

1           Entry inhibition and modulation of pro-inflammatory immune  
2           response against Influenza A Virus by a recombinant truncated  
3                                    surfactant protein D  
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16 Running Tittle: Recombinant human SP-D as an entry inhibitor of IAV

17 Keywords: Innate immunity; Influenza A Virus; Surfactant Protein D; Pseudotyped Lentiviral  
18 Particles; inflammation

19 Acknowledgement: This work is part of a project funded by the Biotechnology program of  
20 the King Abdulaziz City for Science and Technology (14-MED258-20) and approved by the  
21 Research Advisory Council of the King Faisal Specialist Hospital and Research Centre,  
22 Riyadh (2150031). We thank Maureen Delos Reyes and Hanan Shaarawi for secretarial and  
23 logistic assistance.

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26

27 **Abstract**

28 Surfactant protein D (SP-D), a C-type collagen containing lectin (collectin), is expressed in  
29 the mucosal secretion of the lung and contribute to the innate host defence against a variety of  
30 pathogens, including influenza A virus (IAV). SP-D has been shown to inhibit  
31 haemagglutination activity and infectivity of IAV, in addition to reducing neuraminidase  
32 (NA) activity. SP-D exhibits a strong anti-IAV activity by virtue of its carbohydrate  
33 recognition domain (CRD) binding to carbohydrate pattern (N-linked mannosylated) on NA  
34 and hemagglutinin (HA) of the IAV. Here, we demonstrate that a recombinant fragment of  
35 human SP-D (rfhSP-D), containing homotrimeric neck and CRD regions, acts as an entry  
36 inhibitor of IAV and down-regulates M1 expression considerably in A549 cells infected with  
37 pH1N1 as well as H3N2 IAV strains at 2h treatment. In addition, rfhSP-D down-regulated  
38 mRNA levels of TNF- $\alpha$ , IFN- $\alpha$ , IFN- $\beta$ , IL-6 and RANTES production, as judged by qPCR,  
39 particularly during the initial stage of IAV infection of A549 cell line. rfhSP-D also interfered  
40 with IAV infection of Madin-Darby canine kidney (MDCK) cells through HA1 binding, as  
41 confirmed by luciferase reporter assay and far western blotting. Furthermore, rfhSP-D was  
42 found to reduce luciferase reporter activity of MDCK cells transduced with H1+N1  
43 pseudotyped lentiviral particles in a dose-dependent manner, where 50% of reduction was  
44 observed with 10  $\mu$ g/ml rfhSP-D. Thus, binding of rfhSP-D to HA1 and reduction in  
45 luciferase reporter activity are suggestive of a critical role of rfhSP-D in mediating the  
46 inhibition of IAV infectivity and that of pseudotyped lentivirus as an entry inhibitor.  
47 Multiplex cytokine array revealed that rfhSP-D treatment of IAV challenged A549 cells led  
48 to a dramatic suppression of some of the key pro-inflammatory cytokines and chemokines in  
49 the virus challenged A549 cells. In the case of pH1N1, soluble factors such as TNF- $\alpha$ , IFN-  
50  $\alpha$ , IL-10, IL-12 (p40), VEGF, GM-CSF and eotaxin were considerably suppressed by rfhSP-  
51 D treatment at 24h. However, these suppressive effects of IL-10, VEGF, eotaxin and IL-12  
52 (p40) were not so evident in the case of H3N2 strain at the secreted protein level, with the  
53 exception of TNF- $\alpha$ , IFN- $\alpha$ , and GM-CSF. These data seem to suggest that the extent of  
54 immunomodulatory effect of SP-D on host cells can vary considerably in a strain-specific  
55 manner. Thus, rfhSP-D treatment can downregulate pro-inflammatory milieu encouraged by  
56 IAV via aberrant inflammatory cell recruitment leading to cell death and other possible long  
57 term immune defects and lung damage.

58

## 59 **Introduction**

60 The innate immune system is composed of both cellular and humoral players to encounter  
61 invading pathogens. It is also an important component in the initiation and modulation of the  
62 adaptive immunity. To distinguish self from non-self-recognition, the innate immune system  
63 has evolved to recognise pathogen associated molecular patterns (PAMPS) through a number  
64 of pattern recognition receptors (PRRs), including Toll like receptors, and C-type lectin  
65 receptors. Collectins are collagenous lectins, representing a crucial group of calcium-  
66 dependent pattern recognition molecules present in pulmonary secretions and mammalian  
67 serum (1). They play a crucial role in first line host defence against a diverse range of  
68 pathogens by interacting with specific glycoconjugates and lipid moieties present on the  
69 surface of microorganisms. A significant number of *in vitro* and *in vivo* studies have focused  
70 on the immunomodulatory functions of lung collectins, human surfactant protein D (SP-D).  
71 Such collectin, SP-D is primarily organised into four regions: a cysteine-linked N-terminal  
72 region involved in multimerization, a triple-helical collagen region composed of Gly-X-Y  
73 repeats, an  $\alpha$ -helical, coiled-coil trimerizing neck region, and the C-terminal carbohydrate  
74 recognition domains (CRD) or C-type lectin domain (Kishore et al., 2006). Human SP-D is  
75 primarily synthesised by alveolar type II and Clara cells, in addition to being present in  
76 several extra-pulmonary tissues. SP-D triggers a range of anti-microbial defence mechanisms,  
77 including agglutination/aggregation, phagocytosis, and direct growth inhibition (Nayak et al.,  
78 2012). SP-D is also capable of controlling pulmonary inflammation including allergy and  
79 asthma, and thus, linking innate with adaptive immunity via modulation of dendritic cell  
80 maturation and functions, and polarisation of helper T cells (1).

81 The direct nature of interaction between SP-D and a number of viruses has been reported (2,  
82 3), which often results in viral neutralisation and enhanced phagocytosis (4, 5). Anti-viral  
83 roles of SP-D during Influenza A virus (IAV) infection have been well-documented,  
84 principally by Hartshorn group. IAV is an enveloped RNA virus and a member of  
85 Orthomyxoviridae family that possess eight single stranded RNA segments with negative  
86 orientation. These RNA segments can encode up to 13 viral proteins, including two surface  
87 glycoproteins, an ion channel protein, nucleocapsid protein, structural scaffolding protein, a  
88 tripartite polymerase complex, two non-structural proteins, and three non-essential proteins  
89 (6). IAV is subtyped based on their surface glycoproteins, such as hemagglutinin (HA) and  
90 neuraminidase (NA); to date, there are 19 HA and 9 NA protein subtypes that have been well  
91 established. Both HA and NA play an important role in the host range, viral replication and

92 pathogenicity (7). Among the three genera of influenza viruses reported, infection by IAV is  
93 the most common and severe in humans, swine and avian species. It is also known to cause  
94 pandemic infections, being diverse in host specificity. IAV is considered as a major human  
95 respiratory pathogen following 1918 H1N1 influenza pandemic (Spanish Flu) (8), which is  
96 believed to have resulted in the zoonotic transmission of an avian virus to a human host and  
97 has rapidly dispersed (9).

98 Binding of IAV to target cells is mediated via the globular head of HA to sialic acid (SA)  
99 receptors present on the host cell surface (10, 11). IAV strains have adapted to human  
100 preferentially via binding with  $\alpha$  (2–6) linkage of SA receptors (12). Following IAV-SA  
101 receptor interaction, virus particles are internalised via clathrin, resulting in clathrin-mediated  
102 endocytosis, or via caveolin/clathrin- independent mechanism (13, 14). Thus, acidic  
103 environment triggers M2 ion channel and transfers protons and potassium into the interior  
104 portion of the virion to dissociate M1 protein from the ribonucleoprotein (RNP) (15).  
105 Acidification also initiates HA-mediated conformational changes, which lead to viral fusion  
106 and RNPs release into the cytoplasm, further resulting in viral transcription and replication  
107 process. It is, therefore, suggested that SA and its linkage is crucial for the initiation of IAV  
108 infection of both epithelial and immune cells. Thus, inhibition of SA receptor binding or  
109 enzymatic switching of SA-mediated linkages can confer cell resistance, and/or alter  
110 susceptibility to IAV infection. Hence, cell surface SA is considered as an important primary  
111 receptor and determinant of IAV tropism, contributing to induction of immune responses as  
112 well as to viral pathogenesis.

113 It is crucial to understand molecular mechanisms of host defence against IAV in order to  
114 design novel anti-IAV strategies. SP-D binding to HA leads to a direct inhibition of cellular  
115 infection by preventing HA-SA receptor interaction (3). SP-D has been shown to bind HA  
116 mediated glycosylation sites, identified as  $\beta$ -type inhibitor of IAV. This interaction is calcium  
117 dependent, and binding of SP-D to NA inhibits the release of progeny virions from infected  
118 cells (16, 17). It has been reported that recombinant full-length porcine SP-D has a potent  
119 antiviral activity against a wide range of IAV by similar mechanisms, more than human SP-D  
120 due to structural differences like an additional loop in its CRD, an additional glycosylation  
121 site and an additional cysteine in the collagen domain (18). In this study, we have used a  
122 well- characterized recombinant homotrimeric fragment of human SP-D comprising neck and  
123 CRD region (rfhSP-D), and examined its ability to act as an entry inhibitor of IAV and  
124 pseudotype viral particles, and modulate subsequent immunological response *in vitro*.

125 **Materials and Methods**

126 **Reagents**

127 **Viruses and Reagents**

128 The A/England/195/2009 (pH1N1) and the A/Hong Kong/1774/99 (H3N2) strains were  
129 gifted by Wendy Barclay from the Imperial College, London and Leo Poon from the  
130 University of Hong Kong, respectively. The plasmids used to produce the H1+N1  
131 pseudotyped lentiviral particles were obtained from Addgene. The pHIV-Luciferase plasmid  
132 was a gift from Bryan Welm (Addgene plasmid # 21375); psPAX2 was a gift from Didier  
133 Trono (Addgene plasmid # 12260), and VSVG was offered by Bob Weinberg (Addgene  
134 plasmid #8454). Monoclonal Anti-Influenza Virus H1 Hemagglutinin (HA),  
135 A/California/04/2009 (H1N1)pdm09, Clone 5C12 (produced in vitro), NR-42019 and  
136 Polyclonal Anti-Influenza Virus H3 Hemagglutinin (HA), A/Hong Kong/1/1968 (H3N2),  
137 (antiserum, Goat), NR-3118 were obtained through BEI Resources, NIAID, NIH, USA

138 **Cell culture**

139 Adenocarcinomic human alveolar basal epithelial cells A549, Madin Darby canine kidney  
140 (MDCK), and Human embryonic kidney (HEK) 293T cell lines were cultured in Dulbecco's  
141 Modified Eagle's Medium (DMEM) (Sigma-Aldrich), supplemented with 10% v/v Fetal  
142 Bovine Serum (FBS), 2mM L-glutamine, 100U/ml penicillin (Sigma-Aldrich), 100µg/ml  
143 streptomycin (Sigma-Aldrich) and 1mM sodium pyruvate (Sigma-Aldrich), and left to grow  
144 at 37°C in the presence of 5% v/v CO<sub>2</sub> for approximately 3 days before passaging. Since  
145 these cells were adherent, they were detached using 2 × Trypsin-EDTA (0.5%) (Fisher  
146 Scientific) for 10 minutes at 37°C. Cells were then centrifuged at 1200 rpm for 5 minutes,  
147 followed by re-suspension in complete DMEM with FBS, penicillin and streptomycin, as  
148 described above. To determine the cell count and viability, an equal volume of the cell  
149 suspension and Trypan Blue (0.4% w/v) (Fisher Scientific) solution were vortexed, followed  
150 by cell count using a haemocytometer with Neubauer rulings (Sigma-Aldrich). Cells were  
151 then re-suspended in complete DMEM medium for further use.

152 **Purification of IAV strains**

153 MDCK cells at 80-90% confluency were washed with sterile PBS twice before infection.  
154 Diluted pandemic A/H1N1 2009 (pH1N1) ( $2 \times 10^4$ ) or A/Hong Kong/1774/99 (H3N2)

155 (3.3×10<sup>4</sup>) (600µl/flask) was transferred to the flask containing 20 ml of complete DMEM  
156 medium, and incubated at 37°C for 1h. Unbound viruses were removed by washing three  
157 times with sterile PBS. 25 ml of infection medium (DMEM with 1% Penicillin/Streptomycin,  
158 0.3% Bovine Serum Albumin (BSA) and 1µg/ml of L-1-Tosylamide-2-phenylethyl  
159 chloromethyl ketone (TPCK) - Trypsin (Sigma-Aldrich) was added to the flasks, and  
160 incubated at 37°C for 3 days. The virus particles were then harvested via centrifugation of  
161 the infectious medium at 3000 × g at 4°C for 15 minutes. The supernatant obtained was  
162 centrifuged at 10,000 × g for 30 minutes at 4°C. 26 ml of supernatant was added slowly to  
163 new Ultra-clear centrifuge tubes containing 30% w/v sucrose (8 ml/tube) (Sigma-Aldrich),  
164 and centrifuged at 25,000 × g at 4°C for 90 minutes. The upper phase of the medium and the  
165 sucrose phase were carefully removed; IAV particles at the bottom were re-suspended in  
166 100µl of sterile PBS. Virus suspension (15 µl) was subsequently analysed by SDS-PAGE and  
167 ELISA.

#### 168 **Tissue Culture Infectious Dose 50% (TCID<sub>50</sub>) assay**

169 Purified pH1N1 or H3N2 virus stocks were prepared with a starting dilution of 10<sup>-2</sup> in  
170 DMEM and 146µl of the diluted virus was added to all wells; uninfected MDCK cells were  
171 used as a control. 46µl of pH1N1 or H3N2 was then serially diluted (1/2log<sub>10</sub> up to 10<sup>-7</sup>) and  
172 incubated at 37°C for 1h in a microtiter plate. 1×10<sup>5</sup> MDCK cells, earlier trypsinised and re-  
173 suspended in 2× infectious medium, were added to each well and incubated for 3 days at  
174 37°C under 5% v/v CO<sub>2</sub> until cytopathic effect (CPE) was observed. After 5 days, each well  
175 was observed under microscopy, and the number of wells that were positive and negative for  
176 CPE at each dilution was recorded.

#### 177 **Expression and purification of a recombinant fragment of human SP-D containing neck 178 and CRD regions**

179 A recombinant fragment of human SP-D (rfhSP-D) was expressed under bacteriophage T7  
180 promoter in *Escherichia coli* BL21 (λDE3) pLysS (Invitrogen), transformed with plasmid  
181 pUK-D1 containing cDNA sequences for the 8 Gly-X-Y repeats, neck and CRD regions of  
182 human SP-D, as described previously (19). Briefly, a primary inoculum of 25 ml bacterial  
183 culture was inoculated into 500 ml of LB containing 100 µg/ml ampicillin and 34 µg/ml  
184 chloramphenicol (Sigma-Aldrich), grown to OD<sub>600</sub> of 0.6, and then induced with 0.5 mM  
185 Isopropyl β-D-1-thiogalactopyranoside (IPTG) (Sigma-Aldrich) for 3 hours. The bacterial  
186 cell pellet was re-suspended in lysis buffer (50 mM Tris-HCl pH7.5, 200 mM NaCl, 5 mM

187 EDTA pH 8, 0.1% v/v Triton X-100, 0.1mM phenyl-methyl-sulfonyl fluoride (PMSF), 50  
188 µg/ml lysozyme) and sonicated (five cycles, 30 seconds each). The sonicate was harvested at  
189 12000 × g for 30 minutes, followed by solubilisation of inclusion bodies in refolding buffer  
190 (50 mM Tris-HCl pH 7.5, 100 mM NaCl, 10 mM 2-Mercaptoethanol) containing 8M urea.  
191 The solubilised fraction was then dialysed stepwise against refolding buffer containing 4 M, 2  
192 M, 1 M and no urea. The clear dialysate was loaded onto a maltose agarose column (5 ml;  
193 Sigma-Aldrich) and the bound rfhSP-D was eluted using 50 mM Tris-HCl, pH 7.5, 100 mM  
194 NaCl and 10 mM EDTA. The eluted fractions were then passed through Pierce™ High  
195 Capacity Endotoxin Removal Resin (Qiagen) to remove endotoxin. The endotoxin levels  
196 were measured via QCL-1000 Limulus ameocyte lysate system (Lonza), and found to be <  
197 5pg/ µg of rfhSP-D.

### 198 **Direct binding ELISA**

199 Maxisorp 96 well microtiter plates were coated with rfhSP-D (5, 2.5, 1.25, 0.625 µg/well) in  
200 carbonate-bicarbonate buffer (CBC), pH 9.6, and incubated overnight at 4°C. After removing  
201 the coating buffer, microtiter wells were washed with PBS three times, blocked with 2% w/v  
202 BSA in PBS for 2h at 37°C, and then washed three times with PBST (PBS + 0.05% Tween  
203 20). 20 µl of concentrated pH1N1, H3N2 virus ( $1.36 \times 10^6$  pfu/ml), or purified recombinant  
204 HA (2.5 µg/ml) was diluted in 200 µl of PBS, 10 µl of diluted virus was added to each wells,  
205 and incubated at RT for 2h in buffer containing 5mM CaCl<sub>2</sub>. Vesicular stomatitis Indiana  
206 virus (VSVG) (Addgene) lentivirus was used as a negative control. The microtiter wells were  
207 washed with PBST three times and the binding was probed with primary antibody:  
208 monoclonal anti-influenza virus H1 (BEI-Resources) and polyclonal anti-influenza virus H3  
209 (BEI-Resources) antibody (1:5000 dilution in PBS) for 1 hour at 37°C. The wells were  
210 washed again with PBST and incubated with anti-mouse IgG-Horseradish peroxidase (HRP)-  
211 conjugate (1:5000) (Fisher Scientific) and Protein A-HRP-conjugate (Fisher Scientific) in  
212 PBS (100µl/well), respectively, for 1h at 37°C. Colour was developed using 3,3',5,5'-  
213 Tetramethylbenzidine (TMB) substrate (Sigma-Aldrich). The reaction was stopped using 2N  
214 H<sub>2</sub>SO<sub>4</sub> and the absorbance was read at 450nm using iMark™ microplate absorbance reader  
215 (Bio-Rad).

### 216 **Far western blotting**

217 rfhSP-D (5µg) or 10 µl of concentrated pH1N1/H3N2 ( $1.36 \times 10^6$  pfu/ml) were run separately  
218 on a 12% (w/v) SDS-PAGE, and then electrophoretically transferred onto a nitrocellulose

219 membrane (320 mA for 2h) in 1× transfer buffer (25 mM Tris-HCl pH 7.5, 190 mM glycine  
220 and 20% methanol), followed by blocking overnight in 5% w/v dried milk powder in PBS  
221 (Sigma-Aldrich) at 4 °C on a rotatory shaker. The membrane was then washed with PBST  
222 three times, 10 minutes each. For far western blotting, the nitrocellulose membrane was  
223 incubated with 5µg/ml of rfhSP-D in PBS containing 5mM CaCl<sub>2</sub> for 1h at room temperature  
224 (RT) and 1h at 4°C. Following PBST wash, the membrane was incubated with primary  
225 antibodies, polyclonal rabbit anti-human SP-D, monoclonal anti-influenza virus H1 (BEI-  
226 Resources), or polyclonal anti-influenza virus H3 (BEI-Resources) in PBS (1:1000) for 1h at  
227 RT. Following washing, the membrane was probed with secondary antibodies: Protein-A-  
228 HRP-conjugate, or rabbit anti-mouse IgG HRP conjugate (1:1000) (Fisher Scientific) in PBS  
229 (100µl/well) for 1h at RT. After PBST wash, the blot was developed either using 3,3'-  
230 diaminobenzidine (DAB) or enhanced chemiluminescence (ECL) substrate. For M1  
231 detection, following 6h incubation, both untreated (cells +virus) and treated samples (cells+  
232 virus + 10µg/ml rfhSP-D) were run on the 12% (w/v) SDS-PAGE, and transferred onto a  
233 nitrocellulose membrane, as described above. The M1 expression was detected using Anti-  
234 M1 monoclonal antibody (BEI-Resources).

### 235 **Cell binding assay**

236 A549 cells were seeded in microtiter wells using DMEM complete medium ( $1 \times 10^5$   
237 cells/well) and incubated overnight at 37°C. The wells were washed with PBS three times,  
238 and then rfhSP-D (10, 5, 2.5 and 1.25µg/ml) was pre-incubated with pH1N1 or H3N2 virus  
239 ( $1.36 \times 10^6$  pfu/ml) diluted in 200µl of PBS + 5mM CaCl<sub>2</sub> ; 10 µl of diluted virus was added to  
240 the corresponding wells, and incubated at RT for 2h. Maltose binding protein (MBP) was  
241 used as a negative control. The microtiter wells were then washed with PBS three times, and  
242 fixed with 4% Paraformaldehyde (PFA) (Fisher Scientific) for 10 minutes at RT. The wells  
243 were washed again with PBS three times, and blocked with 2% w/v BSA in PBS for 2 h at  
244 37°C. Monoclonal anti-influenza virus H1 (BEI-Resources) and polyclonal anti-influenza  
245 virus H3 (BEI-Resources) in PBS (1:5000) were added to each well and incubated for 1h at  
246 37°C. After washing with PBST three times, the corresponding wells were probed with goat  
247 anti-mouse IgG-HRP-conjugate (Thermo-Fisher), or Protein A-HRP conjugate (1:5000) in  
248 PBS for 1 h at 37°C. The wells were washed again with PBST three times and the colour was  
249 developed using TMB substrate. The reaction was stopped using 2M H<sub>2</sub>SO<sub>4</sub>, followed by  
250 absorbance reading at 450nm.



## 251 **Titration Assay**

252 Maxisorp 96 well plates were coated with 0.01% collagen (Sigma-Aldrich) and incubated at  
253 RT for 3h. After removing the excess collagen, the wells were washed with PBS twice. 75,  
254 000 A549 cells were seeded and grown overnight at 37°C in the presence of 5% v/v CO<sub>2</sub>,  
255 until 75%-80% confluency. Cells were washed with 1 × PBS twice, pH1N1 or H3N2 virus  
256 (MOI of 1) diluted in pure DMEM with 10 µg/ml rfhSP-D was added to cells respectively.  
257 The plates were then incubated at 37°C incubator for 1 hour, and following post incubation,  
258 the wells were washed with PBS twice and 200µl of infectious medium was added to the  
259 cells, and incubated for 24h at 37°C with 5% v/v CO<sub>2</sub>. The media of the infected cells in the  
260 presence and absence of rfhSP-D was collected and viral titre was estimated by TCID<sub>50</sub>.

## 261 **Infection assay using pH1N1 and H3N2**

262 A549 cells were cultured in complete DMEM medium with usual supplements at 37°C in  
263 CO<sub>2</sub> incubator until about 70-80% confluence. Cells, washed with PBS twice, trypsinised,  
264 and adjusted to 5 × 10<sup>5</sup> cells in 12 well plates (Fisher Scientific), were left to adhere overnight  
265 at 37°C in serum free complete DMEM medium. Cells were washed in PBS before the  
266 addition of rfhSP-D (10µg /well) in pure DMEM containing 5mM CaCl<sub>2</sub> with MOI 1 of  
267 pH1N1 or H3N2 virus (1 h at RT and 1h at 4°C). The pre-incubated virus and protein mix  
268 was then added onto the cells in a circular motion and incubated at 37°C for 1 h in DMEM  
269 medium only. Medium containing unabsorbed virus and rfhSP-D protein was removed, cells  
270 were washed with PBS twice, infectious medium was added, and then left to incubate 2h and  
271 6h. The infected cells were detached by scrapping with a sterile cell scrapper, centrifuged at  
272 1500 ×g 3 minutes, and frozen at -80 °C for further analysis via qPCR.

## 273 **Real-time quantitative PCR analysis**

274 The infected A549 cells were lysed using a lysis solution (50 mM Tris-HCl pH 7.5, 200 mM  
275 NaCl, 5 mM EDTA pH 8, 0.1% v/v Triton X-100). Total RNA was extracted using RNase  
276 Mini Kit (Qiagen). Contaminating DNA was removed by DNase I treatment, followed by  
277 heat-inactivation at 70°C of DNase I and RNase. A260nm was used to quantify the amount of  
278 RNA using NanoDrop 2000/2000c (Sigma-Aldrich) and the RNA purity was assessed using  
279 A260/A280 ratio between 1.8 and 2.1. The isolated RNA was then converted into cDNA  
280 using SuperScript II Reverse Transcriptase (Thermo-Fisher Scientific). Oligo-dT primers  
281 were added to initiate cDNA synthesis and to avoid labelling of the rRNA and tRNA. cDNA

282 was synthesized using high capacity RNA to cDNA Kit (Thermo-Fisher Scientific) using 1-2  
283  $\mu\text{g}$  of total RNA. Primer sequences were designed for specificity using the Primer-BLAST  
284 software (Basic Local Alignment Search Tool) (<http://blast.ncbi.nlm.nih.gov/Blast.cgi>)  
285 (Table 1). The qRT-PCR was performed using the Light Cycler system (Applied  
286 Biosciences). The amplification program used was at 95°C for 5 min, followed by 45 cycles  
287 of 95°C for 10 s, 60°C for 10 s and 72°C for 10 s. The specificity of the assay was established  
288 by melting-curve analysis.

### 289 **Multiplex cytokine array analysis**

290 Supernatant from A549 cells, incubated with IAV with and without rfhSP-D for 24h were  
291 collected for measuring secreted Cytokines (TNF- $\alpha$ , IL-6, IL-10, IL-1 $\alpha$ , IFN- $\alpha$  and IL-  
292 12p40), chemokine (eotaxin) and growth factor (GM-CSF and VEGF). The analytes were  
293 measured using MagPixMilliplex kit (EMD Millipore). 25  $\mu\text{l}$  of assay buffer was added to  
294 each well of a 96-well plate, followed by addition of 25  $\mu\text{l}$  of standard, control or supernatant  
295 from A549 cells infected with pH1N1 or H3N2 (with and without rfhSP-D). 25  $\mu\text{l}$  of  
296 magnetic beads, coupled to analytes, were added to each well, and incubated for 18 h at 4°C.  
297 The plate was washed with the assay buffer and 25  $\mu\text{l}$  of detection antibodies were incubated  
298 with the beads for 1h at RT. 25  $\mu\text{l}$  of Streptavidin-Phycoerythrin was then added to each well  
299 and incubated for 30 mins at RT. Following a washing step, 150  $\mu\text{l}$  of sheath fluid was added  
300 to each well and the plate was read using the Luminex Magpix instrument. Assays were  
301 conducted in duplicate.

### 302 **Production of H1+N1 pseudotyped lentiviral particles**

303 HEK293T cells were co-transfected with 20  $\mu\text{g}$  of pcDNA3.1-swineH1-flag (H1 from swine  
304 H1N1 A/California/04/09) (Invitrogen), pcDNA3.1-swine N1-flag (N1 from swine H1N1  
305 A/California/04/09) (Invitrogen), pHIV-Luciferase backbone (Addgene), which carries a  
306 modified proviral HIV-1 genome with *env* deleted and designed to express the firefly  
307 luciferase reporter, and psPAX2 (Addgene). psPAX2 is a second generation lentiviral  
308 packaging plasmid and can be used with second or third generation lentiviral vectors and  
309 envelope expressing plasmid. VSVG lentivirus was produced in a similar way as described  
310 above, replacing H1 + N1. Supernatant containing the released H1+N1 pseudotyped and  
311 VSVG lentiviral particles were harvested at 24h and 48h and centrifuged at 5000  $\times\text{g}$  for 10  
312 minutes to remove any debris, and concentrated via ultra-centrifugation. The transfected  
313 HEK 293T cells were lysed using lysis buffer (50 mM Tris-HCl pH 7.5, 200 mM NaCl, 5

314 mM EDTA, 0.1% v/v Triton X-100). The filtered supernatant and the cell lysate were  
315 analysed via western blotting and luciferase reporter activity assay.

### 316 **Luciferase reporter activity assay**

317 MDCK cells were cultured in supplemented DMEM medium as described earlier, until about  
318 70-80% confluency. The harvested H1 + N1 pseudotyped particles at 24h and 48h were used  
319 to perform Luciferase reporter activity using luciferase one step assay kit (Thermo  
320 Scientific). rfhSP-D (5 and 10 µg/ml) was used to determine its effect on the Luciferase  
321 reporter activity; and cells only, and cells + H1+N1 particles were used as controls. Readings  
322 were measured using a GloMax 96 Microplate Luminometer (Promega).

### 323 **Statistical Analysis**

324 Graphs were generated using GraphPad Prism 6.0 software and the statistical analysis was  
325 performed using a two-way ANOVA test. Significant values were considered based on  
326  $*p < 0.1$ ,  $**p < 0.05$ ,  $***p < 0.01$ , and  $****p < 0.001$  between treated and un-treated  
327 conditions. Error bars show the SD or SEM, as indicated in the figure ligands.

### 328 **Results**

#### 329 **rfhSP-D binds directly to influenza A virus (IAV) strains**

330 *E.coli* BL21 (λDE3) pLysS under bacteriophage T7 promoter containing the pUK-D1  
331 construct (19), expressed a ~20 kDa protein following IPTG induction, compared to the un-  
332 induced bacterial cells (Figure 1A). The over-expressed insoluble rfhSP-D as inclusion  
333 bodies was refolded via denaturation and renaturation cycle. The soluble rfhSP-D fractions  
334 were affinity purified using maltose – agarose column, which appeared as a single band on  
335 12% SDS-PAGE (v/v) under reducing condition (Figure 1B). The immunoreactivity of  
336 purified rfhSP-D was confirmed via western blotting, by using polyclonal anti- human SP-D  
337 antibody that was raised against native human SP-D purified from lung lavage of alveolar  
338 proteinosis patients (Figure 1C). The ability of pH1N1 and H3N2 strains to bind microtiter-  
339 coated rfhSP-D was examined via ELISA. As shown in Figure 2, rfhSP-D bound both IAV  
340 strains in a dose-dependent manner. VSVG lentivirus was used as a negative control RNA  
341 virus, where no significant binding was seen with all rfhSP-D concentration tested. For cell  
342 binding assay, A549 cells were challenged with purified pH1N1 or H3N2 MDCK pre-

343 incubated with rfhSP-D at different concentrations (Figure 3). The maximum inhibition of  
344 cell binding was seen at 10µg. MBP was used as a negative control protein.

#### 345 **rfhSP-D binds to HA and restricts replication of IAV in A549 cells**

346 Previous studies have shown that SP-D binds to the glycosylation of HA1 domain on IAV  
347 (17). Far western blotting revealed that rfhSP-D bound to HA1 (55kDa) and HA2 (25kDa) of  
348 both pH1N1 (Figure 4A) and H3N2 (Figure 4B) strains. As show in figure 4C, rfhSP-D was  
349 able to bind purified recombinant HA protein in a concentration dependent manner. The  
350 binding of rfhSP-D may inhibit cellular viral infection by restricting the interaction of HA  
351 with sialic acid containing receptors, and HA-mediated fusion in endosomes. The interaction  
352 between rfhSP-D and HA appears to offer another dimension at which rfhSP-D may suppress  
353 target cell infection and intracellular replication. The mechanism of direct inhibition of IAV  
354 by rfhSP-D was thus investigated via infection assay. A549 cells infected with pH1N1 and  
355 H3N2 revealed an up-regulation of M1 expression at 2h and 6h time points (Figure 5).  
356 However, A549 cells, pre-treated with rfhSP-D showed down-regulated expression of viral  
357 M1 when compared to untreated cells challenged with virus (Figure 5). The down-regulation  
358 of M1 expression due to rfhSP-D pre-incubation was more effective in the case of pH1N1  
359 compared to H3N2, where -8 log<sub>10</sub> folds down-regulation was seen at 2 h (figure 5A). This  
360 was validated via western blotting, where a low M1 expression was detected in rfhSP-D (10  
361 µg/ml) treated sample following 6h incubation, when compared to untreated samples (cells +  
362 virus) (Figure 5C). Furthermore, anti-IAV activity of rfhSP-D was confirmed via titration  
363 assay (Figure 5D and E). An approximate of 40% titre reduction was seen with 10µg rfhSP-D  
364 treated samples compared to untreated samples, suggesting the ability of rfhSP-D to act as an  
365 entry inhibitor by inhibiting the viral replication and viral titre. Differential inhibitory effects  
366 of rfhSP-D on IAV strains may reflect on the glycosylation of the HA protein of IAV,  
367 suggesting a correlation between HA-glycan attachment and susceptibility of IAV strains to  
368 inhibition by rfhSP-D that involves specific sites on the HA.

#### 369 **rfhSP-D modulates pro-inflammatory cytokine/chemokine immune responses following** 370 **virus challenge to A549 cells**

371 The qPCR analysis revealed that there was an up-regulation of pro-inflammatory cytokines  
372 TNF-α and IL-6 by H3N2 strain, which were brought down slightly by rfhSP-D at 2h (Figure  
373 6A). However, both TNF-α and IL-6 in the case of pH1N1 was found to be down-regulated  
374 considerably by rfhSP-D at 2h, which gradually recovered during 6h (Figure 6B). IL-6,

375 which is crucial for the resolution of IAV infection, acts by inducing neutrophils mediated  
376 viral clearance as well as preventing neutrophils from virus-induced pronounced lung damage  
377 or injury. An elevated level of IL-6 in lung and serum has been reported in patients infected  
378 with pH1N1 (20). TNF- $\alpha$  and IL-6 are the key contributors to IAV- mediated respiratory  
379 diseases and acute lung injury. In contrast, there was a broad level of down-regulation of IL-  
380 12 in the case of both IAV strains incubated with rfhSP-D, suggesting a likely reduction of  
381 Th1 response and suppression of IFN- $\gamma$  production by CD4<sup>+</sup> T cells. Suppressed transcript  
382 levels RANTES (1 log<sub>10</sub> fold) by rfhSP-D was observed at 2h treatment in the case of pH1N1.  
383 However, in the case of H3N2 strain, RANTES was downregulated by 0.5-fold (log<sub>10</sub>)  
384 (Figure 6B) at 2h following treatment with rfhSP-D compared to untreated A549 cells only.  
385 Furthermore, suppression of IFN- $\alpha$  and IFN- $\beta$  were also seen with rfhSP-D treatment at both  
386 2h and 6h time point (Figure 6C). Both of these type I IFN cytokines plays a crucial anti-  
387 viral role against IAV, and determine the rate of viral replication in the initial stage of  
388 infection. Suppression of type I IFN levels suggests the ability of rfhSP-D to reduce the rate  
389 of viral replication, thereby reducing the levels of INF produced by the innate immune  
390 system.

391 **Multiplex cytokine array analysis reveals a differential ability of rfhSP-D to trigger a**  
392 **dramatic downregulation of some of the key pro-inflammatory cytokines and**  
393 **chemokines**

394 To assess secretion of cytokines, chemokines and growth factors over a period of 24h post  
395 rfhSP-D treatment, a multiplex cytokine array was performed using supernatants of the IAV  
396 challenged and rfhSP-D treated A549 cells. rfhSP-D induced a dramatic suppression of some  
397 of the key pro-inflammatory cytokines and chemokines in the virus infected A549 cells. In  
398 the case of pH1N1, TNF- $\alpha$ , IFN- $\alpha$ , IL-10, IL-12 (p40), VEGF, GM-CSF and eotaxin were  
399 considerably suppressed by rfhSP-D treatment at 24h (Figure 7A). However, these  
400 suppressive effects of IL-10, VEGF, eotaxin and IL-12 (p40) were not so evident in the case  
401 of H3N2 strain at the secreted protein level, with the exception of TNF- $\alpha$ , IFN- $\alpha$ , and GM-  
402 CSF (Figure 7). These data seem to suggest that the extent of immunomodulatory effect of  
403 SP-D on host cells can vary considerably in a strain-specific manner.

404

405

## 406 **rfhSP-D binds to H1+N1 pseudotyped lentivirus and reduces luciferase reporter activity**

407 H1+N1 pseudotyped lentiviral particles were produced as a safe strategy to study the  
408 differential or combinatorial involvement of HA or NA viral glycoproteins in the recognition  
409 and neutralisation of IAV by rfhSP-D. The production of lentiviral particles pseudotyped  
410 with envelope proteins H1+N1 was carried out by co-transfecting HEK293T cells with  
411 plasmid containing the coding sequence of the indicated H1+N1, pHIV-Luciferase backbone,  
412 and psPAX2. Purified H1+N1 pseudotyped particles and cell lysate harvested at 24h and 48h  
413 were analysed via western blotting, and the expression level of HA was determined using  
414 anti-H1 monoclonal antibody (Figure 8A); HA was evident at 70kDa. Far western blotting  
415 revealed binding of rfhSP-D to HA1 at 55kDa (Figure 8B), suggesting that the binding of  
416 rfhSP-D to HA1 is crucial for the inhibition of viral infectivity. Purified H1+N1 pseudotyped  
417 particles harvested at 24h and 48h were used to transduce MDCK cells to measure the  
418 luciferase reporter activity assay. Higher levels of luciferase reporter activity were observed  
419 at 24h when compared to 48h post transfection (Figure 8C). Thus, pseudo-particles harvested  
420 at 24h were used to transduce MDCK cells with and without rfhSP-D (5 and 10 µg/ml)  
421 (Figure 8D). Nearly 50% reduction of luciferase reporter activity was seen with 10 µg/ml of  
422 rfhSP-D compared to cells challenged with H1+N1 pseudotyped particles. This suggested an  
423 entry inhibitory role of rfhSP-D against IAV.

## 424 **Discussion**

425 Respiratory tract infection caused by IAV is associated with up to half million mortality rates  
426 worldwide and 5 million cases of morbidity per year. A new swine-origin H1N1 IAV,  
427 identified in April 2009, spread worldwide, and was officially declared pandemic in June  
428 2009. There are concerns that H1N1 or H3N2 viruses reassort with existing H5N1 virus using  
429 bird or pig as intermediate hosts, giving rise to more pathogenic IAV strains. Thus, it is  
430 fundamentally important to understand molecular mechanisms of host's first line of defence  
431 against IAV in order to design and develop novel and effective anti-IAV strategies. SP-D  
432 expressed at the mucosal sites including lungs plays an important role during IAV infection  
433 (21). SP-D has been shown to have a wide range of innate immune roles including  
434 neutralization, agglutination, opsonisation and clearance of viruses including IAV. The  
435 binding ability of rfhSP-D to HIV-1 gp120 was reported, primarily in a dose and calcium  
436 dependent manner (22). Human SP-D has also been shown to bind IAV HA and NA, and  
437 thereby, trigger inhibition of viral attachment and entry into the host cells (23). However, the

438 mechanism of direct inhibition of IAV and pseudotyped viral particles by SP-D and  
439 subsequent immune response is not fully understood. Therefore, this study was aimed at  
440 understanding the mechanisms through which SP-D play a crucial role in host response  
441 against IAV.

442 Using two different IAV strains- pH1N1 and H3N2, in this study, we have shown that the  
443 entry inhibitory capability of rfhSP-D is not limited to a particular strain. To identify the  
444 interaction of rfhSP-D with IAV viral proteins, protein-protein interaction studies were  
445 carried out via ELISA, cell binding assay and far western blot. The ELISA (Figure 2) and  
446 cell-binding assay (Figure 3) revealed the maximal binding of rfhSP-D to both pH1N1 and  
447 H3N2 IAV strains at 5µg/ml, and the maximum inhibition of cell binding was seen at  
448 10µg/ml of rfhSP-D. Furthermore, binding ability of rfhSP-D to purified recombinant HA  
449 protein was revealed in a concentration, and calcium dependent manner (Figure 4C). rfhSP-  
450 D bound HA1 (55kDa) and HA2 (25kDa) (Figure 4), which are subunits of influenza  
451 hemagglutinin (HA). N-linked oligosaccharides found on the IAV envelope glycoproteins  
452 (HA and NA) are known to be recognised by the CRD region of SP-D. Thus, HA-exposed  
453 glycans differing in location and numbers between IAV strains may be responsible for this  
454 interaction. rfhSP-D is thus likely to inhibit IAV infection by preventing the HA interaction  
455 with sialic acid containing receptors. A reverse genetic approach has been used to analyse the  
456 role of N- glycosylation sites on the head of H1 in modulating sensitivity to SP-D *in vitro* and  
457 *in vivo* (24). It was found that HA Asn-144 was a critical factor in sensitivity to SP-D,  
458 neutralisation by mouse lung fluids and disease in mice (24).

459 We also examined the immune response of A549 lung epithelial cells following IAV  
460 challenge in the presence or absence of rfhSP-D, which can impact upon cellular infection  
461 and viral replication. Therefore, the ability of rfhSP-D to modulate viral replication as well as  
462 inflammatory immune response following IAV challenge was examined via infection assay,  
463 qPCR and multiplex cytokine array. The key aspect of host-pathogen interaction arising out  
464 of this study is the ability of rfhSP-D-bound pH1N1 and H3N2 to undergo suppressed  
465 replication, as evident by the expression of M1 gene. M1 is a matrix protein of IAV that lies  
466 beneath the lipid layer and is the most abundant protein, which is essential for viral stability  
467 and integrity. Thus, it plays a critical role in recruitment and assembly of viral sites, nuclear  
468 export of viral ribonucleoprotein complexes (RNPs), and establishing the host components  
469 for viral budding (25). rfhSP-D suppressed the expression of M1 in pH1N1 (Figure 5A) at 2h,  
470 while down regulating at 6h in the case of H3N2 (Figure 5B). In addition, a lowered M1

471 expression was detected via western blot in the rfhSP-D treated sample compared to un-  
472 treated sample following 6h incubation (Figure 5C). Additionally, viral replication was also  
473 reduced in the presence of rfhSP-D as evident in figure 5. Thus, this suggest that rfhSP-D  
474 could act as an entry inhibitor of the strains tested (pH1N1 and H3N2). It is known that HA  
475 undergoes N-linked glycosylation and leads to modulation of antigenicity, fusion activity,  
476 receptor-binding specificity, and immune evasion of IAV. Therefore, SP-D can play an  
477 important role in innate defence against IAV as entry inhibitor by interfering with  
478 glycosylation sites and binding to glycans on the viral HA. It has been reported that the  
479 combinatorial substitutions of D325A/S+R343V in a trimeric neck and carbohydrate  
480 recognition domain fragment of human SP-D exhibits markedly increased anti-viral activity  
481 against pandemic IAV compared to native SP-D. This is because of the increased ability of  
482 the mutant to block the sialic acid binding sites, aggregate the virus and reduce viral uptake  
483 (26).

484 Our qPCR data demonstrated an increased expression level of TNF- $\alpha$  and IL-6 in H3N2  
485 strain (Figure 6B) compared to pH1N1. However, when pH1N1 treated with rfhSP-D, TNF- $\alpha$   
486 and IL-6 were suppressed at 2h (Figure 6A), which recovered towards the later stage of time  
487 point (6 h). Elevated mRNA expression levels of both TNF- $\alpha$  and IL-6 contribute to virus-  
488 mediated respiratory diseases or acute lung injury. IL-12 was considerably down-regulated by  
489 rfhSP-D in the case of both IAV strains, suggesting the likely suppression of Th1 immune  
490 response. mRNA expression of RANTES was 10-fold ( $\log_{10}$ 1 fold) down-regulated in the  
491 presence of rfhSP-D at 2h time point in pH1N1 compared to A549 cells only challenged with  
492 IAV. However, cells, challenged with H3N2 and treated with rfhSP-D, were seen to have  
493  $\log_{10}$  1-fold down-regulation of RANTES expression. The role of type I interferon (IFN),  
494 including IFN- $\alpha$  and IFN- $\beta$ , is established in host defence against IAV by clearing viral  
495 particles during the initial stage of infection. In this study, we report the ability of rfhSP-D  
496 (10  $\mu$ g/ml) to downregulate both IFN- $\alpha$  and IFN- $\beta$  expression (Figure 6C) at 2h and 6h  
497 incubation. A higher expression levels of both IFN- $\alpha$  and IFN- $\beta$  was detected in untreated  
498 (cells virus) sample, whereas an approximate of 3-fold ( $\log_{10}$  fold) downregulated in the  
499 presence of rfhSP-D following incubation at 6h. This suggest that when cells were incubated  
500 with either pH1N1/H3N3 (MOI 1), a higher levels of both IFN- $\alpha$  and IFN- $\beta$  being produced  
501 by the immune system to clear the virions, while adding rfhSP-D has induced inhibition of  
502 viral replication, thus, a lower levels of INF was detected. Recently, the E3 ubiquitin ligase,  
503 TRIM29, has been shown to be a negative regulator of type I IFN responses in the lungs post-



504 IAV challenge *in vivo*. TRIM29 acts by inhibiting interferon-regulatory factors (IRFs) and  
505 signalling via NF- $\kappa$ B, leading to degradation of NF- $\kappa$ B Essential Modulator (NEMO) (27).  
506 Whether rfhSP-D works via similar mechanisms is worth further investigation using SP-D  
507 gene-deficient mice (28). In addition, cytokine array analysis using supernatants that were  
508 collected at 24h showed considerable downregulation of some of the key pro-inflammatory  
509 cytokines, chemokines and growth factors in the presence of rfhSP-D. The downregulation of  
510 various humoral factors by rfhSP-D treatment could also facilitate the prevention of life-  
511 threatening secondary bacterial infections that may be caused by aberrant virus-mediated  
512 immune modulations.

513 In this study, we have produced the second-generation lentiviral vectors pseudotyped for  
514 H1+N1 of IAV. This system contains a single packaging plasmid (psPAX2) encoding genes  
515 including Gag, Pol, and Tat. pHIV-Luciferase was used as a lentiviral transfer plasmid,  
516 which is flanked with long terminal repeat (LTR) sequences, and designed to express the  
517 firefly luciferase reporter. Thus, pHIV-Luciferase is 'replication incompetent' which contains  
518 an additional sequence deletion in the 3' LTR leading to viral 'self-inactivation' post  
519 integration. This method was selected as a safe alternative method to mimic the structure and  
520 surfaces of IAV, and to prove rfhSP-D as an entry inhibitor in MDCK cells transduced with  
521 pseudotyped IAV particles that are restricted to only one replicative cycle. The lentiviral  
522 particles pseudotyped with H1+N1 were analysed via SDS-PAGE and western blotting.  
523 Expression of HA in purified H1+N1 pseudotyped lentiviral particles from transfected HEK  
524 293T cells was assessed by western blotting using anti-H1 monoclonal antibody (Figure 8A);  
525 HA appeared at 70kDa. H1+N1 pseudotyped lentiviral particles, purified via ultra-  
526 centrifugation, was used to investigate the combinatorial or differential involvement of viral  
527 envelope glycoproteins in the recognition and neutralization of HA particles by rfhSP-D.  
528 Incubation of rfhSP-D with these H1+N1 pseudotyped lentiviral particles was found to  
529 facilitate its binding to HA1 that appeared at 55kDa in the far western blot (Figure 8B). To  
530 validate the effectiveness of rfhSP-D as an entry inhibitor of IAV, luciferase reporter activity  
531 assay was performed. Nearly 50% luminescent signal was seen with 10  $\mu$ g/ml of rfhSP-D  
532 when compared to MDCK cells challenged with H1+N1 pseudotyped lentiviral particles  
533 alone. This, therefore, suggested the ability of rfhSP-D to inhibit viral infectivity through  
534 binding to cell surface bound HA1 found on the infected MDCK cells.

535 In summary, suppression of M1 expression, pro-inflammatory cytokine response, as well as  
536 luciferase reporter activity in target cells by rfhSP-D highlights its potential as a therapeutic  
537 molecule in an entry inhibitory role against IAV.

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639 **Table 1: Target Genes, Forward and Reverse primers used for qPCR**

Target	Forward Primer	Reverse Primer
18S	5'-ATGGCCGTTCTTAGTTGGTG-3'	5'-CGCTGAGCCAGTCAGTGTAG-3'
IL-6	5'-GAAAGCAGCAAAGAGGCACT-3'	5'-TTTCACCAGGCAAGTCTCCT-3'
IL-12	5'-AACTTGCAGCTGAAGCCATT-3'	5'-GACCTGAACGCAGAATGTCA-3'
TNF- $\alpha$	5'-AGCCCATGTTGTAGCAAACC-3'	5'-TGAGGTACAGGCCCTCTGAT-3'
M1	5'AAACATATGTCTGATAACGAAGGAGAA CAGTTCTT-3'	5'GCTGAATTCTACCTCATGGTCTTCTTGA- 3'
RANTES	5'-GCGGGTACCATGAAGATCTCTG-3'	5'-GGGTCAGAATCAAGAAACCCTC-3'
IFN- $\alpha$	5'-TTT CTC CTG CCT GAA GGA CAG-3'	5'-GCT CAT GAT TTC TGC TCT GAC A-3'
IFN- $\beta$	5'-AAA GAA GCA GCA ATT TTC AGC-3'	5'-CCT TGG CCT TCA GGT AAT GCA-3'

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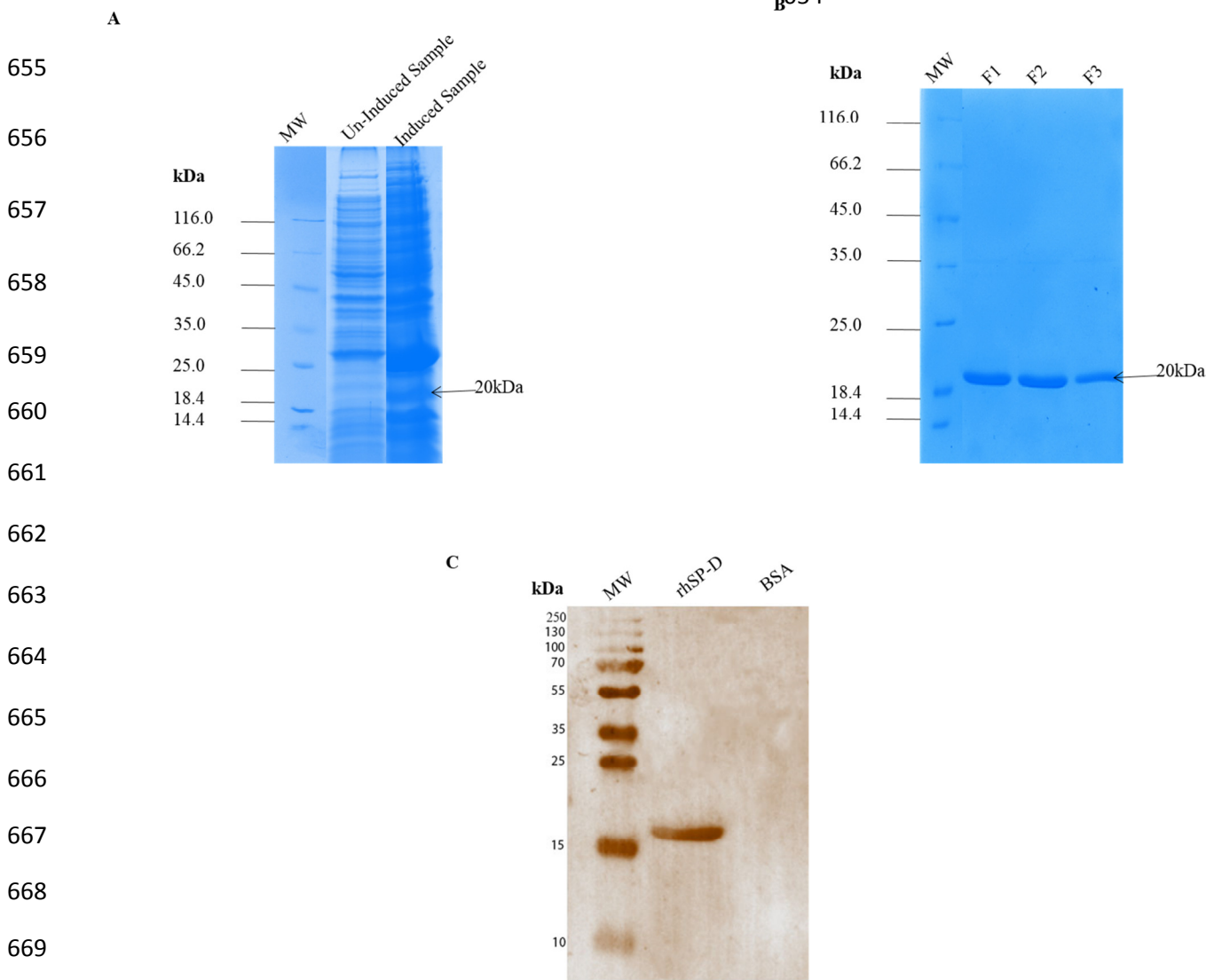
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674 **Figure 1:** SDS-PAGE (12% v/v) under reducing conditions showing expression and  
675 purification of a recombinant surfactant protein D (rfhSP-D). The neck and CRD regions was  
676 expressed in *Escherichia coli* BL21 ( $\lambda$ DE3) pLysS. (A) Following induction with 0.5mM  
677 IPTG, a ~20kDa band appeared being overexpressed compared to un-induced. Following  
678 denaturation-renaturation cycle, the rfhSP-D was purified on an affinity column to  
679 homogeneity (B). A rabbit polyclonal antibody raised against full length SP-D purified from  
680 Human bronchoalveolar lavage (C) recognised the purified rfhSP-D.

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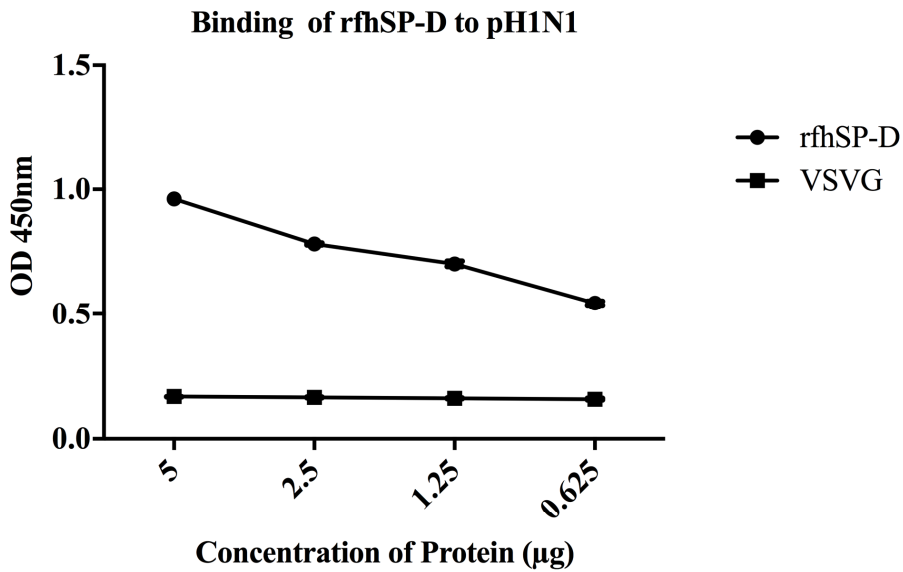
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**B**

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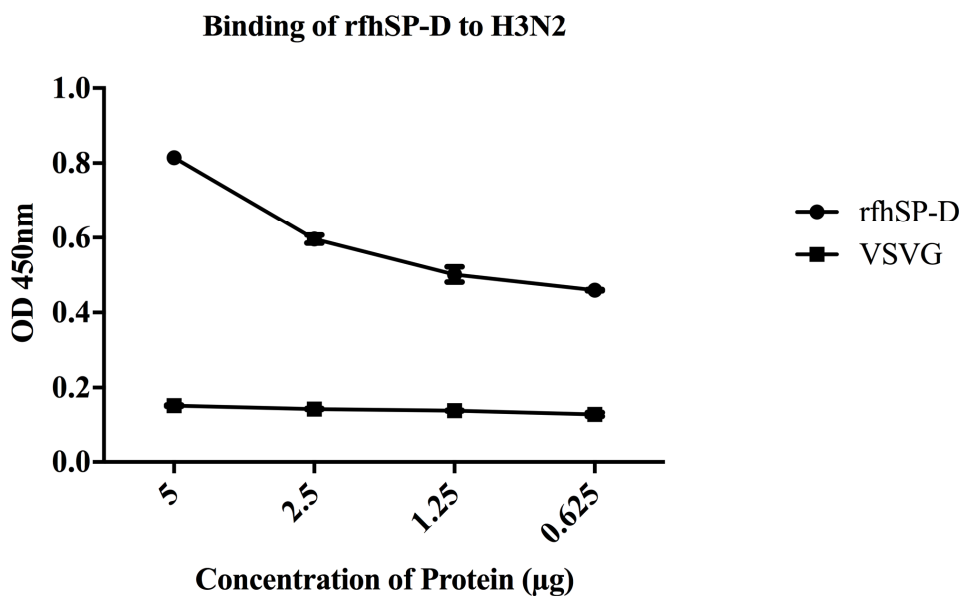
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**Figure 2:** ELISA to show binding of rfhSP-D to (A) pH1N1 and (B) H3N2: Microtiter wells were coated with different concentration of rfhSP-D (5, 2.5, 1.25, and 0.625 µg/ml). 20 µl of concentrated pH1N1 or H3N2 virus ( $1.36 \times 10^6$  pfu/ml) was diluted in 200µl of PBS + 5mM CaCl<sub>2</sub> and 10µl of diluted virus was added to all the wells, and probed with either monoclonal anti-influenza virus H1 or polyclonal anti-influenza virus H3 antibody. VSVG was used as a negative RNA virus control. The data were expressed as mean of three independent experiments done in triplicates ± SEM.

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**pH1N1**

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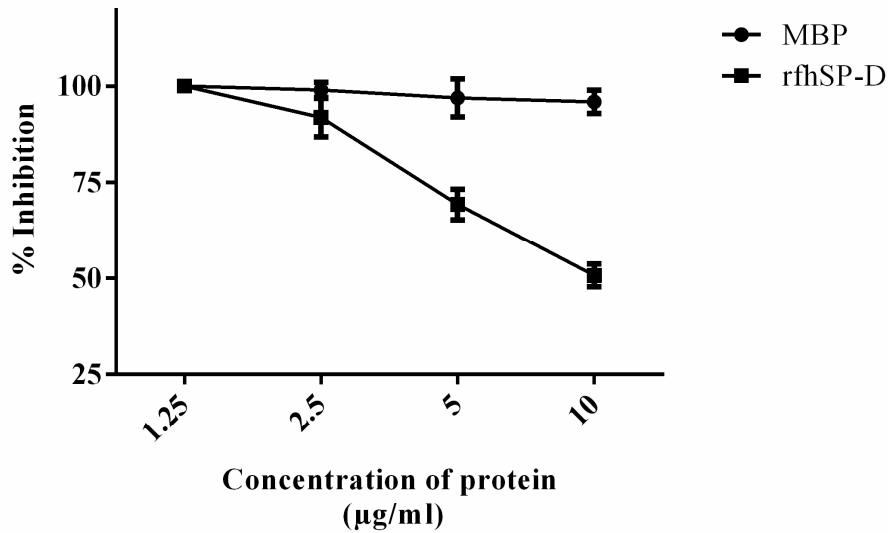
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**B**

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**H3N2**

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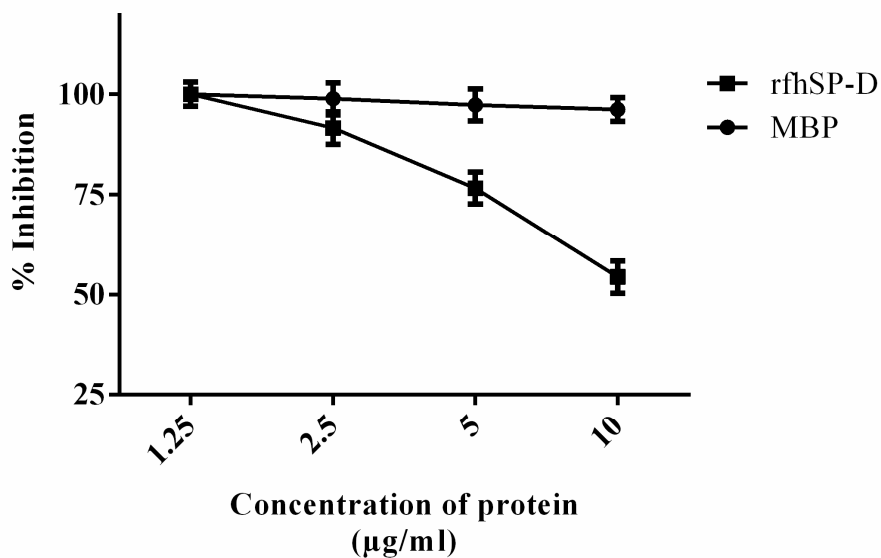
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**Figure 3:** Cell Binding assay to show A549 cells binding to pre-incubated rfhSP-D with (A) pH1N1 and (B) H3N2. Microtiter wells were coated with A549 cells ( $1 \times 10^5$  cells/ml) and plates were incubated overnight at 37°C. Varied concentrations of pre-incubated rfhSP-D (10, 5, 2.5 and 1.25 µg) with pH1N1/2009 and HK/99/H3N2 virus were added to the corresponding wells, followed by incubation at RT for 1-2 hours. After fixing, the cells with 4% Paraformaldehyde solution (PFA), monoclonal anti-influenza virus H1 and polyclonal anti-influenza virus H3 were added to each well. MBP was used as a negative control protein. The data were expressed as mean of three independent experiments done in triplicates  $\pm$  SEM.



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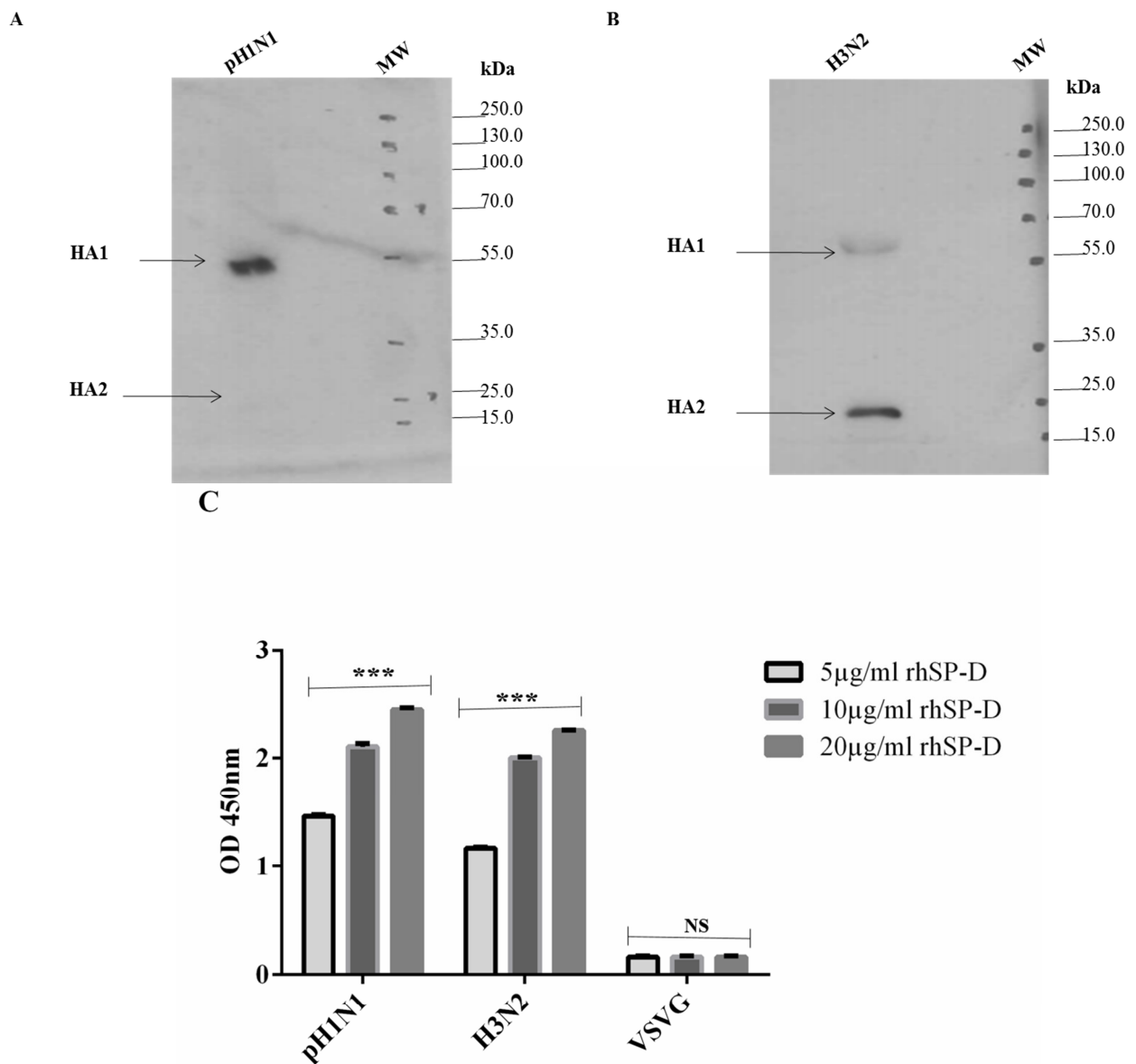
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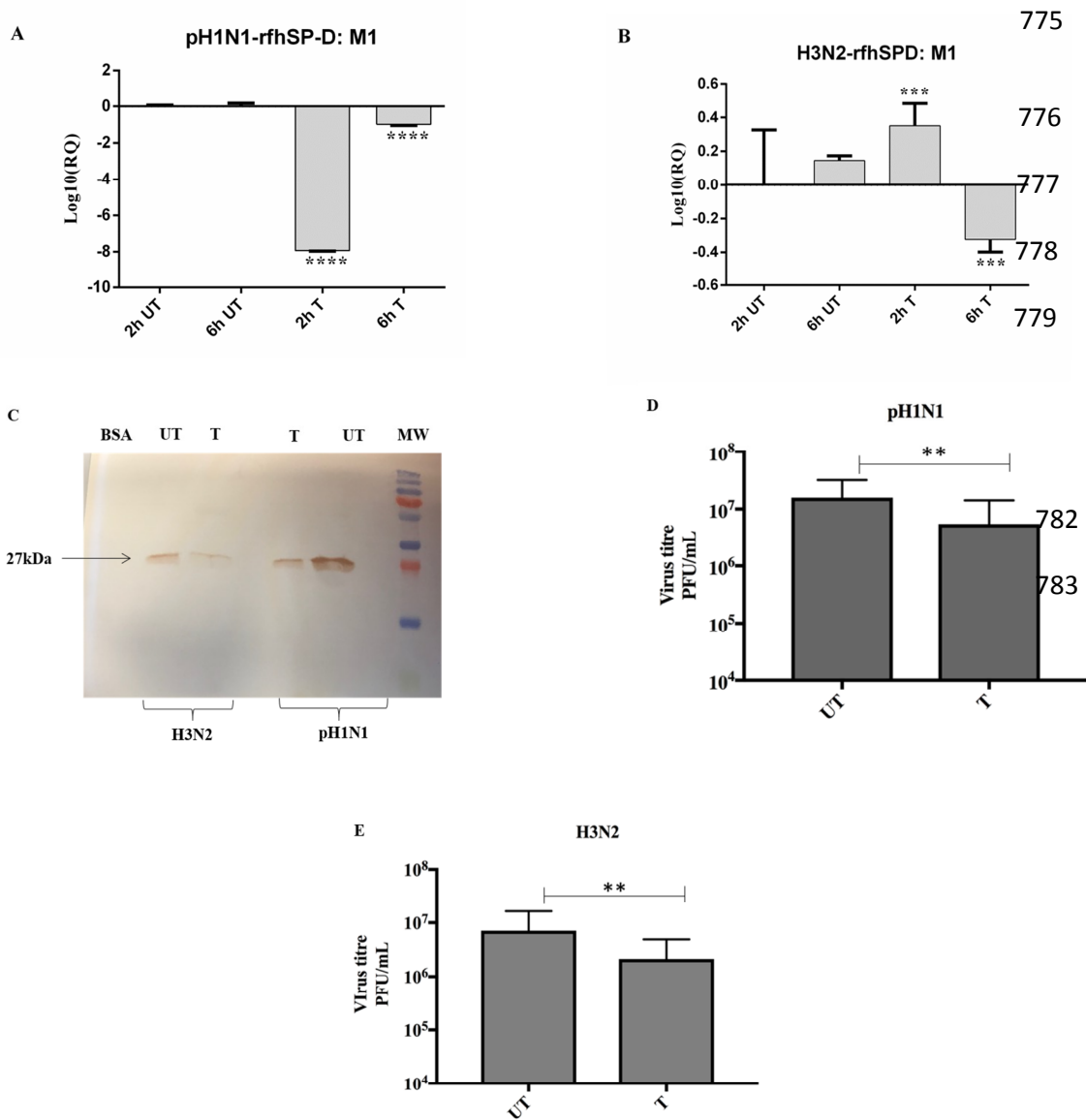
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**Figure 4:** Far-western blot analysis to show rfhSP-D binding to purified (A) pH1N1 and (B) H3N2: 10 µl of concentrated virus ( $1.36 \times 10^6$  pfu/ml) was first run on the SDS-PAGE under reducing conditions, and then transferred onto a PDVF nitrocellulose membrane and incubated with 5 µg of rfhSP-D. The membrane was probed with anti-rabbit SP-D polyclonal antibodies. rfhSP-D bound to HA1 (55kDa), and HA2 (25kDa) in the case of both pH1N1 and H3N2 strains. (C) ELISA to show the binding of rfhSP-D to purified recombinant hemagglutinin (HA) (µg/ml). VSVG was used as a negative control. The data were expressed as mean of three independent experiments carried out in triplicates  $\pm$  SEM. Significance was determined using the unpaired one-way ANOVA test (\* $p < 0.05$ , \*\* $p < 0.01$ , and \*\*\* $p < 0.0001$ ) ( $n = 3$ ).



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793 **Figure 5: rfhSP-D restricts replication of (A) pH1N1 and (B) H3N2 in target human**  
 794 **A549 cells. M1 expression of both pH1N1 and H3N2 influenza A virus (IAV) (MOI 1)**  
 795 **after infection of A549 cells at differential time points at 2h and 6 h.** A549 cells were  
 796 incubated either with pre-incubated pH1N1 and H3N2 with (10µg) or without purified rfhSP-  
 797 D. Cell pellets were harvested at 2h and 6h to analyse the M1 expression of IAV. Cells were  
 798 lysed, and purified RNA extracted was retro-transcribed into cDNAs. Infection was measured  
 799 via qRT-PCR using M1 primers and 18S was used as an endogenous control. Results shown  
 800 are normalised to M1 levels at 2 h untreated. Significance was determined using the unpaired  
 801 one-way ANOVA test (\*p < 0.05, \*\*p < 0.01, and \*\*\*p < 0.0001) (n = 3). (C) Western  
 802 blotting to shown M1 expression in both un-treated (cells + virus) and treated (cells +  
 803 virus+10 µl/ml rfhSP-D) following 6h incubation. Titration assay to show the anti-IAV  
 804 activity of rfhSP-D (10 µg/ml), suing both pH1N1 (D) and H3N2 (E) strains. A549 cells were  
 805 infected with pH1N1/H3N2 (MOI 1) for 24 hours. Following post infection, the supernatants  
 806 was collected and subjected to a TCID50 assay. An approximate of 40% reduction seen in  
 807 titre in the treated samples, which suggests that rfhSP-D acts as an entry inhibitor.

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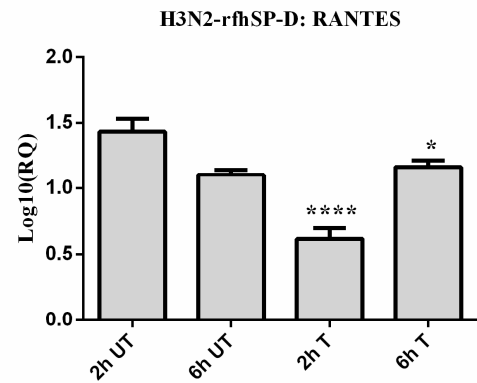
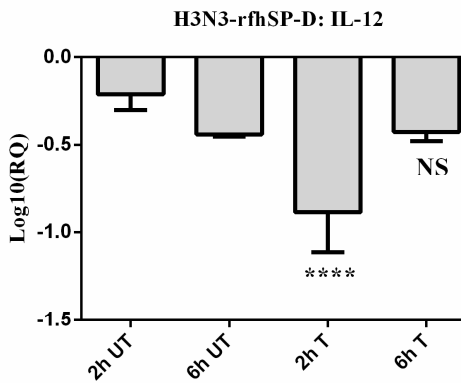
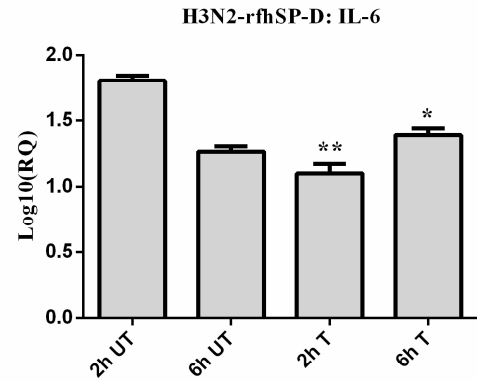
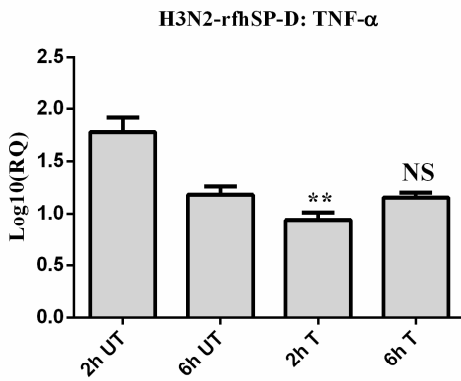
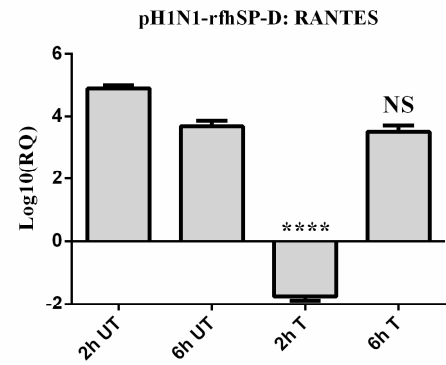
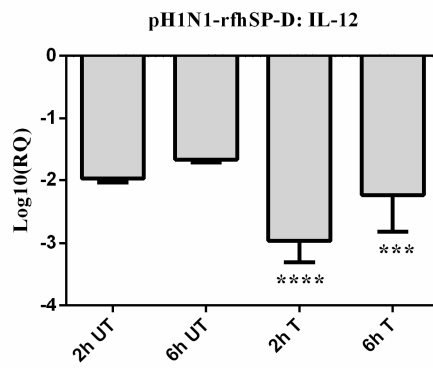
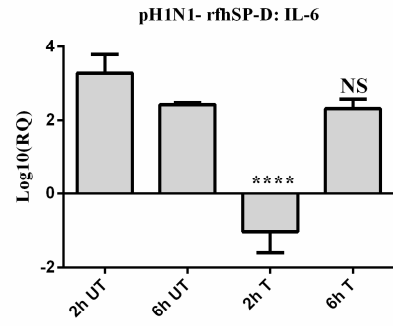
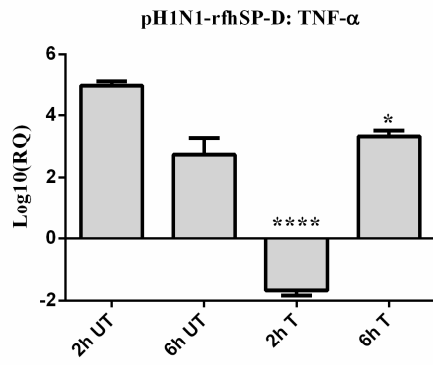
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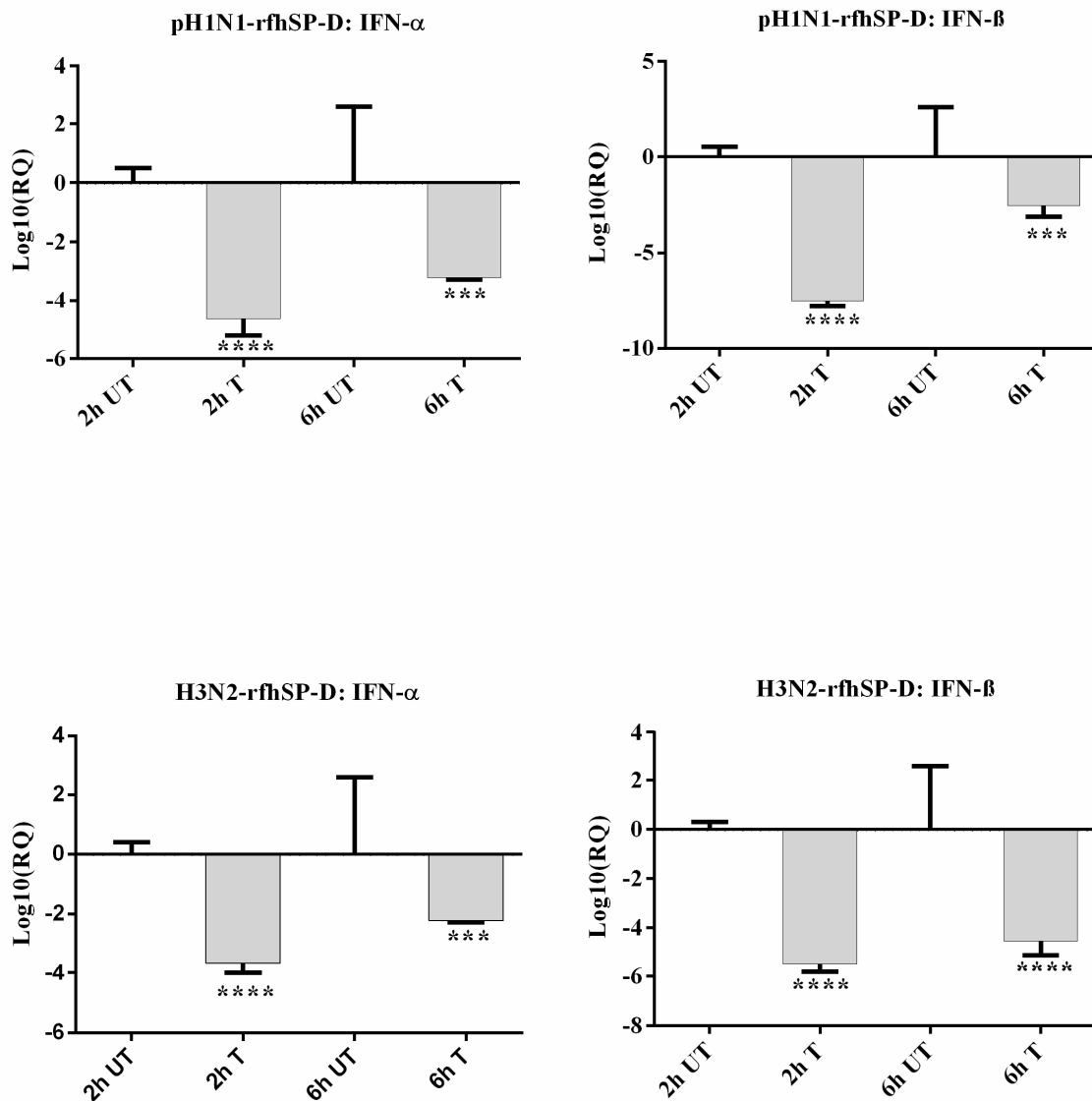
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838 **Figure 6:** Differential mRNA expression profile of A549 cells challenged with pre-incubated  
 839 (A) pH1N1, (B) H3N2 with rfhSP-D, and (C) expression levels of type I IFN subtypes in  
 840 both untreated and treated samples. The expression levels of cytokines and Chemokine were  
 841 measured using qRT-PCR and the data was normalised via 18S rRNA expression as a  
 842 control. The relative expression (RQ) was calculated by using cells only time point as the  
 843 calibrator. The RQ value was calculated using the formula:  $RQ = 2^{-\Delta\Delta Ct}$ . Assays were  
 844 conducted in triplicates and error bars represents  $\pm$ SEM. Significance was determined using  
 845 the unpaired one-way ANOVA test (\* $p < 0.05$ , \*\* $p < 0.01$ , and \*\*\* $p < 0.0001$ ) ( $n = 3$ ).

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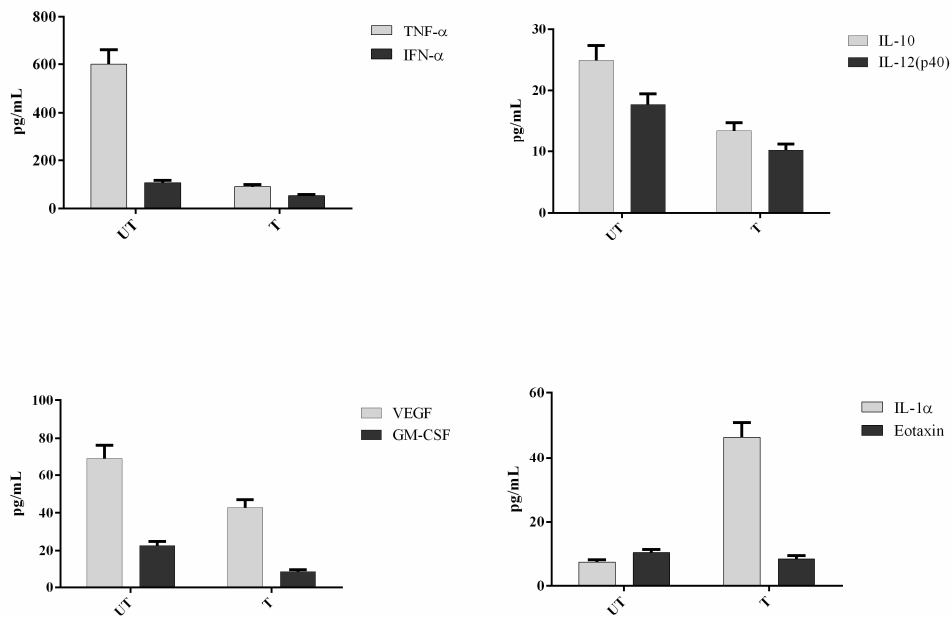
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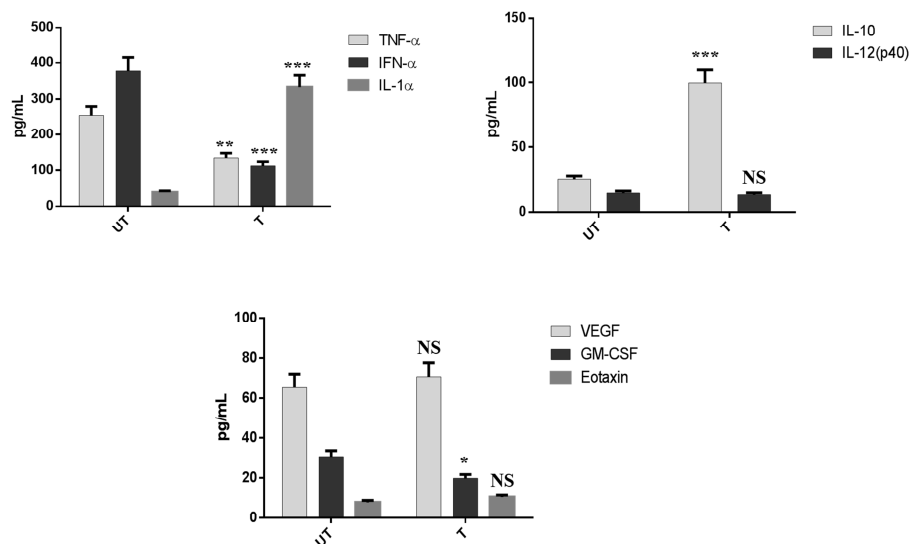
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872 **Figure 7: Multiplex cytokine array analysis of supernatants that were collected at 24 h**

873 **time point.** A549 cells were infected with pH1N1 (A) and H3N2 (B); treated with 10μg/ml

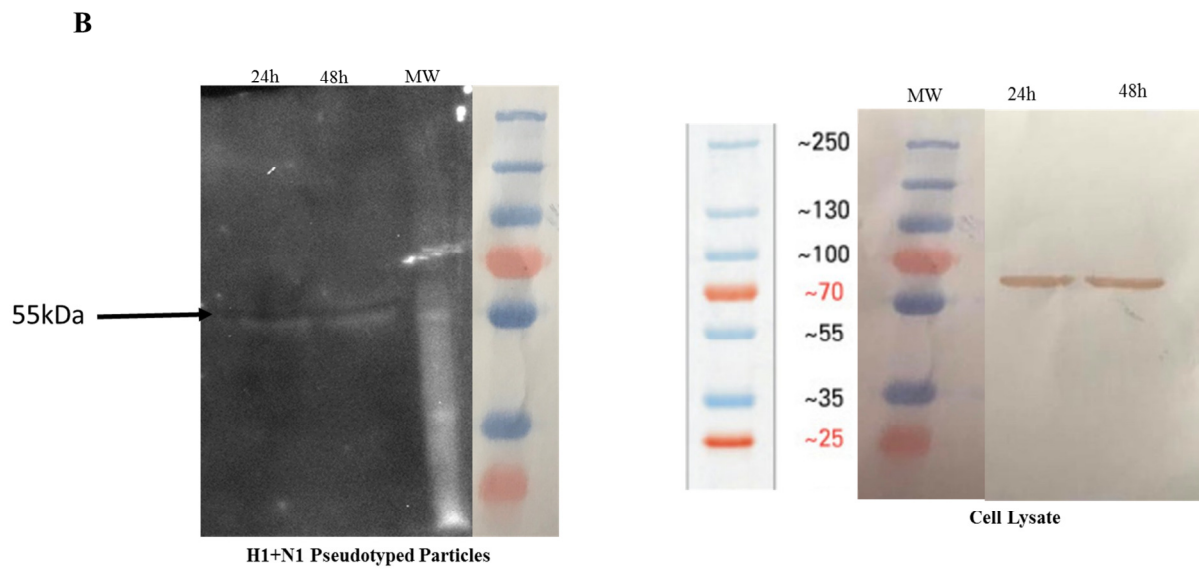
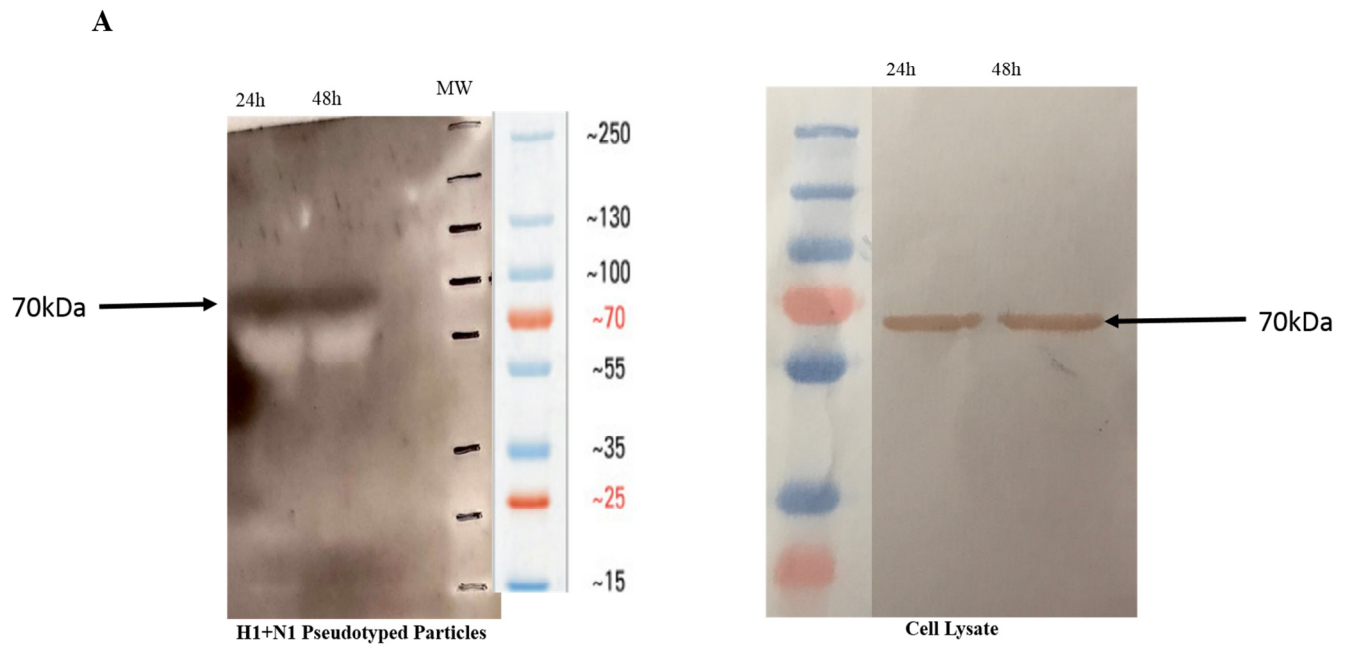
874 of rfhSP-D. Cytokines (TNF-α IL-6, IL-10, IL-1α, IFN-α and IL-12p40), chemokine

875 (eotaxin) and growth factor (GM-CSF, VEGF) concentrations were measured using a

876 commercially available MagPix Milliplex kit (EMD Millipore). Assays were conducted in

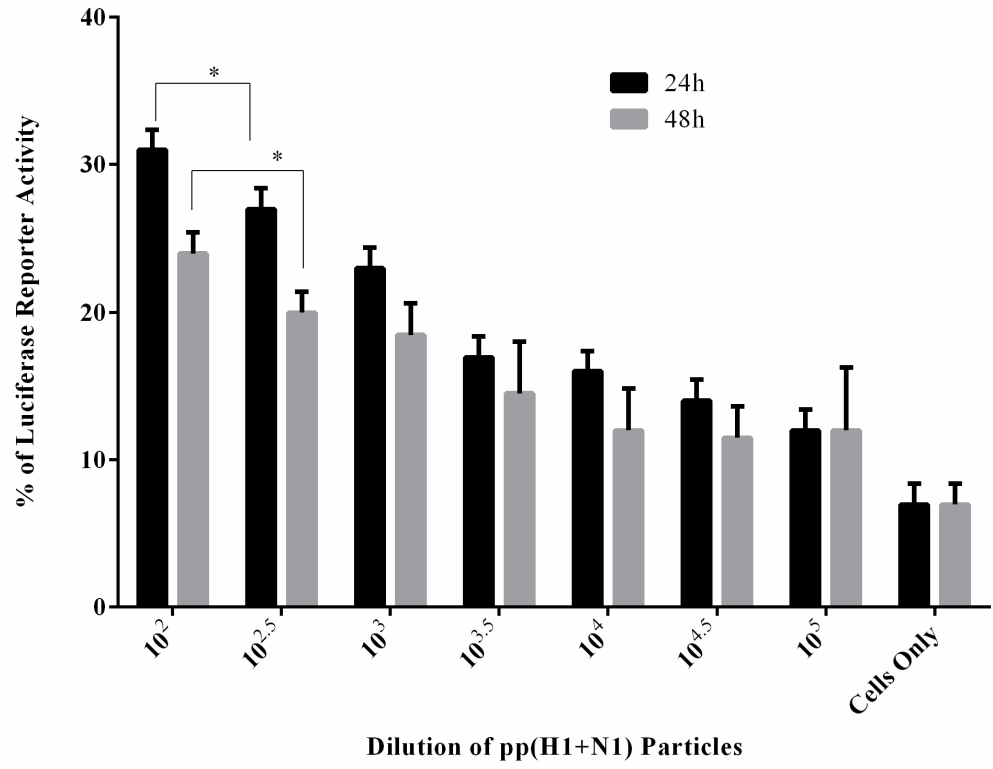
877 triplicates and error bars represents ±SEM (n=3).

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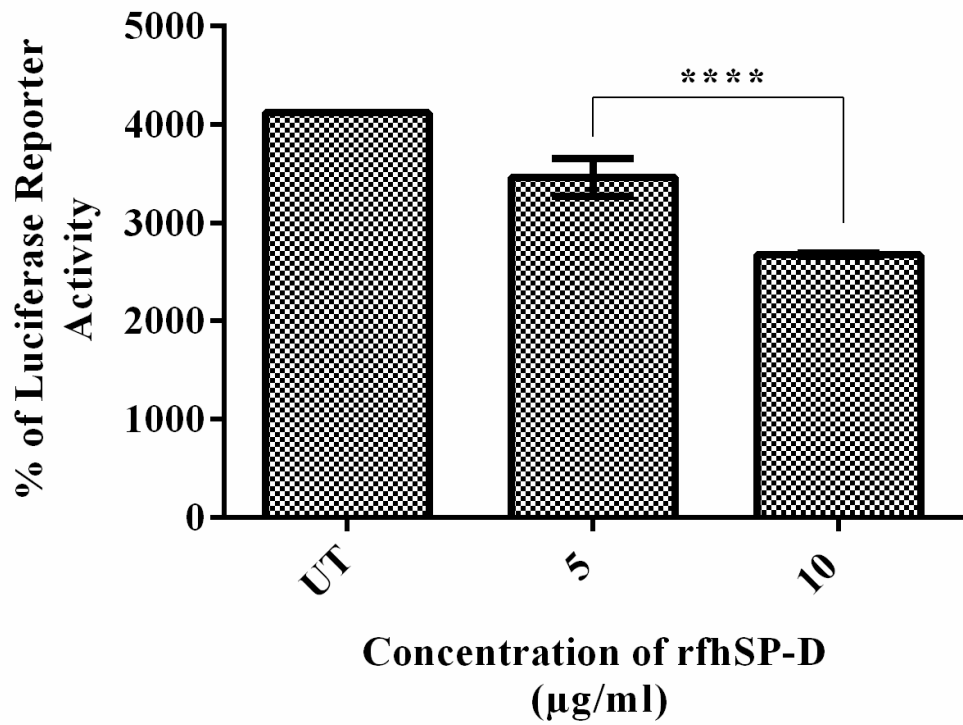


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**C**



**D**



930 **Figure 8:** (A) Western blotting to show the expression of IAV-HA protein in purified  
931 H1+N1 pseudotyped lentiviral particles and cell lysate at 24h and 48h. The presence of HA  
932 was identified at 70kDa. (B) far-western blotting to show rfhSP-D binding in both purified  
933 H1+N1 pseudotyped lentiviral particles and cell lysate at 24h and 48h. HA1 monomer was  
934 evident at 55 kDa when incubated with rfhSP-D. (C) Luciferase reporter activity of purified  
935 H1+N1 pseudotyped lentiviral particles at 24h and 48h, and (D) Luciferase reporter activity  
936 of rfhSP-D treated MDCK cells transduced with these lentiviral particles. Significance was  
937 determined using the unpaired one-way ANOVA test (\* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$  and  
938 \*\*\*\* $p < 0.0001$ ) ( $n = 3$ ).