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Coverage and Effective Capacity in Downlink MIMO Multicell Networks With Power Control: Stochastic Geometry Modelling

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ABSTRACT In this paper, coverage probability and effective capacity in downlink multiple-antenna cellular system are considered. Two scenarios are investigated; in the first scenario, it is assumed that the system employs distance-based fractional power control with no multicell coordination. For the second scenario, we assume the system implements multicell coordinated beamforming so as to cancel inter-cell interference. For both scenarios, the BSs are assumed to randomly uniformly distributed in the area according to Poisson point process. Using tools from stochastic geometry, tractable, analytical expressions for coverage probability and effective capacity are derived for both scenarios. Numerical results reveal that for a system with stringent delay quality of service (QoS) constraints, i.e. (traffic delay is intolerable), best performance can be achieved by suitably adopting fractional power strategy when transmitting to the users, while constant power allocation performs better than all other power allocation strategies when the delay QoS constraints get loose (tolerable delay). For coverage probability, a fractional power control is better than constant power and channel inversion power strategies for low signal-to-interference plus noise ratio (SINR) thresholds, while the constant power strategy performs better than others in high SINR thresholds.

INDEX TERMS Beamforming, coordinated multiple-input multiple-output (MIMO), coverage probability, effective capacity, fractional power control, stochastic geometry.

I. INTRODUCTION

With the rapidly growing number of smart phones and the ever-increasing demands for services such as web browsing, multimedia applications, and video streaming, future wireless networks are expected to support high data rate with diverse quality of service (QoS) requirements [1]–[3]. The delay-sensitive services impose the challenge of the service reliability, whereby different delay QoS requirements are to be guaranteed to the end users [2]. As a response to these challenges, great part of research has been dedicated to develop highly spectrally efficient wireless network technologies [4]–[6]. Consequently, wireless networks nowadays tend to be more densified with aggressive frequency reuse [4], [5]. However, this comes at the cost of higher intercell interference experienced by users, particularly at the cell boundaries, resulting in degradation in system performance of the currently deployed mobile systems [4], [5].

The frameworks usually adopted for evaluating cellular systems are mainly based on the concept of Shannon capacity [7]. Shannon capacity dictates the maximum achievable data rate achievable in the system, and is related to coverage, which is defined as the distribution of signal-to-noise and interference ratio (SINR) in the cellular network. While this information-theoretic framework is proven to be an adequate for analysing system spectral efficiency, however it does not impose any delay QoS constraints [8]. Therefore, for future wireless network with diverse delay QoS requirements, it is indispensable to account for delay QoS requirements when analysing the whole system performance [4], [8]–[10] so as to evaluate the the system capability of QoS provisioning. To this goal, a powerful concept termed as effective capacity is proposed in [11], it gives maximum arrival rate that a given service process can support so that a QoS requirement specified by a certain QoS measure can be guaranteed [11].



Hence, it gives the statistical QoS guarantees [8], where delay is required to be lower than a threshold value only for a certain percentage of time. The effective capacity is known to be the dual of effective bandwidth previously addressed in wired networks [12], [13]. Using this effective capacity concept, the delay-QoS constraint can be characterized by the QoS exponent θ such that a small value of θ corresponds to a looser QoS constraint (no delay constraint), i.e. (system can tolerate an arbitrarily long delay), and the effective capacity is reduced to Shannon capacity. While a larger value of θ corresponds to the case of stringent delay QoS constraint [4], [14]. Based on the effective capacity concept, various scheduling and power allocation strategies are proposed for single cell scenario. In [14] and [15], the optimal rate and power adaptation policies are analysed for effective capacity maximization. In [8], the tradeoff between power and delay is characterised assuming only noise with no interference. In [11] and [16], TDMA-based scheduling scheme for cellular networks is developed. In [16] and [18], it is shown that when the OoS constraint gets looser, the optimal power allocation policy converges to the classical water-filling that achieves Shannon capacity, while it converges to the scheme operating at a constant rate, i.e., channel inversion. For efficient QoS provisioning, the two main wireless resources, i.e. power and bandwidth, should be very efficiently utilised, and various algorithms for this purpose are introduced in [17] and [18]. In this context, power control has also been utilised to ensure that SINR is above a target value required to maintain wireless communication, hence resulting in better QoS. All the aforementioned works are only limited to single cell scenario, hence, they do not consider a cellular systems with inter-cell interference, where the inter-cell interference has non-negligible impact [4]. To the best of our knowledge, no work has yet been done for analysing effective capacity in multicell scenario. To bridge the gap in this area and characterise delay QoS using effective capacity in interference-limited scenarios, there is an urgent need for analytical framework from this perspective.

The classical deterministic multicell models, namely hexagonal, lattice, and Wyner models, have been extensively utilised for evaluating the perfromance cellular networks [19]. However, in reality, BSs are generally nonregularly-positioned; therefore the deterministic hexagonal models are insufficient for predicting the real system performance. Moreover, hexagonal and lattice deterministic models do not permit analytical tractability [19]. The inadequacy of the aforementioned models has motivated the research towards using random spatial models for analysing cellular networks [19], [20]. The advantage of random spatial models is that they allow analytical tractability and lead to closedform or semi closed-form expressions for the main system performance metrics, such as capacity, coverage probability. Furthermore, they can be more accurate for analysing densely-deployed networks that are rapidly gaining interest nowadays [4], [19].

Power control is an important strategy that has been widely studied in multicell scenarios. Power control to compensate the effect of small-scale fading is addressed in [21], however, such power allocation policy requires the knowledge of instantaneous channel state information (CSI) at each scheduling instant, hence imposing heavy feedback signalling [4]. Moreover, the signal attenuation due to path-loss may outweigh the signal deterioration caused by small-scale fading. Thus, small-scale fading based power control may not always be a sufficient strategy for QoS provisioning, and therefore it is worth considering distance-based power control that keeps the average SINR at a certain level necessary to signal decoding [4]. Distance-dependent power control has been proposed for downlink systems in [22] and [23], and uplink systems in [24]. In [23], discrete power allocation strategy is also proposed for ad hoc systems.

Unlike the aforementioned works, we consider multipleinput-multiple-output (MIMO) downlink random cellular system employing fine-grained (continuous) distance-based fractional power control, and attempt to analyse coverage probability and effective capacity for this setting. The locations of BSs are modelled as point Poisson process (PPP) [19], [20], whereby stochastic geometry offers mathematical tools for dealing with such random processes [4]. We consider two cellular networks scenarios. In the first scenario, we assume that distance-based power control is utilised by each BS when transmitting to its respective users, and that no coordination among BSs to cancel the interference takes place. Under this scenario, two cases are investigated; single user MIMO (SU-MIMO) and multiuser MIMO (MU-MIMO). In SU-MIMO, a BS serves only one user over single frequency-time slot via maximum ratio transmission (MRT) technique. For MU-MIMO, on the other hand, the system employs zero forcing (ZF) technique to spatially multiplexes several multiple users streams for simultaneous transmission, this technique is known under the name of space division multiple access (SDMA).

In the second scenario, we further assume that several BSs can form a cluster and coordinate their transmission so as to cancel the inter-cell interference at the unintended users by using coordinated beamforming (CB) technique. Although, cancelling out interference is beneficial in general, however, it comes at the cost of loosing degrees of freedom [4]. Therefore, we conduct our analysis based on coordination range (the relative distance between the serving and last BS involved in coordination). The coordination range can generally determine whether it is more useful to coordinate or it might be better to transmit using the maximum eigen mode of transmission channel. For both scenarios, we derive analytical expressions for coverage probability, which is an important performance metric in the traditional informationtheoretic framework. To characterise the delay QoS, analytical expression for effective capacity is then derived based on coverage probability analysis. Henceforth, coverage probability analysis is presented first, and, subsequently, effective capacity is derived.



The main contributions of the paper are as follows

- We study and analyse coverage probability and effective capacity for MIMO cellular systems utilising distancebased fractional power control strategy and no multicell coordination is assumed. Two scenarios have been considered and analysed; SU-MIMO and MU-MIMO. Analytical expressions are derived for coverage probability, subsequently the effective capacity is derived in terms of coverage probability. Moreover, closed-form expressions are derived for Laplace term accounting for interference for special cases of power control factors.
- We study and analyse the coverage probability of MIMO cellular networks utilising interference cancellation using multicell MIMO coordination. The coverage probability is defined as a function of coordination range inside which the coordination is employed. Analytical expression is derived for coverage probability of such scenario.
- We model the locations of BSs as random PPP model for both scenarios, where we utilise tools from stochastic geometry to analyse coverage probability and effective capacity.

Organization: Section II describes the system model of the analysed cellular system. Section III presents the main results of coverage analysis for SU-MIMO and MU-MIMO with power control and no interference cancellation. In Section IV, the analytical result of coverage probability with interference cancellation is presented. In Section V, analytical expression for effective capacity is introduced. Section VI presents simulation and numerical results. Finally, conclusions are drawn in Section VII.

Notations: Bold and lowercase letters denote vectors. The notations (.)^H and $\|.\|$ denote conjugate transpose and norm of a vector, respectively. $\mathbb{E}\{.\}$ is the expectation operator, while $\mathbb{E}_x\{.\}$ denotes the expectation with respect to x. $\Gamma(k)$ stands for gamma function defined as: $\int_0^\infty x^{k-1}e^{-x}\mathrm{d}x$. $\mathbb{P}[a>b]$ is the probability that the inequality a>b holds true. $P_{|x}[y]$ is the conditional probability of y given that x has already been given.

II. SYSTEM MODEL

We consider a downlink multicell cellular network. BSs, each equipped with N_t antennas, are distributed in a two-dimensional horizontal plane as a homogeneous spatial PPP Φ with density λ . In PPP, the number of nodes, e.g. BSs in a given bounded area A is a random variable (r.v.) following Poisson distribution with their positions being uniformly distributed. When the association policy for users is to connect to the nearest BS, the cell boundaries are shown to be Voronoi tessellation [19], as shown in Fig. 1. It is also assumed that each user is equipped with a single-antenna. Since we assume a homogeneous PPP, the statistics evaluated for every node in the system will be identical. Hence, we will perform our analysis based on a typical user at the origin. This is justified by Slivnyak's [19], [20], which states that the statistical properties observed by a typical point of the Φ are similar to those

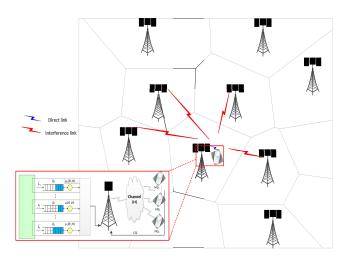


FIGURE 1. An illustration of random network configuration, BSs are distributed randomly in two-dimensional space, and each BS has queues for packet accumulation. The scheduler serves a user (or multiple users in MU-MIMO) according the rule scheduling that should incorporates θ of the user.

observed by a point at the origin in the process $\Phi \cup \{0\}$. The BSs distances from this typical user are given according to the homogeneous PPP, $\Phi = \{r_k, k \in \mathbb{N}\}$, on the two dimensional plane \mathbb{R}^2 , where r_k denotes the distance from the kth BS. The user is considered to be associated with the nearest BS denoted as BS₁ and located at distance r_1 from it. While all other BSs (BS_k, $k \in \mathbb{N}$, $k \neq 1$) are regarded as interferers to this user as shown in Fig. 1. Notice that the distance between a typical user and the kth BS is a r.v. following generalised Gamma distribution given by [4] and [27]

$$f_{r_k}(r) = \frac{2(\lambda \pi r^2)^k}{r\Gamma(k)} e^{-\lambda \pi r^2}$$
 (1)

We assume that each user is able to perfectly estimate its downlink channel and feed CSI back to its serving BS by means of dedicated pilot signal. If the user is helped through multicell coordination it should also estimate its channels to K-1 BSs and feed them back to its serving BS, whereby they are communicated with the other BSs through delay-free unlimited capacity links.

Let us denote the downlink small-scale channel vector between the typical user and kth BS as $h_k = [h_1, \ldots, h_{N_t}] \in \mathbb{C}^{1 \times N_t}$, where each component of h_k are an independent and identically distributed (i.i.d) complex Gaussian r.v. with zero mean and unit variance, i.e. $\mathcal{CN}(0, 1)$. In this paper, we consider two beamforming techniques, MRT and ZF, which are defined as follows

1- *MRT Beamforming*: MRT beamforming vector is designed such that it is aligned to the channel direction, i.e. if the channel vector is *h*, the beamfomer is constructed as [25].

$$v = \frac{h^{\mathsf{H}}}{\parallel h \parallel} \tag{2}$$

2- ZF: for MU-MIMO, let $H = [h_1h_2...h_K]$ denote the aggregate channel matrix of K users. The ZF beamforming vectors $v_1, ..., v_K$ are chosen to be the normalised



columns of the matrix [25]

$$V = H^{\dagger} = H^{\mathsf{H}} (HH^{\mathsf{H}})^{-1} \tag{3}$$

For interference cancellation via CB, a BS with N_t can cancel interference for up to $K = N_t - 1$ users by utilising ZF technique. As an example for a given nth user with channel vector h_n , the beamforming precoder vector can be obtained by projecting the vector h_n on the null space of $\hat{\mathbf{h}}_n = \begin{bmatrix} h_1^{\mathsf{H}}, \dots, h_{n-1}^{\mathsf{H}}, h_{n+1}^{\mathsf{H}}, \dots, h_K^{\mathsf{H}} \end{bmatrix}^{\mathsf{H}}$ [41, [25]

$$v_n = (I - \mathcal{P}_{\hat{h}_n})h_n \tag{4}$$

where $\mathcal{P}_{\hat{h}_n}$ denotes the projection on $\hat{\mathbf{h}}_n$, given as $\mathcal{P}_{\hat{h}_n} = \hat{\mathbf{h}}_n^{\mathsf{H}} (\hat{\mathbf{h}}_n \hat{\mathbf{h}}_n^{\mathsf{H}})^{-1} \hat{\mathbf{h}}_n$.

The channel distribution of MIMO link is totally different from that of single-antenna link. For single-antenna links, the channel is exponentially distributed for both direct and interfering links. While for the link from multi-antenna BS to a single-antenna user the channel distribution depends on the MIMO transmission technique and whether a BS is serving or interfering. That is due to the fact that when transmitting to a user, the BS precodes its signal for its intended user, hence resulting in different effective channel distribution from the case when it acts as an interferer.

The effective channel of both direct and interfering links denoted as g_0 and g_i , respectively, where $g_n = |v_n^H h_k|^2$, is an i.i.d r.v. following gamma distribution, i.e. $\Gamma(\Delta, 1)$ and $\Gamma(\Psi, 1)$, respectively [26]. Δ and Ψ are the shape parameters of gamma distribution.

Now, we describe the main two scenarios considered in this paper as follows

• Fractional power control and no coordination: in this scenario, we assume that the system does not employ multicell coordination, while implementing distancebased fractional power control. Two cases are assumed under this scenario; SU-MIMO and MU-MIMO. Each BS employs power control to compensate for path-loss attenuation experienced by its served user. More specifically, each ith BS allocates power proportional to the user distance, $X_i^{\alpha\eta}$, where $\eta \in [0, 1]$ is the fractional power control factor, and X_i represents the distance from ith interfering BS to its served user. Likewise, for MU-MIMO each user is allocated power proportional to its distance from the BS. Since $X_1 = r_1$, the desired signal power at the typical user becomes $g_0 r_1^{\alpha(\eta-1)}$. Moreover, the interference power from each interfering BS becomes $(X_i^{\alpha})^{\eta} g_i r_i^{-\alpha}$. Thus, a user closer to its serving BS demands less power than that is required by a user farther away. Under this system model, the signal-tonoise and interference ratio (SINR) is written as

$$SINR = \frac{g_0 r_1^{\alpha(\eta - 1)}}{\sigma^2 + I} \tag{5}$$

where $I=\sum_{i\in\Phi/\{\mathrm{BS}_1\}}X_i^{\alpha\eta}g_ir_i^{-\alpha}$ is the aggregate interference experienced at the typical user, and σ^2 denotes

the additive noise power which is assumed to be constant. Note that for SU-MIMO using MRT, $\Delta = N_t$ and $\Psi = 1$, while for MU-MIMO utilising ZF, we have $\Delta = N_t - \Psi + 1$, where Ψ is the number of users served by MU-MIMO. It is worth noting that for single antenna links, $\Delta = \Psi = 1$, which is equivalent to exponential distribution, for more details the interested reader is advised to refer to [26].

• Multicell coordination: in this scenario, we assume that a cluster of BSs are able to coordinate their beamforming to eliminate inter-cell interference at each unintended user. For simplicity in analysis, let us assume that SU-MIMO and $\eta=0$, however the analysis can be straightforwardly extended to MU-MIMO and any power control strategy. Thus, SINR for such scenario becomes

$$SINR = \frac{g_0 P r_1^{-\alpha}}{\sigma^2 + I_c} \tag{6}$$

where P is the transmit power, and

$$I_c = \sum_{i \in \Phi/\{BS_1, \dots, BS_K\}} g_i P r_i^{-\alpha}$$
 (7)

is the aggregate inter-cell interference from all BSs except the set of BSs {BS₁,...,BS_K}. Recall that $g_0 \sim \Gamma(\Delta, 1)$ and $g_i \sim \Gamma(\Psi, 1)$, with $\Delta = N_t - K + 1$ and $\Psi = 1$ [26].

A. EFFECTIVE CAPACITY

Now, we briefly introduce the concept of effective capacity concept (E_c) . let us consider a queuing system of a typical BS with constant arrival rate as shown in Fig. 1. The arriving packets are stacked in the buffer for transmission in time slot T_s , over a subband of bandwidth denoted as W. The statistical delay QoS for this system can now be defined as the probability for the queue length of the transmitter buffer exceeding a certain threshold x decays exponentially as a function of x, therefore the QoS exponent θ can be defined as [14] and [15]:

$$\theta = -\lim_{x \to \infty} \frac{\ln(\mathbb{P}[q(\infty) > x])}{x}$$
 (8)

where q(t) denotes the buffer length at time t.

Notice that $\theta \to 0$ implies no delay constraint required in the system, whereas $\theta \to \infty$ corresponds to system with a strict delay constraint, therefore θ is considered as the delay QoS constraint of the system [11]. Accordingly, the E_c is defined as [11]:

$$E_c = \frac{\Lambda(-\theta)}{-\theta} = -\lim_{n \to \infty} \frac{1}{n\theta} \ln \left(\mathbb{E} \left\{ e^{-\theta \sum_{i=1}^{n} R[i]} \right\} \right)$$
(9)

where R[i] is the transmission rate in time slot i defined as:

$$R[i] = T_s W \ln(1 + SINR) \tag{10}$$

Hereafter, we assume $T_sW = 1$ [14]. Assuming that the stochastic service process is i.i.d process, i.e. stationary and



ergodic process, E_c in (9) can be simplified to [14]–[17]

$$E_c = -\frac{1}{\theta} \ln \left(\mathbb{E} \left\{ e^{-\theta R[n]} \right\} \right) = -\frac{1}{\theta} \ln \left(\mathbb{E} \left\{ 1 + SINR \right\}^{-\theta} \right)$$
(11)

Thus, QoS can be incorporated in the scheduling process of the system by interpreting θ exponent as the QoS requirement. Thereby, the effective capacity is maximised for a given θ instead of the conventional approach in which the throughput is maximised subject to delay constraint [4].

III. COVERAGE PROBABILITY ANALYSIS: NO COORDINATION

In this section, we derive analytical expressions for coverage probability for a typical user in general MIMO system. We first introduce the coverage of SU-MIMO with power control, then we go on to analyse the coverage of MU-MIMO. The coverage probability, i.e., the probability of achieving the target $SINR \ \bar{\gamma}$ at the typical user is defined as $\mathbb{P}[SINR > \bar{\gamma}]$, which is equivalent to the complementary cumulative distribution function CCDF of the $SINR \ [20]$. Coverage probability can also be interpreted as the average fraction of the network area (users) for which (for whom) SINR is greater than $\bar{\gamma}$.

A. COVERAGE PROBABILITY WITH SU-MIMO

Now we focus on the coverage probability of SU-MIMO with power control. An upper bound for coverage is given in the following theorem.

Theorem 1: The upper bound on the coverage probability for SU-MIMO system implementing distance-based fractional power control, P_c^{SU} , is given by:

$$P_c^{\mathsf{SU}} \leq \sum_{m=1}^{N_t} \binom{N_t}{m} (-1)^{m+1} \times \int_0^\infty 2\pi \lambda r_1 \ e^{-(\pi \lambda r_1^2 + \bar{\gamma} m \zeta r_1^{\alpha(1-\eta)} \sigma^2)} \exp(-2\pi \lambda \psi) dr_1$$

$$\tag{12}$$

where

$$\psi = \mathbb{E}_{X} \left\{ \frac{\bar{\gamma} m \zeta r_{1}^{(2-\alpha\eta)} X^{\alpha\eta}}{\alpha - 2} \times_{2} F_{1} \left(1, 1 - \frac{2}{\alpha}; 2 - \frac{2}{\alpha}; -\bar{\gamma} m \zeta r_{1}^{-\alpha\eta} X^{\alpha\eta} \right) \right\}, \quad (13)$$

$$\zeta = (N_t!)^{\frac{-1}{N_t}}$$
, and

$$_{p}F_{q}(a_{1},\ldots,a_{p};b_{1},\ldots b_{q};z) = \sum_{n=0}^{\infty} \frac{(a_{1})_{n}\ldots (a_{p})_{n}}{(b_{1})_{n}\ldots (b_{q})_{n}} \frac{z^{n}}{n!}$$
 (14)

is the hypergeometric function, where $(a)_n$ denotes the Pochhammer symbol that represents the falling factorial [28].

The lower bound for the coverage probability can be obtained by setting $\kappa = 1$. Moreover, it can be noticed that

the bound is closed for single-input single-output (SISO), i.e. $N_t = 1$. The expressions in the above results involve double integrations, however the expectation in (13) can be further simplified using the following corollary [4].

Corollary 1: For the (13), closed form expressions can be obtained for special cases defined below: When $\alpha=4$, $\eta=0, \psi$ is given by:

$$\psi = \frac{r_1^2 \bar{\gamma} m \zeta}{\alpha - 2} {}_2F_1 \left(1, 0.5; 1.5; -\frac{\bar{\gamma} m \zeta \, r_1^2}{\pi \lambda} \right) \tag{15}$$

Proof: See the Appendix B.

Note that this corresponds to constant power allocation. Following the same steps in Appendix B, (13) can be also obtained in closed form for other two special cases. When $\alpha = 4$, $\eta = 1$, ψ is given by:

$$\psi = \frac{2\bar{\gamma}m\zeta}{(\lambda\pi r_1)^2(\alpha - 2)} {}_4F_1\left(1, 0.5, 1.5, 2; 1.5; -\frac{4\bar{\gamma}m\zeta}{(\pi\lambda)^2 r_1^4}\right)$$
(16)

This case corresponds to full-channel inversion. When $\alpha = 4$, $\eta = 0.5$, ψ is given by:

$$\psi = \frac{\bar{\gamma} m \zeta}{\lambda \pi (\alpha - 2)} {}_{3}F_{1} \left(1, 0.5, 2; 1.5; -\frac{\bar{\gamma} m \zeta}{\pi \lambda r_{1}^{2}} \right)$$
 (17)

This case corresponds to the fractional power control. For the other values of α and η , the integration should be evaluated numerically [4].

B. COVERAGE PROBABILITY WITH MU-MIMO

When utilising SDMA to serve multiple users, a number of Ψ users can be served simultaneously over the same frequency band and time slot. Since the users have different positions from the BS, each user will be assigned an amount of power based on its distance from its serving BS. This, however, may lead to more analytical intractability. For tractability of analysis, let us assume that the set of scheduled users served via SDMA are positioned on equal distances from their serving BS, hence, they will be allocated the same amount of power. Alternatively, we can also assume that the users are located at different distances from the serving BS, however, they will be allocated an equal amount of power proportional to farthest user distance from its serving BS. These assumptions significantly render the analysis more tractable

In the following theorem, an exact analytical expression of coverage probability for MU-MIMO is introduced.

Theorem 2: The coverage probability of a typical user served via full-SDMA in MU-MIMO employing distance-based fractional power control is given by

$$P_c^{\mathsf{MU}} = \int_0^\infty 2\pi \, \lambda r_1 \, e^{-(\pi \lambda r_1^2 + \bar{\gamma} r_1^{\alpha(1-\eta)} \sigma^2)} \exp(-2\pi \, \lambda \psi) dr_1$$
(18)

where

$$\psi = \mathbb{E}_{X} \left\{ \sum_{m=1}^{\Psi} {\Psi \choose m} \frac{r_{1}^{2-m\alpha\eta} X^{m\alpha\eta} \bar{\gamma}}{m\alpha - 2} \right.$$

$$\times_{2} F_{1} \left(\Psi, m - \frac{2}{\alpha}; m + 1 - \frac{2}{\alpha}; -\frac{\bar{\gamma} r_{1}^{\alpha(1-\eta)} X^{\alpha\eta}}{r_{1}^{\alpha}} \right) \right\}$$

$$\tag{19}$$

Proof: See the Appendix C.

It is worth noting that the expectation in (19) can be obtained in closed form in special cases as in Corollary 1. Note that the above result is tight for full-SDMA, i.e. the number of users served by each BS is equal to the number of transmit antennas ($\Delta = N_t - \Psi + 1$). Otherwise, an upper bound should be sought for coverage probability in a similar manner to SU-MIMO analysis.

IV. COVERAGE PROBABILITY WITH MULTICELL **COORDINATION**

In this section, we consider coordinated MIMO and study the effect of interference cancellation on coverage probability. We consider a user-centric multicell coordination whereby a user selects a cluster of BSs denoted as $\mathcal{B} =$ $\{BS_1, \ldots, BS_K\}$ that are able to communicate CSIs of their respective users so as to cancel the interference experienced by him. Since we concern ourselves now with the interference cancellation and its impact on coverage, we simply assume SU-MIMO and constant power allocation $\eta = 0$. However, the analysis can be straightforwardly extended to MU-MIMO and general fractional power control cases.

For the sake of tractability of analysis, we introduce a new parameter ρ to designate the coordination distance ratio. It is defined as ratio of the user distance to its serving BS BS₁ over its distance to the *K*th interfering BS BS_K, i.e. $\rho = \frac{r_1}{r_K}$. This parameter defines the coordination range inside which the interference is to be eliminated via using coordinated beamforming technique. Furthermore, this parameter sheds light on where the coordination strategy is more beneficial than transmission with no coordination. Although the analysis can be conducted by averaging over all randomness involved in the assumed scenario, we will set out our analysis based on the conditional coverage probability for a given value of ρ . The following theorem presents the conditional probability of coverage [4].

Theorem 3: The upper bound of coverage probability in cellular systems employing interference cancellation using coordinated-MIMO and conditioned on ρ with path-loss $\alpha = 4, P_c^{CB}(\rho)$, is given as

$$P_c^{\mathsf{CB}}(\rho) \le \frac{(\lambda \pi)}{(2G(\bar{\gamma}, \rho) + 1)^K} \sum_{m=1}^{\Delta} \binom{\Delta}{m} (-1)^{m+1} \times \sum_{n=0}^{K-1} \frac{(\lambda \pi)^n (2G(\bar{\gamma}, \rho) + 1)^n}{n!} \mathcal{G}_n(\bar{\gamma}, \rho)$$
(20)

where:

$$G_{n}(\bar{\gamma}, \rho) = (2Z)^{-\frac{n+1}{2}} \Gamma(n+1) \exp\left(\frac{\left(\lambda \pi (G(\bar{\gamma}, \rho) + 1)\right)^{2}}{4Z}\right)$$

$$\times D_{-(n+1)}\left(\sqrt{\frac{2}{Z}} \lambda \pi \left(G(\bar{\gamma}, \rho) + 1\right)\right) \qquad (21)$$

$$G(\bar{\gamma}, \rho) = \frac{m\kappa \bar{\gamma} \rho^{\alpha}}{\alpha - 2} {}_{2}F_{1}\left(1, 1 - \frac{2}{\alpha}; 2 - \frac{2}{\alpha}; -m\kappa \bar{\gamma} \rho^{\alpha}\right)$$

$$(22)$$

 $Z = \frac{\sigma^2 m \kappa \bar{\gamma}}{P}$ (23)

where $\kappa = (\Delta!)^{(-1/\Delta)}$ and $\Delta = N_t - K + 1$. $D_{-\nu}$ denotes the parabolic cylinder function. Proof: See Appendix D.

V. EFFECTIVE CAPACITY ANALYSIS

In this section, analytical expression is introduced for effective capacity. The effective capacity can be expressed in terms of coverage probability, and thus it will be defined as a function of the key system parameters; BSs density, path-loss, power control policy, and antenna number. For multicell setting, the effective capacity quantifies the average of maximum arrival rate supportable by a system suffering from inter-cell interference. It can also be interpreted as (i) the average of the maximum arrival rate that the system can support for a randomly chosen user, (ii) the average fraction of users for whom the maximum arrival rate can be supported, (ii) the average fraction of the network area for which the maximum arrival rate can be supported [4]. The following theorem gives the effective capacity expression.

Theorem 4: For a given QoS exponent θ , the upper bound of effective capacity in multicell cellular systems can be approximated by:

$$E_c \approx -\frac{1}{\theta} \ln \left(1 - \sum_{n=1}^{N} \omega_n f(x_n) \right)$$
 (24)

where x_n and ω_n are the *n*th zero of the Hermite polynomial $H_n(x_n)$ of degree N, and the corresponding weight of the function f(.) at the *n*th abscissa respectively. The $f(x_n)$ is the

coverage probability of the system with $\bar{\gamma} = m\kappa \left(x_n^{-\frac{1}{\theta}} - 1\right)$. *Proof*: See Appendix E.

VI. NUMERICAL RESULTS

This section presents the simulation and analytical results of coverage probability and effective capacity for both scenarios. To simulate PPP model, we first consider a bounded area of 10 km². The density of BSs in this area is λ = $1/(30000 * \pi^2)$ BS per m². The number of BSs follows Poisson distribution. Then, the BSs are uniformly distributed on the specified area. The points distributed as such represent one realization of point process. We also consider a grid model assuming uniformly spaced square area of BSs. For simulation purposes, 36 BSs are positioned at regular distance from each other.



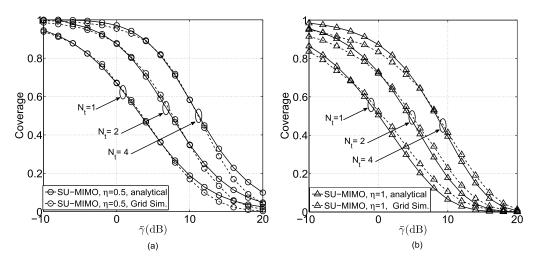


FIGURE 2. Simulation results of coverage probability of SU-MIMO, $(N_f = \{1, 2, 4\}, \eta = \{0, 1\}, \sigma^2 = 0)$.

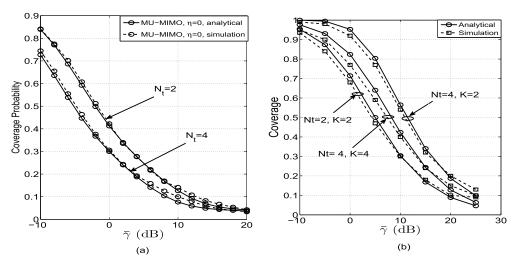


FIGURE 3. Comparison for (a) MU-MIMO, ($N_t = \{2, 4\}, \eta = 0, \sigma^2 = 0$). (b) coordinated-MIMO, ($\eta = 0, N_t = \{2, 4\}, \kappa = \{2, 4\}, \sigma^2 = 0$).

In Figs. 2(a) and (b), we compare analytical expressions of coverage probability of SU-MIMO with grid simulation for different antennas number assuming power control factors $\eta=0,1$, and interference-limited case, i.e. $\sigma^2=0$. There can be clearly observed that the analytical expressions excellently approximate the simulation of coverage probability over the entire range of signal-to-interference ratio (SIR) of interest.

Similar observation can be made about Figs. 3(a) and (b) showing the comparison of simulation and analytical results of MU-MIMO system and coordinated MIMO, respectively, assuming $\sigma^2 = 0$. It can be clearly seen that for both cases the analytical expressions give good approximation to the coverage probability for different antenna numbers over an entire range of (*SIR*).

The coverage results in Figs. 4(a) and (b) compare between the cases of zero and non-zero noise for power control factor $\eta=0,0.5$, respectively. Small gap can be observed in

MU-MIMO between $\sigma^2 = 0.1$ and $\sigma^2 \rightarrow 0$ cases over the entire range of (SINR) and slightly larger in SU-MIMO. This confirms the fact that the noise is not a crucial issue in densely-deployed cellular networks, in which the regime is almost interference-limited, and therefore it is negligible in these scenarios [4].

Figs. 5(a) and (b) depict the coverage probability of SU-MIMO and MU-MIMO, respectively, as a function of power control factors $\eta=0,0.25,0.5,1$, and antenna number $N_t=2,4$. It can be clearly noticed the fact that for all η values, the coverage probability decreases with the increase of transmit antenna N_t in MU-MIMO (full SDMA), while increasing for SU-MIMO as shown clearly in Fig. 6, that compares between both schemes for different power control strategies and $N_t=2$. This can be attributed to two reasons: firstly, the ZF technique utilised in MU-MIMO to cancel intra-user interference brings about loss in degrees of freedom, hence degrading the signal quality. Secondly, more

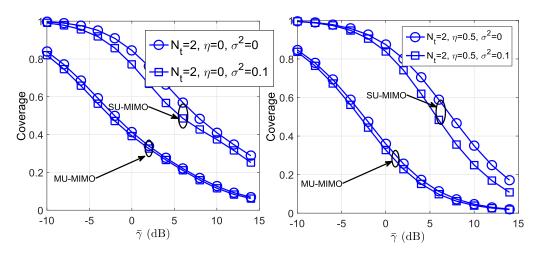


FIGURE 4. The coverage with (a) non-zero noise, $(\eta = 0, \sigma^2 = \{0, 0.1\})$ (b) non-zero noise, $(\eta = 0.5, \sigma^2 = \{0, 0.1\})$.

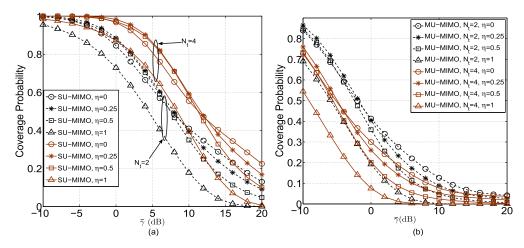


FIGURE 5. The coverage probability for SU-MIMO and MU-MIMO for various fractional power control strategies $(\eta = 0, 0.25, 0.5, 1, N_t = \{2, 4\}, \sigma^2 = 0)$.

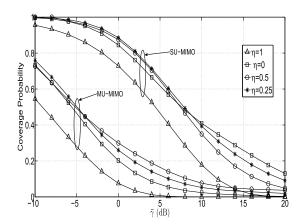


FIGURE 6. Comparison between SU-MIMO and MU-MIMO for different power control strategies; $(\eta = 0, 0.5, 1, N_t = \{2, 4\}, \sigma^2 = 0)$.

interference will be generated when increasing the number of users served via SDMA.

Despite the decrease in both coverage and average user rate with MU-MIMO scheme, the scheme serves more users and may result in higher sum rate in the system. In fact,

this gives rise to another delicate trade-off inherent in wireless systems between area spectral efficiency measured in (bits/s/Hz/m²) and user-link spectral efficiency given in (bits/s/Hz). Noticeably, both SU-MIMO and MU-MIMO have an identical performance in terms of power control strategies; the coverage probability of both is highest with fractional power control in low SIR threshold values, while the constant power allocation provides the best performance in higher SIR values. Now, let us restrict our discussion on the impact of power control strategies on coverage probability in both SU-MIMO and MU-MIMO scenarios. We consider the constant power $\eta = 0$ as the the reference of comparison. It can be clearly noticed that for both SU-MIMO and MU-MIMO cases the constant power allocation almost provides comparable performance (coverage probability) to the cases ($\eta = 0.25$, $\eta = 0.5$) in low SIR thresholds, while outperforming all other power control strategies in high SIR values.

The largest coverage probability in the lower 50 percentile can be yielded by $\eta=0.25$ followed by $\eta=0.5$ before crossing below $\eta=0$ case at 8.5 dB and -4 dB for SU-MIMO



and MU-MIMO (with $N_t = 2$), respectively. The difference in coverage for $\eta = 0, 0.25, 0.5$ is almost negligible in the low SIR thresholds. As η increases towards 1, the coverage decreases accordingly. The case η yields the lowest coverage across the entire range of SIR thresholds. The effect of power control can be fully explained by concentrating on the performance of cell-edge users relative to the cell-centre and the intrinsic trade-off between their performance. Cell-centre usually enjoy good channel conditions and are not susceptible to strong interference. Thus, they are typically noise-limited, and reducing the transmit power inevitably reduces their SIR. Considering this fact, constant power control is the optimal power strategy. On the other hand, cell-edge users typically more susceptible to high interference (interference-limited case). Hence, employing high power control factors such as $\eta = 1$ results in an increase in their SIR, while relatively reducing the transmit power of cell-centre users served by neighbouring BSs (interfering BSs). This disparity becomes more noticeable with high η values. Consequently, there is a delicate trade-off between increasing interference experienced by cell-edge users and reducing interference from cell-centre users at the neighbouring cells, giving rise to the fact that fractional values of η provides the highest coverage values for the majority of users [4].

Now let us assume that a typical BS serves only one user, i.e. SU-MIMO, but uses the rest of its degrees of freedom to cancel the interference on the users served by neighbouring BSs. Fig. 7 depicts the coverage probability of coordinated MIMO as a function of ρ , and compares between no coordination with coordination schemes. As previously highlighted, coordination results in loss in degrees of freedom and thereby lowering SIR. This loss of degrees of freedom may be disadvantageous when the nearer interferers are far away from the user, therefore, in this case, no coordination, i.e. transmitting through MRT, is preferable as it makes use of the whole degrees of freedom provided by the system. This case corresponds to the lower values of ρ as seen in Fig. 7. However, when the interferes get closer, i.e. $\rho = 0.95$, coordination becomes more useful to enhance the coverage for the system. When $\rho = 0.8$, for low SIR thresholds MRT performs better than CB before crossing below CB curve

performs better than CB before crossing below CB curve in -6 dB, where CB outperforms MRT.

Fig. 8 shows the performance of E_c for SU-MIMO and MU-MIMO as a function of power control factor. Similar to the coverage probability, the performance of E_c for SU-MIMO scheme is noticeably better than that of MU-MIMO due to the same reason discussed previously. It can be also observed that when θ gets larger, E_c approaches 0, suggesting that the system is incapable of supporting the arrival rate when the delay constraint becomes very stringent.

For both schemes, it can also be clearly noticed that for low delay constraints i.e. $\theta \to 0$, lower power control factors yield comparable performance with the strategy of $\eta = 0$ being the best over all. When delay constraint gets more stringent $\theta \to \infty$, fractional power control strategy outperforms the others, in particular $\eta = 0.25$ provides the

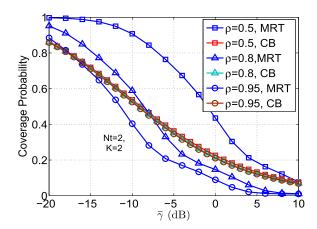


FIGURE 7. Comparison between coordination using CB and no coordination using MRT for different ρ values, ($N_t=2$, P=1, $\sigma^2=0$).

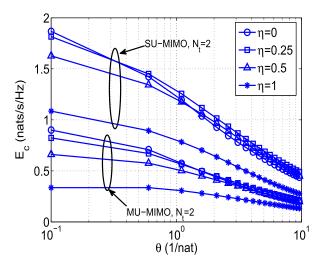


FIGURE 8. Comparison of effective capacity of both SU-MIMO and MU-MIMO systems for different power control strategies; $(\eta=0,0.25,0.5,1,\sigma^2=0)$.

best performance. Note that the strategy of $\eta=1$ results in the worst performance over the entire range of θ values. When the delay constraint gets looser, i.e. small θ , it might be better to transmit with power control factors less than 0.25. While in case of stringent delay constraint, transmitting with power $\eta=0.25$ provides the best performance. These observations suggest that fractional power control of $\eta\leq0.25$ is the best strategy among all others for enhancing E_c in interference-limited scenarios [4].

The impact of power control factor on E_c can be understood by observing the behaviour of E_c with respect to θ . When the delay constraints θ become more stringent, the best power strategy is to compensate for the path-loss and keep the rate as fixed as possible calling for using power control factor $0.5 > \eta > 0$. Although a strategy with large values of θ keeps a user with fixed SIR (hence fixed rate), it causes strong interference to the cell-edge of neighbouring cells or deprives cell-centre users from high SIR gain that is necessary for QoS guarantee [4].



VII. CONCLUSIONS

This paper studied coverage probability and effective capacity in MIMO downlink cellular systems implementing distance-based power control. The BSs are assumed to be distributed according spatial PPP model. Tractable, analytical expressions for coverage probability and effective capacity are derived for two system scenarios; in the first scenario, fractional power control is employed with no multicell coordination, while in the second, the system is assumed to utilise coordination. For the first scheme, closed-form expressions for Laplace transform accounting for interference are introduced for special cases. Then, novel, tractable expression for effective capacity are derived in terms of coverage probability. These expressions are functions of the main system parameters, which provide an insight on the system design guide. The numerical results show that for coverage probability, the strategy of power control factor $\eta \leq 0.25$ is the best one to be used in low thresholds, while constant power allocation outperforms the others in high thresholds. Furthermore, for effective capacity, the constant power allocation strategy performs better with low QoS constraints, while fractional power control factors, i.e. $\eta \leq 0.25$, outperforms the other strategies in high QoS constraints. For the second scheme, the same analysis is carried out to derive analytic expressions for coverage probability and effective capacity as functions of coordination distance ratio ρ . Numerical results reveal that coordination performs better when the interferers are close enough to the served user, while MRT is better when the interferers are far enough from the served user.

APPENDIX A

PROOF OF THEREOM 1

Since $g_0 \sim \Gamma(N_t, 1)$, and its CCDF is the regularized gamma function given by $\frac{\gamma(N_t, x)}{\Gamma(N_t)} = \int_0^x \frac{t^{N_t - 1} e^{-t}}{\Gamma(N_t)} dt$, we use the bounds on the CCDF given as [29]

$$(1 - e^{-\zeta x})^{N_t} \le \frac{\gamma(N_t, x)}{\Gamma(N_t)} \le (1 - e^{-x})^{N_t}$$
 (25)

using binomial expansion yields

$$\sum_{m=1}^{N_t} \binom{N_t}{m} (-1)^{m+1} e^{-\zeta mx} \ge \frac{\gamma(N_t, x)}{\Gamma(N_t)}$$

$$\ge \sum_{m=1}^{N_t} \binom{N_t}{m} (-1)^{m+1} e^{-mx}$$
 (26)

then we have:

$$P_{c}^{SU} = \int_{r_{1} \geq 0}^{\infty} \mathbb{P} \left[\frac{g_{0} r_{1}^{\alpha(\eta - 1)}}{(\sigma^{2} + I)} \geq \bar{\gamma} | r_{1} \right] f_{r_{1}}(r_{1}) dr_{1}$$

$$= \int_{r_{1} \geq 0}^{\infty} \mathbb{P} \left[g_{0} \geq r_{1}^{\alpha(1 - \eta)} \bar{\gamma}(\sigma^{2} + I) | r_{1} \right] f_{r_{1}}(r_{1}) dr_{1}$$

$$\stackrel{a}{\leq} \int_{r_{1} \geq 0}^{\infty} \sum_{m=1}^{N_{t}} \binom{N_{t}}{m} (-1)^{m+1} e^{-\bar{\gamma}m\zeta} r_{1}^{\alpha(1 - \eta)} \sigma^{2}$$

$$\times \mathbb{E} \left\{ e^{-sI} | r_{1} \right\} f_{r_{1}}(r_{1}) dr_{1}$$
(27)

where $s = \bar{\gamma} m \zeta r_1^{\alpha(1-\eta)}$, the inequality in (a) stems from substituting the upper bound of $\frac{\gamma(N_t,x)}{\Gamma(N_t)}$ in (26). The Laplace term, i.e., $\mathbb{E}\{e^{-sI} \mid r_1\}$ that is conditioned on r_1 , can be obtained as follows [20]:

$$\mathbb{E}\{e^{-sI} \mid r_{1}\} = \mathbb{E}_{\Phi,g_{i},X_{i}} \left\{ e^{-s\sum_{i\in\Phi/\{BS_{1}\}} g_{i}X_{i}^{\alpha\eta}r_{i}^{-\alpha}} \right\}$$

$$= \mathbb{E}_{\Phi,X_{i},\{g_{i}\}} \left\{ \left(\prod_{i\in\Phi/\{b_{1}\}} e^{-sg_{i}X_{i}^{\alpha\eta}r_{i}^{-\alpha}} \right) \right\}$$

$$= \mathbb{E}_{\Phi} \left\{ \left(\prod_{i\in\Phi/\{b_{1}\}} \mathbb{E}_{g_{i},X_{i}} \left\{ e^{-sg_{i}X_{i}^{\alpha\eta}r_{i}^{-\alpha}} \right\} \right) \right\}$$

$$\stackrel{a}{=} \exp\left(-2\pi\lambda \right)$$

$$\times \int_{r_{1}}^{\infty} \left(1 - \mathbb{E}_{g,X} \left\{ e^{-sgX^{\alpha\eta}\upsilon^{-\alpha}} \right\} \right) \upsilon d\upsilon \right)$$

$$\stackrel{b}{=} \exp\left(-2\pi\lambda \right)$$

$$\times \mathbb{E}_{X} \left\{ \int_{r_{1}}^{\infty} \frac{(1 + sX^{\alpha\eta}\upsilon^{-\alpha})^{\Psi} - 1}{(1 + sX^{\alpha\eta}\upsilon^{-\alpha})^{\Psi}} \upsilon d\upsilon \right\}$$

$$\stackrel{c}{=} \exp\left(-2\pi\lambda \right)$$

$$\times \mathbb{E}_{X} \left\{ \int_{r_{1}}^{\infty} \frac{\sum_{m=1}^{\Psi} \binom{\Psi}{m} (sX^{\alpha\eta}\upsilon^{-\alpha})^{m}}{(1 + sX^{\alpha\eta}\upsilon^{-\alpha})^{\Psi}} \upsilon d\upsilon \right\}$$

where (a) follows from the probability generating functional (PGFL) property of a PPP [20]. (b) stems from the independence between Φ and both g and X, and evaluating the expectation over g, i.e., $\Gamma(\Psi, 1)$. (c) yields from binomial expansion. For SU-MIMO, we have $\Psi=1$, by substituting in Ψ , and evaluating the inner integration as

$$\begin{split} &\int_{r_1}^{\infty} \frac{\upsilon}{1 + s^{-1} X^{-\alpha \eta} \upsilon^{\alpha}} d\upsilon \\ &= s X^{\alpha \eta} \frac{r_1^{\frac{2-\alpha}{\alpha}}}{\alpha - 2} {}_2F_1 \left(1, 1 - \frac{2}{\alpha}; 2 - \frac{2}{\alpha}; -\frac{s X^{\alpha \eta}}{r_1^{\alpha}} \right) \end{split} \tag{28}$$

we have

$$\mathbb{E}\{e^{-sI} \mid r_1\} = \exp(-2\pi\lambda\psi) \tag{29}$$

where ψ is given by (13). By plugging (1) (for K=1) and (29) in (27), (12) follows immediately.

APPENDIX B PROOF OF COROLLARY 1

Assuming the distance between each interfering BS and its served user referred to as X is a r.v. following Rayleigh distribution as proven by [27]. For given values of $\alpha=4$ and $\eta=0$, expressing the hypergeometric function as an infinite series, invoking $\Gamma(a,b)=(a)_b\Gamma(a)$, and implementing integration and some algebraic manipulations [30], we have

$$\psi = \mathbb{E}_X \left\{ \frac{\bar{\gamma} r_1^{(2-\alpha\eta)} X^{\alpha\eta}}{\alpha - 2} \times {}_2F_1\left(1, 1 - \frac{2}{\alpha}; 2 - \frac{2}{\alpha}; -\bar{\gamma} r_1^{-\alpha\eta} X^{\alpha\eta}\right) \right\}$$



$$\frac{a}{\alpha - 2} \frac{2\pi\lambda\bar{\gamma}r_{1}^{(2-\alpha\eta)}}{\alpha - 2} \int_{0}^{\infty} X^{\alpha\eta + 1} \\
\times {}_{2}F_{1}\left(1, 1 - \frac{2}{\alpha}; 2 - \frac{2}{\alpha}; -\bar{\gamma}r_{1}^{-\alpha\eta}X^{\alpha\eta}\right) e^{-\pi\lambda X^{2}} dX$$

$$\frac{b}{\alpha} \frac{2\pi\lambda\bar{\gamma}r_{1}^{(2-\alpha\eta)}}{\alpha - 2} \int_{0}^{\infty} X^{\alpha\eta + 1} e^{-\pi\lambda X^{2}} \\
\times \sum_{n=0}^{\infty} \frac{(1)_{n}(1 - 2/\alpha)_{n}}{(2 - 2/\alpha)_{n}n!} (-\bar{\gamma}r_{1}^{-\alpha\eta}X^{\alpha\eta})^{n} dX$$

$$\frac{c}{\alpha} \frac{2\pi\lambda\bar{\gamma}r_{1}^{(2-\alpha\eta)}}{\alpha - 2} \sum_{n=0}^{\infty} \frac{(1)_{n}(1 - 2/\alpha)_{n}(-\bar{\gamma}r_{1}^{-\alpha\eta})^{n}}{(2 - 2/\alpha)_{n}n!} \\
\times \int_{0}^{\infty} X^{1 + (1+n)\alpha\eta} e^{-\pi\lambda X^{2}} dX$$

$$\frac{d}{\alpha} \frac{2\pi\lambda\bar{\gamma}r_{1}^{(2-\alpha\eta)}}{\alpha - 2} \sum_{n=0}^{\infty} \frac{(1)_{n}(1 - 2/\alpha)_{n}(-\bar{\gamma}r_{1}^{-\alpha\eta})^{n}}{(2 - 2/\alpha)_{n}n!} \\
\times \frac{\Gamma(\frac{2 + (1+n)\alpha\eta}{2})}{2(\pi\lambda)^{(1+\frac{(1+n)\alpha\eta}{2})}}$$

$$\frac{e}{\alpha} \frac{2\pi\lambda\bar{\gamma}r_{1}^{(2-\alpha\eta)}}{\alpha - 2} \sum_{n=0}^{\infty} \frac{(1)_{n}(1 - 2/\alpha)_{n}(-\bar{\gamma}r_{1}^{-\alpha\eta})^{n}}{(2 - 2/\alpha)_{n}n!} \\
\times \frac{(\frac{2 + \alpha\eta}{2})_{n\alpha\eta/2}\Gamma(\frac{2 + \alpha\eta}{2})}{2(\pi\lambda)^{(1+\frac{(1+n)\alpha\eta}{2})}}$$

$$\frac{f}{\alpha} \frac{2\pi\lambda\bar{\gamma}r_{1}^{(2-\alpha\eta)}}{\alpha - 2} \sum_{n=0}^{\infty} \frac{(-\bar{\gamma}r_{1}^{-\alpha\eta})^{n}(1)_{n}(1/2)_{n}}{2\pi\lambda(3/2)_{n}n!}$$

$$\frac{g}{\alpha} \frac{\bar{\gamma}r_{1}^{(2-\alpha\eta)}}{\alpha - 2} F_{1}(1, 1/2; 3/2; -\bar{\gamma}r_{1}^{-\alpha\eta})$$
(30)

where (a) comes from the assumption that the distance between each interfering BS and its served user referred to as X is a r.v. following Rayleigh distribution. In (b), the hypergeometric function is expressed as an infinite series by invoking the relation $\Gamma(a,b)=(a)_b\Gamma(a)$. In (c) and (d), we insert the integration inside the summation and integrating with respect to X, respectively. In (e), (f), and (g), we got an expression which can be expressed once again as a new hypergoemtric function by using the definition in (14) and simple mathematical simplifications.

APPENDIX C

PROOF OF THEOREM 2

Following similar steps of Appendix VII and noticing that in full-SDMA $\Delta=1$ and $\Psi=N_t$; the desired signal link is exponentially distributed, i.e. $g_0\sim \exp(\mu)$, and $\mu=1$, then we have

$$\begin{split} P_c^{\mathsf{MU}} &= \int_{r_1 \ge 0}^{\infty} \mathbb{P} \bigg[\frac{g_0 \, r_1^{\alpha(\eta - 1)}}{(\sigma^2 + I)} \ge \bar{\gamma} \, | r_1 \bigg] f_{r_1}(r_1) \mathrm{d}r_1 \\ &= \int_{r_1 \ge 0}^{\infty} \mathbb{P} \bigg[g_0 \ge r_1^{\alpha(1 - \eta)} \bar{\gamma} (\sigma^2 + I) | r_1 \bigg] f_{r_1}(r_1) \mathrm{d}r_1 \\ &= \int_{r_1 \ge 0}^{\infty} \mathbb{E}_I \bigg\{ \exp(-\bar{\gamma} r_1^{\alpha(1 - \eta)} (\sigma^2 + I)) \mid r_1 \bigg\} \end{split}$$

$$\times f_{r_{1}}(r_{1}) dr_{1}$$

$$= \int_{r_{1} \geq 0}^{\infty} e^{-\bar{\gamma} r_{1}^{\alpha(1-\eta)} \sigma^{2}} \mathbb{E}_{I} \left\{ e^{-\bar{\gamma} r_{1}^{\alpha(1-\eta)}} \mid r_{1} \right\} f_{r_{1}}(r_{1}) dr_{1}$$

$$(31)$$

To evaluate $\mathbb{E}_{I}\left\{e^{-\bar{\gamma}r_{1}^{\alpha(1-\eta)}}\mid r_{1}\right\}$, let $s=\bar{\gamma}r_{1}^{\alpha(1-\eta)}$, by following the same approach in Appendix VII for evaluating Laplace transform, we have

$$\mathbb{E}\{e^{-sI} \mid r_1\} = \exp(-2\pi\lambda\psi) \tag{32}$$

where ψ is given by (19). By plugging (32) in (31), (18) follows immediately.

APPENDIX D

PROOF OF THEOREM 3

Similarly, by considering the upper bound of coverage probability as in the Appendix A, we have:

$$P_{c}^{\mathsf{CB}} = \mathbb{E}_{r_{1},r_{K}} \left\{ \mathbb{P} \left[g \geq \frac{r_{1}^{\alpha} \bar{\gamma}}{P} (\sigma^{2} + I_{c}) | r_{1}, r_{K} \right] \right\}$$

$$\leq \mathbb{E}_{r_{1},r_{K}} \left\{ \sum_{m=1}^{\Delta} \binom{\Delta}{m} (-1)^{m+1} e^{-Zr_{1}^{\alpha}} \times \mathbb{E}_{I_{c}} \left\{ e^{-\frac{m\kappa \bar{\gamma}}{P} r_{1}^{\alpha} I_{c}} | r_{1}, r_{K} \right\} \right\}$$
(33)

where $\Delta = N_t - K + 1$, and Z given by (23), and I_c is the the aggregate interference coming from all BSs in the point process Φ_c , defined as $\Phi_c = \Phi/\{BS_1, \dots, BS_K\}$.

Note that since the nearest interferer is located at distance r_K , we condition the Laplace transform in (33) on both r_1 and r_K . Similarly, the Laplace transform can be obtained following the same approach, by denoting $s = \frac{m\kappa \bar{\gamma}}{P} r_1^{\alpha}$, and substituting for ρ as $\rho = \frac{r_1}{r_K}$, then we have

$$\mathbb{E}_{I_c}\{e^{-sI_c}\} = \exp(-2\pi\lambda f(\bar{\gamma}, \alpha))$$
 (34)

where $f(\bar{\gamma}, \alpha) = r_K^2 G(\bar{\gamma}, \rho)$, and $G(\bar{\gamma}, \rho)$ is given by (22).

Plugging (34) in (33) and de-conditioning on both r_1 and r_K yields the following:

$$P_{c}^{\mathsf{CB}} \leq \int_{0}^{\infty} f_{r_{1}}(r_{1}) \int_{r_{1}}^{\infty} f_{r_{K}}(r_{K}) \sum_{m=1}^{\Delta} \binom{\Delta}{m} (-1)^{m+1}$$

$$\times e^{-Zr_{1}^{\alpha}} \mathbb{E}_{\rho} \left\{ e^{-2\pi\lambda G(\bar{\gamma},\rho)r_{K}^{2}} | \rho \right\} dr_{K} dr_{1}$$

$$\leq \mathbb{E}_{\rho} \left\{ \int_{0}^{\infty} f_{r_{1}}(r_{1}) \int_{r_{1}}^{\infty} f_{r_{K}}(r_{K}) \sum_{m=1}^{\Delta} \binom{\Delta}{m} (-1)^{m+1}$$

$$\times e^{-Zr_{1}^{\alpha}} e^{-2\pi\lambda G(\bar{\gamma},\rho)r_{K}^{2}} dr_{K} dr_{1} | \rho \right\}$$

$$(35)$$

Since r_1 , r_K , and ρ variables are independent of each other, we interchange the integration order using Fubini's theorem. Accordingly, after substituting (1) in (35), we have

$$\mathcal{I}_{1} = \sum_{m=1}^{\Delta} {\Delta \choose m} (-1)^{m+1} e^{-Zr_{1}^{\alpha}} \times \int_{r_{1}}^{\infty} f_{r_{K}}(r_{K}) e^{-2\pi\lambda G(\bar{\gamma}, \rho)r_{K}^{2}} dr_{K}$$



$$= \sum_{m=1}^{\Delta} {\Delta \choose m} (-1)^{m+1} e^{-Zr_1^{\alpha}}$$

$$\times \int_{r_1}^{\infty} e^{-\lambda \pi r_K^2} e^{-2\pi \lambda r_K^2} \frac{G(\bar{\gamma}, \rho)}{G(\bar{\gamma}, \rho)} \left(\frac{2(\lambda \pi)^K r_K^{(2K-1)}}{\Gamma(K)} \right) dr_K$$

$$= \frac{2(\pi \lambda)^K}{\Gamma(K)} \sum_{m=1}^{\Delta} {\Delta \choose m} (-1)^{m+1} e^{-Zr_1^{\alpha}}$$

$$\times \frac{\Gamma\left(K, \lambda \pi (2G(\bar{\gamma}, \rho) + 1)r_1^2\right)}{2\left(2\lambda \pi G(\bar{\gamma}, \rho) + \lambda \pi\right)^K}$$
(36)

where the last result can be obtained by utilising the integral identity: $\int_u^\infty x^m e^{-\beta x^n} \mathrm{d}x = \frac{\Gamma(v, \beta u^n)}{n \beta^v}$. Plugging (36) and (1) with K=1 in (35) and considering \mathcal{I}_2 ,

$$\mathcal{I}_{2} = \frac{2(\pi\lambda)^{K}}{\Gamma(K)} \sum_{m=1}^{\Delta} {\Delta \choose m} \frac{(-1)^{m+1}}{2\left(2\lambda\pi G(\bar{\gamma}, \rho) + \lambda\pi\right)^{K}}$$

$$\times \int_{0}^{\infty} \Gamma\left(K, \lambda\pi (2G(\bar{\gamma}, \rho) + 1)r_{1}^{2}\right) e^{-\lambda\pi r_{1}^{2}}$$

$$\times e^{-Zr_{1}^{\alpha}} (2\lambda\pi r_{1}) dr_{1}$$
(37)

By expressing the gamma function in (37) as $\Gamma(s, x) =$ $(s-1)!e^{-x}\sum_{n=0}^{s-1}\frac{x^n}{n!}$, doing some mathematical manipulations, and conditioning on ρ , we have:

$$P_{c}^{\mathsf{CB}}(\rho) \leq \frac{\pi \lambda}{2\left(2 G(\bar{\gamma}, \rho) + 1\right)^{K}} \sum_{m=1}^{\Delta} \binom{\Delta}{m} (-1)^{m+1} \sum_{n=0}^{K-1} \left(2 G(\bar{\gamma}, \rho) + 1\right)^{K} \sum_{m=1}^{\Delta} \binom{\Delta}{m} (-1)^{m+1} \sum_{n=0}^{K-1} \left(2 G(\bar{\gamma}, \rho) + 1\right)^{m+1} \sum_{n=0}^{K-1} \left(2 G(\bar{\gamma}, \rho) + 1\right)^{m+1} \sum_{n=0}^{K-1} \binom{\Delta}{m} \left(2 G(\bar{\gamma}, \rho) + 1\right)^{$$

Notice that the above expression is the conditional probability of coverage represented as a function of ρ , so we omit the expectation symbol. This integral can be evaluated numerically, and it can be obtained in a closed-form for a special case of $\alpha = 4$, and is given by:

$$P_{c}^{\mathsf{CB}}(\rho) \leq \frac{\pi \lambda}{2\left(2G(\bar{\gamma}, \rho) + 1\right)^{K}} \sum_{m=1}^{\Delta} \binom{\Delta}{m} (-1)^{m+1} \times \sum_{r=0}^{K-1} \frac{(\lambda \pi)^{n}}{n!} \left(2G(\bar{\gamma}, \rho) + 1\right)^{n} \mathcal{G}_{n}(\bar{\gamma}, \rho) \quad (39)$$

where $G_n(\bar{\gamma}, \rho)$ and $G(\bar{\gamma}, \rho)$ are given in (21) and (22), respectively. The above result, can be obtained by changing the variable $r_1^2 = x$ and invoking the integral identity $\int_0^\infty x^{\nu-1} e^{-\beta x^2 - \gamma x} dx = (2\beta)^{-\nu/2} \Gamma(\nu) \exp(\frac{\gamma^2}{8\beta}) D_{-\nu}(\frac{\gamma}{\sqrt{2\beta}})$.

APPENDIX E PROOF OF THEOREM 4

Starting from (12), and using the following identity: $\mathbb{E}\{x\} = \int_{t\geq 0}^{\infty} \mathbb{P}[x \geq t] dt$, where the expectation operation is defined over all randomness involved in the SINR, we have the following:

$$\mathbb{E}\left\{1 + SINR\right\}^{-\theta}$$

$$= \int_{r_1 \ge 0}^{\infty} \int_{t \ge 0}^{1} f_{r_1}(r_1)$$

$$\times \mathbb{P}\left[\left(1 + SINR\right)^{-\theta} > t | r_1\right] dt dr_1 \qquad (40)$$

$$= \int_{r_1 \ge 0}^{\infty} \int_{t \ge 0}^{1} f_{r_1}(r_1)$$

$$\times \mathbb{P}\left[SINR < (t^{\frac{-1}{\theta}} - 1) | r_1\right] dt dr_1 \qquad (41)$$

$$= 1 - \int_{r_1 \ge 0}^{\infty} \int_{t \ge 0}^{1} f_{r_1}(r_1)$$

$$\times \mathbb{P}\left[SINR \geqslant t^{\frac{-1}{\theta}} - 1 | r_1\right] dt dr_1 \qquad (42)$$

By substituting for the upper bound of coverage probability in the above integration and approximating the integration in (42) by Gauss-Hermite quadrature given by [28]:

$$\int_0^1 x^k f(x) dx \approx \sum_{n=1}^N \omega_n f(x_n)$$
 (43)

(24) follows immediately.

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