

## Sustainable $\mu$ ECM machining process : *indicators and assessment*

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### Abstract

Sustainability assessment of a manufacturing process is not an easy task and requires knowledge from inside of the process physics or chemistry as well as the overall process performance considering the effectiveness of the process and specific applications. Sustainability assessment is with increasing demand among the manufacturing companies. At present sustainability is considered only among the traditional manufacturing techniques and non-traditional processes do not receive enough attention in spite of the increasing demand for their use. Additionally micro and nano non-traditional manufacturing processes are nearly not considered in the studies for sustainability; and micro electrochemical machining ( $\mu$ ECM) was not an exemption either.  $\mu$ ECM is one of the promising non-conventional machining processes but its expensive structure, complex nature of the electrochemical reaction and process dependency on operator experiences has kept it back at research level. Securing a place for a new manufacturing process has to be done by proving its sustainability in comparison to the other existing processes. In this work, the aim is to establish a framework for assessment of the  $\mu$ ECM sustainability based on five dimensions of the sustainability in order to justify its use and the initial investment cost. Indicators and measures for the effectiveness of the process are suggested as well as machining performance parameters are discussed. Routes for optimizing machining parameters is also explored. Finally the full picture sustainability assessment is generated.

*Keywords:* Sustainability, Sustainable  $\mu$ ECM, optimization, Energy consumption, Waste management, Cost management

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## 1. Introduction

The 21st Century has brought with it unwanted gifts to the society, including: climate change, environmental pollution and natural resources declines due to global industrialization and increased consumption. All this, together with financial crashes and business competition, has put extra pressure on manufacturing industries to maintain their role and to cope with current and future difficulties.

Manufacturing industries are one of the biggest consumers of natural resources and massive producers of by-products and wastes. They are at the center of global criticism, in particular, regarding concerns about sustainable performance. Hence, these industries are facing a major challenge to improve their performance in addition to improving their products. There are international organizations responsible for putting measures in place and providing robust indicators for assessing performance and sustainability which is the focus of this work.

The United Nations has defined sustainability development as the meeting of present needs without compromising the ability of future generations to meet their needs. That is, it has called on all organizations to advance their economic fortunes without depriving current and future global residents from a healthy environment and social equity (Cairns, 2001).

Deficiency of measurement criteria and methodologies to compare the performance of manufacturing processes with respect to sustainability has resulted in inaccurate and unreliable comparisons. However, considerable effort has been started in proposing indicators and measurement criteria for the sustainability of manufacturing processes. Also a few organizations have striven to introduce comprehensive frameworks for sustainable manufacturing indicators. As an example, the U.S. National Institute of Standards and Technology (NIST) has identified five dimensions of sustainability, including: environmental effects management; economic growth; social well-being; technological development; and performance management (Mani et al., 2013).

Currently, manufacturing industries are experiencing a lack of effective methodologies and measurement criteria with respect to the sustainability and this is worse when it comes to micro manufacturing, where there is still a huge knowledge gap in the selection and utilization of the sustainable micro manufacturing methods and technologies. This is particularly so when it comes to non-traditional machining approaches due to their performance uncertainty. In many cases, due to the lack of knowledge, standards, manu-

38 facturing and production guidelines the selection of appropriate technology  
39 and its competitiveness will be affected , which will substantially influence  
40 the sustainability of the process.

41 Hence, defining approved sustainability measurement criteria, method-  
42 ologies and sustainability assessment technologies based on a scientific, com-  
43 putable and comparable model covering all manufacturing processes and  
44 methods is essential.

45 Any sustainability assessment has to consider three different levels, in-  
46 cluding system, process and product, with each level having its own criteria  
47 and indicators that can be assessed individually (Jayal et al., 2010).

48 In addition to this, there is another view when looking at any technology  
49 and is especially relevant in the case of micro-product fabrication in which  
50 intermediate parts can take up to 98% of the product component (De Grave  
51 et al., 2010) and includes:

- 52 • The final product: is the artefact that is closest to the requirement of  
53 the end-user.
- 54 • Intermediate parts: These are the parts that are not included in the  
55 final product but they use a high portion of the production resources
- 56 • The production system: it is considered as manufacturing process chain  
57 but it includes all necessary material production, recycling and disposal  
58 chain

59 Machining processes are important contributors to GDP in the developed  
60 countries. Also, due to the demand for shorter production life cycle and more  
61 optimized manufacturing systems, it is expected that their contribution to  
62 the economic development will increase.

### 63 *1.1. Sustainability assessment of machining process*

64 Different organizations around the world, such as the OECD (Organiza-  
65 tion for Economic Corporation and Development), ASMC (American Small  
66 Manufacturing Coalition) put effort into identifying and introducing sustain-  
67 able manufacturing measurements criteria, indicators and qualitative and  
68 quantitative methods. The same applies to the machining processes, as one  
69 of the most important branches of manufacturing operations.

70 Currently, there are different approaches and assessment methodologies  
71 for investigating machining processes sustainability in macro manufacturing

72 sector. Also, various standards and documents are available, but these dif-  
73 fer from industry to industry and from one region to the other. Currently,  
74 various indicators and methods have been introduced and applied by engi-  
75 neers and researchers to evaluate the sustainability of the certain sectors.  
76 Simultaneously, industries and organizations are using different parameters  
77 and methods to evaluate their sustainability internally, which makes it im-  
78 possible to have accurate comparable results between them. Therefore, the  
79 lack of unit classification and references adaptable to all machining sectors  
80 (research and industry) is very obvious and that felt most when it comes  
81 to micro ad nano machining processes in spite of the increased demand for  
82 micro and nano scale products.

83 The common perspective in all the available definitions and documents is  
84 the development of sustainable manufacturing by protection of the natural  
85 resources and raw materials, maintaining environmental conditions suitable  
86 for human beings lives and fulfillment of economic, customer and employ-  
87 ees demands. Accordingly, manufacturing industries, including machining  
88 industries, are expected to align their activities with the three main aspects  
89 of sustainability, namely, economy, environment and society (Heilala et al.,  
90 2015) and (Álvarez et al., 2017) . These three aspects can be extended at a  
91 more detailed level to include cost management, energy consumption, waste  
92 management, environmental impact and finally, health and safety. The most  
93 commonly deployed model or framework observed in the industries is pre-  
94 sented in figure 1 which forms the foundation of the research in this work.

95 Most researches have been concentrated on the assessment of traditional  
96 machining operations, including drilling, milling, turning and grinding(Kim  
97 et al., 2012), hence there have been very little non-tradition machining sus-  
98 tainability assessment. The general approach towards sustainability of ma-  
99 chining operations has been based on assessment of the environmental impact  
100 of the process and subsequently, other dimensions have been assessed have  
101 been assessed with regards to environmental impact. Although this is unreal-  
102 istic due to interrelation between sustainability dimensions but this approach  
103 does not give a full picture of existing correlation between them and limits  
104 the challenge to one dimension interrelation with the others.

105 The micro machining process itself is very complicated and very much  
106 dependent on operator experience or it is a database oriented process, which  
107 means that it is very hard to apply unique approach to a variety of materi-  
108 als. Hence, it is necessary to consider each material and its final products  
109 individually, which prevents to apply a unique sustainability framework to



Figure 1: Elements of machining process sustainability

110 the process. Most of the available academic research in the area of sustain-  
 111 able machining operations is based on specific initial conditions, materials,  
 112 methods and products, with there being no comprehensive assessment model  
 113 widely used in this context. The limited research based on a general ap-  
 114 proach towards machining sustainability found in the literature is outlined  
 115 below. The literature shows a rise in research focusing on micro manufacturing  
 116 in recent years but not yet on non- conventional micro manufacturing.

117 Hegab et al. (Hegab et al., 2018b) proposed and discussed a sustainabil-  
 118 ity assessment algorithm for machining processes based on machining quality  
 119 characteristics and sustainable machining metrics results in order to find the  
 120 optimum parameters. They used weighting factors for the measured pro-  
 121 cess outputs, metrics and indicators, which made the algorithm flexible and  
 122 applicable to any experimental case. Also, they (Hegab et al., 2018a) con-  
 123 ducted an experimental work to provide the optimized process parameters for  
 124 machining Inconel 718 with Multi-walled carbon nanotubes and Al<sub>2</sub>O<sub>3</sub> nano-  
 125 fluidics. They studied power consumption, environmental impact ( CO<sub>2</sub>) and  
 126 personal health and operational safety as sustainability dimensions and they  
 127 used average surface roughness and flank wear as investigated machining  
 128 outputs. Alvarez et al. (Álvarez et al., 2017) reviewed over 300 publications

129 in the area of sustainable manufacturing engineering with the focus being  
130 on machining and they summarized published works in order to propose a  
131 unified framework, including the existing parameters and new ones, aimed at  
132 achieving integral sustainability in machining. Priarone et al.(Priarone et al.,  
133 2018) described an approach to integrate the environmental and economic  
134 assessment of the machining process. Their work and assessment is based on  
135 considering one source (energy) and one type of environmental impact (CO2  
136 emissions), suggesting that the range of process parameters that allow for  
137 maximum efficiency is influenced by material machinability. However, all of  
138 the above research was aimed at traditional machining.

139 Gamage et al.(Gamage and DeSilva, 2015) extensive qualitative research  
140 in 2012 revealed that only 25 publications were concerned directly or indi-  
141 rectly with non-traditional machining operations sustainability. The figure  
142 below shows the distribution of these research endeavors on different areas of  
143 non-traditional machining methods. As it is clear, nearly half of the publi-  
144 cations were investigated the EDM (Electric Discharge Machining) and only  
145 %10 of the publications were related to ECM.

146 That reveals the wide gap between the sustainability assessment state of  
147 art in non-traditional and traditional machining field in spite of the impor-  
148 tance of the contribution of the manufacturing sector in the economy, which  
149 was estimated as 7000 billion of turnover in 2012(Gamage and DeSilva,  
150 2015).

151 Knowing this statistics and being aware that non-traditional machining  
152 operations at the micro and nano scale are much younger than the traditional  
153 form, extending sustainability assessment work to these areas would help to  
154 develop them.

## 155 **2. Sustainability of $\mu$ ECM**

156 One of the main tools in machining sustainability assessments is known  
157 as Life-cycle assessment, which believes to be the main tool for the environ-  
158 mental assessment of the products and it has been developed to cover the  
159 analysis and assessment of the environmental impact of the product through  
160 its whole life cycle including resources, production and disposal(De Grave  
161 et al., 2010). This requires to consider all involved functions and processes in  
162 the life of the product; and this is providing the required assessments for the  
163 important aspects of sustainability assessment. Therefore, product sustain-  
164 ability assessment is a life-cycle assessment from design to production and

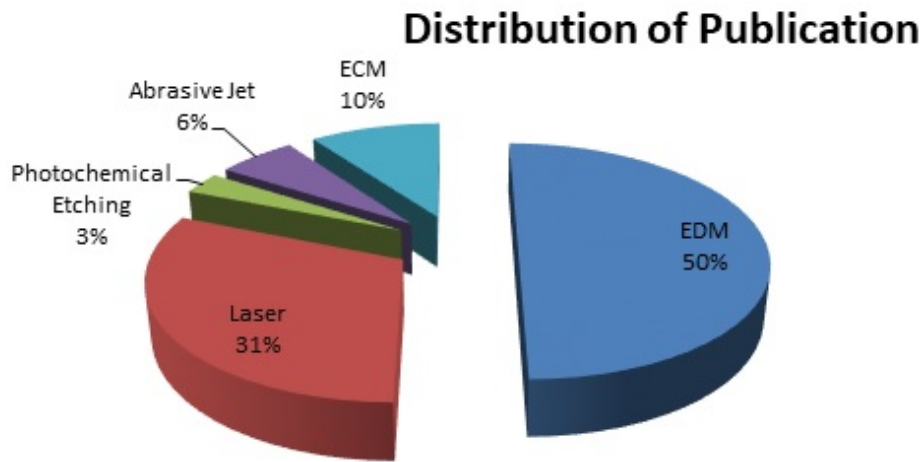


Figure 2: Distribution of 25 publications between different non-traditional machining methods (Gamage and DeSilva, 2015)

165 from consumption to the end of the life treatment; hence having a sustainable  
 166 process is one of the requirements of product sustainability features.

167 The importance of sustainability assessment of machining methods, has  
 168 motivated researchers around the world to experience new findings in this  
 169 field by introducing new methodologies and experimental works in addition  
 170 to the life-cycle assessment.

171 Krolczyk et al (Krolczyk et al., 2019) provided a comprehensive review  
 172 in machining processes of hard-to-cut materials with the focus on the im-  
 173 provement of the processes considering reduction of pollution generated by  
 174 coolants and emulsions. The target machining processes were dry cutting,  
 175 MQL/MQCL, cryogenic cooling, high pressure coolant and biodegradable  
 176 vegetable oil. The approach was to minimize the total cost, cutting force,  
 177 energy consumption and temperature but to improve the surface quality, re-  
 178 moved materials and tool life. Also the influence on operators health and  
 179 impact on environmental areas were considered and finally the cutting pa-  
 180 rameters and cutting tool specifications to achieve sustainable development  
 181 were analyzed and discussed.

182 The field of non-conventional micro machining needs strongly such an  
 183 effort and investigation. Despite the importance of micro machining industry

184 and its increased applications in industrial state of the art, there has not been  
185 much publication in the area of sustainable micro machining technologies,  
186 particularly non-conventional one. As a result, there has been little research  
187 in the field of  $\mu$ ECM sustainability assessment.

188 Kellans et al.(Kellens et al., 2013) discussed the environmental impact of  
189 non-conventional processes, whilst Tristo et al.(Tristo et al., 2015) presented  
190 and analyzed the online energy consumption in micro EDM and Modica et  
191 al. (Modica et al., 2011) discussed the sustainable micro manufacturing of  
192 micro components for micro EDM and there has been a recent publication  
193 of the author(Mortazavi and Ivanov, 2017) considering the  $\mu$ ECM process.  
194 This researcher is not aware of any other publications that discuss  $\mu$ ECM  
195 sustainability assessment.

196 As mentioned, sustainability of  $\mu$ ECM should be assessed within the five  
197 dimensions illustrated in figure 1.  $\mu$ ECM is still a young research field and  
198 most investigation has been based on experimental works and case studies  
199 that cannot be easily generalized for a diverse domain. Before continuing  
200 this section, it is important to highlight three fundamental grounds relating  
201 to the sustainability assessment of non-traditional machining, in general and  
202  $\mu$ ECM, in particular.

- 203 • Non-traditional machining methods are known as alternative methods  
204 for machining and yet to be recognized as the main method (with the  
205 exception of special cases). In addition to this, the choice of different  
206 applicable non-traditional methods on a production line is mainly based  
207 on operator experience or a trial and error approach. Both these condi-  
208 tions would add extra complexity to the sustainability assessment of a  
209 machining operation as operator experience can influence the methodol-  
210 ogy and the performance significantly.This means that the sustainabil-  
211 ity of any selected method should be compared with other machining  
212 methods, to determine whether more than one approach is applicable  
213 and acceptable.
- 214 • As briefly mentioned, the five dimensions of sustainability assessment  
215 are in a complex inter-relationship, which needs to be considered for  
216 any process. These criteria can present direct or indirect/ qualitative  
217 or quantitative impacts on each other, and hence, need to be considered  
218 in detail in order to obtain accurate comprehensive results.
- 219 • The performance of dimensional sustainability of the process should



220 be analyzed quantitatively and qualitatively using the relevant indica-  
221 tors. Different organizations have introduced a list of indicators. The  
222 indicators developers have used different methodologies in establishing  
223 these. However, generally, the main purpose of these frameworks is  
224 for external reporting to the stakeholders, rather than being used for  
225 decision making and optimization of the operations. Hence, it is vital  
226 to acknowledge that the aim of assessment of the sustainability perfor-  
227 mance should be not only to present more interesting reports to the  
228 stakeholders, but also to help to improve and optimize the operation.

229 The rest of this paper will focus on general features of the indicators,  
230 which is followed by the introduction of possible metrics and indicators for  
231  $\mu$ ECM sustainability assessment. In section 3, will give a brief discussion  
232 on proposed approach in comparison with other approach, two case studies  
233 will be introduced in section 4 and finally, the results of this research are  
234 considered and suggestions for the future work put forward.

### 235 *2.1. Sustainability indicators*

236 The robustness of the sustainability framework is very much dependent  
237 on the selection of indicators and metrics and their set up for the assess-  
238 ment of the performance. Feng et al. (Feng and Joung, 2009) suggested  
239 some essential properties regarding indicators, including being measurable,  
240 relevant, meaningful, reliable, accessible and flexible. Regardless of these  
241 features, there are two approaches towards the definition and introduction of  
242 indicators and metrics: bottom-up or top-down approach. In the top-down  
243 approach, the five dimensions of sustainability are listed as leaders or head-  
244 ers and all possible indicators will be introduced as sub-categories. While in  
245 the bottom-up approach all possible indicators and metrics are introduced  
246 and then, assigned to the relevant dimension of sustainability. Either way,  
247 there will be indicators that would fit in two or more areas and need to be  
248 investigated carefully to reach the best possible results.

249 Indicators and metrics for the sustainability assessment of any desired  
250 operation can be originated and adapted from existing frameworks (GRI, UN,  
251 OECD,) or can be developed based on a deep knowledge and understanding  
252 of the operation in alliance with a standard frame or regulation.

253 The Global Reporting Initiative (GRI) has provided guidelines for mea-  
254 surement and sustainability reporting introducing 91 sustainability indicators  
255 (Rahdari and Rostamy, 2015), whilst the OECD has proposed 18 indicators

256 for sustainable manufacturing (energy agency, 2018) and Eurostat has sug-  
257 gested just 15 sustainable indicators(Heilala et al., 2015).

258 Most of indicators are usually normalized and instead of presenting the  
259 total measured parameters, the measured values are calibrated in relative  
260 terms as a ratio of performance or an important concept. This will provide  
261 real insights into the concept and performance and make simple comparison  
262 with similar operations possible.

263 In practice, sustainability indicators provide a framework that will be  
264 used to evaluate the performance of the operation in terms of whether it  
265 is a sustainable practice or not and if it is in compliance with the global  
266 regulations. Furthermore, the generated measures, numbers and reports can  
267 be used in combination with available benchmarks and target metrics to in-  
268 vestigate possible options in order to redesign the process and optimize the  
269 operation. In addition, reports can be used as guidelines for current and future  
270 market opportunities in terms of investment and expansion activities. Hence,  
271 sustainability assessment is not just a methodology to assess and investigate  
272 the performance of the operations, it is also about providing reliable grounds  
273 for the design, engineering and financial decision making at the production  
274 and management levels. Ultimately, the impact and quality of measurements,  
275 analyzes and results determine the success of decisions, designs and future  
276 plans. Thus, it is very important to define a meaningful list of indicators with  
277 realistic metrics that can achieve all mentioned aims as they determine how  
278 successful and achievable a process would be. This practice is vital for all  
279 machining operations, especially non-traditional micro machining methods,  
280 including  $\mu$ ECM, which still quite nascent and with the help of a feasible sus-  
281 tainability framework smoother and economic development can be achieved.

282 Whilst the common belief would suggest that micro scale machining im-  
283 plies improved sustainability by reducing raw material usage, less energy  
284 consumption and less environmental impact, this may not be always the  
285 case. De Grave et al. (De Grave and Olsen, 2006) have shown that some  
286 factors can prevent achieving sustainable micro-machining . Hence, it is nec-  
287 essary to establish a similar framework for micro machining sustainability  
288 assessment as for macro industries.

289 In this work, the top-bottom approach has been used to identify and in-  
290 troduce relevant indicators and metrics for  $\mu$ ECM sustainability assessment.

291 *2.1.1. Energy consumption*

292 In terms of energy, the assumption is that new technologies will use less  
293 and should be more productive, but in an industrial environment this is  
294 not easy to judge without enough data and measurements. Whilst there is  
295 the possibility of using less energy at the production level for micro-scale  
296 machining, it is important to consider the need for ventilation, filtering and  
297 maintaining the clean room, which would increase the cost of energy.

298 Electrical energy consumption: can be used as an indicator to assess the  
299 energy consumption of the  $\mu$ ECM process. Regarding which, the current  
300 energy related indicators are time based. Also, in the machining process,  
301 machining time predominates in terms of the energy demand. Therefore  
302 time is very important variable to be considered in energy consumption as-  
303 sessment. However, a time-based energy indicator in the machining process  
304 assessment is not sufficient without considering the material removal rate  
305 (MRR). Thus this indicator should be at least a two dimensional function  
306 of time and material removal rate (MRR). A high MRR without having a  
307 precise finished product, would hinder the operation. Hence, adding the pre-  
308 cision percentage as third dimension to this function is necessary to provide  
309 useful required data.

$$\text{Electrical energy consumption} = f(\text{time}, \text{MRR}, \% \text{precision}) \quad (1)$$

310 The energy consumption indicator should capture the sum of used elec-  
311 trical energy by the  $\mu$ ECM process and within the workshop. The general  
312 area of power consumption can be considered as a function of time and pro-  
313 duction, but the machining energy consumption should be seen as a function  
314 of three dimensions.

315 Table 1, summarizes the effective aspects of this indicator.

316 Finally, the sum of the mentioned functions can be calculated using the  
317 equation below.

$$\begin{aligned} \text{Energy consumption} &= \sum_{k=1}^4 f(\text{time}, \text{productionunit}) \\ &+ \sum_{l=5}^8 g(\text{time}, \text{MRR}, \% \text{precision}) \end{aligned} \quad (2)$$

318 Water consumption:  $\mu$ ECM is based on anodic dissolution, which using  
319 aqua solutions and the electrolyte is continuously flowing while the process

320 is taking place. The volume of the used water in the process should be  
 321 measured as a function of time and MRR ( $f_1$ ), but there is general water  
 322 usage in the factory as well, which can be measured as a function of time  
 323 and production level.

$$\text{Water consumption} = f_1(\text{time}, \text{MRR}) + f_2(\text{time}, \text{production unit}) \quad (3)$$

324 If other forms of energy are consumed during the production (machining),  
 325 these need to be assessed as well. Another matter to consider is the different  
 326 modes of machine states: idle, standby, start up and busy. The energy  
 327 consumption functions can be adapted to each different state.

328 Machine tools are the most dominant element in energy consumption in  
 329 the machining operation (Priarone et al., 2018). Hence, one of the core con-  
 330 cerns in research is to minimize the tools energy consumption. This will  
 331 technically lead to a reduction in energy consumption and consequently posi-  
 332 tive environmental impact and reduction in the process cost.

333 In addition to direct energy usage, there are other measures to consider  
 334 such as the percentage of used energy from renewal and green energy re-  
 335 sources. Such indicators can be taken into account in a bigger frame for  
 336 the factory performance, rather than the machining process sustainability  
 337 assessment.

### 338 2.1.2. Waste management

339 Indicators to be used in waste assessment in the  $\mu$ ECM process are as  
 340 follows.

341 Material waste: Regarding which, the volume of defects should be consid-  
 342 ered. In addition to this, the  $\mu$ ECM process usually produces very high value

<b>Function</b>	<b>Activity</b>	<b>Details</b>
F1	Logistics	Lighting, heating, cooling, cooking, IT
F2	PC and peripherals	PC, printers, monitoring unit
F3	Cooling unit	To maintain the electrolyte temperature
F4	Clean room	Using clean room for special activities
F5	Power supply unit	Current/Voltage pulses
F6	Control unit	Digital and analogue control unit
F7	Spindle motors	Spindle motors
F8	Spindle motors	Axis movement in three dimensions

Table 1: Effective factors in energy consumption indicator

343 added products for specific applications and in most cases the required mate-  
344 rials have significant commercial value. Hence, any defect or waste can lead  
345 to significant unnecessary raw material costs(Mortazavi and Ivanov, 2017).

346 On the other hand,  $\mu$ ECM process is known for burr free products with  
347 no thermal and physical effects. This indicates that there are decreased de-  
348 fects in the production line, which leads less waste and thus, will increase  
349 the sustainability of method if compared with other non-conventional man-  
350 ufacturing methods. To sum up all the positive and negative outcomes, the  
351 proposed indicator to present this quantity (material waste) should be a func-  
352 tion of the produced defects in relation to the total production of finished  
353 products and unit material cost.

$$Material\ waste = f(defects\ per\ production, raw\ material\ consumption) \quad (4)$$

354 Tool wear: This is one of the critical aspects of machining. However,  
355  $\mu$ ECM has proven to have no or minimum tool wear as there is no direct  
356 contact between the work-piece and the tool. So, there is not any material  
357 waste due to tool electrode tear and wear. But, tool design and its prepa-  
358 ration is a very cost effective process in any micro machining industry. By  
359 identifying the most suitable tool material and tool shape, the energy and  
360 material waste would definitely be decreased, because this will positively af-  
361 fect the MRR, accuracy and efficiency of the process. However, in spite of  
362 the obvious advantages of  $\mu$ ECM in terms of tool wear compared with other  
363 machining processes, it is still necessary to introduce an indicator to assess  
364 the material waste due to tool deficiency as a function of tool material usage  
365 and tool damage rate per production unit.

$$Tool\ material\ waste = f(tool\ damage\ rate, tool\ material\ usage) \quad (5)$$

366 Chemical waste:  $\mu$ ECM requires electrolytes to activate the reaction and  
367 create the current path between tool electrode and workpiece. Also, the flow  
368 of the electrolyte is the way to remove sludge and by-products from Inter  
369 Electrode Gap(IEG) . The electrolyte should continuously flow through the  
370 gap and be filtered or renewed so as to be free of sludge and by-products.  
371 Chemical waste is the rate of discarded electrolyte during the machining  
372 process. Hence, the relevant criterion should include electrolyte life time and  
373 the production rate.

$$\text{Chemical waste} = f(\text{discarded electrolyte, electrolyte life - time,} \quad (6)$$

$$\text{production rate})$$

374 Finally, the waste assessment indicator should be the sum of all above  
 375 wastes, but should be a weighted algebraic sum.

$$\text{Total waste} = \alpha \text{ material waste} + \beta \text{ tool material waste} + \quad (7)$$

$$\gamma \text{ Chemical waste}$$

376 Waste assessment closely associated with the process environmental im-  
 377 pact which shows the interrelation between sustainability dimensions. This  
 378 will be discussed later in this paper.

### 379 2.1.3. Environment impact

380 In terms of  $\mu$ ECM operation environment impact (EI) the concerns are  
 381 relate to natural resources, raw materials, hazardous materials and chemi-  
 382 cals, the return of discarded materials and liquids to the nature and so on.  
 383 The environmental impact of  $\mu$ ECM can be qualitatively and quantitatively  
 384 assessed.

385 Natural resources: This indicator pertains to assessing the rate of the  
 386 consumed energy per production unit from natural resources. With much  
 387 more renewable energy being used in the system, in addition to improving  
 388 energy efficiency, the impact on natural resources would decrease and the  
 389 carbon foot print level would be lessened too. Hence, this indicator can be  
 390 used in two ways to produce data for saving resources and producing less  
 391 carbon.

392 Raw materials: This can be introduced to evaluate the rate of raw mate-  
 393 rial usage per unit of the production which should include any defects as well.  
 394 The type of input material plays an important role in the performance of the  
 395 machining and its sustainability assessment, given some materials need more  
 396 energy to be modified, are harder to extract from nature or have limited  
 397 resources.  $\mu$ ECM has provided opportunities to machine hard materials and  
 398 semiconductors, which may have been too hard to be machined with conven-  
 399 tional machining methods. This is an advantage and that would definitely  
 400 have a positive impact on the sustainability of the process. However, it is  
 401 important to have clear perception of the impact of these materials on the  
 402 environment conditions.

403 Another important criterion is how successful is the recycling of the de-  
404 fects and unwanted finished products and how long needed for this to take  
405 place. Also, the cost of recycling process should be taken into account. This  
406 is problematic, for whilst  $\mu$ ECM may not generate a lot of defects, the pro-  
407 cess of recycling may be too intricate. The less the recycle rate and the  
408 longer the return period to the nature is, the more negative the impact on  
409 the environment will be.

$$EI \text{ material waste} = f(\text{Recycling rate, return period, production unit}) \quad (8)$$

410 CO2 emissions: This is very critical indicator, with various standards  
411 having been published regarding the acceptable levels for CO2 emissions  
412 for different industries. Recently, it has been reported that global energy-  
413 related CO2 emissions grew by 1.4% in 2017, an increase of 460 million tons,  
414 thereby reaching a historic high of 32.5 giga tons. However, in a few countries,  
415 including the United Kingdom, the level of emission declined. In the UK,  
416 due to the shift from coal to gas and renewable energy, a drop of 3.8% (15  
417 million tons) in emissions observed(energy aganecy, 2018).

418 Chemical pollution: the impact of chemical substances and generated  
419 gases can be crucial and should be addressed thoroughly when the perfor-  
420 mance of  $\mu$ ECM is assessed. In terms of chemical impact, it is clear that  
421  $\mu$ ECM requires electrolytes to activate the process and create the current  
422 path between the tool electrode and workpiece. According to Bhattacharyya,  
423 two main categories of electrolytes are being used in  $\mu$ ECM: Passive elec-  
424 trolytes, which contain oxidizing anions and they are known for better ma-  
425 chining precision and Non-passive electrolytes, which contain aggressive an-  
426 ions and have less effect on the electrode due to the formation of soluble  
427 products, as they can be completely swept from the IEG area (Bhattacharyya  
428 et al., 2005)

429 However,  $\mu$ ECM electrolytes considered to be nontoxic. This is an advan-  
430 tage in measuring the sustainability of  $\mu$ ECM with regard to environment  
431 impact but one should consider that the performance of machining would be  
432 affected by remaining sludge from removed materials during pulse on time  
433 if the sludge accumulated in the gap due to generating sparks. Therefore, it  
434 is very important to assure that any sludge and gases will be flushed away  
435 from IEG and also electrolyte will be continuously filtered or renewed.

436 A useful indicator suitable for assessing the environmental impact of

437 chemical waste should present its level of hazard in relation to the unit of  
438 production and precision percentage. Hence, whilst the same indicator as  
439 chemical waste can be used to assess the environmental impact of the elec-  
440 trolyte waste in nature, the toxic level should also be added to the function.

$$EI \text{ chemical waste} = f(\text{discarded electrolyte, toxic level,} \quad (9) \\ \text{electrolyte life cycle, production rate})$$

441 In addition to the above indicators, environmental standards are a useful  
442 guide towards investigation and improvement of machining performance in  
443 terms of environmental impact. ISO standards need to be followed when  
444 relevant to the nature of the operation.

#### 445 2.1.4. Health and Safety

446 Health and safety of the operators in any work place is a very important  
447 consideration and it is the primary responsibility of the employer to provide  
448 it. There is a range of standards and regulations regarding health and safety  
449 requirements of the work floor. In the manufacturing environment, there are  
450 various health threatening and hazardous areas that need to be investigated  
451 properly and the necessary steps introduced. Vibration level, noise level,  
452 chemical gases, liquid and solid scatters, are examples of what may be a  
453 danger to the health and safety of workers.

454 Topics, such as exposure to toxic chemicals, high voltage energy as well  
455 as solid and chemical scatters, can be investigated as safety indicators, whilst  
456 levels of chemical contamination, noise and vibration are related to health  
457 indicators.

458 General speaking, there are standards out there to be followed by em-  
459 ployers to minimize the hazard and dangers in workplace. However it is  
460 important to know what risks and hazards  $\mu$ ECM operation can have for  
461 workers health and safety and whether the operation can meet the standards  
462 required.

463 There are other areas of personnel health that formally should be con-  
464 sidered when health and safety is the concern, including staff well-being and  
465 work satisfaction, but their relevant their relevant indicators could be defined  
466 as part of the general assessment for the firm or factory.

#### 467 2.1.5. Cost management

468 The total cost of the process is a salient matter in any manufacturing  
469 process. It is very important not only from a sustainability dimension per-



<b>Function</b>	<b>Indication</b>	<b>Variables</b>
F1	Noise level	Noise level, working hours
F2	Vibration level	Vibration level, working hours
F3	Electromagnet waves	Wave exposure level, working hours
F4	Toxic chemical	Volume, toxic level, exposure period
F5	High power risk	Risk probability, working hours
F6	Solid scatters	Volume, tool rotation speed, dimension of scatter, working hours
F7	Chemical scatters	Volume, dimension of scatter, tool rotation speed, electrolyte flow velocity, working hours

Table 2: Health & Safety indicators in  $\mu$ ECM operation

470 spective, but the fact that the process needs to be financially attractive  
471 for the organization/investors. There is no doubt that, currently,  $\mu$ ECM is  
472 an expensive machining method, but it has potential to be commercialized  
473 through further research.  $\mu$ ECM machining as with any other manufacturing  
474 process requires various types of expenditure, such as the cost of operation,  
475 maintenance and labor.

476 The cost of operation is a relative parameter and not an absolute value.  
477 The higher level of cost does not necessary mean a too expensive operation,  
478 for it may make the whole process more effective through improving the  
479 quality of products and increasing the efficiency of the system.

480 In a way cost of the machining process is under the effect of all other  
481 sustainability dimensions, so development of any cost indicators is developing  
482 of indicators with interrelationship. The cost indicator should include the  
483 following areas.

484 Cost of labor: this indicator will pertains to assessing any labor expenses,  
485 which will include, rates of pay, working hours and number of workers in-  
486 volved in the work flow. In addition , this indicator would present any extra  
487 action been taken place in order to provide a better work environment for the  
488 workers, especially in terms of the employeeswell-being and work satisfaction.

489 Cost of energy consumption: This indicator refers to a different approach  
490 to considering energy efficiency in the process. The most important parame-  
491 ter is the source of the energy, where renewable and green energy would cost  
492 less than using coal and electricity. Regardless the source of the energy, the  
493 cost of consumed energy can be divided into two main categories, as follows:

494 There is a general cost of energy, which covers heating, cooling, cooking  
495 and lighting of the workshop or factory etc.

496 Then, there is the cost of energy consumed by machinery equipment, such  
497 as spindle motors, DC axis motors, machine pump as well as, control and  
498 power supply unit. This category needs detailed analysis as the expenses  
499 vary according to the machining quality and machine setup. Hence, in this  
500 assessment the quality of final product and precision percentage should be  
501 considered. So, this indicator is a relative variable depending on the quality  
502 of final product and clearly, improved quality comes with a price.

503 Cost of maintenance: maintenance fee includes any repair and expenses  
504 to maintain the production of the machine. It is a sum of expenses which  
505 paid for regular inspection, breakdown recovery, part exchange and regular  
506 cleaning. The maintenance cost will be a function of working hours of the  
507 machine.

508 Cost of consumables: this includes materials, electrolytes, tool materials  
509 and preparation. The cost of tool preparation is quite high, but assessment  
510 of this cost in terms of the production unit will make it efficient as there is  
511 no tool wear in the  $\mu$ ECM process. This indicator, in a way presents the cost  
512 of intermediate parts which involve a high share of the process resources and  
513 activities.

514 Cost of by-products disposal: this should be done according the stan-  
515 dards. By-products of the  $\mu$ ECM operation are in the form of combined  
516 sludge, chemical liquid, which has a strict disposal process to follow and  
517 should be actioned by trained workers. The cost needs to be considered per  
518 unit of production.

519 All other costs: Any other expenses that do not fit in the above categories,  
520 but are necessary for running the operation and production line should be  
521 taken into account as well.

522 As the above descriptions and explanations present, cost management  
523 can be considered as an overseen factor in sustainability, which directly or  
524 indirectly would use all other indicators and metrics to assess the cost of the  
525 process. This criterion is a great help when it is the time to restructure the  
526 process and reinvest in order to improve or expand the work.

527 Whilst the relation between the cost dimension and all other dimensions of  
528 sustainability is very clear, does not make the assessment straightforward as  
529 the price can change with a slight shift in the operation, quality and precision  
530 of the process. Similar relations are observed among all other dimensions as  
531 well: improved impact on the environment comes from energy consumption

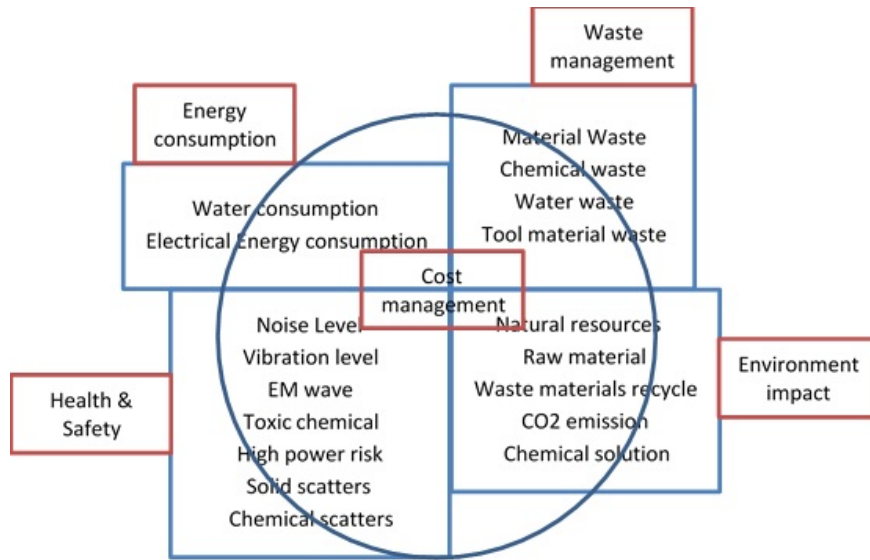


Figure 3: Brief presentation of  $\mu$ ECM sustainability indicators and their dependency

532 efficiency, lower material usage stems from fewer defects, improved health  
 533 and safety comes from improved chemical disposal and so on.

534 As figure 3 shows, there is not any solid boundary between the five di-  
 535 mensions of sustainability, but rather, there is a shared space between them,  
 536 which symbolically presents their interrelation. Understanding this complex  
 537 interrelation is crucial and should be investigated carefully as will substan-  
 538 tially affect the outcomes.

### 539 3. Discussion

540  $\mu$ ECM method is an expensive technology and needs a higher initial in-  
 541 vestment in comparison with other non-conventional micro machining meth-  
 542 ods. This feature from one side, and the complex nature of the  $\mu$ ECM from  
 543 the other side, are the key reasons why it has not been able to attract enough  
 544 interest to be commercialized and be used widely in the industrial environ-  
 545 ment. Hence, Creating a frame work for the evaluation and investigation of  
 546 the sustainability of  $\mu$ ECM will help to expand it and perhaps make it more  
 547 interesting for investors.

548 For special types of material, including hard materials to machine, frag-  
 549 ile ones and superconductors,  $\mu$ ECM could be the only method which can

550 provide maximum accuracy and minimum damage. There are other mate-  
551 rials that could be machined using  $\mu$ ECM and other alternative methods.  
552 Sustainability measures can help to identify the most optimum methods for  
553 machining this group of materials. And of course, sustainability measure-  
554 ment can prevent the waste of resources, if  $\mu$ ECM is not the best method to  
555 be used.

556 Table 3 has summarizes the introduced metrics in the dimensional sustain-  
557 ability assessment for the  $\mu$ ECM process. Whilst these cover all dimensions  
558 of the sustainability assessment but this is not enough, for in addition to the  
559 results and acquired data, their accurate interpretation is just as important  
560 as obtaining the data through the indicators in the first place. That is,as  
561 the  $\mu$ ECM process is a multidisciplinary process and a slight change in ma-  
562 chining parameters can change the result significantly, clearly understanding  
563 how these metrics work is crucial. In addition to the machining parameters,  
564 precision and accuracy of the final product will affect the interpretation of  
565 the metrics and indicators. Also, should not be forgotten that the interrela-  
566 tion between metrics and indicators can change the sustainability assessment  
567 results substantially. Therefore, it is very important to be alert to these mat-  
568 ters and be able to respond appropriately when necessary.

569 A brief review on table 3 and figure 3 confirms that the interrelation  
570 between the dimensions of the sustainability exist almost between all metrics.  
571 In addition, most of these metrics have dependency on the unit of production,  
572 quality of the finished work and its precision percentage.

573 Although, the above proposed approach for the sustainability assessment  
574 of the  $\mu$ ECM is the unique approach in this field and thus there is not any  
575 other approach to be analytically compared with, but there are examples of  
576 recent researches in the field of machining sustainability assessment which  
577 can help to present the possible advantages of the proposed work for the  
578 future.

579 Mia et al(Mia et al., 2018) investigated the machining performance of  
580 hardened AISI1060 steel under different cooling lubrication conditions and  
581 presented the results in terms of cutting temperature and surface roughness,  
582 and finally used the Pugh matrix environmental approach to assess the sus-  
583 tainability of the process among studied conditions.

584 The similarity between above mentioned example and proposed approach  
585 is that the machining outcome can differ based on initial machining set up;  
586 therefore, the aim is to find the optimum machining outcomes and assess the  
587 sustainability of the optimized approach.

<b>Dimensions</b>	<b>Metrics</b>
Energy consumption	Water consumption Machine usage of power electricity Operation usage power electricity Any other energy usage
Waste management	Material Energy Gaseous waste Chemical Hazardous Liquid waste Water waste
Environment impact	Polluted Water release Renewal energy usage Chemical disposal rate liquid waste disposal CO2 emission
Health & safety	Liquid scatter Material (solid) scatter Exposure to toxic Exposure to high temperature Exposure to high voltage Noise level Vibration level Other hazardous exposure
Cost management	Raw Material Cost Water recycle cost Power electricity cost By-products treatment cost Labor cost Operation cost Water cost Other hazardous exposure All other expenses

Table 3:  $\mu$ ECM sustainability metrics and indicators

588 One of the features of the  $\mu$ ECM technology is the high share of interme-  
589 mediate parts ( stages) which can not be seen at the final product but are very  
590 significant towards the performance of the process.

591 By introducing various indicators for all dimensions of sustainability, it  
592 has been tried to cover all these intermediate parts and stages to have a  
593 more accurate picture of the process sustainability, specially knowing that  
594 these intermediate parts have impact on all five sustainability dimensions.  
595 Therefore, in addition to considering the dependency of the assessment to  
596 the process output features ( like machining accuracy and MRR), the effect  
597 of intermediate parts and stages have been considered, too.

598 The second difference and in fact one of the aims of the proposed ap-  
599 proach is to be able to find optimized machining parameters not only for  
600 better machining output but to have a more sustainable approach. There-  
601 fore, the risk of optimization with sacrificing the nature, environment or  
602 energy resources will be limited and a balance between optimized machining  
603 set up and sustainable performance would be achieved.

604  $\mu$ ECM is a complicated and multidisciplinary method based on a mysteri-  
605 ous electrochemical phenomena which yet to be fully investigated; machining  
606 parameters are in a very complex correlation and any change in one param-  
607 eter can affect the whole process and the machining outcomes.

608 As an example, Ikkala et al(Ikkala et al., 2015) showed that by increasing  
609 MRR, machine tool energy efficiency can be improved. This can be achieved  
610 by changing the machining parameters, including the pulse supply features,  
611 electrolyte type and features, feed rate, tool rotational speed and the IEG  
612 size.Hence, energy efficiency depends on all these parameters. In addition  
613 to this, the quality of the final product can be improved by changing any of  
614 these parameters. However, the combination of these parameters may have  
615 different impact.

616 Increasing energy efficiency by sacrificing the quality is not a sustain-  
617 able approach and wise decision to take; finding the balance between pro-  
618 cess efficiency and quality of the finished product is a challenge yet to over-  
619 come.Having a set of accurate, detailed and reliable machining parameters  
620 for  $\mu$ ECM would improve sustainability assessment of the process. Further-  
621 more, this can lead to the creation of a comprehensive set up that can help  
622 in delivering a productive economic method for desired machining.

623 And finally, the hope is that by presenting advantages and potential of the  
624  $\mu$ ECM process in terms of the technology ,environment friendly and operator  
625 safety, be able to justify its initial high cost and promote it to the industrial

626 level.

627 Next section presents two examples of the application of the  $\mu$ ECM tech-  
628 nology. Also, figure 4 illustrates the proposed assessment flow chart.

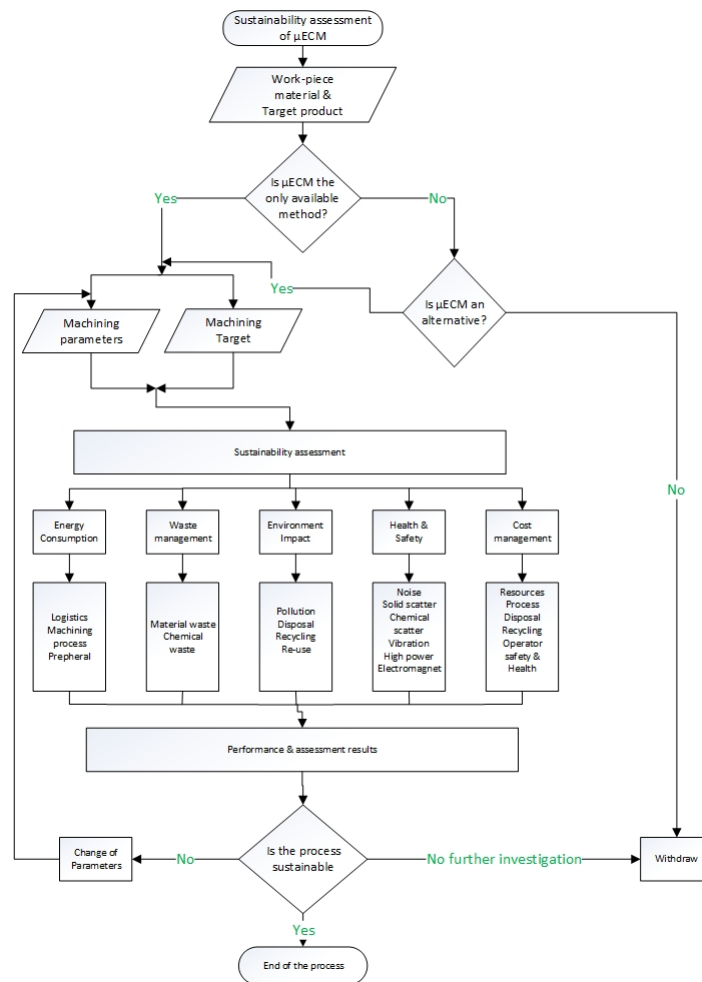


Figure 4:  $\mu$ ECM sustainability assessment flow chart

#### 629 4. Case studies

630 In this section, couple of examples of machining processes using  $\mu$ ECM  
631 are discussed. As mentioned earlier, this process is an alternative in machin-  
632 ing, which has not been expanded to the commercial environment, as yet.

633 Hence, the application of all above metrics and indicators was not possible  
634 at this stage and for these case studies. There is an important first step to  
635 start assessing the sustainability of the  $\mu$ ECM process with; the question is  
636 as whether there is an alternative to using this method. The answer could  
637 be no when the materials are fragile and superconductive, as currently there  
638 is no other option than using  $\mu$ ECM. In such cases, sustainability assess-  
639 ment can be aimed at improving the process and finding optimal machining  
640 parameters.

641 While there are alternatives for the machining process, sustainability as-  
642 sessment is a great approach to find the best possible options, if assessment  
643 results are comparable.

644 • Case study 1: Shaping InSb Single Crystal wafer for space application

645 Semiconductor wafers are diced into smaller pieces in order to be used  
646 as substrates for chips. The process of dicing creates a defective layer  
647 onto the machined surfaces. Later the diced pieces are etched in order  
648 to remove this defective layer. Dicing is again limited to the shape of  
649 the chips which can be created from the wafers and in most of the cases  
650 its shape is just a rectangle. Dicing is also not applicable if the wafer  
651 is very brittle and when diced the wafer breaks in small pieces.

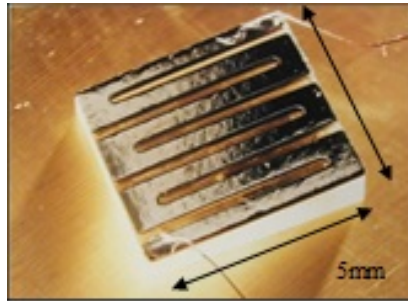


Figure 5: Evolution of sensor shape achieving better product characteristics after using  $\mu$ ECM process

652  $\mu$ ECM technology can be applied instead of dicing in order to avoid the  
653 creation of the defective layer and the following etching process; also the  
654 process would not be limited to the shape of the chip to be cut or to the  
655 brittleness of the wafer as  $\mu$ ECM technology is a non-contact technol-  
656 ogy. QMC Ltd requested test cuts for Indium antimonite (InSb) which



657 to be used as a basis for sensing very low temperatures in cryogenic in-  
658 stallations or in space applications. This is extremely brittle material  
659 and any other machining method cannot produce the required sam-  
660 ples. It was attempted dicing and EDM machining but both processes  
661 failed. As investigation and researched showed, NaCl and NaNO<sub>3</sub> could  
662 activate the anodic dissolution of InSb. The proposed technology for  
663 manufacturing of complex shaped semiconductor materials shown on  
664 Figure 4 without creation of defective layer and safeguarding the prop-  
665 erties of the basic material is the only method available at present  
666 (Mortazavi and Ivanov, 2016). This practice, presents the advantage of  
667  $\mu$ ECM by improving final product without using toxic solution.  $\mu$ ECM  
668 process also allowed the shape of the part to be changed in order to  
669 avoid sharp corners and finally the sensor produced from this sample  
670 to have better characteristics.

671 • Case study 2: Sharpening medical needles using  $\mu$ ECM technology

672 Traditionally medical needles are produced from stainless steel tubing  
673 and process used for sharpening is grinding. The temperature in the  
674 contact point of the grinding wheel and the stainless steel tubing is  
675 600-700 degC. When the wheel goes to the tip of the needle, the heat  
676 is trapped and the tip very often is bent and burned. The sides of the  
677 needle are with jagged edges. All these causes pain when the needle is  
678 inserted. On another hand grinding process for sharpening can produce  
679 only flat surfaces, so all sharpening is done by introducing flat surfaces  
680 onto the stainless steel tubing. In this case the biophysical needs for  
681 the use of the needle is not taken into account. BROUN GmbH (needle  
682 manufacturer from Germany) approached the research team request-  
683 ing to test  $\mu$ ECM technology for sharpening medical needles. The main  
684 advantages are that the machining time per needle without any opti-  
685 mization was 10 sec. Actually for 10 sec can be produced hundreds of  
686 needles if appropriate jigs and fixtures are used. Another benefit was  
687 that sharpening of the needle can be done 3D shaped and the need  
688 for introducing a medication or taking sample liquid out also can be  
689 used to shape the needle appropriately. Final result was that there  
690 were no jagged edges and the needle can be sharpened down to few mi-  
691 crometers on the very tip. This depends on the grain structure of the  
692 stainless steel tubing. The advantages of the  $\mu$ ECM sharpened needle  
693 are obvious including smoother surfaces, sharped tip and therefore less

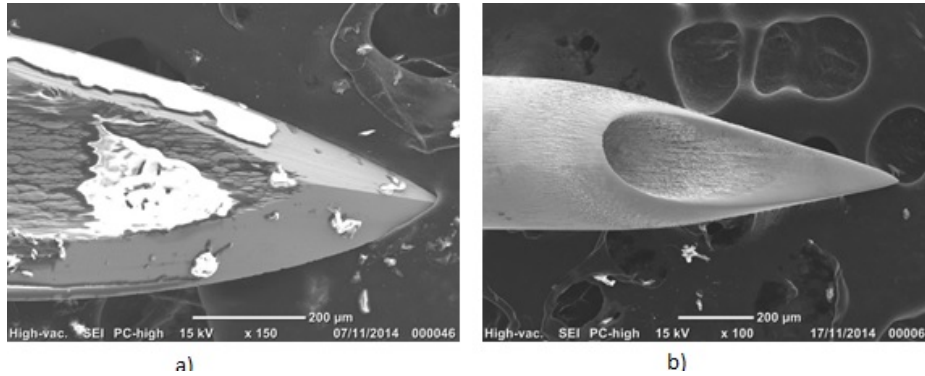


Figure 6: a)Ground needle tip, b) $\mu$ ECM machined needle tip

694 pain (Figure 5 ). Larger opening and smoother inside surface which  
 695 will allow better introducing the medicine and not allowing the tissue  
 696 to grow onto the rough internal surface if the needle is used for long  
 697 time.(Mortazavi and Ivanov, 2016). This practice, presents the ad-  
 698 vantage of  $\mu$ ECM by improving final product features and minimizing  
 699 machining time.

## 700 5. Conclusion

701 Sustainability assessments and sustainable development is an issue that  
 702 likely to increase in importance exponentially in the near future. Currently,  
 703 assessment for sustainable systems and processes is widely neglected, with  
 704 most efforts being concentrated on the product level and supply chain. In  
 705 addition to this, in the micro manufacturing field, non-traditional micro man-  
 706 ufacturing methods have received less attention compared with conventional  
 707 methods in spite of increasing demand to be used in the industry. In this  
 708 work, the aim has been to promote the importance of the sustainability eval-  
 709 uation of  $\mu$ ECM as a non-traditional micro machining process in recognition  
 710 of  $\mu$ ECM as of it being the best option for machining special types of the  
 711 materials including but not limited to hard materials to cut, conductors and  
 712 superconductors.

713 Improving sustainability assessment of  $\mu$ ECM process and refining the  
 714 interpretation of the assessment results can help to create a new ground to  
 715 investigate optimized machining parameters to achieve higher accuracy and  
 716 precision within a sustainable frame.

717 As aforementioned, in spite of the valuable advantages of  $\mu$ ECM over  
718 other machining processes, this technology is still at the research level and  
719 has a long way to go until it becomes a commercial technology. The main  
720 reasons behind this are the expensive structure, uncertain and complex na-  
721 ture of the electrochemical process and process dependency on the operator  
722 experience. Hence, there is still a huge gap between practices at the research  
723 and commercial levels. However, sustainability assessment may help in ad-  
724 dressing this by proving the process value and profitability in spite of its high  
725 investment cost.

726 Currently and based on  $\mu$ ECM process features and introduced assess-  
727 ment approach, the assessment results should be interpreted based on general  
728 guidelines and manufacturer expectations but by implementing this process  
729 and gathering more data it is possible to prepare a benchmarks for all mea-  
730 sures and indicators and be able to generalize the results and make them  
731 comparable.

732 The suggestion for the future work is to advance the research by devel-  
733 oping an assessment model based on artificial intelligence or neural networks  
734 using the above indicators and metrics in order to have a uniform investiga-  
735 tion method for any material and product. Any further development would  
736 still face the challenge to promote the  $\mu$ ECM at industrial level and be able  
737 to apply all above criteria and measures in real life of the process.

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