

1 **Distinguishing science from pseudoscience in commercial respiratory**  
2 **interventions: An evidence-based guide for health and exercise**  
3 **professionals**

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**ABSTRACT**

Respiratory function has become a global health priority. Not only is chronic respiratory disease a leading cause of worldwide morbidity and mortality, but the COVID-19 pandemic heightened attention on respiratory health and the means of enhancing it. Subsequently, and inevitably, the respiratory system has become a target of the multi-trillion-dollar health and wellness industry. Numerous commercial, respiratory-related interventions are now on sale, coupled to therapeutic and/or ergogenic claims that vary in their plausibility: from the reasonable to the absurd. Moreover, legitimate and illegitimate claims are often conflated in a wellness space that lacks regulation. The abundance of interventions, the range of potential therapeutic targets in the respiratory system, and the wealth of research that varies in quality, all confound the ability for health and exercise professionals to make informed risk-to-benefit assessments with their patients and clients. This review focuses on numerous commercial interventions that purport to improve respiratory health, including nasal dilators, nasal breathing, generalized and systematized breathing interventions (such as pursed-lips breathing), respiratory muscle training, canned oxygen, various nutritional supplements, and inhaled L-menthol. For each intervention we describe the premise, examine the plausibility, and systematically contrast commercial claims against the published literature. The overarching aim is to assist health and exercise professionals to distinguish science from pseudoscience and make pragmatic and safe risk-to-benefit decisions.

**Key words:** asthma; COPD; exercise; disease; lung function; nutrition; pulmonary.

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## 1.0 INTRODUCTION

The human respiratory system comprises the upper respiratory tract (nasal and oral cavities, pharynx, and larynx), lower respiratory tract (trachea, and bronchial tree), lung parenchyma, pulmonary vasculature, and respiratory muscles (e.g., diaphragm, abdominals, obliques, intercostals). Under neural control from central and peripheral chemoreceptors and respiratory centers in the brain, the respiratory system transfers oxygen from the atmosphere to the pulmonary circulation and carbon dioxide in the opposite direction. With a few notable exceptions (e.g., high-intensity exercise, hypoxic environments), the healthy respiratory system is unlikely to present a significant limitation to gas exchange or O<sub>2</sub> transport (Dempsey et al. 2020).

In recent years, the respiratory system has become a target of the multi-trillion-dollar commercial health and wellness industry. Therein, numerous respiratory-related products and strategies (e.g., respiratory muscle training devices, nasal strips, deep breathing regimens) are sold to the consumer alongside therapeutic and/or ergogenic claims that vary in their plausibility: from the reasonable (mitigate stress, improve perceptions, improve lung and respiratory muscle function); to the questionable (increase oxygen transport, “boost” immune function); to the absurd (increase “energy flow” and promote healing). Furthermore, due to lax regulations in the wellness space and little obligation for marketing to conform to scientific or ethical standards, it is common for legitimate and illegitimate claims to be conflated (Tiller et al. 2022). The current ‘wellness’ paradigm thus makes it difficult for health and exercise professionals to make informed risk-to-benefit assessments with their patients and clients.

Several factors underpin the accelerating commercial popularity of respiratory-related interventions, the most pertinent being the COVID-19 pandemic which has heightened attention on respiratory health and potential means of enhancing it. But even before COVID-19, chronic respiratory disease (such as chronic obstructive pulmonary disease [COPD]) was a leading cause of morbidity and mortality (World Health Organization 2022), conferring a considerable and growing economic burden (Ehteshami-Afshar et al. 2016). Respiratory disease has also received growing coverage in the media owing to the pressing issue of climate change and worsening air quality (Barnes et al. 2013). Respiratory function has thus become a global health priority. To

84 compound the problem, respiratory physiology is a complex discipline that is poorly  
85 understood by the public, and its mechanisms can thus be easily misappropriated for  
86 commercial gain.

87 This review explores a number of commercial interventions that purport to  
88 influence aspects of the respiratory system to improve respiratory function, respiratory  
89 health, and/or exercise responses. The interventions selected for inclusion were nasal  
90 dilators, nasal breathing, generalized and systematized breathing interventions (including  
91 pursed-lips breathing), respiratory muscle training, canned oxygen, various nutritional  
92 supplements, and inhaled L-menthol. For each intervention we describe its premise,  
93 examine its plausibility, and contrast commercial claims against the published literature.  
94 The overarching aim of this paper is to provide an evidence-based guide for health and  
95 exercise professionals—to help them distinguish science from pseudoscience in  
96 respiratory physiology and assist them in making safe and pragmatic risk-to-benefit  
97 decisions.

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## 99 **1.1 Methods**

100 In January 2022, the first and corresponding authors (CRI and NBT, respectively)  
101 convened a meeting of recognized experts in the fields of respiratory medicine and  
102 exercise physiology. After several rounds of discussion, all authors agreed that the  
103 products/strategies to be included should be non-medical, commercial interventions,  
104 excluded if they were controlled drugs and/or regulated by the FDA as “medical devices”.  
105 The list was not exhaustive but instead limited to the interventions most prevalent in the  
106 health and wellness industry and that were coupled to the most conspicuous claims. A  
107 list of commercial claims was then compiled from websites, press releases, and relevant  
108 media, after which peer-reviewed articles were searched via PubMed (no date restriction).  
109 The literature search-terms comprised the relevant intervention (e.g., nasal dilators,  
110 respiratory muscle training, etc.) alongside various combinations of the following:  
111 breathlessness; dyspnea; lung; lung function; pulmonary; respiratory; respiratory  
112 function; respiratory health; respiratory symptoms; pathophysiology. All article types—  
113 meta-analyses, systematic reviews, randomized-controlled trials (RCTs), exploratory  
114 studies, confirmatory studies, and case reports—were included, and the reference lists of

115 articles selected for inclusion were manually searched for additional literature. A first draft  
116 of the manuscript was collated, and after several rounds of discussion and refinement, all  
117 authors agreed upon the evidence summaries and recommendations and approved the  
118 final work. Most of the correspondence was carried out virtually/electronically.  
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## 2.0 EVIDENCE REVIEW

### 2.1 Nasal dilators

*2.1.1 Premise and plausibility.* External nasal dilators (ENDs) are applied horizontally to the skin of the nasal dorsum whereas internal nasal dilators (INDs) are placed inside the nostrils. Both purportedly increase nostril patency by preventing the nasal wings from collapsing during inspiration (Dinardi et al. 2014). The devices were originally developed to aid with sleep-related issues (e.g., snoring and apnea) but their widespread use at the Atlanta Olympic Games in 1996 made them popular with exercisers and athletes (Dinardi et al. 2014). Using magnetic resonance imaging, Bishop *et al.* (2016) showed that an END (Breathe Right®) evoked significant enlargement of the anterior nasal passage when compared to a placebo. Using acoustic rhinometry, Griffin *et al.* (1997) observed increased nasal valve area with the same device. Although ENDs had no effect on plethysmography-derived measures of nasal resistance (Vermoen et al. 1998) or maximum expiratory flows (Di Somma et al. 1999) in healthy individuals, others have shown that ENDs increased nasal inspiratory flow during normal and forced breathing (Vermoen et al. 1998; Di Somma et al. 1999). Thus, ENDs likely improve nostril patency by supporting the lateral nasal vestibular walls, manifesting as a slight increase in inspiratory nasal flow at rest and during maximal inspiratory maneuvers. The bulk of literature has focused on whether there is any subsequent clinical or ergogenic benefit.

*2.1.2 Literature.* Articles were excluded if nasal dilators were simultaneously applied with other breathing interventions. Most studies on nasal dilators evaluated their effect on sleep-related issues including sleep quality, snoring, and obstructive sleep apnea; generally showing subjective (but not objective) outcomes. For example, using ENDs, Wenzel *et al.* (1997) reported improved subjective ratings of nose breathing at rest but no changes in objective (polysomnography-derived) measures of obstructive sleep apnea. Similarly, several non-placebo-controlled studies showed improved subjective ratings of sleep quality (e.g., insomnia severity, sleep-disordered breathing) and quality of life with ENDs (Krakow et al. 2006; Gelardi et al. 2019). When an IND (Nas-Air®) and an END (Breathe Right®) were compared, the former conferred better subjective ratings of sleep quality (assessed via visual analogue scale) (Gelardi et al. 2019). One placebo-controlled study in patients with upper-airway resistance syndrome found that

151 desaturation time during sleep (the percentage of time that SpO<sub>2</sub> was >2% below waking  
152 values) was significantly lower with an END (Breathe Right®) versus placebo (9.1 vs.  
153 12.2%), but there were no other effects on cardiorespiratory variables, sleep architecture,  
154 or sleep latency (Bahammam et al. 1999).

155 Data on snoring are equivocal. Research by Gelardi *et al.* (2019) reported that  
156 snoring time was reduced with both an IND and END, whereas Wenzel *et al.* (1997)  
157 showed that ENDS had no effect on the frequency of snoring events. When healthy  
158 subjects with nasal congestion were randomized to an END group (Breathe Right®) or a  
159 placebo group for two weeks, both devices equally improved subjective ratings of sleep  
160 quality and subjective ratings of nasal congestion, suggesting a potent placebo effect  
161 (Noss et al. 2019). Lastly, Sadan et al. (2005) showed that nasal dilators, when used by  
162 females during childbirth, improved subjective ratings of “ease of breathing” but had no  
163 effect on objective markers of labor progression or recovery. Collectively, the data  
164 suggest that nasal dilators (mainly ENDS) may improve perceptions of nasal breathing  
165 and subjective ratings of sleep quality. However, they are unlikely to influence objective  
166 markers of obstructive sleep apnea (Camacho et al. 2016) and the data on snoring  
167 frequency and duration are equivocal. In fact, several authors have proposed ENDS as  
168 an effective placebo intervention in RCTs that explore treatment options in obstructive  
169 sleep apnea (Amaro et al. 2012; Yagihara et al. 2017).

170 On the premise that ENDS increase some measures of nasal patency and nasal  
171 inspiratory flow, their potential to improve exercise capacity has also been explored.  
172 Despite a few reports of favorable outcomes (Griffin et al. 1997; Dinardi et al. 2013, 2017),  
173 a recent systematic review and meta-analysis of 19 articles concluded that ENDS elicited  
174 “no improvement in  $\dot{V}O_2$ max, HR and RPE outcomes in healthy individuals during  
175 [maximal or submaximal] exercise” (Dinardi et al. 2021). Other studies, using esophageal  
176 balloon catheters, report no effect of ENDS on inspiratory elastic work, inspiratory resistive  
177 work, or expiratory resistive work during submaximal or maximal exercise (O’Kroy et al.  
178 2001). There was also no effect of ENDS on recovery of  $\dot{V}O_2$ ,  $\dot{V}_E$ , or HR after exercise  
179 when compared to a placebo or a no-intervention control (Thomas et al. 2001); and no  
180 effect of ENDS on blood lactate responses after exercise in sedentary or endurance

181 trained women (Boggs et al. 2008). Thus, nasal dilators appear to have no meaningful  
182 influence on exercise performance or physiological variables during or after exercise.

183 The commercial claims of one specific IND (Turbine™) have been scrutinized by  
184 several studies, all showing no benefit on respiratory mechanics or exercise tolerance.  
185 One such study, a sham-controlled trial using esophageal balloon catheters to measure  
186 respiratory mechanics during incremental cycling, showed that INDs did not reduce the  
187 work of breathing and had no effect on exertional dyspnea or exercise capacity (Schaeffer  
188 et al. 2021). Another RCT tested the effect of the Turbine™ on 20-km cycling time-trial  
189 performance, reporting no influence on mean power output (Adams and Peiffer 2017).  
190 Favorable data from a clinical trial posted on the manufacturer's website have not been  
191 peer reviewed or published at the time of this writing.

192 *2.1.3 Evidence Summary and Recommendations.* Primary outcomes from the  
193 literature on nasal dilators are summarized in **Figure 1**. Both ENDS and INDs enlarge and  
194 stabilize the nasal valves thereby mitigating their collapse during high flow inspiration and  
195 increasing inspiratory nasal airflow during maximal inspiratory efforts. Current evidence  
196 suggests that nasal dilators may improve perceptions of nasal breathing and subjective  
197 ratings of sleep quality but are unlikely to influence objective markers of obstructive sleep  
198 apnea. The data on snoring frequency and duration are equivocal. Most studies show no  
199 effect of nasal dilators on cardiorespiratory function or ratings of perceived exertion during  
200 exercise, no effect on exercise capacity, and no effect on physiological variables during  
201 the acute phase of recovery.

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## 203 **2.2 Nasal breathing**

204 *2.2.1 Premise and plausibility.* In humans, nitric oxide (NO) is a vasodilator (Morris  
205 and Rich 1997) and mild bronchodilator (Kacmarek et al. 1996), first identified in expired  
206 gas in the 1990s (Gustafsson et al. 1991). Functionally, the two NO isoforms are  
207 “constitutive” and “inducible” NO, with most being produced in the paranasal sinuses  
208 (Ricciardolo 2003). In fact, the paranasal sinuses produce considerably greater amounts  
209 of NO than either the mouth or the trachea (56 vs. 14 vs. 6 ppb, respectively; (Törnberg  
210 et al. 2002)). It has been suggested that nasally-derived NO can evoke airway smooth  
211 muscle relaxation, inhibit smooth muscle proliferation, and protect against excessive



212 bronchoconstriction (Ricciardolo 2003). Others suggest that nasal breathing might  
213 attenuate pulmonary hypertension by vasodilating the pulmonary vasculature (Settergren  
214 et al. 1998). Although exogenous (supplementary) NO is known to reduce vascular  
215 resistance and increase pulmonary blood flow in healthy and patient populations  
216 (Settergren et al. 1998; Crespo et al. 2010), the concentration of endogenous (nasally-  
217 derived) NO is considerably lower than the concentrations used in NO-enriched air  
218 (Törnberg et al. 2002). Therefore, an important consideration is whether increased NO  
219 uptake via nasal breathing exerts meaningful effects in healthy or patient populations.

220       2.2.2 *Literature*. Articles were excluded if they reported on exogenous  
221 (supplementary) NO inhalation as opposed to that which was nasally derived, if they studied  
222 exhaled NO as a tool for assessing airway inflammation, or if nasal breathing was studied  
223 in combination with other breathing interventions (e.g., deep/slow breathing). Using single  
224 photon emission computed tomography during separate bouts of upright nasal or oral  
225 breathing in healthy adults, Crespo *et al.* (2010) found that nasal breathing elicited blood  
226 flow redistribution from caudal and dorsal regions of the lung to the less-perfused cranial  
227 and ventral regions. For the otherwise poorly perfused lung regions, such as the apical  
228 region, this represented a 24% increase in blood flow. Similar effects were observed when  
229 exogenous NO mixtures were inhaled orally, supporting the hypothesis that the  
230 mechanism of blood flow redistribution was mediated by NO. Others showed that nasal  
231 breathing increased oxygen tension across the chest wall (assessed using  
232 transcutaneous electrodes) in healthy subjects versus oral breathing (Lundberg et al.  
233 1996), although the effects were very small and the clinical significance thus unclear.

234       Limited data also suggest a possible therapeutic benefit of nasal breathing in  
235 patients with respiratory disease. For example, intubated patients who were unable to  
236 rebreathe their own nasally-derived NO exhibited an 18% increase in PaO<sub>2</sub>, and an 11%  
237 decrease in pulmonary vascular resistance index, when gas derived from the patient's  
238 nose was aspirated and fed into the inspiration limb of the ventilator (Lundberg et al.  
239 1996). Although the exact mechanism was unclear, the authors postulated that sinus-  
240 derived NO may act as an "aerocrine messenger" that selectively dilates vessels  
241 supplying well-ventilated areas of the lung. Pulmonary vascular resistance also  
242 decreased in patients recovering from thoracic surgery when they engaged in nasal

243 versus oral breathing, although there was no difference in O<sub>2</sub> and CO<sub>2</sub> partial pressures  
244 of arterial and mixed venous blood between the two breathing techniques (Settergren et  
245 al. 1998). Pertinently, exogenous NO inhaled orally at “nasal physiologic concentrations”  
246 of 10–100 ppb evoked pulmonary vasodilatation and improved pulmonary gas exchange  
247 in patients with acute respiratory distress syndrome (Mourgeon et al. 1997) and acute  
248 respiratory failure (Gerlach et al. 1993). Collectively, these data support the notion that  
249 nasal breathing, by increasing NO uptake, may provide clinically meaningful benefits in  
250 certain patient populations.

251         The potential benefit of nasal breathing at rest has led to the suggestion that it may  
252 improve physiological responses to exercise. However, what of the feasibility of nasal-  
253 only breathing during exercise? Healthy adults spontaneously switch from nasal to  
254 oronasal breathing at minute ventilations of 35-45 L·min<sup>-1</sup> (Niinimaa et al. 1980;  
255 Becquemin et al. 1991; Bennett et al. 2003), and without prior habituation, healthy adults  
256 even when prompted can only maintain nasal breathing up to ~80%  $\dot{V}O_{2max}$  (LaComb et  
257 al. 2017). Nevertheless, when preceded by an extensive training period (>6 months),  
258 nasal breathing may be feasible during high-intensity and even maximal exercise without  
259 compromising  $\dot{V}O_{2max}$  (Hostetter et al. 2016; Dallam et al. 2018). Thus, after habituation,  
260 nasal breathing can probably be sustained during maximal exercise. This leads to the  
261 separate question of efficacy: does nasal breathing during exercise provide any  
262 physiological advantage over oral or oronasal breathing?

263         In a mixed-sex cohort of healthy adults, LaComb *et al.* (2017) showed that nasal  
264 breathing elicited lower  $\dot{V}O_2$ ,  $\dot{V}CO_2$ , and  $\dot{V}_E$  at given submaximal exercise intensities  
265 (50%, 65%, and 80% of treadmill-derived  $\dot{V}O_{2max}$ ) when compared to oral breathing,  
266 although the physiological mechanism was unclear. A possible flaw of the study was that  
267 exercise bouts lasted only 4 min, whereas a steady state ventilatory response may take  
268 considerably longer, particularly in an untrained cohort with a slow kinetic response. The  
269 authors also concluded that, when all variables were considered together, “it is likely that  
270 oral breathing represents the more efficient mode [of breathing], particularly at higher  
271 exercise intensities”. In another study, 10 healthy subjects who were habituated to nasal  
272 breathing exhibited lower ventilatory equivalents for O<sub>2</sub> and CO<sub>2</sub> during nasal-only  
273 exercise versus oral-only exercise (differences mediated primarily by significantly lower

274  $\dot{V}_E$ ), without a change in  $\dot{V}O_{2\max}$  or time to exhaustion (Dallam et al. 2018). It is unclear  
275 from these studies whether the physiological response to nasal breathing was derived  
276 from increased NO uptake or another mechanism. It is possible that healthy subjects will  
277 have a blunted ventilatory response at maximal exercise with nasal breathing owing to  
278 attenuated tidal volumes and respiratory frequencies (Morton et al. 1995). This may partly  
279 explain greater end-tidal  $CO_2$  partial pressure ( $P_{ET}CO_2$ ) during nasal versus oral  
280 breathing, both at rest and during submaximal exercise (Tanaka et al. 1988; Dallam et al.  
281 2018).

282         Although oral and nasal breathing evoke similar ratings of perceived exertion  
283 during exercise, nasal breathing results in an “unacceptable sensation of air hunger”  
284 (Hostetter et al. 2016; Dallam et al. 2018), perhaps associated with the development of  
285 hypercapnia (Banzett et al. 2021). In turn, this has the potential to alter breathing patterns  
286 and reduce exercise tolerance (Dallam et al. 2018). In patients with COPD, who report  
287 pre-existing sensations of “unsatisfied inspiration” (Philips et al. 2021), such air hunger  
288 during nasal breathing could exacerbate respiratory symptoms. Nasal breathing during  
289 exercise would therefore be inappropriate for COPD patients. Two early studies in  
290 patients with asthma ( $n=5$  and  $n=12$ , respectively) reported that nasal breathing during  
291 exercise reduced the incidence and/or severity of post-exercise bronchoconstriction  
292 relative to oral breathing (Shturman-Ellstein et al. 1978; Mangla and Menon 1981),  
293 although it is unclear whether these findings were the result of greater NO intake or also  
294 influenced by an increased humidity of inspired air which has also been shown to mitigate  
295 the severity of exercise-induced bronchoconstriction EIB (Anderson and Kippelen 2012).  
296 In any case, these data support the hypothesis that the nasopharynx and oropharynx play  
297 an important role in mediating exercise-induced bronchoconstriction.

298         2.2.3 *Evidence Summary and Recommendations.* Primary outcomes from the  
299 literature on nasal breathing are summarized in **Figure 2**. Data suggest that nasal  
300 breathing may improve arterial oxygenation and ventilatory efficiency in critically ill  
301 patients at rest, but there is little evidence that such benefits extend to healthy subjects.  
302 Nasal breathing is feasible during submaximal exercise and even maximal exercise after  
303 extensive habituation, but there is little-to-no data supporting a subsequent benefit on  
304 exercise capacity in healthy individuals. There is some evidence of reduced incidence

305 and/or severity of post-exercise bronchoconstriction with nasal breathing, but due to  
306 potential hypoventilation and increased perceptions of “air hunger”, nasal breathing  
307 during exercise is not recommended for COPD patients. Its use in patients with other  
308 respiratory diseases should be considered on a case-by-case basis.

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## 310 **2.3 Generalized and systematized breathing strategies**

311 *2.3.1 Premise and plausibility.* Breathing interventions generally comprise one-or-  
312 more of the following techniques: nasal inspiration, deep/slow breathing, breath-hold at  
313 end-inspiratory lung volume, prolonged expiration, expiration through pursed lips, and  
314 preferential activation of the diaphragm during inspiration. Most breathing interventions  
315 encourage inspiration through the nose. This approach may increase the uptake of NO  
316 (see Section 2.2) and warm/humidify the inspired air (Naclerio et al. 2007). Inspiration  
317 and expiration are usually required to be deep and slow to increase tidal volume and  
318 extend the respiratory cycle (Ubolnuar et al. 2019). A prolonged expiration may also help  
319 decrease expiratory reserve volume, in turn partially mitigate air trapping and dynamic  
320 hyperinflation to reduce respiratory symptoms in certain populations (e.g., COPD).  
321 Deep/slow breathing, particularly interventions with prolonged expiration, have also been  
322 shown to increase heart rate variability and respiratory sinus arrhythmia through  
323 mediating effects on the parasympathetic nervous system (Zaccaro et al. 2018). This is  
324 an expanding area of research. Indeed, using functional magnetic resonance imaging,  
325 deep/slow breathing was shown to increase cortical and subcortical activity (Critchley et  
326 al. 2015), which may partly support improved physical and mental health (Laborde et al.  
327 2022). Pursed-lips breathing typically involves nasal inspiration and prolonged expiration  
328 directed through lips that have a “puckered” or “pursed” appearance (see **Figure 3**).  
329 Independent of other breathing strategies, expiration through pursed lips may increase  
330 expiratory resistance at the mouth, evoking a small positive end-expiratory pressure of  
331 ~5 cmH<sub>2</sub>O (van der Schans et al. 1997). This can help ameliorate airway compression  
332 and expiratory flow limitation in patients with COPD by functionally “stenting” the airways  
333 (Marciniuk et al. 2011; Nguyen and Duong 2021). Diaphragmatic breathing comprises  
334 many of the aforementioned techniques but with preferential activation of the diaphragm  
335 during inspiration. From a practical standpoint, diaphragmatic breathing is achieved by

336 inspiring with minimal movement of the chest and more pronounced outward abdominal  
337 displacement (Cahalin et al. 2002).

338       2.3.2 *Literature*. The literature on breathing interventions employs inconsistent  
339 nomenclature and terms are often used interchangeably. This makes it difficult to discern  
340 the efficacy of each technique. Accordingly, we have grouped the literature on breathing  
341 interventions and discussed their collective outcomes. The exceptions are pursed-lips  
342 breathing (see Section 2.3.3) and the Buteyko Breathing Technique (see Section 2.3.4),  
343 which both have a sufficient body of independent research. Most of the literature on  
344 breathing interventions has focused on the management of respiratory symptoms in  
345 asthma and COPD. Regarding the former, the Cochrane database published a meta-  
346 analysis and separate systematic review on breathing exercises in asthmatic adults and  
347 children, respectively, with disparate findings. In adults, yoga (including pranayama),  
348 breathing retraining, the Buteyko Breathing Technique, the Papworth method, and deep  
349 diaphragmatic breathing, all improved quality of life, symptoms and complaints due to  
350 hyperventilation, and lung function (forced expiratory volume in 1 second; [FEV<sub>1</sub>]).  
351 However, studies were characterized by poor methodologies and a very low-to-moderate  
352 quality of evidence (Santino et al. 2020). The review in children found insufficient data to  
353 support the use of breathing techniques for asthma management, owing primarily to a  
354 low number of studies ( $n=3$ , 112 participants) (Macêdo et al. 2016). Another issue  
355 impeding interpretation of the pediatric data is that studies generally combined breathing  
356 exercises with a comprehensive package of care, thereby precluding any evaluation of  
357 breathing exercises alone (Macêdo et al. 2016). As such, due to a low number of studies,  
358 limited reporting of data, and variations in reported outcomes, no firm conclusions can be  
359 drawn regarding the efficacy of breathing interventions for asthma management. More  
360 well-controlled, high-quality studies are needed.

361       There is a much larger body of work evaluating breathing interventions for  
362 improving respiratory symptoms, lung function, and exercise performance and capacity  
363 in COPD. In general, long-term breathing interventions including deep breathing with or  
364 without preferential activation of the diaphragm, pursed-lips breathing, yoga, singing, and  
365 breathing gymnastics, all appear to improve functional exercise performance (mainly 6-  
366 min walk test; [6MWT]) (Hamasaki 2020; Lu et al. 2020; Yang et al. 2022), quality of life

367 (St. George's Respiratory Questionnaire; (Marotta et al. 2020) and stress and anxiety  
368 (Hamasaki 2020) in COPD. Several studies also show that pursed-lips breathing, with or  
369 without preferential activation of the diaphragm, improves pulmonary function (i.e., forced  
370 vital capacity [FVC] and FEV<sub>1</sub>) (Hamasaki 2020; Lu et al. 2020; see Yang et al. 2022).

371 The literature on breathing interventions for improving dyspnea in COPD is less  
372 consistent. A review of 13 RCTs (998 patients) found that home-based breathing  
373 exercises (diaphragmatic breathing, yoga breathing, breathing gymnastics, and singing)  
374 improved resting FEV<sub>1</sub>, 6MWT distance, and ratings of dyspnea (modified Medical  
375 Research Council dyspnea scale and St George Respiratory Questionnaire) across the  
376 range of disease severity (Lu et al. 2020). By contrast, a Cochrane review of 16 studies  
377 (1,233 patients) showed that 15 weeks of breathing retraining (pursed-lips breathing,  
378 diaphragmatic breathing, ventilation feedback training, or yoga breathing, both  
379 supervised and unsupervised) improved 6MWT distance in COPD but had no consistent  
380 effects on dyspnea at rest or health-related quality of life (Holland et al. 2012). Another  
381 systematic review and meta-analysis of 19 studies (745 patients) reported that respiratory  
382 frequency was significantly reduced at rest and during exercise following a period of  
383 dedicated pursed-lips breathing, ventilatory feedback and exercise, diaphragmatic  
384 breathing, or combined techniques that lasted between one day and 24 weeks—  
385 nevertheless, breathing interventions did not improve ratings of dyspnea relative to  
386 controls (Ubolnuar et al. 2019). The reason for the discrepancy in dyspnea-related  
387 findings is unclear; however, while Holland *et al.* (2012) and Ubolnuar *et al.* (2019)  
388 included studies performed in various environments (including the laboratory and during  
389 pulmonary rehabilitation programs in outpatient settings), the review by (Lu et al. 2020)  
390 focused exclusively on home-based breathing interventions.

391 *2.3.3 Pursed-lips breathing (PLB).* This particular technique has received a great  
392 deal of attention as a standalone therapy owing to its effects on dyspnea and exercise  
393 tolerance in patients with COPD. The main benefits include reduced respiratory  
394 frequency, increased (improved) inspiratory and total respiratory time, and increased tidal  
395 volume (Ubolnuar et al. 2019). A bout of PLB has also been shown to reduce resting CO<sub>2</sub>  
396 retention and increase arterial oxygen tension and oxyhemoglobin saturation in advanced  
397 but stable COPD (Thoman et al. 1966; Breslin 1992; Marciniuk et al. 2011). The primary

398 mechanism by which PLB exerts its effects is by increasing intraluminal airway pressure  
399 during exhalation which tends to prevent the airway compression that would otherwise  
400 occur as intrapleural pressure increases. In turn, PLB is likely to ameliorate air trapping.  
401 Since PLB reduces end-expiratory lung volume and lengthens the diaphragm (thereby  
402 improving its tension-generating capacity during inspiration) (Spahija et al. 2005),  
403 increased arterial oxygen saturation is likely the result of a more complete, mechanically-  
404 efficient respiratory cycle. Pursed-lips breathing has also been used by COPD patients  
405 during exercise, with generally favorable outcomes on 6MWT (Bhatt et al. 2013), perhaps  
406 mediated by reduced dynamic lung hyperinflation (Cabral et al. 2015), increased arterial  
407 oxygen saturation (Cabral et al. 2015), and possible protection against diaphragmatic  
408 fatigue (Breslin 1992). Notwithstanding, improvements in exercise capacity with PLB are  
409 not a universal finding (Garrod et al. 2005).

410 Several studies in patients with COPD have tried to distinguish the benefits of PLB  
411 from other respiratory interventions or relaxation techniques. Pivotal research by Tiep *et*  
412 *al.* (1986) showed that an acute (15-min) bout of PLB evoked greater increases in SpO<sub>2</sub>  
413 at rest compared with general relaxation techniques. Others have found that 12 weeks of  
414 daily PLB practice was more effective at reducing exertional dyspnea, and increasing  
415 6MWT performance, than expiratory muscle training or a control group that received an  
416 educational pamphlet but no intervention (Nield et al. 2007). In another study, a 12-week  
417 PLB intervention increased FEV<sub>1</sub> and maximal inspiratory pressures by a greater  
418 magnitude than diaphragmatic breathing or a no-intervention control (Jansang et al.  
419 2016). One study assessed the effects of an acute bout of diaphragmatic breathing with  
420 or without PLB on COPD patients during upright, seated rest (Mendes et al. 2019).  
421 Although both interventions increased ribcage and abdominal volumes (measured via  
422 respiratory inductive plethysmography), increased arterial oxygen saturation, and  
423 decreased respiratory frequency, there were greater reductions in respiratory frequency  
424 and longer expiratory times with combined diaphragmatic and pursed-lips breathing.  
425 Conversely, neither intervention reduced dyspnea (Medical research Council scale) or  
426 end-expiratory chest volume. Thus, the combination of breath control (with preferential  
427 activation of the diaphragm) and prolonged expiration through pursed lips may provide  
428 several benefits that are distinct from other breathing techniques. Indeed, as an effective

429 means of managing dyspnea in COPD, PLB has been advocated by the Canadian  
430 Thoracic Society in their clinical practice guidelines (Marciniuk et al. 2011) and by the  
431 American Thoracic Society in their patient education materials (Lareau et al. 2020).

432       2.3.4 *The Buteyko Breathing Technique.* This (predominantly) commercial  
433 breathing regimen was conceived in the 1950s by Dr Konstantin Pavlovic Buteyko. In its  
434 modern form, Buteyko is an amalgam of several breathing techniques which emphasize  
435 nasal breathing and periods of breath-hold (referred to as “control pause”). In general, the  
436 research on Buteyko is favorable, particularly with respect to asthma management,  
437 showing improved quality of life scores (Burgess et al. 2011; Santino et al. 2020).  
438 Nevertheless, Buteyko breathing does not appear to be superior to other chronic  
439 breathing interventions like yoga, deep/slow breathing, pursed-lips breathing, or  
440 diaphragmatic breathing. In addition, several issues cloud the interpretation of the  
441 Buteyko literature, potentially undermining its validity.

442       First, Buteyko breathing is usually administered as a comprehensive package of  
443 care that comprises breathing retraining, education, and nutritional advice, making it  
444 difficult to discern the isolated benefits of the respiratory intervention (Bruton and Lewith  
445 2005). Second, proponents of Buteyko breathing often extend the claims beyond those  
446 supported by the scientific literature. For instance, a major premise of the technique is  
447 that breath-hold time predicts alveolar CO<sub>2</sub> according to a patented mathematical  
448 formula—a claim that has been empirically disproven (Courtney and Cohen 2008). The  
449 Buteyko technique also advocates mouth taping as a means of obligating nasal breathing  
450 during sleep. However, a randomized, crossover study in patients with symptomatic  
451 asthma showed that mouth taping had no effect on asthma control (Cooper et al. 2009).  
452 Some proponents even suggest, without evidence, that Buteyko breathing can treat  
453 diseases and symptoms (including diabetes, attention-deficit hyperactive disorder, and  
454 dental health), claims which undermine the scientific legitimacy of the intervention. It is  
455 also worth noting that most clinical studies on Buteyko have assessed outcomes in  
456 response to physiotherapy programs that tend to focus on the more conventional,  
457 evidence-based aspects of the technique (e.g., deep breathing through the nose).

458       Another approach of some Buteyko proponents is to associate the technique with  
459 unrelated, or tenuously related, research. For example, studies have identified a high



460 prevalence of allergic rhinitis in children with ADHD (Brawley et al. 2004). By promoting  
461 nasal breathing, Buteyko breathing advocates thus imply that the technique can reduce  
462 the risk of developing ADHD. Lastly, several commercial incarnations of Buteyko promote  
463 long breath holds (>25 s) which may be unsuitable for certain groups (e.g., COPD  
464 patients). Accordingly, while the more conventional aspects of Buteyko breathing (nasal  
465 inspiration, deep/slow breathing, and breath training) may have benefits for respiratory  
466 function, health and exercise professionals should be wary of those claims that are  
467 lacking plausibility, currently unproven, and potentially dangerous.

468 *2.3.5 Additional considerations and conclusions on respiratory interventions.* The  
469 literature on breathing techniques for patients with respiratory disease is generally  
470 positive. Still, there are subtle nuances in the data that should be highlighted prior to  
471 interpretation. For example, research suggests that respiratory physiotherapy using  
472 breathing training has the potential to improve inspiratory and expiratory muscle strength  
473 following *upper* abdominal surgery (Grams et al. 2012), yet this has questionable utility  
474 following *general* abdominal surgery (Pasquina et al. 2006). Physicians and other  
475 healthcare professionals must also be conscious of instances where breathing training  
476 may be less favorable or even harmful to their patients. Respiratory physiotherapy seems  
477 to have limited benefit on lung volume or mortality risk following lung resection (Larsen et  
478 al. 2020), and PLB specifically has been shown to increase metabolic demands in  
479 patients with interstitial lung disease (Parisien-La Salle et al. 2019). This brings into  
480 question the efficacy of PLB for restrictive disorders. Certain breathing techniques may  
481 also worsen respiratory symptoms; e.g., several studies show that diaphragmatic  
482 breathing may exacerbate dyspnea in patients with severe COPD (Hamasaki 2020),  
483 perhaps due to negative effects on the work of breathing and its mechanical efficiency  
484 (Gosselink et al. 2012). Anecdotally, the technical demands of preferentially activating the  
485 diaphragm during inspiration may render such interventions unsuitable for respiratory  
486 patients.

487 *2.3.6 Evidence Summary and Recommendations.* Primary outcomes from the  
488 literature on breathing interventions are summarized in **Figure 3**. Breathing interventions  
489 such as deep breathing and pursed-lips breathing may elicit favorable changes in tidal  
490 volume, respiratory frequency, respiratory time, and arterial oxygen saturation in patients

491 with COPD, particularly those with severe or very severe disease. Long-term breathing  
492 retraining strategies may improve lung function (mainly lung volumes and capacities),  
493 exercise performance, respiratory symptoms, and quality of life in respiratory patients.  
494 Pursed-lips breathing, in particular, is an important standalone therapy that should be  
495 considered as an adjunct to exercise training and pharmaceutical interventions in  
496 pulmonary rehabilitation programs. Breathing interventions should emphasize a deep and  
497 slow nasal inspiration followed by a slow and prolonged expiration through pursed lips.  
498 Because of possible negative outcomes in patients, breathing interventions should be  
499 delivered by experienced therapists with a comprehensive understanding of the benefits  
500 and risks of each technique. Patients must also be managed on a case-by-case basis.  
501 The benefits of deep/slow breathing in healthy subjects (with normal pulmonary function)  
502 are likely limited to changes in parasympathetic activity (and thus heart rate variability)  
503 which may support emotional wellbeing rather than other aspects of cardiopulmonary  
504 function.

505

## 506 **2.4 Respiratory muscle training**

507 *2.4.1 Premise and plausibility.* The healthy respiratory system has typically been  
508 considered “overbuilt” for the ventilatory demands placed upon it during strenuous  
509 exercise. More recently, however, studies have revealed several respiratory constraints  
510 that may impede exercise performance in healthy subjects, particularly those who are  
511 endurance-trained, and in certain patients with cardiorespiratory disease (Dempsey et al.  
512 2020). When breathing frequency increases during exercise, there is a consequent  
513 increase in the resistive loads placed upon the inspiratory and expiratory muscles. In  
514 patients with COPD, the resistive loads are exacerbated due to narrowing of peripheral  
515 airways. In addition, at lung volumes above relaxation volume, where lung and chest wall  
516 compliance are reduced, the inspiratory muscles must overcome increased elastic recoil  
517 forces. This increase in elastic loading occurs when tidal volume increases with exercise,  
518 and especially in the presence of dynamic lung hyperinflation (i.e., increase in end-  
519 expiratory lung volume) consequent to the dynamic compression of airways during forced  
520 expiration. Airway narrowing and loss of elastic recoil in COPD give rise to static lung  
521 hyperinflation, which further increases the elastic loading on the inspiratory muscles. In

522 severe COPD, incomplete expiration and inward recoil of the lungs and chest wall result  
523 in progressive air trapping and increased alveolar pressure at the end of expiration (i.e.,  
524 intrinsic positive end-expiratory pressure, PEEPi). To initiate inspiratory airflow, the  
525 inspiratory muscles must generate a negative pressure equal in magnitude to PEEPi,  
526 subsequently imposing a threshold load on the inspiratory muscles. When the lung is  
527 acutely inflated, the pressure-generating capacity of the diaphragm is impaired because  
528 the muscle is shortened. At high lung volumes, the pressure-generating capacity of the  
529 diaphragm may be further reduced by an increased radius of muscle curvature. Lung  
530 inflation also impairs the pressure-generating capacity of the inspiratory intercostal  
531 muscles (external intercostals and parasternal intercostals); in contrast to the diaphragm,  
532 however, this impairment has been ascribed to changes in the orientation and motion of  
533 the ribs (De Troyer and Wilson 2009). In COPD, reductions in the pressure-generating  
534 capacity of respiratory muscles may also result from disease-induced changes in  
535 respiratory muscle morphology.

536         Increased loading of the respiratory muscles and/or decreased capacity of the  
537 respiratory muscles for pressure generation have direct functional consequences. For  
538 instance, an increase in the respiratory muscle load/capacity ratio contributes significantly  
539 to the subjective experience of breathing discomfort (i.e., dyspnea) (McConnell and  
540 Romer 2004a) and predisposes the respiratory muscles to fatigue. Regarding the latter,  
541 decreases in the contractile function of inspiratory and expiratory muscles have been  
542 noted following intense, whole-body exercise in healthy young adults (Johnson et al.  
543 1993; Taylor et al. 2006; Tiller et al. 2017) and in select patients with COPD (Hopkinson  
544 et al. 2010; Bachasson et al. 2013). This exercise-induced decrease in respiratory muscle  
545 capacity further increases the subjective experience of dyspnea. Moreover, the  
546 metabolite accumulation associated with fatiguing respiratory muscle work can elicit a  
547 sympathetically-mediated vasoconstrictor response in locomotor muscles. This so-called  
548 'respiratory muscle metaboreflex' may decrease locomotor muscle blood flow in favor of  
549 an increase in blood flow to the respiratory muscles, thereby increasing the fatigability of  
550 limb locomotor muscles and reducing central motor output via feedback effects (Sheel et  
551 al. 2018). In COPD, excessive loading of the respiratory muscles and activation of the

552 respiratory muscle metaboreflex may partly contribute to the early development of limb  
553 muscle fatigue (Amann et al. 2010).

554 Respiratory muscle training (RMT) was developed on the premise that enhancing  
555 the pressure-generating capacity of respiratory muscles would increase fatigue  
556 resistance and/or mechanical efficiency of the respiratory muscles during exercise. Such  
557 changes would be expected to prevent or delay the respiratory muscle metaboreflex,  
558 thereby improving O<sub>2</sub> delivery to working limbs and reducing the intensity of perceived  
559 limb discomfort (see **Figure 4**). An increase in the pressure-generating capacity of the  
560 respiratory muscles with targeted training would also be expected to reduce the intensity  
561 of perceived dyspnea. In health, RMT has been shown to improve the static and dynamic  
562 function of respiratory muscles (Romer and McConnell 2003), attenuate fatigability of  
563 respiratory (Verges et al. 2007, 2009) and locomotor muscles (McConnell and Lomax  
564 2006), blunt the respiratory muscle metaboreflex (Witt et al. 2007), and attenuate  
565 perceptions of respiratory and limb discomfort (McConnell and Romer 2004a). Research  
566 pertaining to the influence of RMT on whole-body exercise performance in athletes and  
567 patients is somewhat contradictory (see *Literature*).

568 The three most common approaches to RMT involve flow-resistive loading (high  
569 pressure, low flow), pressure-threshold loading (high pressure, moderate flow), and  
570 isocapnic voluntary hyperpnea (low pressure, high flow). Devices that impose a resistive  
571 or threshold load elicit improvements predominately in respiratory muscle strength,  
572 whereas isocapnic voluntary hyperpnea elicits improvements predominantly in respiratory  
573 muscle endurance (see McConnell and Romer 2004b for review). More recently, a  
574 tapered flow-resistive loading device has been developed to produce a variable load that  
575 matches the pressure-volume relationship of inspiratory muscles (Langer et al. 2013). A  
576 recent development in the RMT literature pertains to external loading of the respiratory  
577 muscles *during* exercise (in-task). So-called “functional” RMT typically involves flow-  
578 resistive loading via facemask (Porcari et al. 2016)<sup>1</sup> or nasal restriction (Arnedillo et al.  
579 2020; Gonzalez-Montesinos et al. 2021). Although functional RMT is an attractive  
580 proposition due to its specificity of application, the additional loads imposed on the  
581 respiratory muscles, and hence the potential training stimulus, are difficult to quantify.

582 From a practical standpoint, functional RMT may limit the physiological stimulus that can  
583 be obtained by applying RMT and exercise independently (Faghy et al. 2021).

584 *2.4.2 Literature.* In 1976, Leith and Bradley showed that the respiratory muscles of  
585 healthy individuals could be trained to increase strength or endurance. Later research  
586 sought to evaluate the efficacy of RMT with respect to whole-body exercise performance  
587 in healthy individuals and in patients with respiratory disease. Unfortunately, many of the  
588 early studies were hampered by methodological shortcomings, including small sample  
589 sizes, absence of sham-control groups, unbalanced baseline characteristics, inadequate  
590 training intensities, and inappropriate outcome measures (McConnell and Romer 2004b).  
591 As such, the ergogenic effect of RMT has been the subject of much debate (e.g.,  
592 McConnell 2012; Patel et al. 2012). A systematic review and meta-analysis of 46 studies  
593 on the effects of RMT in healthy individuals revealed an improvement in endurance  
594 performance as assessed using fixed-intensity tests, simulated time-trials, and  
595 intermittent incremental tests (Illi et al. 2012). The analysis also showed that  
596 resistive/threshold and hyperpnea training did not differ in their effects, that combined  
597 inspiratory/expiratory strength training tended to be superior to either intervention alone,  
598 and that the greatest improvements with RMT occurred in less-fit subjects and in sports  
599 of longer duration (Illi et al. 2012). Another systematic review and meta-analysis, this time  
600 on responses in athletes, showed a positive effect of RMT on respiratory muscle function  
601 and sport performance outcomes (HajBhanbari et al. 2013). Although the report also  
602 noted comparable benefits of RMT for “elite” and “recreational athletes”, the authors  
603 classified trained status by whether the subject’s  $\dot{V}O_2\text{max}$  was above or below the  
604 minimum, pre-determined requirements for being considered an “athlete”, but without  
605 specifically defining “elite”. Thus, the question as to whether training status mediates the  
606 efficacy of RMT remains unresolved. More recent studies have shown improvements in  
607 repeated-sprint performance (e.g., shorter recovery between sprints or increased number  
608 of repetitions) as well as reduced effort perceptions and markers of metabolic stress after  
609 resistive RMT (Lorca-Santiago et al. 2020). Collectively, the data show an ergogenic  
610 effect of RMT on endurance and repeated-sprint performance in healthy individuals.

611 The efficacy of RMT in patients with COPD has been studied extensively. While  
612 whole-body exercise training is a crucial component of pulmonary rehabilitation in this

613 population (Casaburi 2008), exercise training does not appear to increase the pressure-  
614 generating capacity of the respiratory muscles. Consequently, there has been a great  
615 deal of interest in the potential for RMT to increase the capacity of the respiratory muscles  
616 and alleviate symptoms. Learned societies, including the American College of Chest  
617 Physicians/American Association of Cardiovascular and Pulmonary Rehabilitation (Ries  
618 et al. 2007) and the European Respiratory Society/American Thoracic Society (Spruit et  
619 al. 2013), have recommended RMT for patients who, despite optimal medical therapy,  
620 exhibit dyspnea and reduced respiratory muscle strength. Several systematic reviews and  
621 meta-analyses have shown that RMT, when applied as a standalone intervention with  
622 controlled training loads in patients with COPD, improves respiratory muscle strength and  
623 endurance, exercise capacity, dyspnea, and health-related quality of life (e.g., Gosselink  
624 et al. 2011). Adding RMT to a whole-body exercise training program in COPD was shown  
625 to have no additive effects on exercise performance or quality of life, suggesting that RMT  
626 may only be effective as a standalone treatment in the absence of other interventions  
627 (e.g., Gosselink et al. 2011). This notion is corroborated by a recent systematic review  
628 and meta-analysis which showed that inspiratory pressure-threshold training in patients  
629 with COPD increased inspiratory muscle strength, functional exercise performance, and  
630 dyspnea during activities of daily living, but with no additional effect on the intensity of  
631 exertional dyspnea when used as an adjunct to pulmonary rehabilitation (Beaumont et al.  
632 2018a). Notwithstanding the limitations of meta-analyses (e.g., poor quality of included  
633 studies, heterogeneity, publication bias), recent large-scale RCTs on the effects of RMT  
634 in patients with COPD have confirmed that improvements in inspiratory muscle function  
635 after adjunctive RMT do not translate to additional improvements in functional exercise  
636 capacity, dyspnea, or quality of life (Beaumont et al. 2018b; Schultz et al. 2018;  
637 Charususin et al. 2018a). In patients with inspiratory muscle weakness, however,  
638 adjunctive RMT during a whole-body exercise training intervention elicited a significant  
639 increase in endurance cycling time and a significant reduction in dyspnea intensity at iso-  
640 time during the cycling test compared to sham-training (Charususin et al. 2018b).

641 *2.4.3 Additional applications and population subgroups.* While most studies have  
642 investigated the influence of RMT on exercise outcomes in healthy individuals (athletes  
643 and non-athletes) and patients with COPD, RMT may also have an application in other

644 settings where the loads imposed on the respiratory muscles are elevated or the capacity  
645 to generate force is reduced. For instance, RMT has been considered in the context of  
646 environmental and occupational settings (e.g., altitude and load carriage) (Faghy and  
647 Brown 2015; Chambault et al. 2021), and studied in the context of natural aging (Seixas  
648 et al. 2020; Manifold et al. 2021). In older adults, exertional dyspnea is consistently  
649 elevated at any given intensity of submaximal exercise owing to an increased ventilatory  
650 demand (Jensen et al. 2009). Conceivably, RMT might improve exertional dyspnea in  
651 older adults through a reduction in the load/capacity ratio of respiratory muscles. In  
652 addition to the increase in ventilatory demand, aging is accompanied by a decline in  
653 respiratory muscle function which, through a reduction in postural control, has been  
654 shown to correlate with impairments in balance performance (Rodrigues et al. 2020). In  
655 turn, RMT has been shown to improve balance performance through an increase in the  
656 neuromuscular activity of postural muscles (Ferraro et al. 2019, 2020, 2022; Tounsi et al.  
657 2021).

658         Recent evidence indicates that females have smaller airways than males, and  
659 subsequently exhibit greater flow-resistive work of breathing (Peters et al. 2021). There  
660 also appears to be a combined influence of age and biological sex on respiratory  
661 mechanics which contributes in part to the increased perception of exertional dyspnea  
662 noted in older women (Molgat-Seon et al. 2018). Thus, RMT could be an effective  
663 intervention to enhance the overall exercise response in young and older women. Other  
664 groups with imbalances in the load/capacity ratio of respiratory muscles, and which might  
665 therefore benefit from RMT, include: exercise-induced laryngeal obstruction (Sandnes et  
666 al. 2022), obstructive sleep apnea (Torres-Castro et al. 2022), cystic fibrosis (Stanford et  
667 al. 2020), interstitial lung disease (Zaki et al. 2022), stroke (Fabero-Garrido et al. 2022),  
668 hypertension (Craighead et al. 2022), chronic heart failure (Azambuja et al. 2020),  
669 pulmonary hypertension (Tran et al. 2021), neurological disorders (He et al. 2021), spinal  
670 cord injury (Woods et al. 2022), pre-operative surgery (Dsouza et al. 2021), weaning from  
671 mechanical ventilation (Worrapphan et al. 2020), ventilator-induced diaphragm dysfunction  
672 in the recovery phase (Ahmed et al. 2019), and COVID-19 (e.g., risk reduction, ICU,  
673 recovery, and long-COVID) (McNarry et al. 2022). Evidence of the efficacy of RMT in  
674 these groups requires further prospective study.

675            *2.4.4 Evidence summary and recommendations.* Primary outcomes from the  
676 literature on RMT are summarized in **Figure 4**. If applied with the appropriate frequency,  
677 intensity, and duration, RMT can improve specific aspects of respiratory muscle function  
678 (e.g., strength and endurance). There is convincing evidence of an ergogenic effect of  
679 RMT in healthy individuals (athletes and non-athletes). As a standalone therapy, RMT  
680 confers multiple benefits for select patients with COPD. However, the effect of adding  
681 RMT to a general exercise program in COPD (including during pulmonary rehabilitation)  
682 appears limited. It is conceivable that RMT may be useful for patients with respiratory  
683 muscle weakness or those with pre-existing comorbidities who are unable to participate  
684 in whole-body exercise training. Further RCTs are needed to ascertain which patients  
685 and groups are likely to benefit from RMT.

686

## 687 **2.5 Canned oxygen**

688            *2.5.1 Premise and plausibility.* Commercial canned oxygen (intended for non-  
689 medical use) is a can of hyperoxic gas (~95% O<sub>2</sub>) equipped with a mask or inhaler cap.  
690 The suggested protocol for use differs among manufacturers but typically involves several  
691 inhalations, repeated 8-10 times, periodically throughout the day or as needed. Some  
692 vendors recommend their product for use immediately before physical activity and/or  
693 sporting competition. The ergogenic claims include improved reaction time, “improved  
694 breathing” during exposure to heat and pollution, and improved sports performance by  
695 delaying onset of fatigue and improving O<sub>2</sub> availability for oxidative metabolism. Some  
696 brands combine eucalyptus and other oils with the gas mixture which they claim can “relax  
697 the nervous system, relax the muscles, and relieve stress”. Despite the extensive claims  
698 and widespread and costly prescription of so-called “short burst oxygen therapy” for  
699 respiratory patients (e.g., COPD), there is no clear mechanism for the purported  
700 physiological benefit. Moreover, in healthy individuals, hemoglobin remains nearly  
701 completely saturated with O<sub>2</sub> at rest, and exercise-induced arterial O<sub>2</sub> desaturation (i.e.,  
702 hypoxemia) rarely occurs in healthy (untrained) individuals at sea-level. Consequently,  
703 there is little plausibility that acute exposure to concentrated O<sub>2</sub> (i.e., several breaths) will  
704 influence respiratory outcomes or exercise performance.



705            *2.5.3 Literature.* The focus of this section is on commercially available canned  
706 oxygen and “short burst oxygen” rather than physician-prescribed supplemental oxygen  
707 therapy. A systematic review on the efficacy of short-burst oxygen to improve  
708 breathlessness, exercise capacity, arterial oxygen saturation, and ventilatory variables in  
709 patients with COPD, concluded that its widespread prescription was not evidence-based  
710 (O’Neill et al. 2006). Due to a lack of peer-reviewed studies on commercial canned oxygen  
711 in particular, most vendors cite clinical literature that is tenuously related (e.g., studies on  
712 hyperbaric oxygen therapy or prolonged inhalation of medically certified gas mixtures).  
713 Thus, the references provided by manufacturers do not support the claims. One  
714 manufacturer published an online press release that mimicked the appearance of a  
715 scientific journal article (Elizondo et al. 2019), presumably in an effort to feign scientific  
716 legitimacy. On the rare occasion that relevant journal articles were obtained through  
717 commercial websites, they were of very low quality and exhibited a high risk of bias. It is  
718 worth noting that although gaseous supplemental oxygen (delivered by inhalation) is not  
719 prohibited by the World Anti-Doping Agency (WADA 2022), some sports authorities  
720 prohibit its use. Athletes should therefore be cognizant of the rules and regulations  
721 regarding O<sub>2</sub> therapy that govern their sport.

722            *2.5.4 Evidence Summary and recommendations.* The proposed benefit of acute  
723 inhalation of canned oxygen has low plausibility and there is no valid evidence of  
724 beneficial effects.

725

## 726 **2.6 Nutritional interventions**

727            *2.6.1 Premise & plausibility.* Nutrition is a modifiable factor that influences the  
728 development and progression of many non-communicable diseases (Cena and Calder  
729 2020; Dominguez et al. 2021). Some nutrients have immunomodulatory, anti-  
730 inflammatory, and/or antioxidant effects (Kau et al. 2011; Venter et al. 2020; Gozzi-Silva  
731 et al. 2021). Such nutrients may therefore influence respiratory health and disease  
732 risk/progression in conditions underpinned by airway and/or systemic inflammation  
733 (Berthon and Wood 2015; Hosseini et al. 2017; Parvizian et al. 2020; Heloneida de Araújo  
734 Morais et al. 2021). In addition, supplementation with certain nutrients may provide  
735 prophylactic and/or therapeutic benefits for certain respiratory patients.

736 In terms of therapeutic benefits on respiratory health, the bulk of literature focuses  
737 on vitamin D, various antioxidants (most commonly vitamin C), omega-3 polyunsaturated  
738 fatty acids (n-3 PUFAs), probiotics, and prebiotics. The wealth of literature precludes any  
739 detailed discussion of the complex and diverse mechanisms underpinning each nutrient  
740 and their independent effects on respiratory health. Instead, the following summary  
741 focuses on empirical data regarding the purported antioxidant and immunomodulatory  
742 effects and whether they translate to clinically meaningful outcomes.

743 *2.6.2. Literature on Vitamin D<sub>3</sub>.* Circulating concentrations of 25(OH)D—a form of  
744 vitamin D produced in the liver from hydroxylation of vitamin D<sub>3</sub>—were found to be  
745 inversely associated with the incidence of upper- and/or lower-respiratory tract infection  
746 (RTI) (Pham et al. 2019). The incidence of RTI in the general population peaks in the  
747 winter (Ginde et al. 2009) when vitamin D deficiency is most common owing to low skin  
748 exposure to sunlight ultraviolet B radiation (Farrokhyar et al. 2014; Cashman et al. 2016).  
749 Indeed, insufficient circulating concentrations of vitamin D (baseline serum 25(OH)D <50  
750 nmol·L<sup>-1</sup>) have been observed in military personnel (Harrison et al. 2021), athletes  
751 (Farrokhyar et al. 2014), and healthy controls (Cashman et al. 2016).

752 Vitamin D sufficiency can be achieved via oral vitamin D<sub>3</sub> supplementation  
753 (Carswell et al. 2018; Harrison et al. 2021) and safe exposure to sunlight or simulated  
754 sunlight. However, evidence of prophylactic and/or therapeutic effects of vitamin D<sub>3</sub>  
755 supplementation is confounded by heterogeneity across trials (Jolliffe et al. 2021), with  
756 effect-modifiers including dosing regimen and duration, participant age, baseline  
757 25(OH)D, and geographic location (Martineau et al. 2017; Vlieg-Boerstra et al. 2021;  
758 Jolliffe et al. 2021; Cho et al. 2022). A recent meta-analysis of 43 RCTs ( $n = 48,488$   
759 mixed-health cohort of children and adults) revealed a modest but overall decreased risk  
760 of acute respiratory infection with daily vitamin D<sub>3</sub> supplementation of 400-1000 IU·d<sup>-1</sup>  
761 (Jolliffe et al. 2021). A recent RCT reported no change in the incidence of upper-  
762 respiratory tract infection following 12 weeks of vitamin D<sub>3</sub> supplementation (1,000 IU·d<sup>-1</sup>  
763 for the first four weeks and 400 IU·d<sup>-1</sup> for eight weeks), but found decreased peak severity  
764 and duration of illness (Harrison et al. 2021). Accordingly, irrespective of whether vitamin  
765 D<sub>3</sub> supplementation influences the incidence of upper-RTI, it may still attenuate the  
766 severity and/or duration of illness.

767           There is a high prevalence of vitamin D deficiency in patients with asthma (Bener  
768 et al. 2014) and COPD (Janssens et al. 2011). In fact, in these patients, higher vitamin D  
769 concentrations are associated with lower risk, severity, and exacerbation of the primary  
770 disease (Gupta et al. 2011; Zhu et al. 2016; Liu et al. 2019). Vitamin D supplementation  
771 in these groups has thus been studied for its prophylactic and therapeutic effects. There  
772 is insufficient evidence that the prophylactic use of vitamin D<sub>3</sub> can prevent asthma in  
773 children (Yepes-Nuñez et al. 2018; Luo et al. 2022). In addition, the association between  
774 vitamin D status and adult-onset asthma is unclear (Mai et al. 2012; Cheng et al. 2014;  
775 Confino-Cohen et al. 2014; Cherrie et al. 2017; Manousaki et al. 2017). The therapeutic  
776 effects of vitamin D<sub>3</sub> supplementation in children and adults with pre-existing asthma are  
777 also equivocal (Jolliffe et al. 2021; Chen et al. 2021). For instance, a recent systematic  
778 review (Nitzan et al. 2022) and an independent meta-analysis (Kumar et al. 2021) both  
779 concluded that vitamin D<sub>3</sub> supplementation did not affect lung function, asthma control,  
780 or exacerbation rates in children. Although these studies were not performed in children  
781 with pre-existing vitamin D deficiency, findings generally concur with recent RCTs in  
782 children with 25(OH)D concentration <50 nmol·L<sup>-1</sup> (Jat et al. 2021) and <75 nmol·L<sup>-1</sup>  
783 (Forno et al. 2020; Han et al. 2021). By contrast, in asthmatic adults with low vitamin D<sub>3</sub>  
784 concentration, a meta-analysis of three small trials (*n* = 92) revealed some protection of  
785 vitamin D<sub>3</sub> supplementation against exacerbations (Jolliffe et al. 2017). One RCT also  
786 showed improved asthma control in 25(OH)D-deficient adults who were supplemented  
787 with a weekly dose of 16,000 IU (Andújar-Espinosa et al. 2021).

788           Based on the aforementioned evidence, vitamin D<sub>3</sub> supplementation does not  
789 improve lung function in COPD patients (Lehouck et al. 2012; Sluyter et al. 2017; Chen  
790 et al. 2019; Foumani et al. 2019), although it may confer improvements in FEV<sub>1</sub> in current  
791 or former smokers with 25(OH)D <50 nmol·L<sup>-1</sup> (Sluyter et al. 2017). Data from one meta-  
792 analysis of four RCTs (*n*=560) indicate that vitamin D<sub>3</sub> supplementation reduces  
793 exacerbation rates in vitamin D-deficient patients (Jolliffe et al. 2019). Thus, for COPD  
794 patients who are hospitalized for exacerbation, the Global Initiative for Chronic  
795 Obstructive Lung Disease recommends vitamin D screening and subsequent  
796 supplementation for those found to be deficient (Global Initiative for Chronic Obstructive  
797 Lung Disease, 2022).

798           2.6.3 *Literature on Vitamin C*. In healthy populations, the efficacy of regular vitamin  
799 C (ascorbic acid) supplementation on upper-RTI incidence depends on individual physical  
800 stress levels and associated immune perturbations. Broadly speaking, the data show no  
801 benefit of chronic supplementation. A meta-analysis of 24 trials (>10,000 participants)  
802 showed no effect of moderate- or high-dose vitamin C on the incidence of upper-RTI  
803 (Hemilä and Chalker 2013). Accordingly, chronic vitamin C supplementation is not  
804 justified in normal (vitamin C-replete) populations (Hemilä and Chalker 2013; Gómez et  
805 al. 2018). The short-term, therapeutic effects of vitamin C are less conclusive (Hemilä and  
806 Chalker 2013), but several reviews report that supplementation shortened the duration of  
807 upper-RTI symptoms by ~8-18% (Hemilä and Chalker 2013; Abioye et al. 2021). It may  
808 therefore be practical to initiate short-term vitamin C supplementation within 24 h of  
809 symptom onset.

810           There is also evidence that acute supplementation may benefit individuals  
811 undergoing periods of extreme physical stress. An analysis of five trials comprising  
812 marathon runners, skiers, and soldiers, found that vitamin C supplementation reduced  
813 symptoms of the common cold by ~50% following hard exercise (Hemilä and Chalker  
814 2013). Further to moderating viral-mediated respiratory symptoms, the prophylactic  
815 effects of vitamin C supplementation in some athletes may result from an attenuation of  
816 EIB and associated symptoms (Tecklenburg et al. 2007; Hemilä 2013). Thus, when the  
817 risk of infection in athletes is elevated due to a high training/competitive load (Ruuskanen  
818 et al. 2022) or extensive travel (Walsh 2019), vitamin C supplementation (0.25-1.0 g·d<sup>-1</sup>)  
819 may reduce the severity and/or duration of upper-RTIs (Walsh 2019; Cerullo et al. 2020).  
820 An important caveat is that chronic, high-dose (~1 g·d<sup>-1</sup>) vitamin C supplementation may  
821 blunt certain training-induced skeletal muscle adaptations (Mason et al. 2020), and is  
822 therefore discouraged.

823           Lastly, there is some evidence that vitamin C may help ameliorate asthma  
824 symptoms (Allen et al. 2009; Berthon and Wood 2015). An analysis of three small trials  
825 ( $n=40$ ) in asthmatics found that vitamin C supplementation, in various dosing regimens  
826 (1.5 g·d<sup>-1</sup> for two weeks; 2 g ingested 1 h before exercise; 0.5 g ingested 1.5 h before  
827 exercise), attenuated the post-exercise fall in FEV<sub>1</sub> by 48% (Hemilä 2013).  
828 Notwithstanding, there is insufficient evidence to make decisive recommendations

829 regarding vitamin C supplementation for asthma management, and more RCTs with  
830 larger samples are needed.

#### 831 *2.6.4 Literature on Omega-3 (n-3) poly-unsaturated fatty acids (PUFAs).*

832 The most abundant PUFA in the Western diet is linoleic acid which is converted to  
833 arachidonic acid—a precursor for pro-inflammatory and bronchoconstrictive signaling. By  
834 contrast, omega-3 (n-3) PUFAs, including eicosapentaenoic acid (EPA) and  
835 docosahexaenoic acid (DHA), derived primarily from fatty fish, may have anti-  
836 inflammatory effects. Specifically, EPA inhibits arachidonic acid, blunts pro-inflammatory  
837 signaling, and acts as a precursor for pro-resolving mediators with anti-inflammatory  
838 properties (Brannan et al. 2015). Similarly, DHA has been shown to modify gene  
839 expression and signaling pathways related to inflammatory mediators (Calder 2010).  
840 Dietary supplementation with EPA and DHA has therefore been explored as an adjunct  
841 therapy in certain respiratory conditions (Thien et al. 2002; Yang et al. 2013; Stoodley et  
842 al. 2019).

843 Studies show that supplementation with high dose n-3 PUFAs for several weeks  
844 mitigates EIB (Mickleborough et al. 2003, 2006; Tecklenburg-Lund et al. 2010;  
845 Mickleborough and Lindley 2014; Kumar et al. 2016). Yet, because high dose n-3 PUFA  
846 is expensive and may cause gastrointestinal complaints, it is worth noting that both high  
847 dose (6.2 g/d) and moderate dose (3.1 g/d) n-3 PUFA appear to exert similar effects on  
848 provocation-induced decreases in FEV<sub>1</sub> (Williams et al. 2017).

849 In COPD, studies with n-3 PUFA supplementation show equivocal results. A meta-  
850 analysis of eight RCTs found that supplementation increased body mass, increased low-  
851 density lipoproteins, and reduced IL-6, but did not influence lung function or quality of life  
852 (Yu et al. 2021). These results should be interpreted cautiously because, depending on  
853 disease severity and other comorbidities, weight gain may be beneficial for some COPD  
854 patients and harmful for others. Moreover, some RCTs provide limited data regarding  
855 individual doses of EPA and DHA. For example, an observational cohort study of  
856 >120,000 US women and men initially showed that greater consumption of fish (>4  
857 servings per week) was associated with lower risk of newly diagnosed COPD. But  
858 subsequent analysis showed that COPD risk was unrelated to total n-3 PUFA intake  
859 (Varraso et al. 2015). To date, only one observational cohort study in moderate-to-severe

860 COPD has shown that high dietary n-3 PUFA reduces risk of severe exacerbations,  
861 decreases the number of respiratory symptoms, improves health-related quality of life,  
862 and reduces overall morbidity (Lemoine et al. 2020). The same study showed the  
863 opposite effects of high dietary n-6 PUFA (linoleic acid) (Lemoine et al. 2020). These data  
864 speak to the importance of distinguishing n-3 from n-6 PUFA in supplementation  
865 interventions, and the importance of the dietary n-3/n-6 PUFA ratio in respiratory health.  
866 More well-controlled RCTs on n-3 PUFA supplementation in current and former smokers  
867 with COPD are warranted.

#### 868 *2.6.5 Literature on Probiotics, Prebiotics, and Synbiotics.*

869 The microbial profile and gut microbiome have a substantial influence on health and  
870 disease (Clemente et al. 2012) and systemic immune function (Roberfroid et al. 2010).  
871 Immune function is particularly important for respiratory health, and the “gut-lung axis”  
872 represents a promising therapeutic target for the non-pharmacological management of  
873 respiratory health and diseases (Marsland et al. 2015). Beneficial changes in the gut  
874 microbiota can be achieved through dietary supplementation with probiotics (live  
875 microorganisms that confer a health benefit on the host when administered in adequate  
876 amounts) (Hill et al. 2014), prebiotics (substrates that are selectively utilized by host  
877 microorganisms, conferring a health benefit) (Gibson et al. 2017), and/or synbiotics (a  
878 combination of pro- and prebiotics).

879 In terms of the gut microbiota and its effects on respiratory health in subjects  
880 without respiratory disease, the largest body of evidence relates to upper-RTIs, and the  
881 data are largely favorable. For example, a 2015 Cochrane review of 10 trials found that  
882 probiotics reduced the incidence of upper-RTI relative to placebo (Hao et al. 2015). Other  
883 meta-analyses show similar findings in healthy infants, children, and adults after  
884 supplementation with probiotics (six studies,  $n = 1682$ ) (Rashidi et al. 2021) and synbiotics  
885 (four RCTs,  $n = 883$ ) (Chan et al. 2020). Probiotics may also decrease upper-RTI risk in  
886 active individuals and athletes (Cox et al. 2010; West et al. 2011, 2014; Haywood et al.  
887 2014; Strasser et al. 2016).

888 There is also preliminary data indicating that the gut-lung axis may be a suitable  
889 target for managing asthma and related conditions. Prebiotics, probiotics, and synbiotics  
890 each reduced airway inflammation and disease severity in rodent models of allergic

891 asthma (Sagar et al. 2014; Verheijden et al. 2015, 2016). Furthermore, a small-scale,  
892 double-blind, placebo-controlled RCT showed potential benefits of prebiotics in adults  
893 with EIB (Williams et al. 2016). Specifically, prebiotics reduced serum markers of airway  
894 inflammation at baseline and completely abolished the 29% provocation-induced  
895 increase in TNF- $\alpha$  (a pro-inflammatory cytokine). Lastly, eight weeks supplementation  
896 with probiotics decreased asthma exacerbations in children when compared to placebo  
897 (Drago et al. 2022). Although more RCTs in humans are warranted, the pre-clinical rodent  
898 data and preliminary human *in-vivo* studies show potential benefits of pre- and/or  
899 probiotics as a potential adjunct therapy to support respiratory health.

900 **2.6.6 Evidence Summary and Recommendations.** Primary outcomes from the  
901 literature on nutritional interventions are summarized in **Figure 5**. The effects of chronic  
902 vitamin D<sub>3</sub> supplementation on the prevalence/severity of upper-RTI are inconsistent.  
903 When supplemented prophylactically, there is no evidence of benefits in asthma  
904 management. In asthmatics and COPD patients with pre-existing deficiency, vitamin D<sub>3</sub>  
905 supplementation may confer therapeutic benefits. Long-term, daily supplementation of  
906 vitamin C (ascorbic acid) provides little-to-no benefit in those who are vitamin C-replete  
907 but may reduce the severity and/or duration of the common cold and symptoms of general  
908 RTI when supplemented acutely at symptom onset (0.25-1.0 g·d<sup>-1</sup>), especially in  
909 individuals undergoing periods of extreme physical stress. Nevertheless, there is  
910 insufficient evidence to support vitamin C supplementation for asthma management.  
911 Several weeks of n-3 PUFAs reduce the severity of EIB but similar data in COPD are  
912 equivocal, with only one observational cohort study showing reduced risk of exacerbation  
913 and benefits to respiratory symptoms and overall morbidity. Daily probiotics and/or  
914 prebiotics reduce the incidence of upper-RTI better than placebo in adults, children, active  
915 individuals, and athletes. Prebiotics, probiotics, and synbiotics may also reduce airway  
916 inflammation and disease severity in rodent models of allergic asthma, with preliminary  
917 evidence showing benefits in adults with EIB.

918

## 919 **2.7 Inhaled L-menthol**

920 **2.7.1 Premise and plausibility.** L-menthol is a cyclic alcohol derived from the oils  
921 of various species of *Mentha* (mints) that have been used as medicinal plants for

922 millennia. There is evidence that inhaled or ingested L-menthol triggers a cooling  
923 sensation by stimulating sensory nerve endings in the nasal vestibule and mucosa that  
924 convey nasal sensation (Aldren and Tolley 1993; Eccles 2003). Because L-menthol has  
925 a significant effect on the sensation of nasal airflow, menthol vendors claim that the oil  
926 can decongest the upper airways (e.g., during colds and allergies), enhance nasal flow,  
927 and improve airway patency. Hence, L-menthol is widely available in lozenges, nasal  
928 sprays, vapor rubs, inhalers, cough syrups, mouthwashes, as a scent in aromatherapy  
929 oils, and as a flavoring in cigarettes and e-cigarettes. However, the plausibility of L-  
930 menthol to improve respiratory function is low because it does not possess the amine  
931 group that would be expected of a substance with vasodilator or bronchodilator  
932 properties, nor does it have a chemical structure similar to nasal decongestants (Eccles  
933 et al. 1988; Eccles 1994). Accordingly, any benefit of L-menthol is likely to be indirect—  
934 mediated by cooling sensations that stimulate the nasal trigeminal nerve thereby creating  
935 the cognitive illusion of improved inspiratory flow (Kanezaki et al. 2021).

936       2.7.2 *Literature*. Articles were excluded if L-menthol was not inhaled, dissolved and  
937 nebulized, ingested orally on a lozenge, rinsed/swilled in the mouth, if the effects of L-  
938 menthol could not be distinguished from other substances that were co-administered, or  
939 if the article did not assess respiratory function. It is well-established that healthy adults  
940 (free from respiratory disorders and the common cold) experience increased sensations  
941 of nasal airflow and/or nasal patency after inhaling L-menthol (Eccles et al. 1988; Pereira  
942 et al. 2013). Two randomized, placebo-controlled trials showed that inhaling L-menthol  
943 reduced sensations of respiratory discomfort during flow-resistive and elastic loading at  
944 rest (Nishino et al. 1997) and inspiratory resistive loading during exercise (Kanezaki and  
945 Ebihara 2017). Studies in individuals with the common cold also found that a menthol-  
946 containing lozenge evoked marked improvements in sensations of nasal airflow and  
947 decongestion (Eccles et al. 1990; EccleS et al. 1990). Yet, subjective changes in  
948 respiratory perceptions are not reflected in objective changes in breathing patterns (i.e.,  
949 respiratory frequency, tidal volume, or inspiratory flow), minute ventilation, or spirometric  
950 indices of lung function (Nishino et al. 1997; Kanezaki and Ebihara 2017). Case in point,  
951 Köteles *et al.* (2018) showed that nebulized menthol-containing peppermint, rosemary, or  
952 eucalyptus oil, inhaled over 15 minutes, had no effect on FVC, FEV<sub>1</sub>/FVC, or peak



953 expiratory flow (PEF), despite improving the perceptions of spirometric outcomes.  
954 Similarly, the only study to assess upper-airway resistance using rhinometry confirmed  
955 no effect of menthol on nasal/upper-airway resistance, respiratory frequency, or minute  
956 ventilation in healthy adults at rest (Pereira et al. 2013).

957 In obstructive respiratory disorders, the data tend to follow a similar pattern. During  
958 inspiratory resistive loading in patients with mild-to-severe COPD, L-menthol significantly  
959 improved subjective measures (i.e., physical and mental “breathing effort”, air hunger,  
960 breathing discomfort, and anxiety and fear) relative to a non-L-menthol control, but did  
961 not influence objective measures (i.e., breathing pattern, respiratory duty cycle, and  
962 inspiratory muscle activity) (Kanezaki et al. 2020). Others have observed no difference  
963 between nebulized menthol and placebo on FVC or FEV<sub>1</sub> in mild asthmatics (Tamaoki et  
964 al. 1995). A randomized, double-blind trial in patients with chronic cough found that, in  
965 response to a capsaicin provocation test, inhalation of 1 mL nebulized L-menthol (0.5%  
966 and 1% concentration) improved peak inspiratory flow relative to placebo, whereas only  
967 high-dose L-menthol (1%) attenuated the reduction in forced inspiratory flow at 50% of  
968 vital capacity (FIF<sub>50</sub>) and increased the cough threshold (Millqvist et al. 2013). A single-  
969 blind (non-placebo-controlled) study of L-menthol-containing “aromatics” observed  
970 improved mucous clearance in patients with chronic bronchitis when compared to  
971 petroleum jelly, but no effect on lung function (Hasani et al. 2003). Lastly, although studies  
972 have generally failed to observe any direct effect of L-menthol on physiological variables  
973 during exercise, there is a possible indirect effect of L-menthol on exercise performance  
974 in the heat owing to changes in the sensation of oropharyngeal temperature versus  
975 placebo (Mündel and Jones 2010).

976 *2.7.3 Evidence Summary and Recommendations.* Primary outcomes from the  
977 literature on inhaled L-menthol are summarized in **Figure 6**. By stimulating sensory nerve  
978 endings in the nasal vestibule, inhaled L-menthol can augment sensations of nasal  
979 airflow, improve respiratory perceptions in both healthy subjects and patients, and  
980 potentially relieve dyspnea in COPD. Improved respiratory perceptions may translate to  
981 improved exercise performance in the heat. There is some evidence that high-  
982 concentration inhaled L-menthol may increase the cough threshold in patients with  
983 chronic cough. Nevertheless, L-menthol does not have vasodilator or bronchodilator

984 properties, and there is little-to-no convincing evidence that L-menthol has direct  
985 functional benefits on spirometry-related variables in any population.

986

## 987 **Conclusions**

988         The health and wellness industry is characterized by, and in many cases depends  
989 on, lax consumer regulations regarding the products and services sold therein. As a  
990 result, interventions are often sold on insufficient evidence, baseless claims, and  
991 pseudoscience (Tiller et al. 2022). Not only is there a growing disparity between the  
992 substance of commercial claims and the supporting scientific evidence, thereby violating  
993 Laplace’s principle that “Extraordinary claims require extraordinary evidence”, but the  
994 legitimate (plausible) and illegitimate (implausible) claims for these interventions are often  
995 conflated, obscuring the translation of science to practice. This is a particular problem in  
996 the field on respiratory physiology and medicine.

997         This review is intended as an evidence-based guide to help health and exercise  
998 professionals distinguish science from pseudoscience in commercial respiratory  
999 interventions and make informed decisions that optimize patient/client outcomes. In  
1000 summarizing the recommendations, there are several caveats that should be noted. First,  
1001 the products/strategies selected for inclusion were commercial interventions (i.e., not  
1002 controlled drugs or products regulated by the FDA as “medical devices”). The list was  
1003 delimited to those interventions most prevalent in the health and wellness industry that  
1004 were coupled to the most conspicuous claims, and there may be prominent, mainstream  
1005 interventions that were not included.

1006         A second caveat is that the recommendations herein are based on data from  
1007 controlled laboratory-based studies. The statistical analyses typically used allowed  
1008 researchers to reject, or fail to reject, the null hypotheses, and subsequently discuss the  
1009 existence of effects or lack thereof. Yet, such an approach is dichotomous by design,  
1010 providing little room for nuanced interpretation of differences, potentially overlooking  
1011 practical or clinical implications. For example, some studies in exercise rehabilitation have  
1012 been shown to yield non-significant between-group differences despite moderate-to-large  
1013 effects that would be deemed meaningful in practice (Zemková 2014). Interventions with  
1014 moderate-to-large effects, despite lack of statistical significance, may be especially

1015 important for high-performance athletes for whom the margins of success are extremely  
1016 small. The opposite may also be true (i.e., statistical tests may yield highly significant  
1017 outcomes with trivial effects). To improve external validity in exercise-based studies,  
1018 researchers have been encouraged to perform robust statistical analyses (e.g., by using  
1019 appropriate sample sizes, correcting for familywise error rate, etc.) but report them  
1020 alongside confidence intervals and/or effect sizes as a measure of “practical significance”  
1021 (Knudson 2009). This might aid in the interpretation of both “statistically significant” and  
1022 “practically meaningful” outcomes.

1023         In this comprehensive review of literature and expert consensus, overall it was  
1024 determined that: (1) there is good quality data supporting subjective/perceptual (but not  
1025 objective) benefits of both nasal dilators and L-menthol; (2) there is some evidence that  
1026 nasally-derived nitric oxide may benefit critically ill patients but not healthy subjects; (3)  
1027 there is good evidence that systematized breathing interventions (particularly pursed-lips  
1028 breathing) can improve exercise performance, respiratory symptoms, and quality of life in  
1029 COPD and asthma; (4) there is good evidence that respiratory muscle training can  
1030 improve exercise performance in healthy subjects and respiratory symptoms in some  
1031 patient populations (e.g., COPD), with benefits for patients with COPD who have  
1032 respiratory muscle weakness or pre-existing comorbidities precluding them from whole-  
1033 body exercise training; (5) there is evidence that nutritional interventions including vitamin  
1034 D and vitamin C may benefit respiratory health in individuals with pre-existing nutrient  
1035 deficiency and during times of compromised immune function second to increased  
1036 physical stress, and interesting but inconsistent evidence of benefits of polyunsaturated  
1037 fatty acids and pre/probiotics/synbiotics; and (6) no evidence that canned oxygen is  
1038 beneficial for any clinical outcome.

1039         For the interventions aforementioned, we advocate for greater vigilance in determining  
1040 prior plausibility and evidence for efficacy. We also hope to inspire similar expert reviews  
1041 that scrutinize interventions stemming from other facets of the commercial health and  
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1043

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1053 drafted, edited, and approved the final version.

1054

1055 **Footnote, page 17**

1056 <sup>1</sup>Applied external resistors are intentionally designed to elicit high resistive loads during  
1057 exercise; thus, they impose considerably greater loads than low-resistance face  
1058 coverings (e.g., cloth and surgical masks) that might be used for personal protection  
1059 from airborne pathogens. Indeed, the negative physiological effects of protective face  
1060 masks have been shown to be negligible when used during physical activity in healthy  
1061 individuals (Hopkins et al. 2021).

1062

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1871 **FIGURES**

1872 **Figure. 1.** Primary outcomes from the literature on internal and external nasal dilators. ↑  
1873 = evidence of increase; ↓ = evidence of decrease; ↔ = evidence of no change; IND =  
1874 internal nasal dilator; END = external nasal dilator; OSA = obstructive sleep apnea;  
1875  $\dot{V}O_{2max}$  = maximal oxygen uptake; HR = heart rate; RPE = ratings of perceived exertion.

1876  
1877 **Figure. 2.** Primary outcomes from the literature on nasal breathing. ↑ = evidence of  
1878 increase; ↓ = evidence of decrease; ↔ = evidence of no change; NO = nitric oxide;  $\dot{V}O_2$   
1879 = oxygen uptake;  $\dot{V}_E$  = minute ventilation;  $\dot{V}_E/\dot{V}O_2$  = ventilatory equivalent for oxygen;  
1880  $\dot{V}_E/\dot{V}CO_2$  = ventilatory equivalent for carbon dioxide;  $P_{ET}CO_2$  = end-tidal partial pressure  
1881 of carbon dioxide;  $f_R$  = respiratory frequency;  $V_T$  = tidal volume;  $\dot{V}O_{2max}$  = maximal  
1882 oxygen uptake.

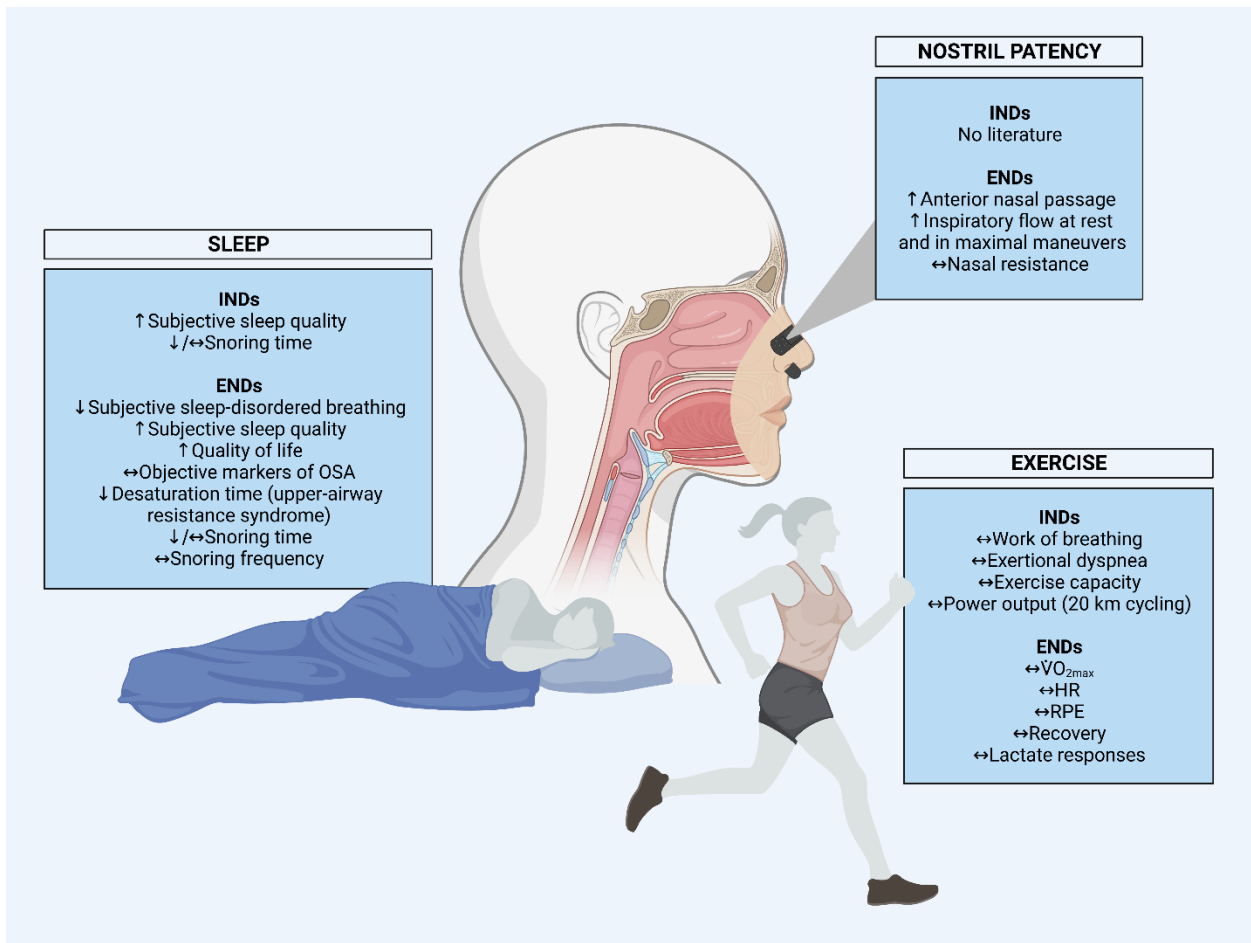
1883  
1884 **Figure. 3.** Primary outcomes from the literature on systematized breathing  
1885 interventions. ↑ = evidence of increase; ↓ = evidence of decrease; ↔ = evidence of no  
1886 change;  $FEV_1$  = forced expiratory volume in 1 second; COPD = chronic obstructive  
1887 pulmonary disease.

1888  
1889 **Figure. 4.** Primary outcomes from the literature on respiratory muscle training  
1890 interventions. One of the putative mechanisms underpinning the effects of respiratory  
1891 muscle training on exercise tolerance and performance is a possible 'blunting' of the  
1892 respiratory muscle metaboreflex. ↑ = evidence of increase; ↓ = evidence of decrease; ↔  
1893 = evidence of no change. COPD = chronic obstructive pulmonary disease.

1894  
1895 **Figure. 5.** Primary outcomes from the literature on nutritional interventions. ↑ =  
1896 evidence of increase; ↓ = evidence of decrease; ↔ = evidence of no change. RTI =  
1897 respiratory tract infection; EIB = exercise-induced bronchoconstriction.

1898  
1899 **Figure. 6.** Primary outcomes from the literature on inhaled L-menthol. ↑ = evidence of  
1900 increase; ↓ = evidence of decrease; ↔ = evidence of no change.  $FEV_1$  = forced

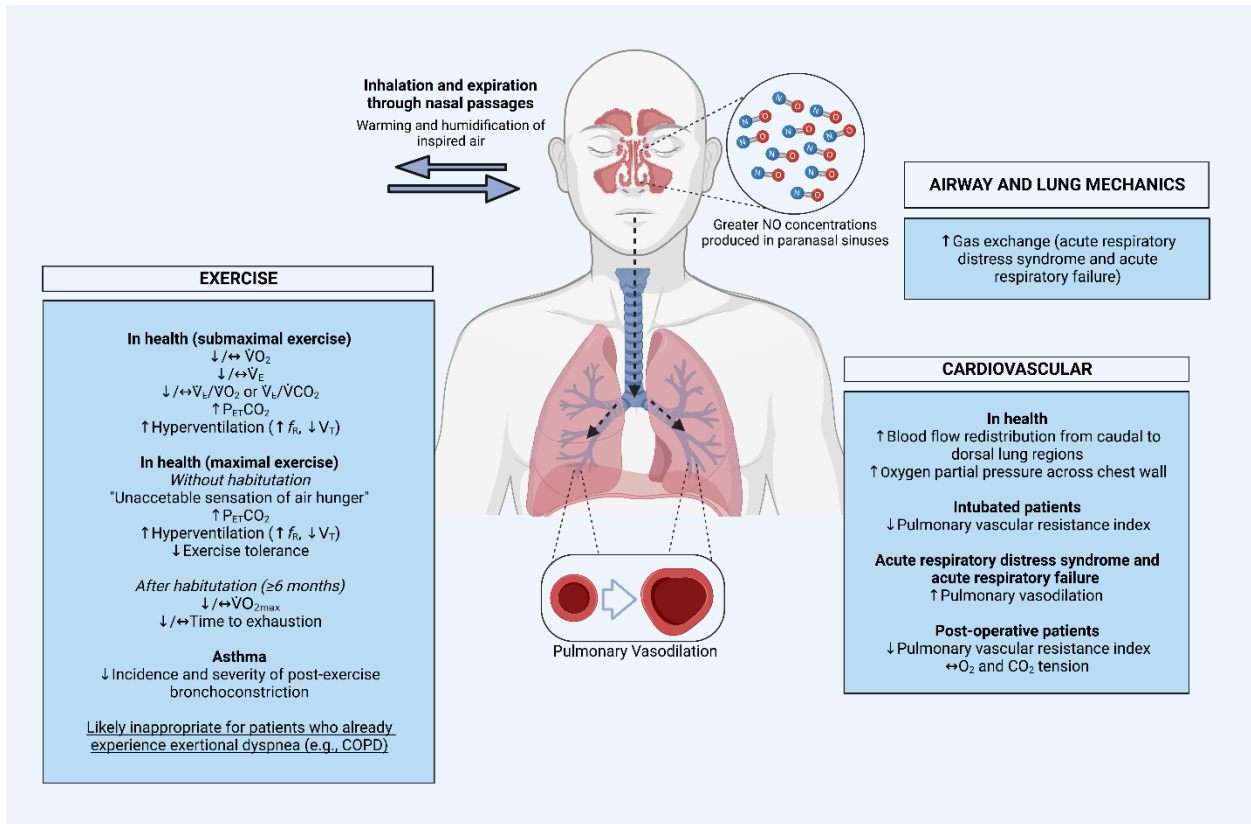
- 1901 expiratory volume in 1 second; FVC = forced vital capacity; PEF = peak expiratory flow;  
1902 COPD = chronic obstructive pulmonary disease.  
1903



1904

1905 **Fig. 1.**

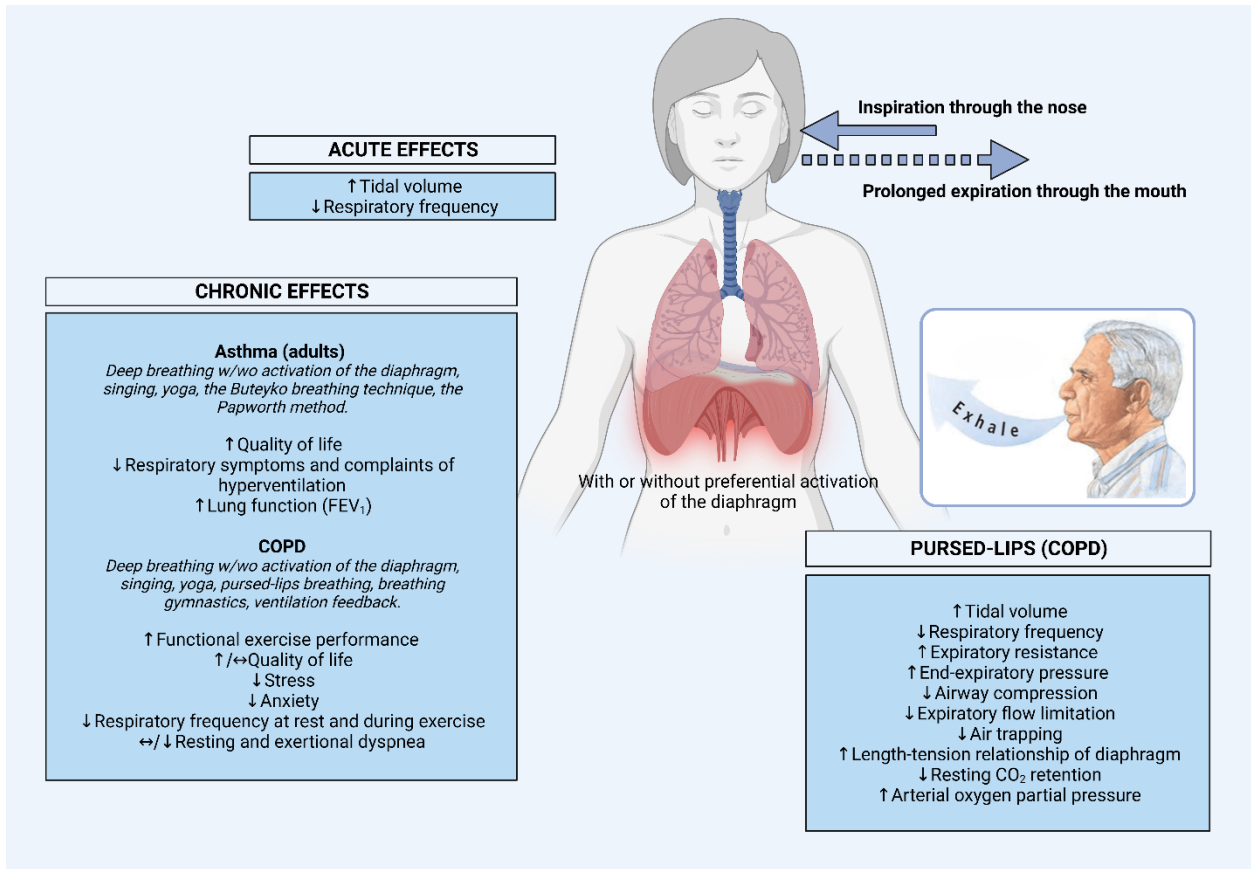
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1908 **Fig. 2.**

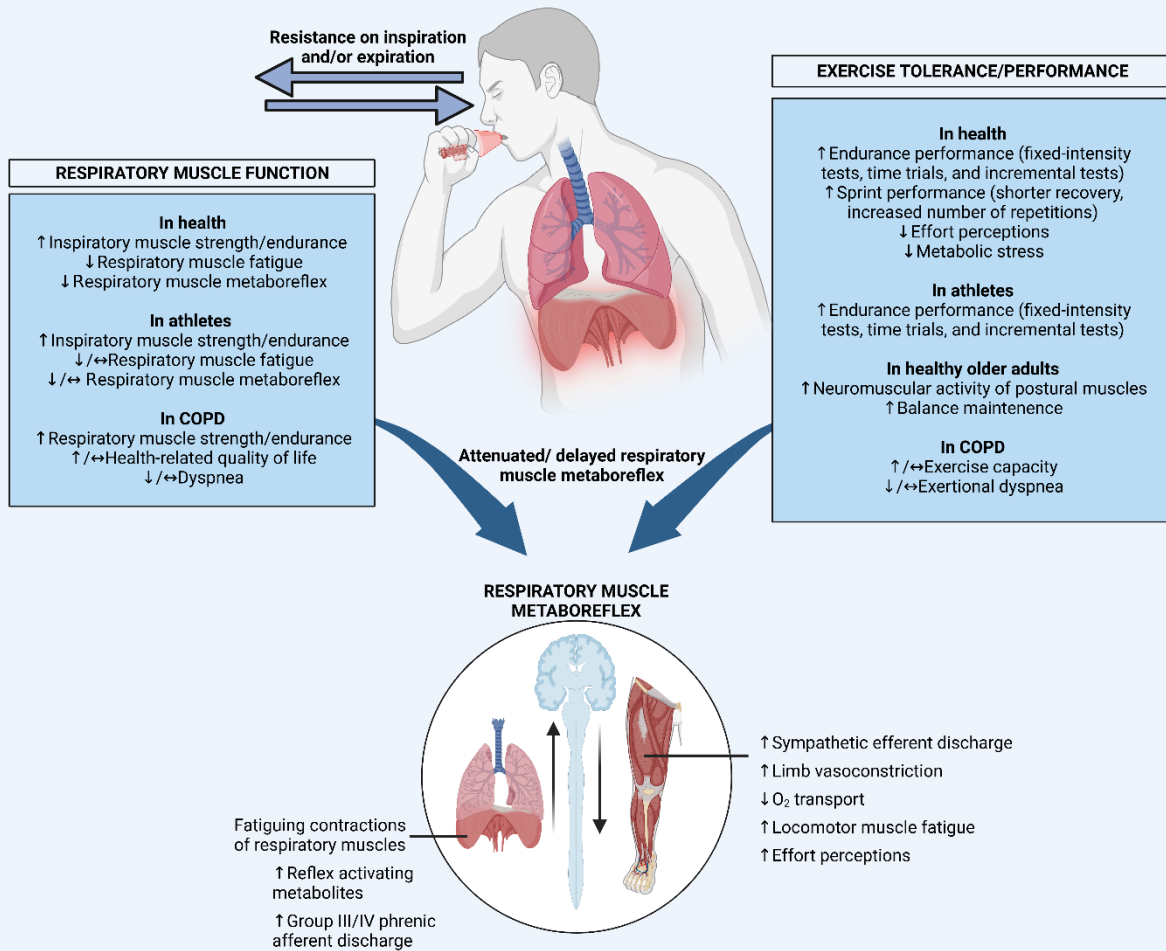
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1911 **Fig. 3.**

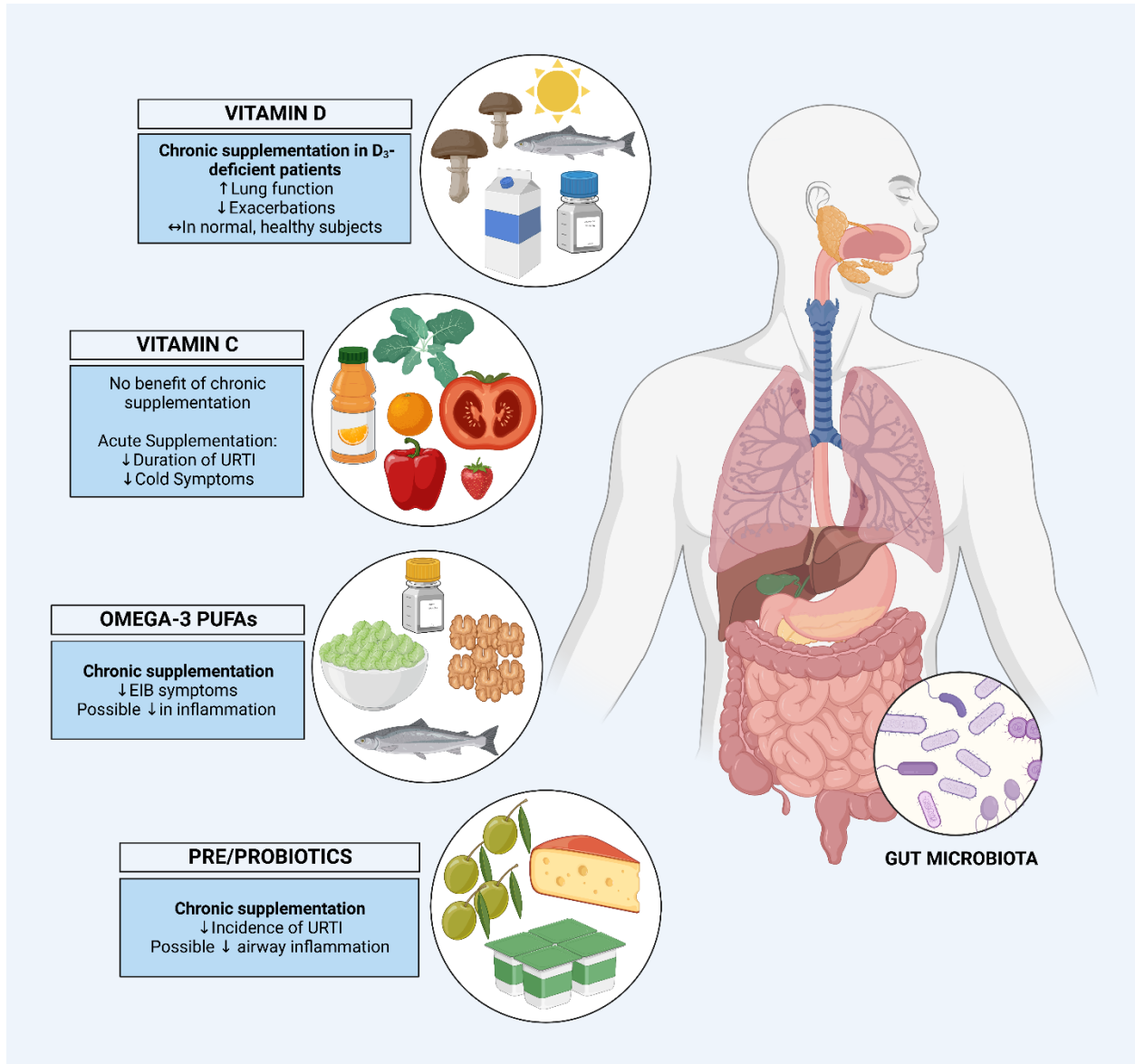
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1914 **Fig. 4.**

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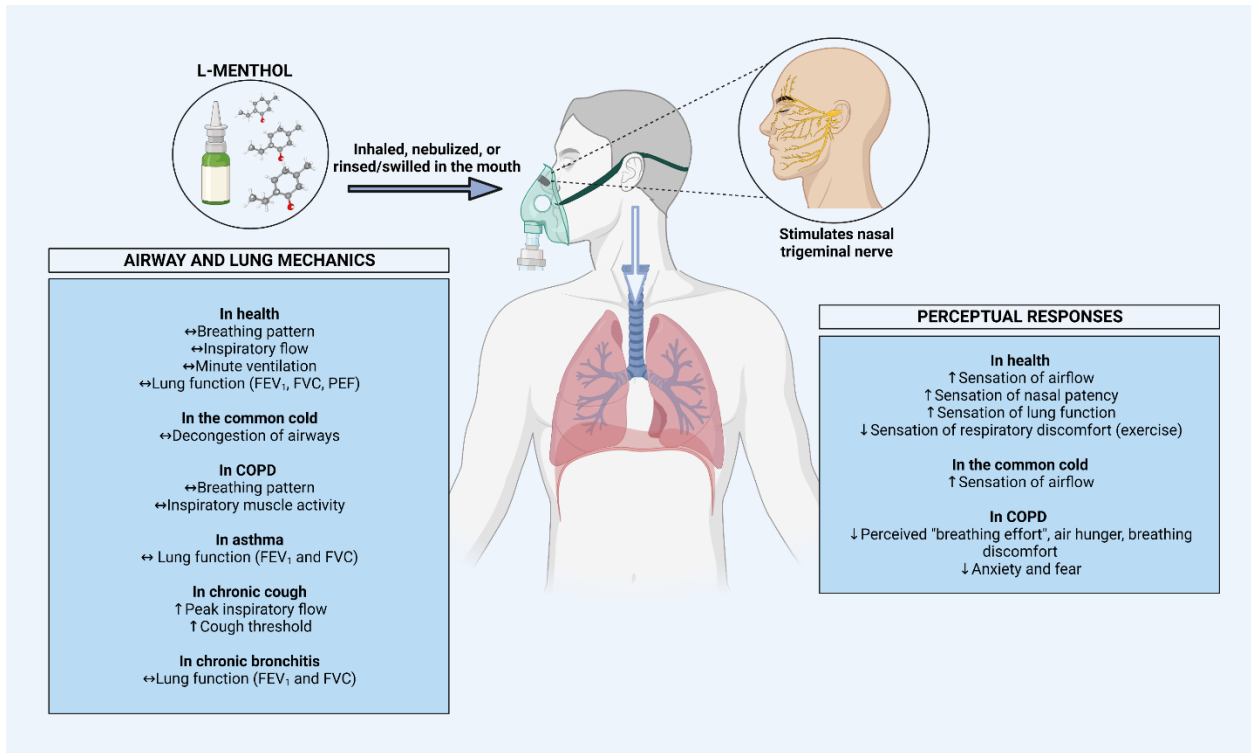


1916

1917 **Fig. 5.**

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1920 **Fig. 6.**

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