

1 **A comparative study on two stress intensity factor-based**
2 **criteria for prediction of mode-I crack propagation in concrete**

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23 **ABSTRACT**

24 In the analysis of mode-I crack propagation of normal strength concrete at a crack tip, the
25 initial fracture toughness and nil-stress intensity factor (nil-SIF) are two distinguished and
26 widely adopted types of crack propagation criteria. However, there is little information
27 reported on the difference resulting from the two criteria when they are employed to analyze
28 concrete with different strength grades. Aiming at this objective, three-point bending tests
29 are carried out on notched concrete beams of five strength grades, i.e. C20, C40, C60, C80
30 and C100, and an arrange of initial crack length/depth ratios as 0.2, 0.3 and 0.4, to
31 investigate initial fracture toughness, fracture energy and load-crack mouth opening
32 displacement (*P-CMOD*) relationship. The two aforementioned types of concrete crack
33 propagation criteria are introduced to determine crack propagation and predict the *P-CMOD*
34 curves of a series of notched concrete beams under a three-point bending test. It has been
35 found that the *P-CMOD* curves calculated using the initial fracture toughness criterion show
36 a better agreement with experimental results than the ones calculated using the nil SIF
37 criterion. With the increase of concrete strength, the difference between the peak loads from
38 experiment and those from analyses based on the nil-SIF criterion becomes increasingly
39 larger than the scenarios based on the initial fracture toughness criterion. Therefore, it can
40 be reasonably concluded that for the two types of concrete crack propagation criteria, the
41 initial fracture toughness is more appropriate for describing the fracture behavior of concrete,
42 especially for high strength concrete.

43 **Keywords:** Concrete; mode-I fracture; crack propagation process; crack propagation
44 criterion; initial fracture toughness

45 **1. Introduction**

46 The cracking process in quasi-brittle materials such as concrete and other cement-based
47 composites is usually characterized by the formation of micro-cracks that eventually merge
48 and form a propagating macro-crack. The region where the micro-cracks distribute and the
49 damages accumulate as fracture proceeds is called the fracture process zone (FPZ), which
50 reflects the nonlinear characteristic of concrete as a quasi-brittle material. Due to the
51 existence of FPZ ahead of the crack tip, the whole fracture process in concrete can be
52 divided into three stages, i.e., crack initiation, stable and unstable crack propagation.
53 Effective modelling of the crack initiation and propagation process is significant for
54 assurance of a concrete structures safety and durability.

55 Since the introduction of the fictitious crack model[1], it has been widely used for simulating
56 the fracture process of concrete and other cement-based materials. In addition, in order to
57 predict crack propagation, an appropriate criterion is a prerequisite for determining crack
58 propagation in concrete. Together with the fictitious crack model, there are three other types
59 of propagation criteria commonly used in the fracture analyses of concrete, i.e.,
60 stress-based, energy-based, and stress intensity factor (SIF)-based. Considering that the
61 size of the plastic zone in the fictitious crack is very small for concrete, the maximum tensile
62 stress criterion was proposed as the crack propagation criterion to determine crack
63 propagation in concrete [2-4]. Meanwhile, based on the principle of energy conservation, Xie
64 derived the energy-based cohesive crack propagation criterion for concrete [5] which states
65 that a crack propagates when the strain energy release rate exceeds the energy dissipation
66 rate in FPZ. The criterion has been successfully utilized to simulate crack propagation in

67 concrete [6-8].

68 On the other hand, the SIF-based crack propagation criteria are also widely adopted in
69 fracture analyses of concrete. In general, based on the linear superposition theory, crack
70 propagation can be determined by assessing the difference of SIFs caused by the driving
71 force and that caused by cohesive forces acting in the FPZ of concrete. It represents the
72 competition between the crack driving force attempting to open the crack and the cohesive
73 force attempting to close it. However, it should be noted that different points of view exist in
74 the research community on the assessment of the difference in SIFs caused by cohesive
75 forces and an applied load in crack propagation criteria. One of them is the nil SIF criterion
76 [9]. Considering that the stress singularity at the fictitious crack tip is removed, a crack can
77 propagate when the SIF caused by the driving force exceeds the one by the cohesive force,
78 i.e. $K_I \geq 0$. This criterion has been used to simulate crack propagation in reinforced concrete
79 [10], mode-I and mixed-mode fracture [11, 12] and multiple cohesive crack propagation [13]
80 in concrete. However, Foot et al. [14] proposed that mortar can be sufficiently characterized
81 by its critical toughness K_m so that all SIFs should refer to the continuous matrix at the crack
82 tip of concrete. Therefore, a critical toughness criterion based on SIFs was proposed, in
83 which a crack can propagate when the difference between the SIF's caused by the driving
84 force and the one by cohesive force exceeds the critical toughness of mortar, i.e. $K_I \geq K_m$. This
85 criterion has been used to simulate crack propagation of mode-I fracture [15, 16] and
86 construct the resistance curve of cement-based composites through numerical analyses [17].
87 Later, considering concrete as a homogeneous material at a macro-level rather than various
88 distinguished phases at a micro-level, an initial fracture toughness K_{ini} criterion based on

89 SIFs is proposed [18, 19]. In this criterion, the crack can propagate when the difference of
90 SIF, i.e. K_I , caused by the driving force and the one by the cohesive force exceeds the initial
91 fracture toughness of concrete, i.e. $K_I \geq K_{ini}$. This criterion has been employed to calculate the
92 resistance curve [18], variation of PFZ during the fracture process[20] as well as simulation
93 of crack propagation of mode-I [19, 21] and I-II mixed fracture[18] in concrete.

94 Although different expressions have been adopted in the three different SIF-based concrete
95 crack propagation criteria discussed above, reasonable agreements have been achieved
96 between model predictions and experimental results for normal strength concrete using all
97 three different crack propagation criteria. It may be because that the values of K_m and K_{ini} in
98 the critical and initial fracture toughness criteria are not large enough to dramatically affect
99 the fracture behavior of normal strength concrete. With the increase of strength, concrete
100 brittleness will increase significantly, resulting in shortening of the PFZ length and
101 enhancement of K_m and K_{ini} . In modelling mode-I crack propagation of normal strength
102 concrete, both crack propagation criteria are appropriate to predict load-crack mouth
103 opening displacement (P-CMOD) curves of notched concrete beams. However, no research
104 has been reported when the SIF-based criteria are employed to determine crack
105 propagation of concrete with different strength grades, especially for high strength concrete.
106 In line with this, the principle objective of the paper is to present a comparative study on the
107 simulation of the whole concrete crack propagation process using the two SIF-based criteria,
108 namely the initial fracture toughness and the nil SIF at the tip of crack. By comparing the
109 P-CMOD curves obtained from experiment and numerical analyses of notched concrete
110 beams with various strength grades, the applicability of the two propagation criteria on

111 mode-I fracture for low, normal and high strength concrete are evaluated. It is expected that
 112 the experimental and theoretical investigations presented here will lead to a better
 113 understanding of which crack propagation criteria is able to more effectively determine crack
 114 propagation for concrete with different strength grades so that a reasonable criterion can be
 115 selected in analyzing failure behaviors of structures in practical engineering design.

116 2. Initial fracture toughness and nil-SIF criteria

117 According to the fictitious crack model [1], the cohesive stress σ acting on the crack surface
 118 of FPZ is very often formulated with respect to crack opening displacement w . Based on the
 119 linear superposition theory, the SIF at the crack tip in a three-point bending notched beam
 120 (Span \times Width \times Height = $S \times B \times D$) with an initial crack length a_0 can be evaluated using Eq.
 121 (1) [22]. The superposition algorithm for calculating K_P and K_σ adopted in this research is
 122 schematically illustrated in Fig. 1, in which a crack propagation length Δa is assumed in each
 123 analysis step with cohesive stress $\sigma(x)$ acting on it.

$$124 \quad K_I = K_P + K_\sigma \quad (1)$$

125 where, K_P is the SIF caused by the applied load P , and K_σ (negative) is the SIF caused by
 126 cohesive stress along FPZ.

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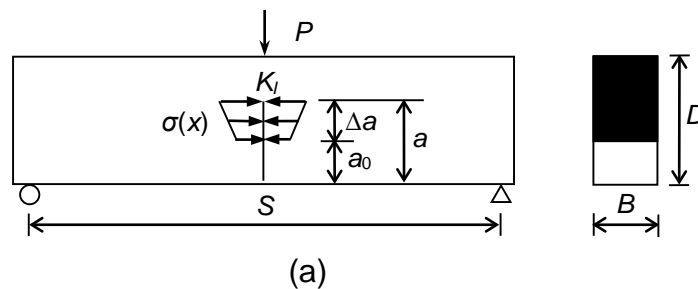
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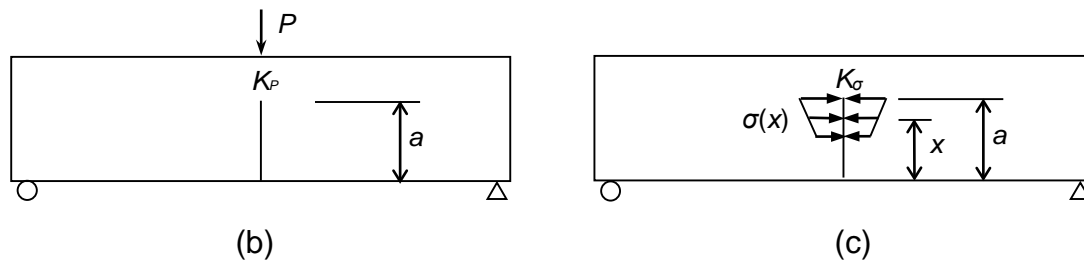


Fig. 1. Linear superposition method for calculating K

In the nil-SIF criterion[9], considering that the introduction of FPZ avoids the non-physical, singular stress fields at the fictitious crack tip, a crack can propagate once the driving force overcomes the resistance from the cohesive force, i.e. SIF at the fictitious crack tip $K_I > 0$. However, there is a different point of view about the stress singularity at the fictitious crack tip in initial fracture toughness criterion[19]. According to the double- K theory[22, 23], for a beam under three-point bending with an initial crack length a_0 , a crack does not initiate until the applied load P reaches the initial cracking load P_{ini} , i.e., the SIF at the tip of the crack reaches the initial fracture toughness K_{ini} . The crack propagation length Δa is assumed to be formed under the condition of the applied load $P > P_{ini}$. Then, the nonlinear behavior of concrete caused by crack propagation can be characterized by the fictitious cohesive stress acting on the FPZ according to the fictitious crack model. Upon this point, the beam under three-point bending with the initial crack length a_0 can be regarded as a beam with the initial crack length $a_0 + \Delta a$ under the applied load P and fictitious cohesive force acting on the FPZ. Therefore, further crack propagation, which can also be regarded as a new crack initiation, will take place when the difference of SIFs caused by the applied load and fictitious cohesive force exceeds the initial fracture toughness K_{ini} , i.e., $K_I > K_{ini}$.

3. Analytical method for calculation of crack propagation

165 By the introduction of initial fracture toughness and nil-SIF criteria, the crack propagation of
 166 mode-I fracture in concrete can be calculated using an analytical method based on linear
 167 elastic fracture mechanics theory. The details of the numerical process are elaborated as
 168 following, in which a beam under three-point bending with initial crack length a_0 is taken as
 169 an example.

170 First, assuming a crack propagation length Δa , the new crack length a becomes $a_0 + \Delta a$.
 171 Here, the SIF caused by the applied load can be determined by Eq. (2) [24].

$$172 \quad K_p = \frac{3PS\sqrt{a}}{2D^2B} F_1(a/D) \quad (2)$$

173 Where, $F_1(a/D)$ can be defined by Eq.(3).

$$174 \quad F_1(a/D) = \frac{1.99 - (a/D)(1 - a/D)[2.15 - 3.93(a/D) + 2.7(a/D)^2]}{(1 + 2a/D)(1 - a/D)^{3/2}} \quad (3)$$

175 The crack mouth opening displacement $CMOD$ can be calculated by Eq. (4)[24].

$$176 \quad CMOD = \frac{24P\lambda}{EB} \left[0.76 - 2.28\lambda + 3.87\lambda^2 - 2.04\lambda^3 + \frac{0.66}{(1 - \lambda)^2} \right] \quad (4)$$

177 Where, E is the elastic modulus of concrete and λ is equal to $(a+H_0)/(D+H_0)$. H_0 is the
 178 thickness of the knife edge holding the clip gauges and equal to 2 mm in this study.

179 Corresponding to the obtained $CMOD$, the crack opening displacement w at distance x from
 180 the crack mouth can be written as Eq. (5)[25].

$$181 \quad w = CMOD \{ (1 - x/a)^2 + [1.081 - 1.149(a/D)][x/a - (x/a)^2] \}^{1/2} \quad (5)$$

182 The relationship between the cohesive stress and crack opening displacement in the FPZ
 183 can be used to describe the softening behavior of concrete. Therefore, in this paper, a
 184 bilinear formulation[26] is employed to describe σ - w relationship which is mathematically
 185 presented as follows:

186
$$\sigma(x) = f_t - (f_t - \sigma_s) \frac{W}{W_s}, \quad 0 \leq W \leq W_s \quad (6)$$

187
$$\sigma(x) = \sigma_s \frac{W_0 - W}{W_0 - W_s}, \quad W_s \leq W \leq W_0 \quad (7)$$

188
$$\sigma(x) = 0, \quad W \geq W_0 \quad (8)$$

189 where, f_t is the uniaxial tensile strength of concrete, w_0 is the displacement of the terminal
 190 point of σ - w curve beyond which no stress can be transferred, i.e. the stress-free crack width,
 191 w_s and σ_s is the displacement and stress, respectively, corresponding to the break point in
 192 the bilinear σ - w relationship, in which $\sigma_s = f_t/3$, $w_s = 0.8G_f/f_t$, $w_0 = 3.6G_f/f_t$. These parameters
 193 and the σ - w relationship can be derived given the fracture energy G_f and the uniaxial tensile
 194 strength f_t . Further, the SIF caused by cohesive forces $\sigma(x)$ acting at the FPZ can be
 195 calculated by Eq. (9)[27].

196
$$K_\sigma = \int_{a_0}^a 2\sigma(x)F_2(x/a, a/D) / \sqrt{\pi a} dx \quad (9)$$

197 $F_2(x/a, a/D)$ can be defined by Eq. (10).

198
$$F_2(x/a, a/D) = \frac{3.52(1-x/a)}{(1-a/D)^{3/2}} - \frac{4.35-5.28(x/a)}{(1-a/D)^{1/2}} + \left[\frac{1.3-0.3(x/a)^{3/2}}{\sqrt{1-(x/a)^2}} + 0.83-1.76(x/a) \right]$$

 199
$$\times [1-(1-x/a)(a/D)] \quad (10)$$

200 Since K_P and K_σ can be obtained from Eqs. (2) and (9) for a beam under three-point bending,
 201 the appropriate load corresponding to the crack propagation length Δa can be found such
 202 that the propagation criterion $K_I > 0$ or $K_I > K_{I_{ini}}$ is satisfied. Therefore, the whole fracture
 203 process and P - $CMOD$ curves can be obtained by repeating this exercise for each given
 204 crack propagation length, providing all material parameters, specifically $K_{I_{ini}}$, G_f , f_t and E are
 205 available from experiment.

206 4. Experimental program

207 To validate the two SIF-based criteria, five series of notched concrete beams, with different

208 strength grades, i.e. C20, C40, C60, C80, C100 were tested under three-point bending and
 209 the corresponding *P-CMOD* curves were obtained. The beams in each series had the same
 210 dimensions, i.e. $S \times D \times B = 400 \text{ mm} \times 100 \text{ mm} \times 40 \text{ mm}$, but the initial crack length/depth ratio
 211 a_0/D was equal to either 0.2, 0.3 or 0.4. For instance, the specimen number “TPB40-0.3”
 212 denotes a series of beams under three-point bending of C40 grade strength and $a_0/D=0.3$.
 213 The mix proportions of concrete with different strength grades are listed in Table 1. Crushed
 214 limestone with a maximum size of 20 mm was used as coarse aggregate for C20-C80
 215 concrete. Crushed granite with a maximum size of 16 mm was used as coarse aggregate for
 216 C100 concrete. Medium-size river sand was used as fine aggregate. It should be noted that
 217 the C20 and C40 concretes were made with Grade R42.5 Portland cement (Chinese
 218 standard of Common Portland Cement, GB175-2007), and the C60, C80, and C100
 219 concretes were made with Grade R52.5 Portland cement (Chinese standard of Common
 220 Portland Cement, GB175-2007). Meanwhile, in order to improve the workability of high
 221 strength concrete which has lower water-to-cement ratio, fly ash and water reducing
 222 admixture were added to the C60, C80, and C100 concrete.

223

224 Table1. Concrete mix proportions with different strength grades

Concrete	Cement grade	(kg/m ³)						Water reducing admixture
		Cement	Sand	Aggregate	Water	Fly ash	Silica fume	
C20	R42.5	336	692	1177	195	-	-	-
C40	R42.5	446	593	1102	214	-	-	-
C60	R52.5	390	631	1226	142	61	-	6.31
C80	R52.5	420	495	1155	144	120	60	13.4
C100	R52.5	420	495	1155	138	120	60	9

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226 Engineering properties, including compressive strength, tensile strength and elastic
 227 modulus as well as fracture parameters including initial fracture toughness and fracture
 228 energy of the concrete prepared, are determined from relevant experiment / analysis
 229 and the results are listed in Tables 2 and 3. The initial fracture toughness is calculated
 230 using Eq. (2), in which the initial cracking load and initial crack length are employed
 231 accordingly. To measure the initial cracking load, four strain gauges were attached
 232 vertically in front of the precast notch on both sides of a beam, a distance of 10mm apart.
 233 The experimental setup for the three-point bending test is shown in Fig. 2. When a crack
 234 initiates and starts to propagate, measured strain will decrease suddenly and
 235 significantly from its maximum value due to a sudden release of fracture energy.
 236 Therefore, the initial cracking load can be obtained according to the variation of the
 237 strain around the tip of a pre-notch (See Fig. 3). According to [22, 23], the initial
 238 concrete fracture toughness is an inherent material property irrespective of effective
 239 crack length, so that the average of K_{ini} is given for beams under three-point bending
 240 with different a_0/D . The fracture parameters of concrete were measured according to the
 241 recommendation of RILEM TC 50[28].

242 Accordingly, the critical toughness K_{un} can be obtained by substituting the maximum
 243 load P_{max} and the critical cracking length a_c into Eq. (2). The critical crack length a_c for a
 244 beam under three-point bending can be calculated using Eq. (11) [23].

$$245 \quad a_c = \frac{2}{\pi}(D + H_0) \arctan \left(\frac{B \cdot E \cdot CMOD_c}{32.6 P_{max}} - 0.1135 \right)^{1/2} - H_0 \quad (11)$$

246 where, $CMOD_c$ is the critical crack mouth opening displacement, which can be measured in
 247 experiment.

248

249 Table 2. Engineering properties of concrete

Concrete	f_c (MPa)	f_t (MPa)	E (GPa)
C20	32.8	3.05	29.9
C40	48.9	3.74	33.2
C60	69.9	4.43	35.7
C80	84.1	5.01	38.1
C100	115.8	5.71	41.4

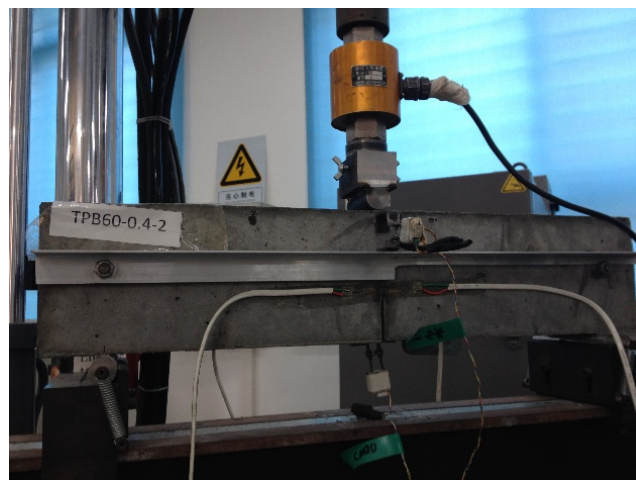
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251 Table 3. Fracture parameters of beams under three-point bending

Nos.	a_c (mm)	K_{ini} (MPa·m ^{1/2})	K_{un} (MPa·m ^{1/2})	G_f (N/m)
TPB20-0.2	51	0.577	1.349	127.9
TPB20-0.3	60	0.461	1.127	117.1
TPB20-0.4	62	0.452	0.944	109.9
TPB40-0.2	43	0.634	1.242	130.6
TPB40-0.3	55	0.616	1.399	124.5
TPB40-0.4	63	0.559	1.043	111.8
TPB60-0.2	39	0.706	1.469	122.4
TPB60-0.3	50	0.632	1.444	114.9
TPB60-0.4	56	0.698	1.372	135.8
TPB80-0.2	45	0.854	1.729	141.0
TPB80-0.3	47	0.667	1.532	120.5
TPB80-0.4	65	0.735	1.398	110.8
TPB100-0.2	42	1.030	1.859	138.0
TPB100-0.3	48	0.917	1.806	115.4
TPB100-0.4	62	0.875	1.764	125.0

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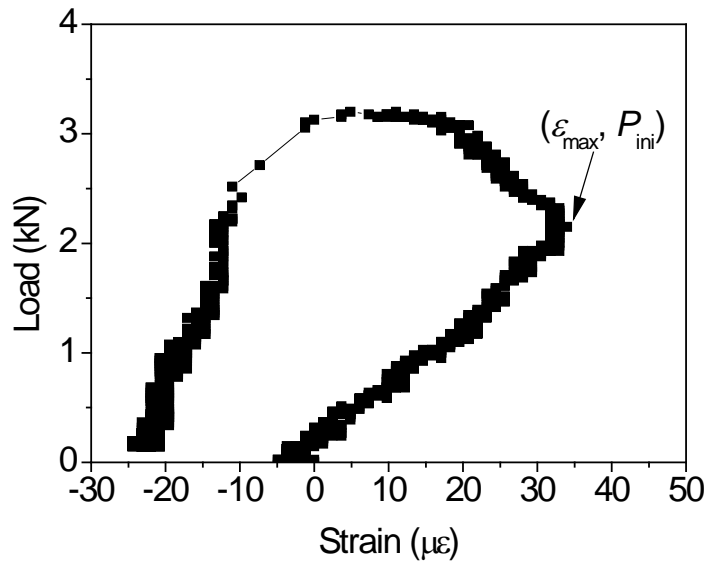
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Fig. 2. Setup of a beam under the three-point bending



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Fig. 3. Strain variation of concrete around crack tip

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5. Results and discussion

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The *P-CMOD* curves of the beams under three-point bending with different concrete

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strength grades were obtained from experiment, which are presented in Figs. 4 to 8. The

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corresponding *P-CMOD* curves obtained from numerical analysis using the nil SIF and the

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initial fracture toughness criteria are also presented in Figs. 4 to 8. It should be noted that for

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the beams under three-point bending with the same strength grade and a_0/D , the average

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values of material properties from experiment, including K_{ini} , G_f , E , f_t are used in the

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analytical solution. Taking series TPB40-0.3 as an example, there are five samples with C40

266

concrete and $a_0/D=0.3$, whose average values of K_{ini} , G_f , E and f_t are $0.616 \text{ MPa}\cdot\text{m}^{1/2}$, 124.5

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N/m , 33.2 GPa and 3.74 MPa , respectively (See Tables 1 and 2). Meanwhile, for the

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TPB40-0.3 series shown in the Fig 5 (b), the five curves with different gray levels denote the

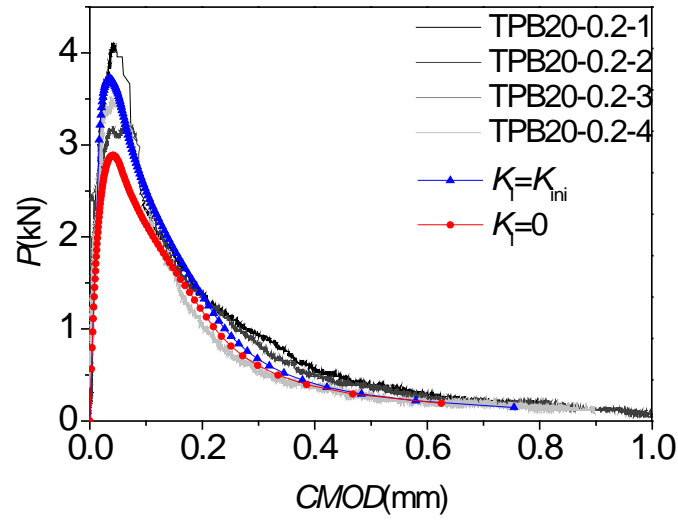
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P-CMOD ones measured from experiment, and the red and blue highlighted curves denote

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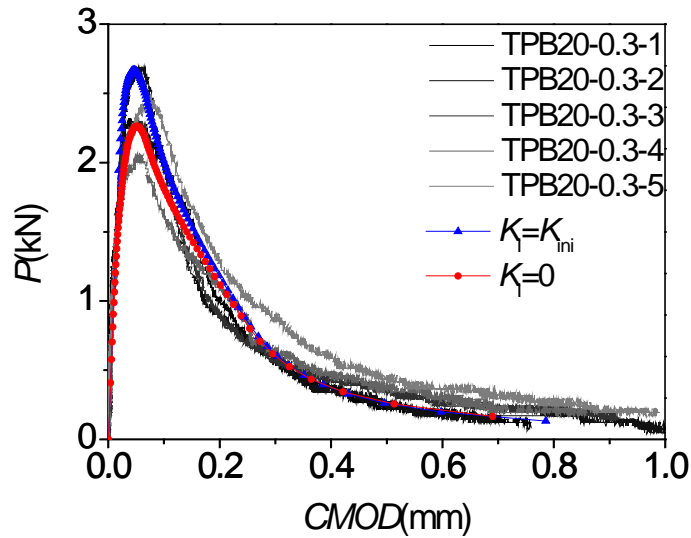
the predicted *P-CMOD* ones based on the nil SIF and the initial fracture toughness criteria,

271 respectively.



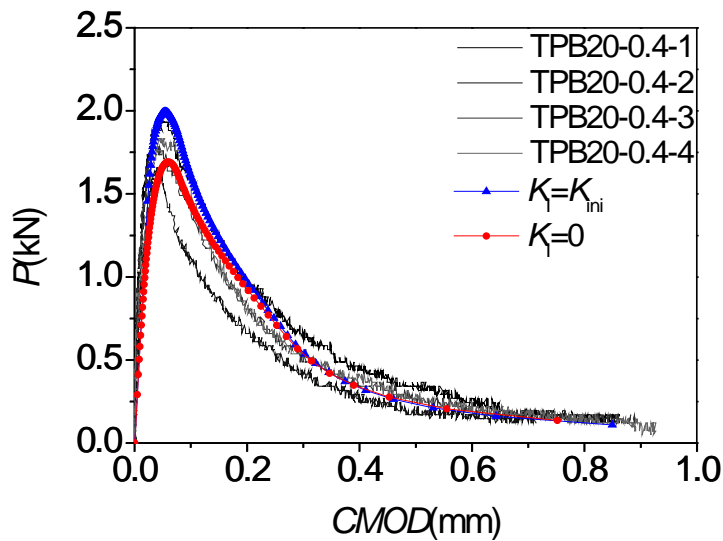
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(a) Series of TPB20-0.2



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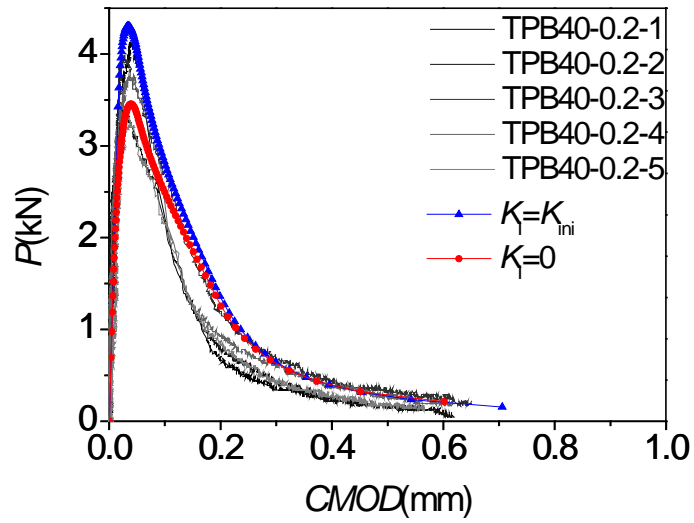
(b) Series of TPB20-0.3



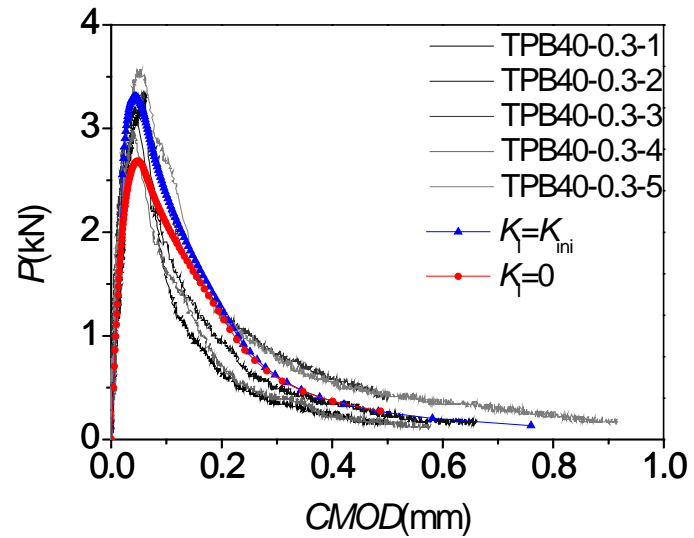
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(c) Series of TPB20-0.4

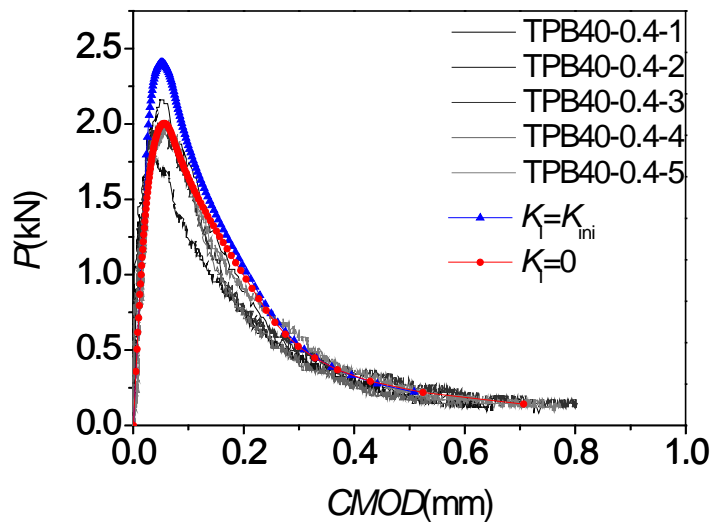
Fig. 4. P-CMOD curves for C20 concrete



(a) Series of TPB40-0.2



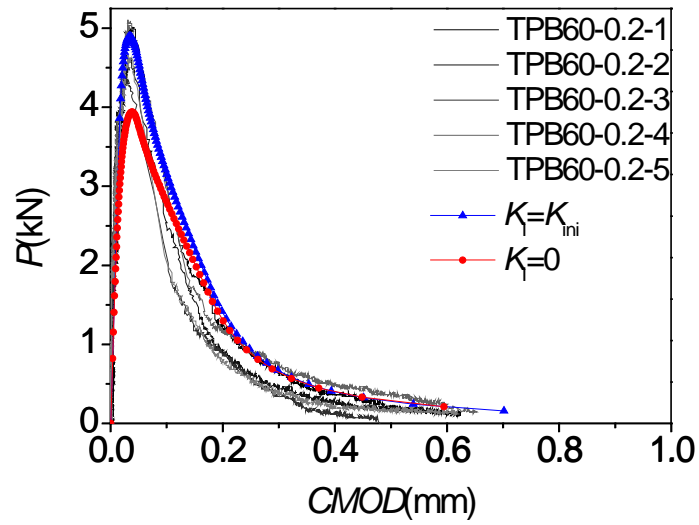
(b) Series of TPB40-0.3



(c) Series of TPB40-0.4

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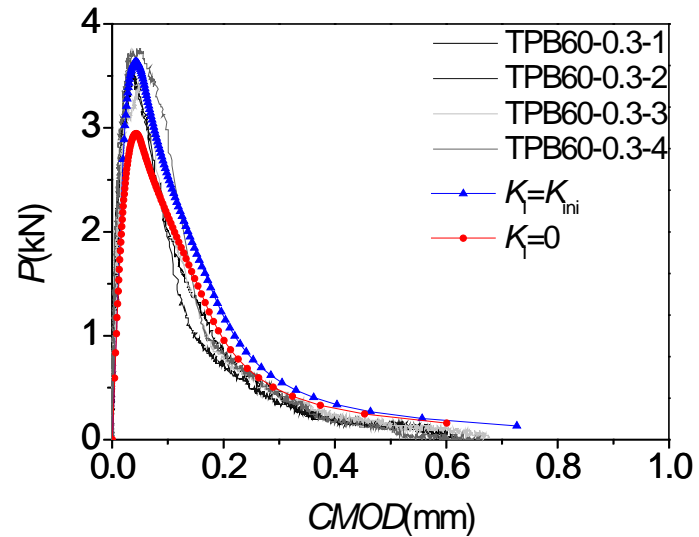
Fig. 5. P-CMOD curves for C40 concrete



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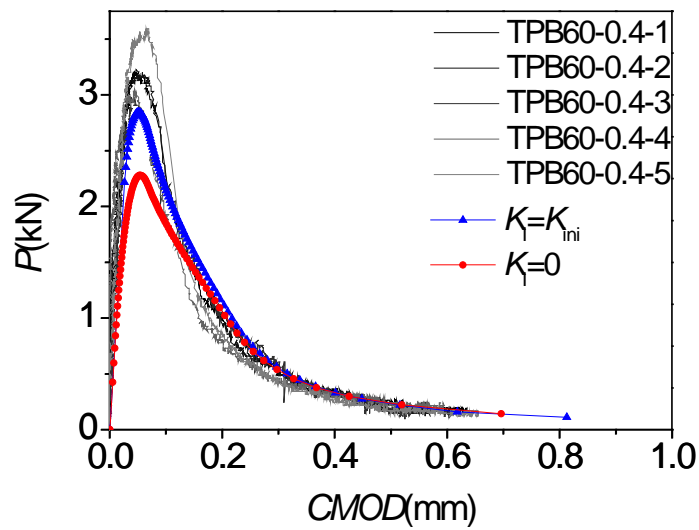
(a) Series of TPB60-0.2



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(b) Series of TPB60-0.3

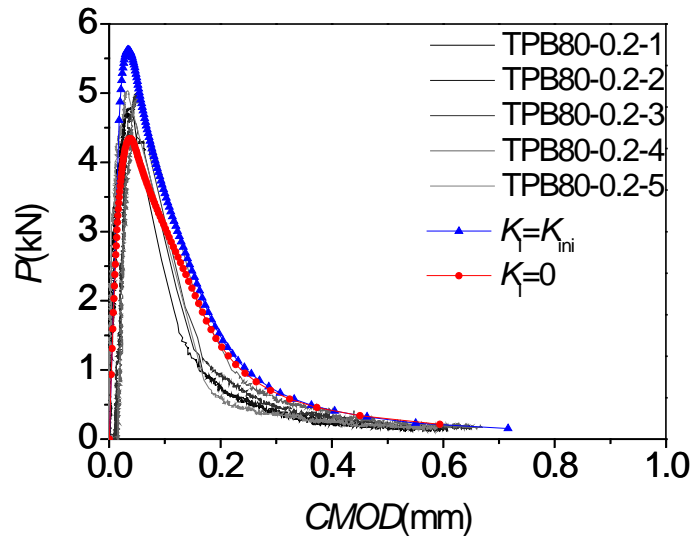


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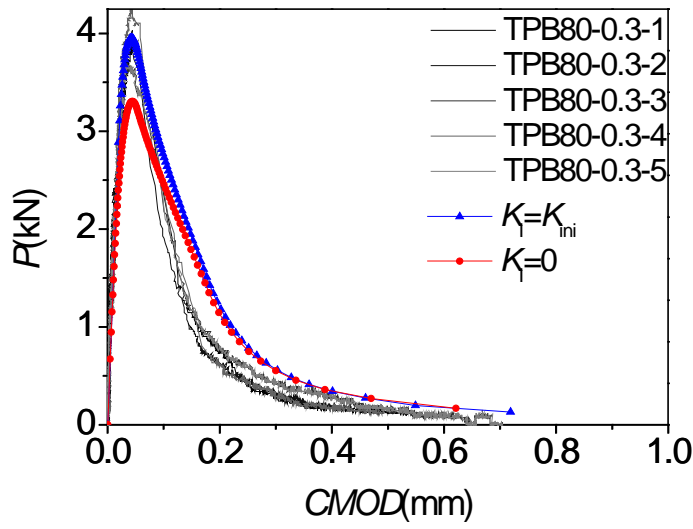
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(c) Series of TPB60-0.4

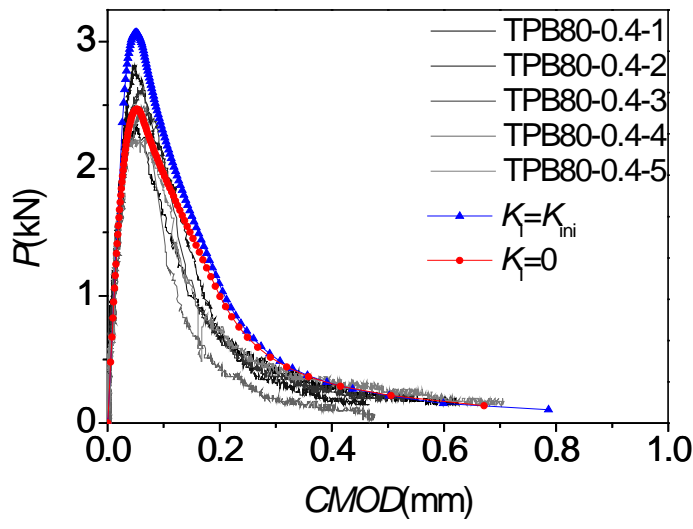
Fig. 6. P-CMOD curves for C60 concrete



(a) Series of TPB60-0.2

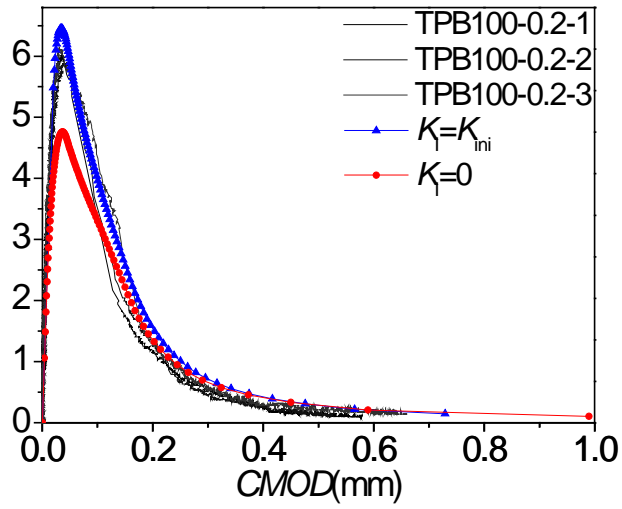


(b) Series of TPB40-0.3

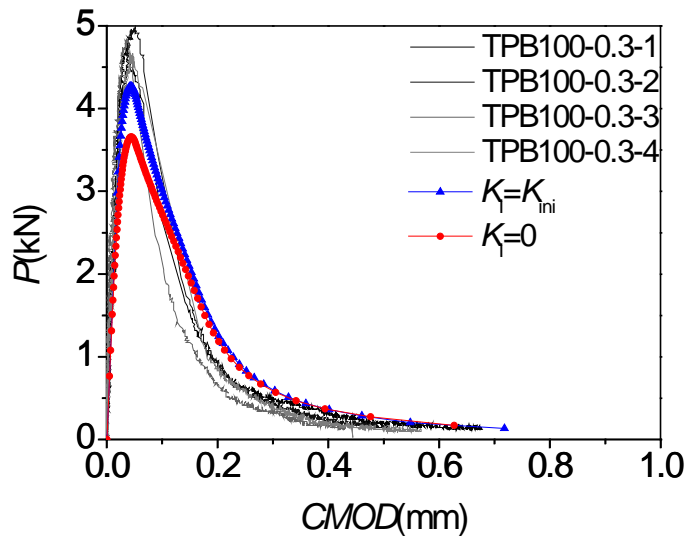


(c) Series of TPB80-0.4

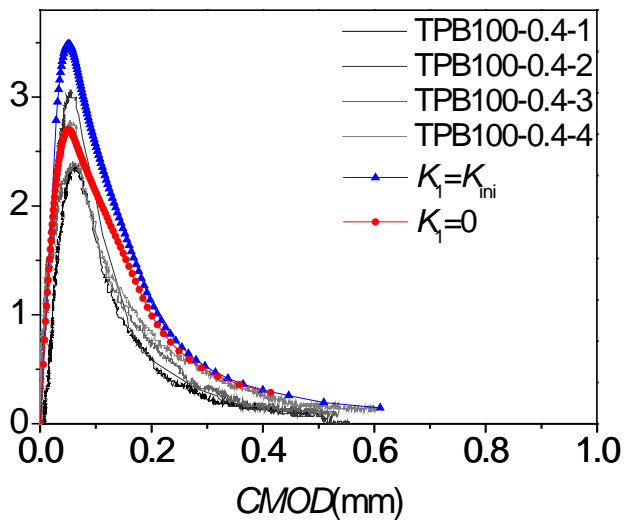
Fig. 7. P-CMOD curves for C80 concrete



(a) Series of TPB100-0.2



(b) Series of TPB100-0.3



(c) Series of TPB100-0.4

Fig. 8. P-CMOD curves for C100 concrete

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307 *5.1 Influence of crack propagation criterion on P_{max} , a_c and $CMOD_C$*

308 According to the *P-CMOD* curves shown in Figs. 4 to 8, it can be seen that the predicted
309 *P-CMOD* curves from the two SIF-based criteria are almost within the envelope of
310 experimental results. However, the calculated peak loads using the nil SIF criterion (i.e. $K_I=0$)
311 are significantly less than the one using the initial fracture toughness criterion (i.e. $K_I=K_{ini}$).
312 This can be explained by analyzing the fracture mechanism implied by the two SIF-based
313 criteria. In fact, there is an essential difference on the assessment of propagation resistance
314 at the tip of fictitious crack in these two criteria to predict the fracture process of concrete. In
315 the nil SIF criterion, the crack propagation resistance is caused by the cohesive force action
316 on the FPZ. In contrast, in the initial fracture toughness criterion (i.e. $K_I=K_{ini}$), the crack
317 propagation resistance is caused by the cohesive force action on the FPZ as well as the
318 initial fracture toughness K_{ini} . When the peak load is reached, the SIF at the tip of a fictitious
319 crack is equal to the critical fracture toughness K_{un} , which can be regarded as a material
320 property. If denoting the calculated critical crack length using the nil SIF and the initial
321 fracture toughness criteria as a_{c1} and a_{c2} , respectively, the following relationship can be
322 obtained.

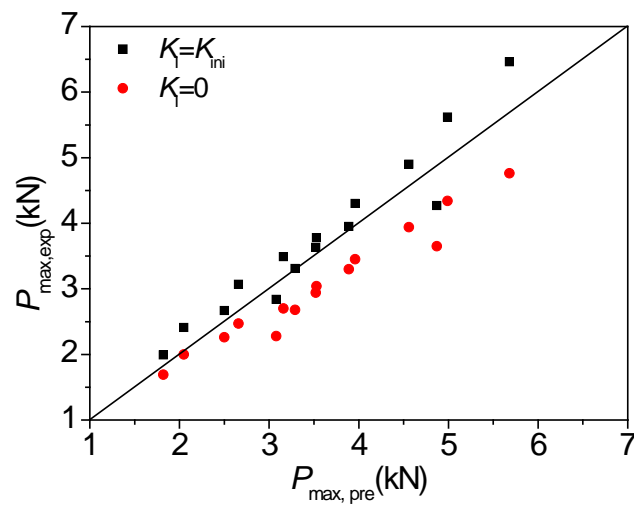
323
$$K_{\sigma}(a_{c1})=K_{ini}+K_{\sigma}(a_{c2})=K_{un} \quad (12)$$

324 Where, $K_{\sigma}(a_{c1})$ and $K_{\sigma}(a_{c2})$ are the SIFs caused by the cohesive force corresponding to the
325 critical crack length a_{c1} and a_{c2} . It can be seen from Eq. (12) that $K_{\sigma}(a_{c1})>K_{\sigma}(a_{c2})$, given the
326 same set of material parameters for a certain type of concrete, the relationship of $a_{c1}>a_{c2}$
327 can be obtained according to Eq. (9), i.e. the critical crack length based on the nil SIF
328 criterion is greater than the one based on the initial fracture toughness criterion. Further,

329 according to Eq. (2), it can be concluded that the calculated peak load based on the nil SIF
330 criterion is less than the one based on the initial fracture toughness criterion.

331 A comparison is made between the predicted peak loads based on the two SIF-based
332 criteria and the experimental ones as shown in Fig. 9. In this figure, the average value of
333 peak loads from experiment is taken for the beams under three-point bending with the same
334 strength grades and a_0/D . Three beams with the same concrete grade and a_0/D were tested
335 in experiment. The horizontal axis $P_{\max,pre}$ represents the calculated peak load and the
336 vertical axis $P_{\max,exp}$ represents the measured peak load from experiment. It can be seen that
337 the predicted peak load using the $K_I=K_{ini}$ criterion is much closer to the experimental results
338 than that using the $K_I=0$ criterion. Compared with the experimental results, most of the
339 predicted peak loads using the $K_I=0$ criterion are underestimated. Meanwhile, a comparison
340 of critical crack length is made between the theoretical results from Eq. (11) and predictions
341 as shown in Fig. 10. In Eq. 11, the average values of $CMOD_c$ from experiment are used to
342 calculate a_c for the beams in three-point bending with the same strength grade and a_0/D .
343 The horizontal axis $a_{c,pre}$ represents the calculated critical crack length from numerical
344 analysis and the vertical axis $a_{c,cal}$ represents the theoretical critical crack length from Eq.
345 (11). It can be seen that the predicted critical crack length using the $K_I=K_{ini}$ criterion is much
346 closer to the theoretical results than that using the $K_I=0$ criterion. Compared with the
347 theoretical results, most of the predicted critical crack length using the $K_I=0$ criterion is
348 overestimated. Accordingly, the critical crack mouth opening displacement $CMOD_c$ can be
349 measured using the clip setting on the bottom of a beam under three-point bending (see Fig.
350 2). A comparison of $CMOD_c$ is made between the measured results from the experiment and

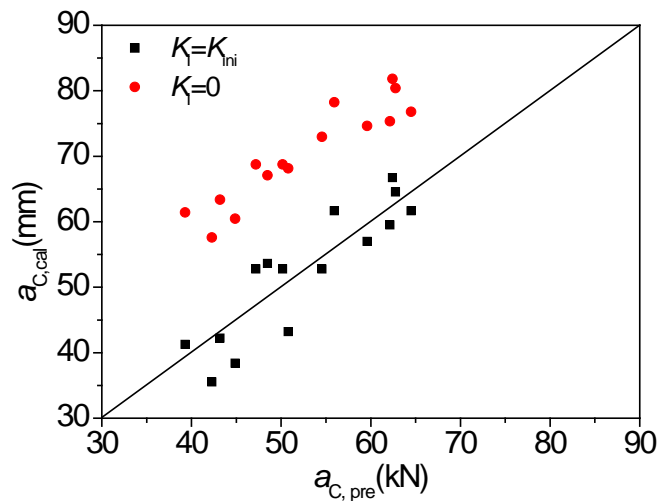
351 calculated results as shown in Fig. 11. The horizontal axis $CMOD_{C,pre}$ represents the
 352 calculated critical crack mouth opening displacement and the vertical axis $CMOD_{C,exp}$
 353 represents the measured one from experiment. It can be seen that the predicted $CMOD_C$
 354 using the $K_I=K_{ini}$ criterion is much closer to the measured results than that using the $K_I=0$
 355 criterion. Compared with experimental results, the predicted $CMOD_C$ using the $K_I=0$ criterion
 356 is a slight overestimation.



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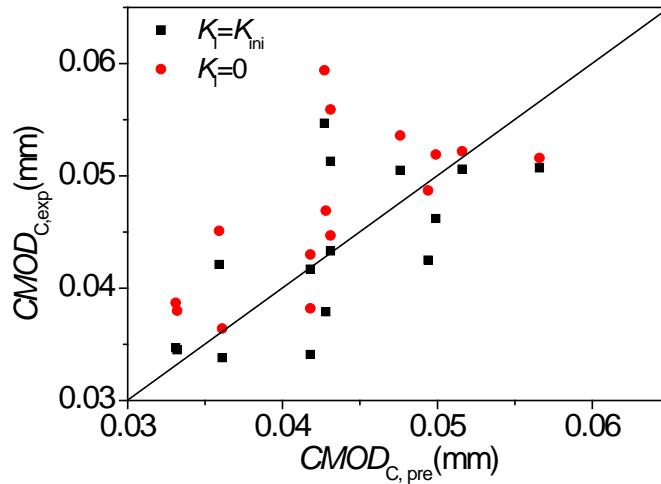
Fig. 9. P_{max} obtained from experiment and prediction



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Fig. 10. a_c obtained from theoretical analysis and prediction



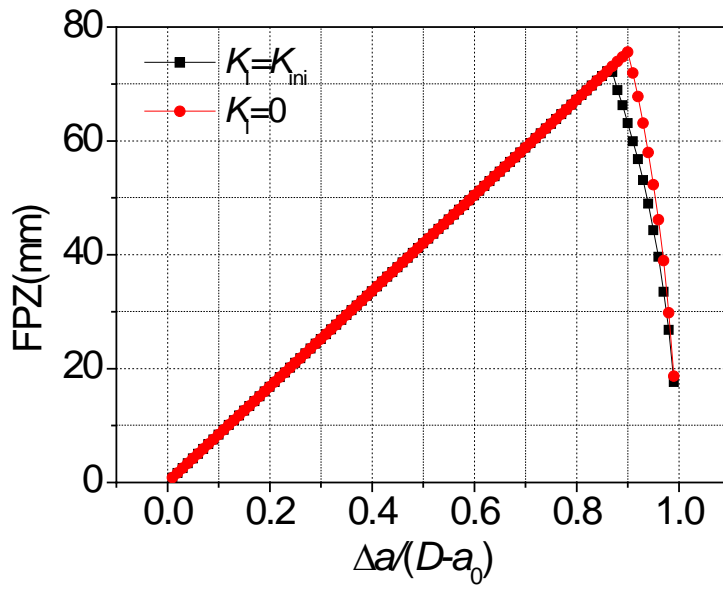
361
362 **Fig. 11.** $CMOD_C$ obtained from experiment and prediction

363 *5.2 Influence of concrete strength on predicted results*

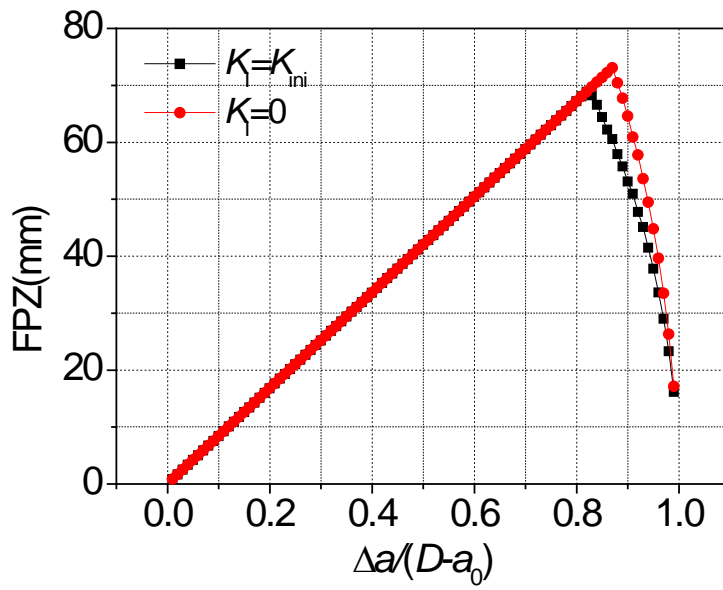
364 For a perfect plastic material, the resistance to structural deformation is caused by its
 365 cohesion. The concept of fracture toughness based on the LEFM does not work for plastic
 366 materials which exhibit nonlinear properties. Therefore, the initial fracture toughness K_{ini} can
 367 be regarded as zero for plastic materials. Due to this, the nil SIF criterion and the initial
 368 fracture toughness criterion have the same expression, i.e. $K_I > 0$. In this study, the SIF-based
 369 criteria is not intended to be used for analyzing crack propagation in a plastic material, but
 370 rather for describing the formal transformation of the two criteria when they are employed in
 371 the analysis of materials with different brittleness. Accordingly, for a perfectly brittle material,
 372 there is no crack propagation process, i.e. the crack will propagate throughout the section of
 373 specimen once it initiates. In this case, the FPZ cannot be formed, and cohesive forces do
 374 not exist within the material. Therefore, the unique resistance of crack propagation is
 375 provided by the initial fracture toughness K_{ini} , which is equal to the critical toughness K_{un} .
 376 Upon this point, the initial fracture toughness criterion can be expressed as $K_I > K_{ini} = K_{un}$,
 377 which has a good agreement with the fracture criterion used in LEFM. However, the nil SIF

378 criterion $K_I > 0$ is not applicable under this condition, as it will lead to an unreasonable result,
379 i.e., a crack can propagate continuously under even a tiny accidental load.

380 In a quasi-brittle material such as concrete, the nonlinear behavior in load vs. deformation
381 curve of a beam under three-point bending is caused by the crack propagation together with
382 the cohesive stress along FPZ. In contrast to perfectly brittle materials, the length of this
383 process zone is usually not negligible compared to the size of a typical structure. With the
384 increase of concrete strength, the brittleness of concrete increases which results in
385 shortening of the whole FPZ length and the enhancement of initial fracture toughness in
386 concrete. Fig. 12 illustrates the variation of the FPZ length during the fracture process.
387 Through a comparison of FPZ variation among concretes with various strength grades, it
388 can be seen that the whole FPZ length is much shorter for the specimens with a higher
389 strength grade when a certain criterion is adopted, which reflects the effect of brittleness on
390 a material's fracture properties. Meanwhile, through a comparison of FPZ evolution based
391 on the two criteria, it can be seen that the FPZ length is much longer after the whole PFZ is
392 formed with respect to the nil SIF criterion than that with respect to the initial fracture
393 toughness criterion. It can be explained that, for the nil SIF criterion, a much longer PFZ is
394 necessary for the purpose of balance between driving force caused by external load and
395 resistance caused by cohesive force acting on the PFZ. With the increase of concrete
396 strength, the difference in the whole FPZ length based on the two criteria becomes
397 increasingly larger (see Figs. 12 (a) to (c)), as the decrease of FPZ length is more significant
398 for higher strength concrete when initial fracture toughness criterion is adopted.



(a) TPB20-0.3



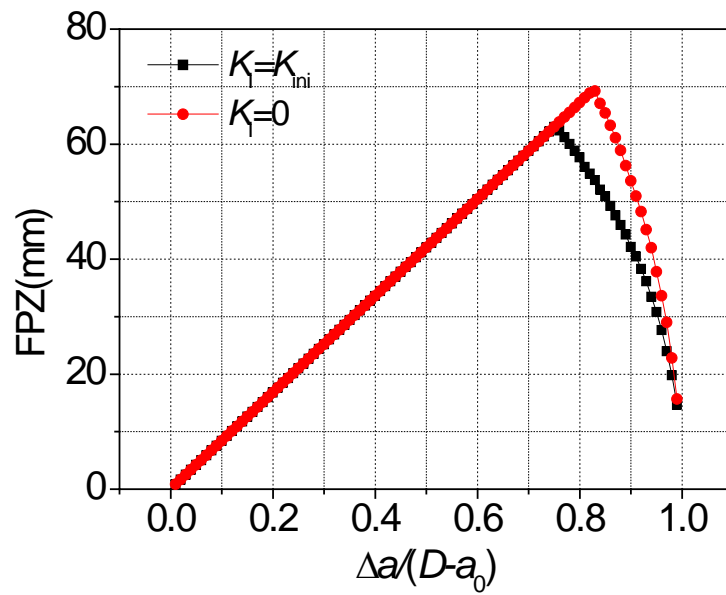
(b) TPB60-0.3

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(c) TPB100-0.3

Fig. 12. Variation of FPZ of concrete with different strength

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407 Fig. 13 illustrates the K_R -resistance curves calculated by the two criteria. The method for

408 constructing the K_R -resistance curves refers to [19], in which the equation of $K_R = K_P$ is

409 adopted in both criteria. It can be seen that, at the beginning of crack propagation, the

410 difference of resistance in the two criteria is equal to the initial fracture toughness K_{ini} . With

411 the increase of crack propagation length, the difference of K_R curves becomes increasingly

412 smaller until the two curves meet at a point, which is denoted by a hollow circle in Fig. 13.

413 For the C20, C60 and C100 concretes, the values of $\Delta a / (D - a_0)$ at the intersection of two

414 curves are 0.5, 0.6 and 0.65, respectively. It indicates that the initial fracture toughness has a

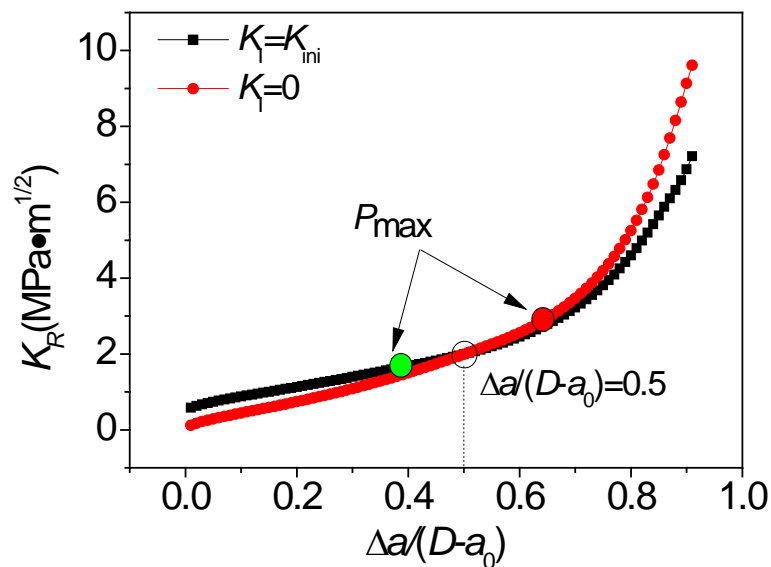
415 significant effect on the crack propagation resistance at the early stage of cracking, which

416 leads to a higher resistance when using the initial fracture toughness criterion in fracture

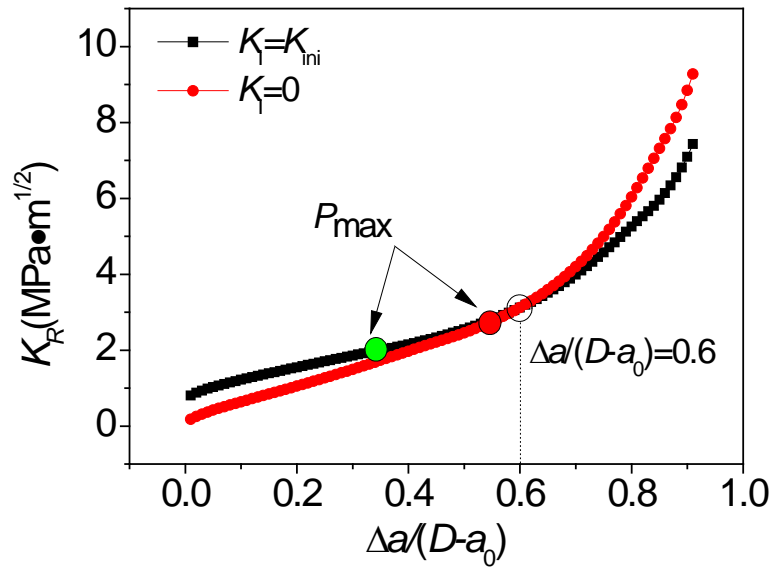
417 analysis. However, with the increase of crack propagation length, instead of the initial

418 fracture toughness, the cohesive force becomes more significant, which results in the higher

419 resistance when the nil SIF criterion is adopted in fracture analysis. The corresponding peak
 420 loads in K_R curves are denoted by solid red and green circles in Figs. 13 (a) to (c), with
 421 respect to the nil SIF and initial fracture toughness criteria, respectively. It indicates that, for
 422 low strength concrete, e.g., the C20 concrete in Fig. 13 (a), the difference in K_R curves is not
 423 significant, as the initial fracture toughness is small. The intersection of the two curves
 424 appears at the post-peak load stage for the initial fracture toughness criterion, but at the
 425 pre-peak load stage for the nil SIF criterion. For the normal and high strength concretes, e.g.,
 426 the C60 and C100 concretes in Figs. 13 (b) and (c), the difference in the K_R curves is more
 427 significant. The intersection of the two curves appears at the post-peak load stage for both
 428 criteria. Compared with the normal strength concrete, the intersection of the two curves in
 429 high strength concrete is far away from the peak load points. Therefore, for a higher strength
 430 concrete, using the initial fracture toughness criterion, the calculated resistance is larger
 431 causing the crack propagation process to take much longer than using the nil SIF criterion.



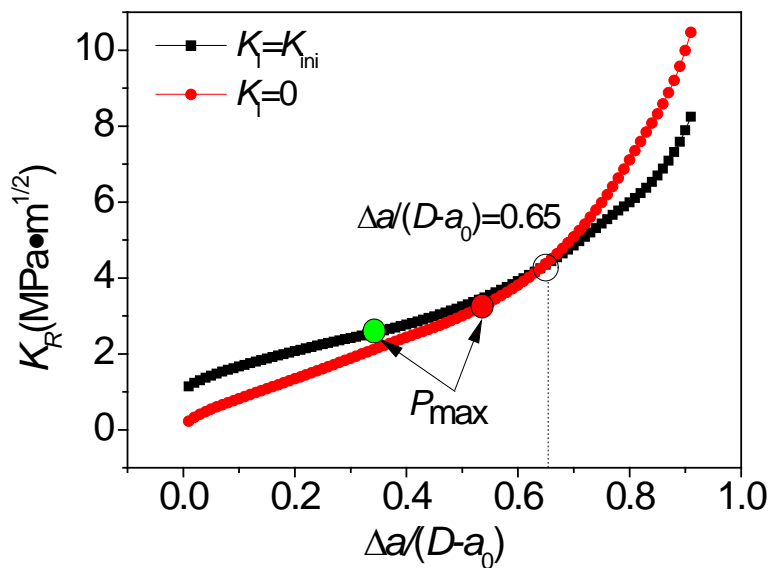
432
 433 (a) TPB20-0.3



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(b) TPB60-0.3



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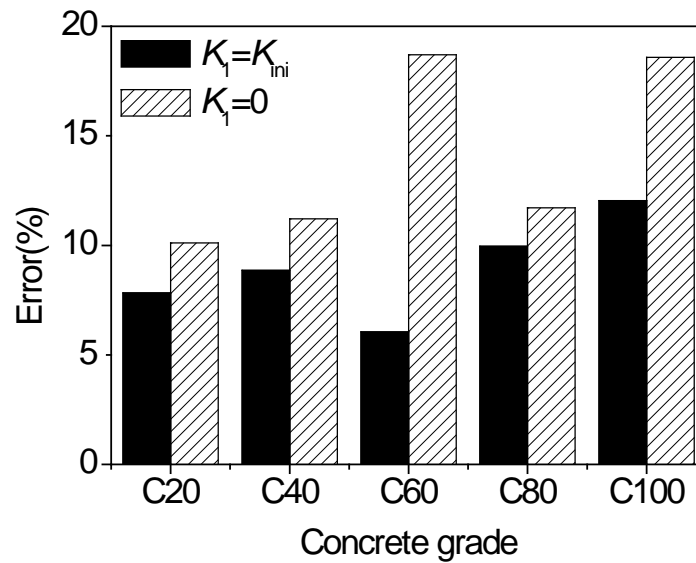
(c) TPB100-0.3

438

Fig. 13. K_R curves of concrete with different strength

439 Meanwhile, with the increase of the concrete strength, the initial fracture toughness
 440 increases, accordingly. Taking the C20 and C100 concrete as examples, the initial fracture
 441 toughness is approximately $0.5 \text{ MPa}\cdot\text{m}^{1/2}$ and $0.94 \text{ MPa}\cdot\text{m}^{1/2}$, respectively, i.e. the value is
 442 almost doubled from C20 to C100 concrete. Due to the short critical crack propagation

443 length, the initial fracture toughness has a significant effect on crack propagation resistance
444 length when the peak load is reached. Also, the initial fracture toughness plays an
445 increasingly more significant role in crack propagation with the increase of concrete strength.
446 Since the effect of the initial fracture toughness on crack propagation is not considered in the
447 nil-SIF criterion, the difference in P_{\max} between the predicted and experimental values could
448 increase with the increase of concrete strength. As an output of this comparison, Fig. 14
449 illustrates P_{\max} errors between predicted and experimental results using the two criteria for
450 concrete with different strength grades. As expected, the errors of P_{\max} from the nil-SIF
451 criterion are always larger than the ones from the initial fracture toughness criterion, and the
452 error increases with the increase of concrete strength. It should be noted that the tendency
453 of error variation is not obvious for the results of the C80 concrete, which can be explained
454 by the wide discreteness, elaborated as following. According to experimental results, the
455 P_{\max} of the beam under three-point bending with $a_0/D=0.4$ is 2.65 kN in the C80 concrete,
456 and 3.08 kN in the C60 concrete is. Therefore, in general, the prediction using the initial
457 fracture toughness criterion shows a better agreement with the experimental results than
458 using the nil-SIF criterion. Also comparing with the nil-SIF criterion, the advantage of the
459 initial fracture toughness criterion is more significant with the increase of concrete strength
460 grade.



461
462 **Fig.14.** P_{max} error for concrete with different strength grades

463 **6. Conclusions**

464 Two SIF-based criteria, nil-SIF and the initial fracture toughness, were adopted to determine
 465 crack propagation and analytical solutions were presented based on the two criteria to
 466 calculate the whole fracture process of concrete. Meanwhile, a series of beams under
 467 three-point bending with different a_0/D and concrete strength grades were tested to obtain
 468 P - $CMOD$ curve. Comparing with the experimental results, the predicted results obtained by
 469 employing the two SIF-based criteria showed different degrees of agreement. Further, the
 470 effects of different crack propagation criteria on predicted results, including P_{max} , a_c and
 471 $CMOD_c$, were investigated. Finally, from the point of view of exploring the fracture
 472 mechanism, the K_R -resistance curves and FPZ were calculated and the effects of concrete
 473 strength on the predicted results using the two SIF-based criteria were investigated. The
 474 following conclusions can be drawn:

- 475 (a) The two SIF-based criteria can be used for calculating crack propagation of concrete
 476 through combination with the fictitious fracture model. Comparing with experimental

477 results, the predicted P_{\max} , a_c and $CMOD_c$ based on the initial fracture toughness
478 criterion show a better agreement than the ones from the nil-SIF criterion. With
479 respect to the nil-SIF criterion, the predicted P_{\max} values are underestimated, but a_c
480 and $CMOD_c$ are overestimated when compared with experimental results.

481 (b) With the increase of concrete strength, the initial fracture toughness plays an
482 increasingly more significant role in the evaluation of crack propagation resistance,
483 especially for the pre-peak load stage. The K_R -curves obtained from the two criteria
484 are different, with the one obtained from the initial fracture toughness criterion being
485 higher than that from the nil-SIF criterion at the early stage of crack propagation,
486 however the opposite case is observed at the late stage of crack propagation.

487 (c) Although the errors of predicted peak load show a smaller difference for low strength
488 concrete when adopting the two SIF-based criteria, the differences are more
489 significant with the increase of concrete strength. Therefore, for high strength
490 concrete, the initial fracture toughness criterion is more appropriate than the nil-SIF
491 criterion in determining the crack propagation process.

492

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