relevant conferences and word of mouth. The case studies were selected based on four criteria. First, the case study should use digital fabrication to produce products for humanitarian and development sector in low-income or lower-middle income countries. Second, the case study should be ongoing for sufficient duration to gather detailed ‘live’

data. Third, collectively the case studies should be related to a similar industry. *Healthcare* was selected as a common focus for DF4D projects. Finally, as a group the case studies were selected to represent DF4D projects in a range of organisations. For example, Field Ready are a non-profit engineering company, IIT Bombay are a university and Makerspace Nairobi are a makerspace.

Initial interviews were conducted with various members of the organisations over an eight month period to build up an understanding of the case studies. The first author visited case studies 1 and 2 between April and May 2018 and visited case study 3 in September 2018. The purpose of this data collection was two-fold. First, interviews were conducted with designers and project managers to identify the key themes of DfSS for DF4D projects. This was used to create the DfSS framework for DF4D projects. Second, information was gathered on the actual implementation of the DF4D projects, by conducting in-field observations and interviews with a range of stakeholders including partners, end users and beneficiaries. This provided data to later evaluate the DF4D projects using the DfSS framework. Table A2 provides a list of the interviewees and the interview protocols can be found in the Supplementary Materials.

The development of the DfSS framework took place iteratively. The interviews focusing on the enablers of DfSS were imported into MAXQDA for analysis. A code hierarchy was created based on the 15 themes and 36 sub-themes identified from the DfSS literature. During the first cycle of coding, line by line coding of the interview transcripts was conducted [51], resulting in 448 coded segments. Additional codes were created, and existing codes were also updated to reflect the language used by the interviewees. For example, the theme ‘contextual’ was renamed ‘suitability’. During the second cycle of coding, further grouping and refinement of the codes was conducted, resulting in the 16 factors shown in the final DfSS for DF4D framework (see Figure 2).

The next stage of coding further examined the relationships between these 16 factors. After several discussions between the authors, it was found that the 16 factors could be broadly grouped in three categories. The first set of factors were directly concerned with the physical product’s attributes and were called product factors. The next set of factors were related to the management of the design process and were grouped as process factors. The final set of factors facilitated new ways of doing things or thinking about things and formed the category paradigm factors.

The DfSS framework was then used to evaluate the selected DF4D projects from the case studies. This was shared with all the organisations and feedback on the framework’s value was collected. Figure 1 provides an overview of this process.

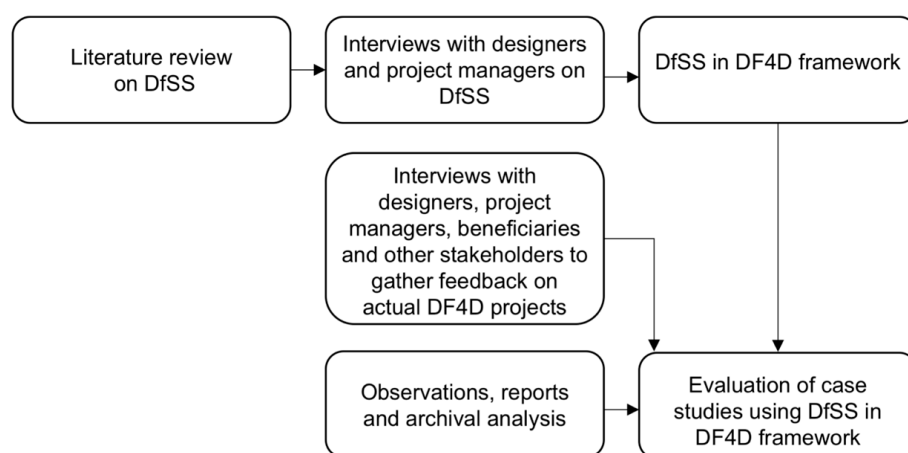


Figure 1. Approach for creating framework on Design for Social Sustainability (DfSS) in Digital Fabrication for Development (DF4D).

3. Results

The following section presents the DfSS framework aimed at designers working on DF4D projects. It is intended to help guide decision making at the start of the design process and to help evaluate

the social sustainability of products at the end of the design process, providing an opportunity to reflect on future practices. Supporting questions were identified for each factor, to encourage reflection. Whilst this framework suggests a way forward for DfSS, the questions are purposely open-ended and qualitative to avoid overly prescriptive criteria that overlook the complex and contextually-dependent realities of DF4D projects. Example quotes from interviewees to justify the importance of each factor can be found in Table A3. Following a brief description of the DfSS framework, the following section will demonstrate its value by using it to analyse the case studies.

3.1. DfSS Framework for DF4D Projects

The DfSS framework identifies 16 criteria related to product, process and paradigm factors (see Figure 2). Rather than suggesting a hierarchy, we emphasise that all three categories (product, process and paradigm) must be considered in order to achieve social sustainability. Simply, we do not believe that social sustainability can exist without addressing all three dimensions from the start of DF4D projects.

Paradigm	14. Advancement – does it create jobs in country? Does it build on existing skills? Does it develop new skills?		15. Empowerment – does it reduce dependency? Does it empower people to own and develop the solution?
Process	9. Local manufacture – can it be manufactured locally?	10. Local control and repair – can it be controlled, maintained and repaired locally?	11. Collaborative – does it consider and engage with all stakeholders?
Product	1. Need – does the user or community need it? Does it support human dignity?	5. Quality – is it robust and long lasting? Does it meet the necessary standards?	12. Transparent – is there supporting documentation? Is information shared?
	2. Suitability – is it socially, culturally and environmentally appropriate?	6. Adjustability – is it flexible and adaptive to changing circumstances?	
	3. Access – is it accessible and affordable now and in the future?	7. Inclusive – is it inclusive of marginalised groups or does it prioritise specific user groups?	
	4. Usability – is it the solution easily understood and easy to use?	8. Complementary – does it support existing solutions and avoid unnecessary redundancy?	
			13. Scalable – is the production process replicable and scalable?

Figure 2. Design for Social Sustainability framework, for DF4D projects.

The first set of factors in the DfSS framework are related to product itself: need; suitability; access; usability; quality; adjustability; inclusivity; and, complementary. These reflect more incremental approaches to DfSS that focus on user-orientated ways of promoting social sustainability. The second set of factors are related to the design process, including local manufacture; local control and repair; collaborative; transparent; scalable. The final set of factors are related to paradigms: advancement; empowerment; systemic. They demand different ways of doing things and thinking about things. The findings emphasise that as DfSS progresses from product to process to paradigm factors, social sustainability becomes more radical versus incremental, and more system-focused versus user-focused. Figure 3a provides a summary of this proposition.

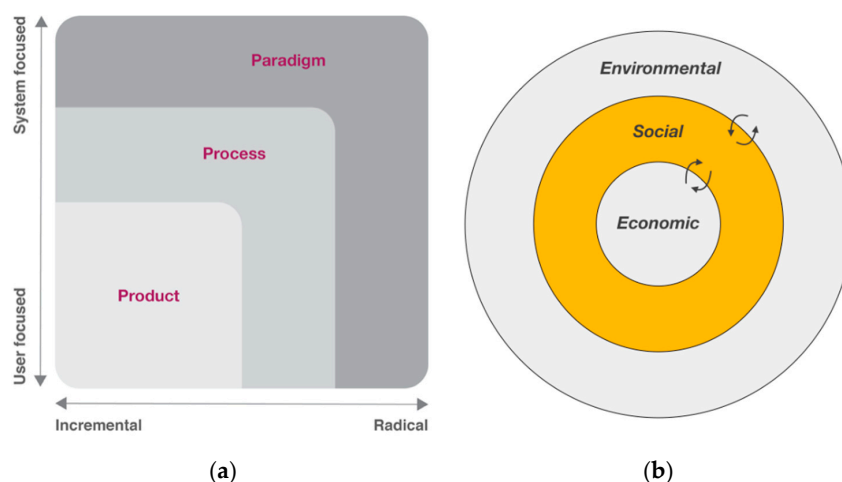


Figure 3. (a) Shifting towards more system-focused and radical Design for Social Sustainability; (b) positioning DfSS within the broader sustainability context.

In developing this framework, we position social sustainability within environmental and economic sustainability (see Figure 3b). We suggest that designers begin with this addressing DfSS and then consider the impacts on environmental and economic sustainability. Where necessary, iterative adjustments can be made to social dimensions to reach a balance between sustainability as a whole.

3.2. Evaluation of Case Studies Using DfSS Framework

3.2.1. Field Ready—3D Printing a Spare Part for a Suction Pump Machine

Field Ready are a non-profit humanitarian organisation that is pioneering the use of digital fabrication and local manufacture of humanitarian supplies. They produced a spare part to repair a broken suction pump machine, a medical device that is used to clear fluid from patients. They designed and manufactured the product on-site during a visit to a rural health post in Nepal, when they discovered a broken connector on a suction pump machine. The health post could only repair the machine by purchasing a replacement of the entire unit, which was expensive and difficult for the health post to procure. Field Ready were able to design and 3D print the spare part on-site within a few hours.

Considering the DfSS framework, the spare part immediately fulfils user **needs** by replacing a life-saving, expensive machine. As a bespoke fix, it is designed specifically for its use-context (**suitability**) and is naturally **complementary** to the existing suction pump machine. Producing the part at the health post eliminates barriers to access, with the cost of producing the part estimated to be 90% less than the alternative replacement (**access**). **Local manufacture** eliminated typical procurement challenges and enabled more participatory engagement from the end users (**collaborative**). After producing the part, Field Ready shared the design on Thingiverse, so that other health posts could replicate the part and adjust it as needed (**adjustability, transparent**). Still, **scalability** is limited by lack of available infrastructure at other health posts.

Although this case study addresses the short-term needs of users, it falls short of delivering more long-term social sustainability. The **quality** of the part was verified upon implementation, however, the durability of the part is unknown and no follow up was taken. By locally manufacturing the part, the health post are less dependent on importing goods, however they instead become dependent on Field Ready providing a product-service (**empowerment**). The health post cannot maintain or repair the part themselves (**local control and repair**), and there is little capacity building beyond the Field Ready team as a result of the project (**advancement**). Additionally, whilst production is local in that the part is designed and printed on-site, materials, technologies and human capital are mainly ‘imported’ (using non-local staff).

Considering the DfSS framework (see Figure 4), Field Ready should prioritise **quality** and **empowerment**, and also consider **advancement**, **local control and repair**, and **scalability**. By investing in local capacity building, Field Ready can begin to address these factors simultaneously. Ultimately, this marks a significant shift away from traditional problem-solving to capacity building. Similarly, humanitarian aid must move away from traditional models of providing aid to empowering beneficiaries.

Paradigm	14. Advancement – no skill advancement or job creation beyond Field Ready team, which mostly relies on ‘importing’ human capital		15. Empowerment – health post is less dependent on international supply of goods, however they are dependent on Field Ready providing a product/service.	
Process	9. Local manufacture – part is designed and manufactured on site within a few hours.		10. Local control and repair – spare part is not maintainable or repairable by health post. They must contact Field Ready if the part breaks.	
			11. Collaborative – direct engagement with end users on-site. Demonstration of 3D printing technology to key stakeholders.	
Product	1. Need – spare part restores a suction pump machine which is potentially life-saving.	5. Quality – unknown. No follow up is carried out. Part worked at the point of delivery but its durability is unknown.	12. Transparent – design is shared openly on thingiverse.	
	2. Suitability – a bespoke fix, specifically designed for use-context.	6. Adjustability – open source design so anyone can modify the design. It can be easily removed and replaced if needed.	16. Systemic – shift from importing goods to local manufacturing has widespread impacts on the supply chain, disrupting traditional procurement.	
	3. Access – estimated cost of 3D printed spare is 90% cheaper than imported spares.	7. Inclusive – NA		
	4. Usability – spare part is passive/ it can be used as part of existing device. It is instantly usable.	8. Complementary – restores existing suction pump, avoiding need to replace entire unit.		
		13. Scalable – spare part could be used in any health post where it is required. People need to know that design exists, need to be willing and able to use it.		

Figure 4. Evaluation of Field Ready—3D printed spare part.

3.2.2. Indian Institute of Technology-Bombay (IIT-B)—3D Printing or CNC Milling a Socket for a Leg Prosthetic

Since the Jaipur Foot prosthetic was developed over 50 years ago, more than 22 facilities across India produce the unpatented, low cost leg prosthetic. Ratna Nidhi Charitable Trust (RNCT) is a charitable trust in Mumbai that manufactures the Jaipur Foot prosthetic. The CEO of RNCT approached the Indian Institute of Technology-Bombay (IIT-B) to investigate how new technologies could scale-up the manufacturing process of the Jaipur Foot, to provide more beneficiaries with access to the prosthetics. In partnership with google.org, the project has been exploring the potential of 3D printing and CNC milling to create a low-cost, customised socket for the leg prosthetic. As of the beginning of 2019, patient trials are currently being conducted to test the CNC-manufactured sockets.

The new digitally fabricated socket is specifically designed to **complement** the existing Jaipur Foot, therefore avoiding unnecessary redundancy. Throughout the project, decisions have been made to support **local manufacture, control and repair**. For example, the use of 3D scanning was ruled out, as the cost is prohibitive for scaling-up the new socket design to other trusts. The design of the socket has been carefully considered such that it can be easily produced by the existing workforce. Throughout the project, **transparency** has been important and detailed documentation has been created to facilitate local production. In this way, the **advancement** of the workforce has also been addressed.

In addition, the project promotes greater **systemic** impacts. IIT-B have been advancing the Indian digital fabrication ecosystem by collaborating with Indian start-ups that are developing production tools and materials. For example, they have established a relationship with AHA 3D, an Indian manufacturer of 3D printers. By using AHA's 3D printers, they have supported the printer's development and promoted their brand. Similarly, they have collaborated with Fractal Works, another Indian based company that provides 3D printing filament. These **collaborations** reveal how this case study has cultivated an entrepreneurial ecosystem, thus triggering wider social change (**systemic**). Overall, the focus on local ownership supports the **empowerment** of users and providers.

As a whole, the project reveals a more system-focused and radical approach to social sustainability, with paradigm-related factors being considered from the start. One weakness that the DfSS framework reveals (see Figure 5) is that this project is primarily focused on the **needs** of the charitable trust (i.e., scaling up the production process) rather than the primary needs of the users (which include demands for more aesthetic and light weight prosthetics). Furthermore, **collaboration** on the implementor side has been limited to one charitable trust, which may limit replication at other locations and present a barrier to **scalability**. In order to drive more socially sustainable outcomes, the project should address these factors (**need, collaboration** and **scalability**), and remain mindful of concerns around **access** and **quality**, which are unknown at present.

Paradigm	14. Advancement – up-skilling technicians to operate new technology.		15. Empowerment – new design improves dignity of user and makes them less dependent on care of others. Project empowers local manufacturers to take ownership.	
Process	9. Local manufacture – design has taken place in-country. Manufacturing to take place locally at the charitable trusts.	10. Local control and repair – prosthetics to be maintained and repaired locally at the trusts.	11. Collaborative – engagement with beneficiaries, technicians, manufacturers and suppliers. Collaboration with one trust only which may prevent uptake from other trusts.	
Product	1. Need – prioritises need of charitable trust by aiming to scale-up production. Less attention given to user demands to improve aesthetic and weight of prosthetic.	5. Quality – clinical trials are being conducted to test product. Conventional process is being followed where possible to reduce quality uncertainty.	12. Transparent – detailed and easy to understand documentation.	16. Systemic – partnerships with Indian manufacturers helps to develop digital fabrication ecosystem and supports local development.
	2. Suitability – specifically designed for the Indian context. Allows for sitting and squatting. Provides an attractive cosmetic foot for use without shoes.	6. Adjustability – modular design allows for prosthetic to be adapted as required.		
	3. Access – final cost of design is unknown. However, affordability and access are key factors that have driven decision making in the project.	7. Inclusive – project aims to expand access to beneficiaries in rural and remote areas, who currently are not able to access care.	13. Scalable – digital fabrication enabled remote manufacturing helps to scale access to remote areas. Transfer to other trusts may be limited by lack of collaboration.	
	4. Usability – newly manufactured prosthetic is comparable to the traditional prosthetic, which many beneficiaries are familiar with.	8. Complementary – design is complementary to the Jaipur Foot and is modular in nature, therefore avoiding unnecessary redundancy.		

Figure 5. Evaluation of the Indian Institute of Technology-Bombay (IIT-B)—CNC manufactured socket for leg prosthetic.

3.2.3. FabLab/MakerSpace Nairobi—Digitally Fabricating a Low Cost Suction Pump Machine

Concern Worldwide approached FabLab Nairobi in 2013 after they recognised the potential for the makerspace to develop affordable medical devices in Kenya. They formed a partnership to develop technologies that support maternal and new born healthcare. They established a larger facility, MakerSpace Nairobi in 2016 to manage the project, and worked with Kenyatta National Hospital to design and manufacture a low cost suction pump machine that could be manufactured in Kenya. In 2017, The Phillips Foundation and UNICEF joined as partners.

The suction pump machine is a potentially life-saving device that helps to prevent the spread of infection and clear obstructions during resuscitation. The lack of available suction pumps was identified as a key challenge by the maternity ward at Kenyatta National Hospital (**need**). 3D printing has been used to manufacture the casing for the glass containers and water jet CNC cutting has been used to produce the metal casing. Clinical trials of the product are currently being conducted at Kenyatta National Hospital (**quality**).

The case study uses design to promote social sustainability across a number of criteria. The suction pump machine has been designed specifically for use in the local context, where rugged castors have replaced original castors to account for uneven flooring and the height of device is designed for average African nurse (**suitability**). Furthermore, the machine has been designed to be suitable for a range of beneficiaries, including adults and children (**inclusive**). Features in the previously imported model of the suction pump machine have been removed to prevent misuse. For example, nurses previously pulled on the machine's wire cable to transport the device, however this has been replaced with an ergonomic handle and a detachable wire cable, which will disconnect from the device if pulled on (**usability**). So far, current estimates show that the locally manufactured machine will cost approximately 70% of the imported suction pump machine (**access**).

Local control and repair also reduces the need to import spare parts, which is a major procurement challenge for Kenyan hospitals. The product itself is highly modular, to improve the ease of repair (**adjustable**). The project has also facilitated a highly participatory process in which designer, engineers, procurement officers, hospital managers and medical professionals have been deeply engaged (**collaborative**). In addition, the makerspace have established collaborations with local manufacturers. Collaborating with influential partners is expected to support implementation at multiple healthcare facilities (**scalable**). Still, some parts are necessary to import as they cannot be sourced locally (including pressure gauges), highlighting barriers to **local manufacture** in this context.

As well as fulfilling product and process related DfSS criteria, this case study highlights the potential for more far-reaching, paradigm impacts. **Advancement** is recognised in two major ways. First, **local manufacture** of suction pump machine offers the potential for direct job creation. Second, the project supports the development and training of local manufacturers, students and clinical staff. The Maker Project has been significantly **empowering** for participants, including the clinical staff who feel ownership of the device. More importantly, it challenges the attitudes of people in Kenya towards local production and underlines the potential of local capabilities, leading to **systemic** impacts. The project itself sets precedent for manufacturing medical devices in Kenya and is aligned with broader goals set in the Kenyan government's 2018 Big Four agenda, which lists manufacturing and affordable healthcare as focus areas.

Reflecting on the DfSS framework (see Figure 6), we can see that the majority of factors have been addressed and that paradigm-related factors have been embedded throughout the project. The evaluation does however flag concerns related to **transparency**. Whilst the project has been well documented throughout, exact ownership of intellectual property is unclear due to the involvement of multiple partners at different stages in the project. There is an urgent need to address this factor to ensure the viability of the project in the future.

Paradigm	14. Advancement – job creation through local production. Development and training of local manufacturers, students and clinical staff.		15. Empowerment – makes hospitals less dependent on international supply of goods. Clinical staff feel ownership of the product, as they have been involved in the design process.	
	9. Local manufacture – the design has been developed locally. The manufacture is to be outsourced to local companies. Some components are not available locally and therefore will need to be imported.	10. Local control and repair – key motivation for project. Hospitals are to be provided with information required to locally manage repairs.	11. Collaborative – active participation of different stakeholders. Participation of influential stakeholders gives the project legitimacy and provides access to other stakeholders.	
Product	1. Need – suction pump machine is potentially life-saving device. Need is identified by end users.	5. Quality – device has been approved by Kenya Bureau of Standards. Devices are being tested in a clinical trial.	12. Transparent – detailed documented throughout, to mitigate against staff turnover. IP ownership is unclear due to the involvement of multiple stakeholders.	16. Systemic – disrupts traditional procurement processes and creates a new local value chain. Changes attitudes towards Made in Kenya.
	2. Suitability – designed for local context to be rugged and easily operable.	6. Adjustability – integration of standardised parts (e.g. pressure gauge, regulator etc.) so that broken parts can be easily replaced.		
	3. Access – estimates show that locally manufactured device will be cheaper than imported versions.	7. Inclusive – device is designed to suit a wide range of beneficiaries. Nurses are primary end user for which it has been designed, however it is also operable by other professionals.	13. Scalable – a high demand across multiple healthcare facilities. Partner organisation provides access to other health facilities.	
	4. Usability – designed to increase user comfort. Detachable wire cable to prevent misuse (wire being used to transport device).	8. Complementary – makes existing suction pump machines redundant, however given limited access to them, this is not a concern.		

Figure 6. Evaluation of FabLab/Makerspace Nairobi—digitally fabricated suction pump machine.

4. Discussion

4.1. Summary of the Case Studies

This study reveals that DF4D can support social sustainability, however social sustainability is not an inevitable outcome. Across the case studies, we find that there is a general shift towards local production, which supports more participatory and contextually appropriate outcomes. We also find that DF4D can trigger wider social change. In cases 2 (socket for a leg prosthetic) and 3 (suction pump machine) digital fabrication responds to the explicit demand for products, as well as creating new infrastructure that advances local employment, empowerment and ownership. These projects reveal how DF4D can create systems-focused and radical social sustainability. In contrast, the first case study (3D printed spare part for a suction pump machine) results in limited advancement of local skills, local control and empowerment. This project provides a ‘quick win’ solution which is relatively incremental and user-focused. Overall, this comparison draws attention to the need for more long-term, open ended solutions in which sustainability is embedded early on [45,52,53].

Whilst the cases show the potential for DF4D, we also note that these projects tend to operate as isolated examples, rather than as “constellations of design initiatives geared toward making social innovation more probable, effective, long-lasting, and apt to spread” [54]. In short, there is a need for more scalable and transparent ways of working in DF4D projects. We know that greater collaboration has been shown to support sustainability between maker initiatives [55] and that open sustainable innovation [56], including peer to peer networks, can facilitate sustainable outcomes. However, analysis of the cases using the DfSS framework show that this is happening on a rather limited scale. The creation of platforms to mobilise local resources [57] and the development of more collaborative and network-based

initiatives may go some way to addressing this [20,58]. However, there also needs to be a fundamental willingness to do things differently in the humanitarian and development sector.

4.2. Theoretical Implications of the DfSS Framework for DF4D Projects

Our research reveals the important role of design in promoting social sustainability. Design can be both a source of un-sustainability and a means for achieving sustainability [33,59]. This study expands current knowledge on DfSS and provides a DfSS framework, specifically aimed at designers working on DF4D projects. By focusing on social sustainability, we attempt to address the limited research in this area. Nonetheless, we acknowledge that economic, environmental and social dimensions are inherently related and interdependent [15]. In developing our DfSS framework, we suggest that designers *begin* by examining the social dimension of sustainability [60], and then consider the implications on economic and environmental dimensions. This feedback can be used to continuously adjust decision making in the design process, until an optimum scenario is reached for sustainability as a whole. This offers an alternative to the current “win-win” logic, which positions economic, social and environmental aspects of sustainability as equal, but in practice maximises economic benefits [61].

Within the DfSS framework, we present product, process and paradigm factors as three necessary dimensions that must be fulfilled to achieve social sustainability. The identification of product factors builds on existing approaches to Design for Sustainability that focus on quality, usability, durability and inclusive design [62]. Central to much of this dialogue is the increasing focus on user needs [44,52,63] which are recognised as being contextual and situated [64,65]. This leads to the process factors which confirm normative arguments that DfSS is participatory [45,66,67] democratic [68,69] and cultivates local ownership [37,70]. Finally, the paradigm factors complement theories on agency and postcolonialism, which suggest that imported technologies increase dependency on aid and undermine local knowledge [71,72]. In contrast, our framework advocates the development of local capabilities, which empower people to develop and own solutions. Whilst Design for Sustainability has mainly focused on product factors and to some extent process factors, our framework highlights that DfSS demands the equal attention of product, process and paradigm factors. Simply, we do not believe that social sustainability can exist without addressing all three dimensions.

We propose that the integration of these three dimensions marks a shift towards more systems-focused DfSS. Systems design recognises the complex, interrelated nature of the world. It rejects reductionist epistemics, adopting a holistic view in which ‘the whole is more than the sum of its parts’ [73]. Notably, systems design draws attention to desired outcomes and goals, rather than specific products or technologies [74]. Similarly, in the DfSS framework we suggest that the inclusion of product, process and paradigm factors allows designers to work towards the broader goal of sustainable development. The DfSS framework specifically encourages designers to create solutions that are not just user-focused but are systems-focused. Rather than focusing on how products can solve people’s needs, we draw attention to how the entire product lifecycle (including design, manufacture, use and maintenance) can maximise social impact.

Drawing on theory from socio-technical systems, we argue that the inclusion of product, process and paradigm factors creates the possibility for more radical DfSS. According to Freeman and Perez [75], radical solutions often combine product, process and organisational innovations. Radical solutions can also trigger new markets, which in the context of DF4D projects could be the demand for locally designed products. Geels and Schot [76] explains that radical solutions provide an opportunity to challenge the socio-technical regime. Therefore, solutions that challenge traditional models of aid in favour of advancement, empowerment and systemic change necessitate radical solutions. Overall, these findings suggest that strong sustainability must address the underlying causes of un-sustainability [63]. Simply, incremental solutions will not suffice, and wide-scale change should be embedded within ambitions for social sustainability [77].

4.3. Practical Implications of DfSS Framework for DF4D Projects

For practitioners working on DF4D projects, our framework provides useful guidelines for planning and assessing projects. Instead of just focussing on product performance factors, we encourage designers to look more broadly at how the entire product lifecycle can maximise social sustainability. Overall, this shifts thinking away from short-term to long-term goals.

To assess the value of the DfSS framework it was shown to practitioners for their feedback. The case studies were also discussed with practitioners, highlighting its potential for stimulating reflection. Their feedback confirmed the value of framework, during the planning stage and evaluation stage of the design process. The practitioners explained how the framework would improve their current practice and how they would do things differently. Specifically, they believed that the framework would encourage them to think about the broader impacts of their interventions and to avoid a narrow product focus. Furthermore, they felt that the framework would help them to identify areas that had been overlooked in ongoing projects.

- Engineers want to fix the engineering problem. They normally fix what they can fix rather than looking at the wider scope and thinking about the *value* of fixing that thing. Say in a hospital this could help them to think about what the most valuable thing is to the health care practitioner rather than ‘here is a broken fuse, let me fix it’.” (Innovation Advisor, case study 1) The organisations were interested in using the framework in their future projects and in the next stage of this research we plan to run a pilot to test the DfSS framework in DF4D projects.
- I can see us using this, I can see this framework having some great utility ... One of the things that we often struggle with is how to prioritise projects ... this could help ... It intuitively makes sense at the start of the design process, but it would also provide a useful check at the end.” (Innovation Lead, case study 1)
- I think you have captured some of the most important criteria ... I can see this being used as a sustainable design version of the business canvas tool.” (Project leader and engineer, case study 2)
- I think this framework is really useful. It captures all the aspects that we encourage students to think about during hackathons ... We definitely could use this to help plan projects.” (Makerspace manager and designer, case study 3)

5. Conclusions

Digital fabrication offers a new way of solving ‘wicked problems’ found in the humanitarian and development sector. However, to date, many interventions have failed to deliver long-term sustainability. This paper has addressed a concern that little attention has been given to the social dimension of sustainability, which is limiting sustainable development in the humanitarian and development sector. We have explored how design can promote social sustainability and investigated the ways in which designers can practically Design for Social Sustainability (DfSS). We conducted a review of literature related to DfSS and selected three case studies that use digital fabrication to produce healthcare related products in the humanitarian and development sector. This led to the creation of a DfSS framework, specifically for projects using digital fabrication in the humanitarian/development sector (DF4D). This framework was used to evaluate the case studies, to reveal how digital fabrication can support socially sustainable outcomes.

This study significantly contributes to our knowledge on DfSS, however there are some limitations which may affect our findings. First, we acknowledge that this is an emerging field, in which definitions of social sustainability are still being clarified. Second, our findings are the result of a small number of case studies that are producing healthcare related items. As DF4D projects are increasing in number and in range of application, we recommend that additional DF4D case studies are selected from other sectors to further test this framework. Second, although the case studies are located in low-income and lower-middle income countries, they vary in geographical location. Future work could replicate this work in a specific region or consider the relevance of these findings to

non-humanitarian/development contexts. We urge researchers to examine the relevance of this DfSS framework more broadly, considering its application to the development of products that do not use digital fabrication tools.

Overall, this study shows how design can trigger social sustainability at product, process and paradigm levels. Our findings signal a shift from user-related to systems-related, and from incremental to radical social sustainability. We argue that an iterative and holistic approach to sustainability is needed, that begins by examining the social dimension first. For practitioners working on DF4D projects, we provide a useful framework to help plan and evaluate projects, to support Design for Socially Sustainability.

Supplementary Materials: The following are available online at <http://www.mdpi.com/2071-1050/11/13/3562/s1>, Table S1: Interview protocol to identify themes of DfSS for DF4D Projects, Table S2: Interview protocol to gather actual data on DF4D Projects.

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Appendix A

Table A1. Themes of DfSS found in the literature.

Theme	Sub-Theme	Citations
Systemic	Long-term approach	Cooley [34], Hillgren et al. [45], Stairs [64], Bjögvinnsson et al. [66], Clark et al. [78]
	Systemic/holistic	Vezzoli [20], Bhamra [11], Cooley [34], De Vere et al. [36], Stairs [64], Melles et al. [63], Clark et al. [78], Manzini and Vezzoli [79], Howarth and Hadfield [80], De Vere et al. [81], Chick [82], Cipolla and Bartholo [83], Vezzoli et al. [84], Ceschin et al. [85], Koskinen and Hush [86]
	Catalyses social change	Gamman and Thorpe [87]
	Full-life cycle	Maxwell and Van der Vorst [88], Dewulf [89]
	Product and process	Hanusch and Birkhoefer [90]
Empowerment	Empowering/emancipatory	Cooley [34], Caruso and Frankel [37], Bezerra and Brasell-Jones [65], Smith and Iverson [69], Cipolla and Bartholo [83], Amatullo et al. [91]
	Local control/local ownership	Thomas [52], Melles et al. [63]
	Agency	Er and Kaya [72], Kadir and Jamaludin [92]
Employment, skills and education	Job creation/employment	Cooley [34], Thomas [52], Melles et al. [63], Amatullo et al. [91]
	Educational/advancing local knowledge	Morelli [59], Melles et al. [63], Bezerra and Brasell-Jones [65], Bjögvinnsson et al. [66], Amatullo et al. [91]
	Capacity building	Yee and White [93]
Participatory	Participatory/democratic	Cooley [34], De Vere et al. [36], Margolin and Margolin [44], Hillgren et al. [45], Melles et al. [63], Stairs [64], Bjögvinnsson et al. [66], Chick [82], Morelli [59], Smith and Iverson [69], Kang [70], Cipolla and Bartholo [83], Amatullo et al. [91], Manzini [94], Margolin [95], Asheim et al. [96], Manzini [97], Melles et al. [98], Chen and Cheng [99], Lie [100], Mendoza et al. [101]
	Collaborative/cooperative	Cooley [34], McMahon and Bhamra [42], Melles et al. [63], Sklar [102], Gmelin and Seuring [103], McMahon and Bhamra [104]
	Bottom up	Manzini [54], Melles et al. [98]
	People focussed/relational	De Vere et al. [36], Hillgren et al. [45],

Table A1. Cont.

Theme	Sub-Theme	Citations
Wellbeing	Needs-based	Rose [14], Cooley [34], Margolin and Margolin [44], Thomas [52], Melles et al. [63], Cipolla and Bartholo [83]
	Preventing harm/reducing harm	Thomas [52], Bezerra and Brasell-Jones [65], Haug [105]
	Promoting wellbeing	Mendoza et al. [101]
Responsive	Reflective	McMahon and Bhamra [42]
	Empathetic	Caruso and Frankel [37], Cipolla and Bartholo [83], Sklar [102], Schaber [106]
	Ethical	Koo and Cooper [39], Koo [107]
Contextual	Local suitability	Cooley [34], McMahon and Bhamra [42], Margolin and Margolin [44], Hillgren et al. [45], Morelli [59], Melles et al. [63], Stairs [64], Bjögvinsson et al. [66], Kang [70], Chick [82], Cipolla and Bartholo [83], Amatullo et al. [91], Asheim et al. [96], Manzini [97], Melles et al. [98], Gmelin and Seuring [103], McMahon and Bhamra [104]
	Culturally sensitive design	Vezzoli [20], De Vere et al. [81], Kadir and Jamaludin [92], Margolin [95], Woodcraft [108]
	Appropriate technology	Lie [100], Sklar [102],
	Situated design	Kang [70], Cipolla and Bartholo [83]
Local	Local design	Vezzoli [20], Manzini [54], Morelli [59], Melles et al. [63], Stairs [64], Thorpe and Gamman [68], Morelli [59], De Vere et al. [81], Manzini [94], Melles et al. [98], Manzini [97]
Product-led	Product-led	Lilley [53]
Manufacturable	Manufacturability	Thomas [52]
Affordable	Affordability	Thomas [52], Melles et al. [63],
Supporting economic security	Financial independence	Cooley [34], Thomas [52], Amatullo et al. [91]
Usability	Usability	Melles et al. [63]
Inclusivity	Inclusive	Cipolla and Bartholo [83], Kadir and Jamaludin [92]
	Accessibility	Kadir and Jamaludin [92]
Distributed	Distributed design/networks	Vezzoli [20], Morelli [59], Manzini [94], Manzini [97], Melles et al. [98]
	Open/connected	Manzini [94], Manzini [97]
	Small/local scale	Chen and Cheng [99]

Table A2. Interviewee details and codes.

Case Study	Interviewee Role and Codes
1. Field Ready	Project manager (CS1-01), Designer (CS1-02), Designer (CS1-03), Junior designer (CS1-04), Junior designer (CS1-05), Monitoring and evaluation lead (CS1-06), Innovation Advisor (CS1-07)
2. Indian Institute of Technology-Bombay (IIT-B), Ratna Nidhi Charitable Trust (RNCT), BMVSS Trust	Project lead and engineer at IIT-B (CS2-01), Physiotherapist at IIT-B (CS2-02), Designer at IIT-B (CS2-03), Junior designer at IIT-B (CS2-04), CEO at RNCT (CS2-05), Prosthetist and Orthotist at RNCT (CS2-06), Production technician at RNCT (CS2-07), Production technicians at RNCT (CS2-08), Beneficiary 1 at RNCT (CS2-09), Beneficiary 2 at RNCT (CS2-10), CEO, founder of BMVSS (CS2-11), Technical consultant at BMVSS (CS2-12), Prosthetist and Orthotist at BMVSS (CS2-13), Technician at Jaipur Foot (CS2-14), Project manager at BMVSS (CS2-15), Secretary at BMVSS (CS2-16), Beneficiary 1 at BMVSS (CS2-17), Beneficiary 2 at BMVSS (CS2-18), Beneficiary 3 at BMVSS (CS2-19), Beneficiary 4 at BMVSS (CS2-20), Beneficiary 5 at BMVSS (CS2-21), Beneficiary 6 at BMVSS (CS2-22)
3. MakerSpace Nairobi, Kenyatta National Hospital (KNH)	MakerSpace manager and designer (CS3-01), Lead designer at MakerSpace (CS3-02), Designer at MakerSpace (CS3-03), Project manager at KNH (CS3-04), Project administrator at KNH (CS3-05), Project data manager at KNH (CS3-06), Deputy head nurse at KNH (CS3-07), Biomedical engineer at KNH (CS3-08)

Table A3. Exemplary quotes to justify Design for Social Sustainability framework.

Factor	Exemplary Quotes
1. Need	“Obviously, addressing the need is where we start and hopefully finish. There has to be understanding of the problem that needs to be solved and how your intervention and your approach is actually addressing that problem. I said we’re focusing on products, but actually those products are a reflection of how we are addressing a need.” CS1-01
2. Suitability	“Sometimes when you make something you make it to suit a certain environment. So it works well in that environment, but it may not work very well in a different setup. So that’s also part of it, you want to make sure that you’re making devices that will work and will be sustainable in our local setup because our setup might be very different from UK or US. So if we buy a machine from a first world country and bring it here, they may not exactly make it or design it to be very resilient to a harsh environment. So once you bring it here and it meets very rough floors maybe, or very harsh temperatures, or saline water, it wasn’t designed with that in mind, then it gets here and meets that, it starts becoming rusty, it breaks down.” CS3-01
3. Access	“What we are doing should be accessible to common people and for that, that has to be cost effective.” CS2-03
4. Usability	“They would come to us and say this gauge has to be labelled this kind of way because if it’s done that way we have an easy time interpreting what it’s saying. This handle has to be here because when it’s here it’s easy for us to push it around.” CS3-01 “We don’t have a lot of time to focus on learning. We want to focus more time on treating the patients. So give us an equipment that is very user friendly.” CS3-01
5. Quality	“We couldn’t compromise on the quality . . . It is unacceptable if Company X does the same thing but degrades the quality.” CS2-02 “It has to be long-lasting in terms of the material that have been used, it has to be robust, that whenever it’s hit by, it may still withstand the force.” CS3-01 “It is the risk that it can pose to the operator or the patient that is important and we have try to minimise those risks.” CS3-03
6. Adjustability	“It might have some other additional features for long term sustainability, for adjustable conformity. Like we have in our shoes. We have shoe laces so that we can define that tightness of the shoe.” CS2-02 “So the ideal situation is that you should be able to replace some of the bits some of the parts, without replacing the whole machine.” CS3-02
7. Inclusive	“Some of them are only walking, some of them in sports, some of them are driving cars, some of them are in different types of jobs, some of them in hilly regions, some of them in villages, some of them in cities . . . their age and body weight varies . . . their usage metrics vary . . . The technology will allow us to create a solution for this.” CS2-05 “We should have a spectrum of products, and then depending on the patient’s level of activity, needs . . . they should be able to choose from different products” CS2-12 “We also need to have pressure that is acceptable. Because you place it on the baby’s head. So we needed to have pressures that are acceptable to pull out the baby and pressures that were not going to injure the mother” CS3-07
8. Complementary	“The decisions we have made is empowering the Jaipur Foot rather than replacing it. So it can be redesigned in a way that it can get attached to the Jaipur Foot.” CS2-02 “More importance should be given on improving designs than trying to do something totally different.” CS2-12
9. Local manufacture	“If this can be replicated even in the most remote part of the country, then people might have access to these tools and then they might produce instantly right there when it’s needed, rather than making it in Kathmandu and then delivering it to other parts, which leads to this supply mismatch.” CS1-02
10. Local control and repair	“Because equipment that has been designed in another country . . . may not work for us because we don’t know how to maintain them . . . So we said if we made them locally then it means getting spare parts would be very easy.” CS3-01 “The challenges we are facing concerns spares, most of the spares are not locally available. Sometimes these spares take a lot of time, when we want to import from out of the country.” CS3-08 “Most of our equipment in this hospital are not locally manufactured or assembled. So you find that is a problem because if you have any problem, if they need to be serviced, then you have to incur a cost to bring somebody from out there to service it.” CS3-07

Table A3. Cont.

Factor	Exemplary Quotes
11. Scalable	<p>“That’s how we come up with the different solutions which can be replicated . . . which means we have longer term sustainability.” CS1-02</p> <p>“So, we want to make this to be a process, scalable, and easily replicable. That takes care of half of the sustainability.” CS2-03</p>
12. Collaborative	<p>“We recognise problems and then identify the problem and then sit together with the local communities and with them look for the solutions.” CS1-02</p> <p>“We don’t have CNC, but when we want those processes in our product development, then we share those problems with the other companies and then collaborate and try to fabricate it.” CS1-02</p> <p>“Different people have different ways of looking at lives . . . you’re given the same problem, they will look at the solution from different angles. When you bring all of these angles together, then you end up with a very good idea that works for everyone.” CS3-01</p>
13. Transparent	<p>“When this model has worked, when everything is positive, then we will document it, share it in the online platforms like Thingiverse . . . someone in a different part of the world, they can instantly download it in case they face such problems, so that someone doesn’t have to work on all this process.” CS1-02</p> <p>“We are trying to think of each and every single technical detail or scientific parameter, which when we document that will allow a normal welding guy to replicate the whole process.” CS2-03</p>
14. Advancement	<p>“The people who are already employed should be empowered to do that. They should not suffer loss on employment because a new technology is replacing their technology.” CS2-02</p> <p>“There is an opportunity for creation of jobs if we have it locally manufactured. There are a lot of young people who will be employed here . . . you also got excited because it builds capacity in our students . . . if as a student, you’re working on something like this . . . you’re actually learning on the job.” CS3-01</p>
15. Empowerment	<p>“If we’re doing things that then, as soon as we walk away, it all falls to bits, then that’s not a model.” CS1-06</p> <p>“No, you will not give you a leg. You are giving life . . . The leg is an instrument which empowers him for everything around his world. He becomes a man in his own right. In his own eyes, to stand up literally and figuratively on his legs. A leg is only an instrument. But the change we are making to their lives is very, very important.” CS2-11</p>
16. Systemic	<p>“We are helping other local companies and other start-ups to make 3D printers and we are addressing some of the problems, like making 3D printer filaments, doing more research in those fields so that we could offer more affordable and good quality 3D printer filaments locally.” CS1-02</p> <p>“And this was part of that, the aspect of proving a point that as a country we can actually develop this “sophisticated equipment.” We just need to give it a shot. Then create an infrastructure that allows that to happen . . . you don’t need to import something from whatever country. You have the idea, you can actually design it in your computer and you can use this printer to make it.” CS3-01</p>

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