



32 Thermoelectricity, discovered in the 18<sup>th</sup> century, based on the principle of Seebeck effect,  
33 promoted the development of thermoelectric generator (TEG). Currently, the acceptability of the  
34 TEG is limited by its high capital cost and low energy conversion efficiency. Development of  
35 material science and emerging ideas since the 1990s, focused on improving the figure of merit  
36 (the ratio of the product of square of Seebeck coefficient, electrical conductivity and the  
37 operational temperature to the thermal conductivity) of TEG materials, which revived the hope  
38 for TEG to perform at par with the conventional heat engines. Recent advances have been reported  
39 in Nanoscale thermoelectric materials [1-3], doping methodology [4-6], organic thermoelectric  
40 materials [7], and oxide thermoelectric materials [8,9]. Commercially available TEG modules can  
41 achieve a maximum efficiency of 7% [10], which could reach 15% at a figure of merit of  
42 approximately 1.5 [11]. An availability of huge quantity of low temperature (< 200 °C) waste heat  
43 in several industries [12-14], will only be fortuitous if a TEG system is developed to exploit this  
44 low temperature waste heat. The potential of TEG system in conjunction with solar energy  
45 technologies is also reported [15, 16] in literature.

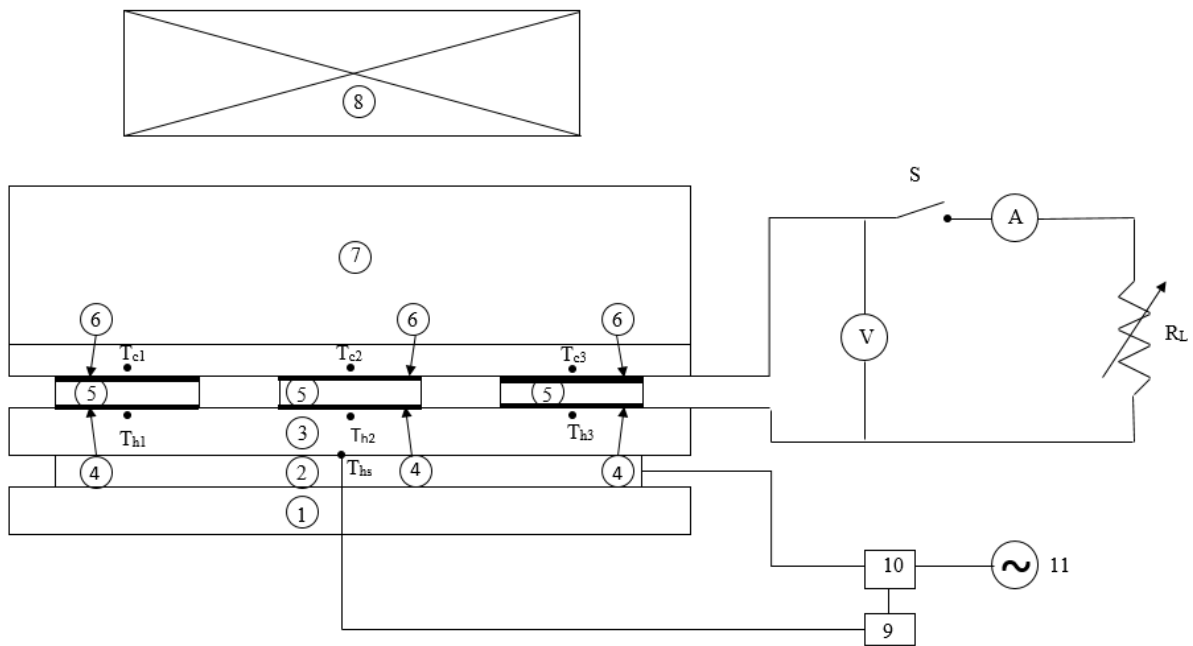
46 Thermal Interface Material (TIM) is critical to achieve a higher conversion efficiency in the TEG  
47 system. For obtaining maximum power, the thermal conductance of TIM interfaces with the heat  
48 source and sink has to be maximised, which will also allow the exploitation of lower temperature  
49 differences between two thermal contact surfaces. The TIM comprising of Carbon Nano Tube  
50 (CNT) [17-19] is a potential candidate. An ideal TIM would eliminate the air gap, resulting in  
51 zero temperature difference between the two contacting surfaces. This is not practically  
52 achievable, even though many studies have assumed so [20]. Astrain et al. [21] reported that a  
53 10% decrease in thermal resistance can increase the power generated by up to 8%. Wang et al.  
54 [22] experimentally investigated and analysed the effects of the interface material and loading  
55 pressure factors on the thermal contact resistance and the performance of TEG.

56 Literature review indicates that no comprehensive study has been performed to evaluate and  
57 characterise the combined effects of contact pressure, surface roughness and thermal conductivity  
58 of TIM under varying heat source temperatures. Therefore, the objective of this paper is to  
59 optimize the thermal system for TEG applications by evaluating the effects of the aforementioned  
60 factors through a combined computer modelling and experimental programme. COMSOL™  
61 Multiphysics has been employed for computer simulations and the model and simulation results  
62 have been validated against experimental results.

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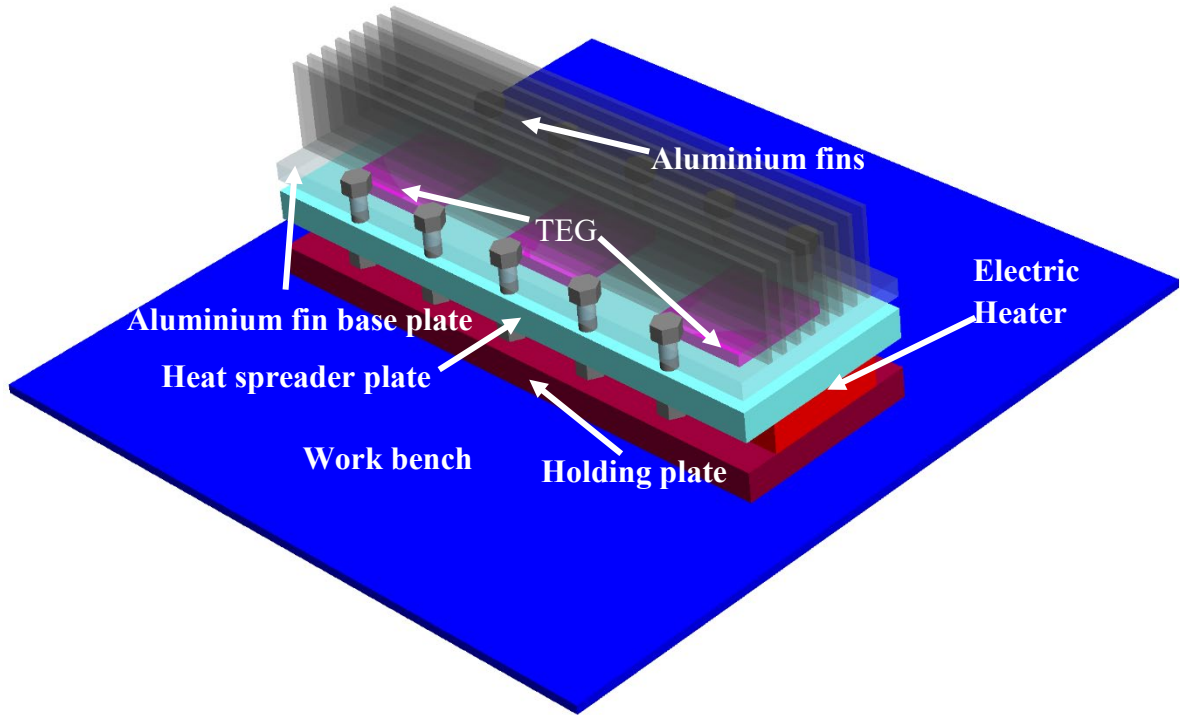
## 64 **2. Experimentation**

65 Experiments under varying conditions were conducted and the open circuit voltage and closed  
 66 circuit power were measured. A schematic diagram of the experimental TEG set-up and its 3-D  
 67 view are shown in Fig. 1(a) and Fig. 1(b) respectively. The experimental set-up used for the  
 68 performance evaluation of TIM for TEG system is shown in Fig. 2. An electric heater was placed  
 69 between a steel holding plate and an aluminium heat spreader plate to simulate the heat source. A  
 70 K-type thermocouple of 1.5 mm diameter was placed in between the bottom of heat spreader plate  
 71 and the electric heater to measure the heat source temperature ( $T_{hs}$ ) which was maintained by a  
 72 temperature controller through an electric resistance. Three TEGs were sandwiched between the  
 73 aluminium heat spreader plate (heat source side) and an aluminium fin heat sink. K-type  
 74 thermocouples were employed to measure heat spreader plate temperatures,  $T_{h1}$ ,  $T_{h2}$  and  $T_{h3}$  and  
 75 aluminium fin base plate temperature,  $T_{c1}$ ,  $T_{c2}$  and  $T_{c3}$ . The contact area between the TEG and  
 76 aluminium heat spreader plate on hot side and between TEG and aluminium fin plate on sink side  
 77 were filled with Thermal Interface Material (TIM). During the experiments, the internal resistance  
 78 of TEG module was matched with the external electric load ( $R_L$ ) ensuring maximum power output.  
 79 At this condition, the effect of influencing parameters such as interface contact pressure and  
 80 surface roughness and thermal conductivity of TIM was evaluated. Table 1 provides the details of  
 81 the TEG TIM test set up.



82  
 83 **Fig. 1(a).** A scheme of the experimental set up: 1 – Steel holding plate, 2. Electric heater, 3  
 84 – aluminium heat spreader plate, 4 – TEG hot side TIM, 5 – Thermoelectric generator  
 85 module, 6 – TEG cold side TIM , 7 – aluminium fin heat sink, 8 – Cooling fan, 9 –  
 86 Temperature controller, 10 – Electric contactor, 11 – Electric power source, V –  
 87 Voltmeter, A – Ammeter, S- Electric switch,  $R_L$  – Variable external load resistor,  $T_{hs}$  –

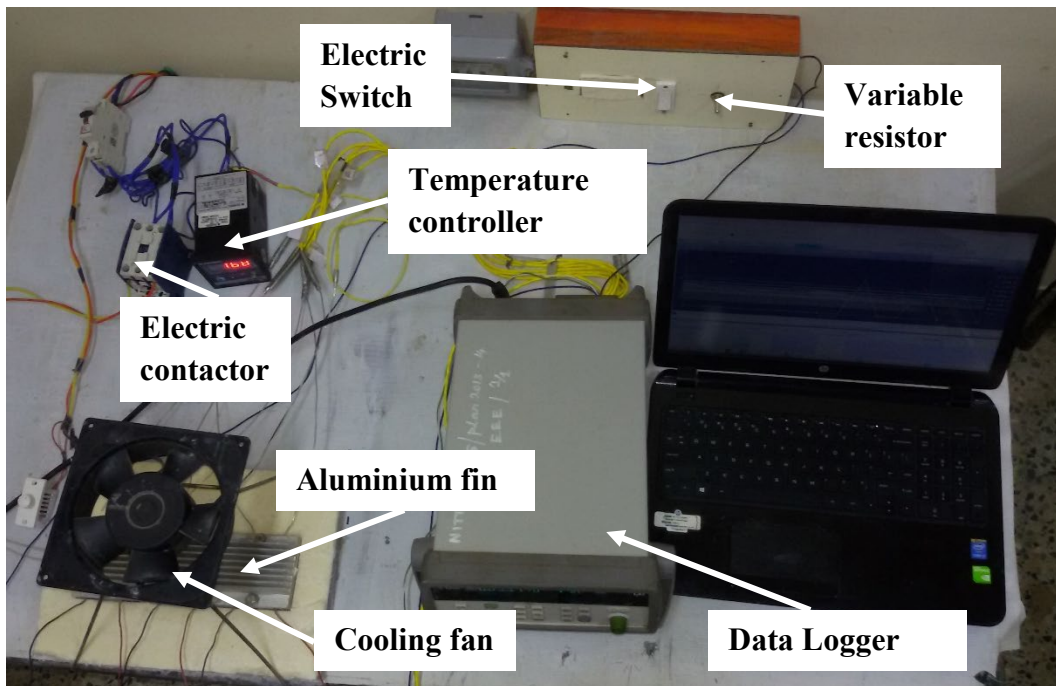
88 Heat source temperature ( $^{\circ}\text{C}$ ),  $T_{h1}$ ,  $T_{h2}$  and  $T_{h3}$  – heat spreader temperature sensors,  $T_{c1}$ ,  
89  $T_{c2}$  and  $T_{c3}$  - fin base plate temperature sensors



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Fig. 1(b) 3D view of the schematic for TEG experimental set-up



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Fig. 2 Experimental set up

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**Table 1 Details of the TEG TIM test setup**

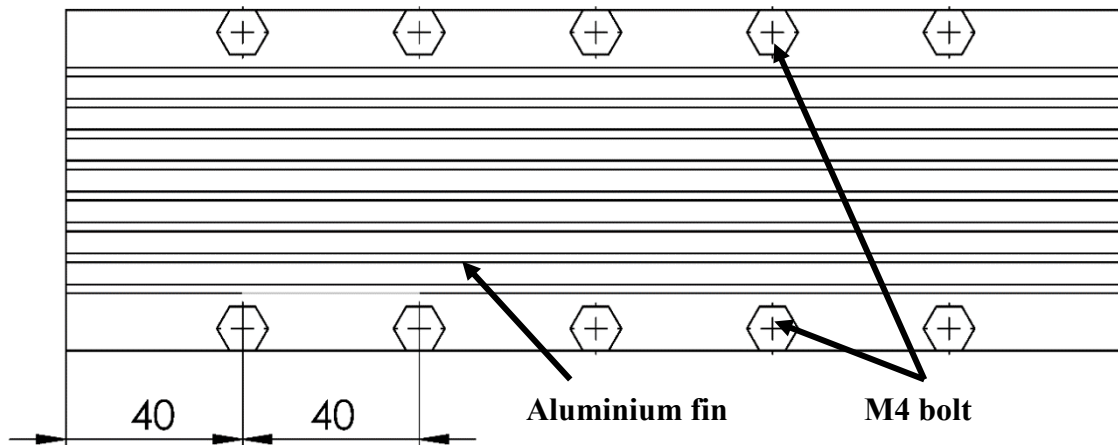
SI No	Description	Value
<b>Heat source</b>		
1	Electric heater	240×40×10 mm
2	Steel holding plate	240×40×10 mm
3	Aluminum heat spreader plate	240×77×10 mm
<b>TEG</b>		
4	P and N junction leg	1.5×1.5×1.5 mm
5	Top and bottom copper connecting bar	3.5×1.5×0.5 mm
6	Top and bottom ceramic plate	40×40×0.75 mm
<b>Heat sink</b>		
7	Fin base plate	240×77×4 mm
8	Fin thickness	2 mm
9	Fin length	240 mm
10	Fin gap	5 mm
11	Number of fins	8 Nos
<b>Electric load</b>		
12	External electric load resistor	36×10×0.5 mm

98 **2.1 Experimental variables**

99 **2.1.1 Interfacial contact pressure**

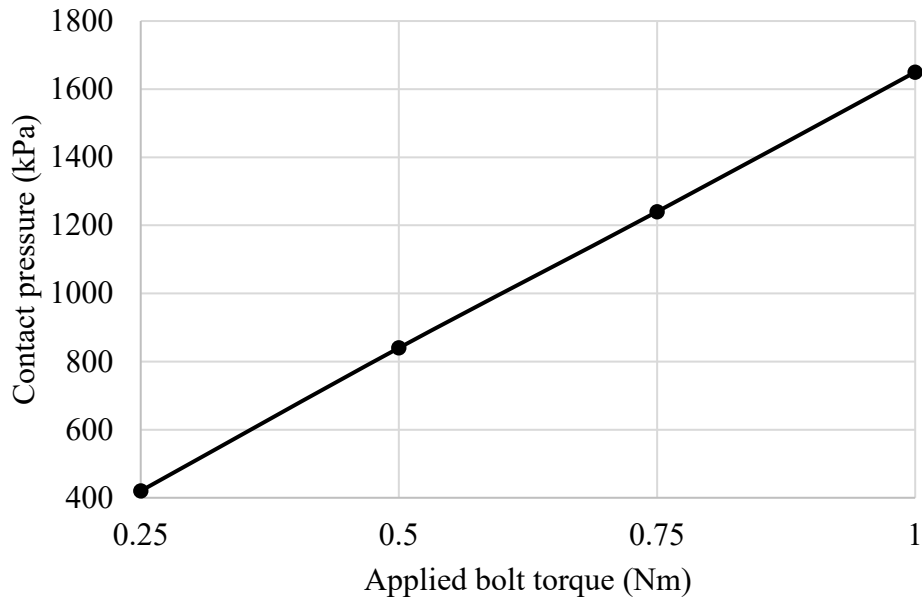
100 The experimental set-up employed for measuring contact pressure on TIM is shown in Fig. 3 with  
 101 Table 1 presenting the geometric details. The aluminium fin base plate was joined with heat  
 102 spreading plate using M4 bolts.

103 Considering the challenges in measuring the interfacial contact pressure, a calibration dataset  
 104 between bolt torque and contact pressure was created using a pressure measuring film (Fuji film  
 105 prescale, Type: LLW) between the aluminium plate/fin and TEG. The contact pressure measured  
 106 for different applied torques is shown in Fig. 4.



107

108 **Fig. 3 Top view of the experimental set-up arranged for measuring contact pressure**  
 109 **(dimensions in mm)**



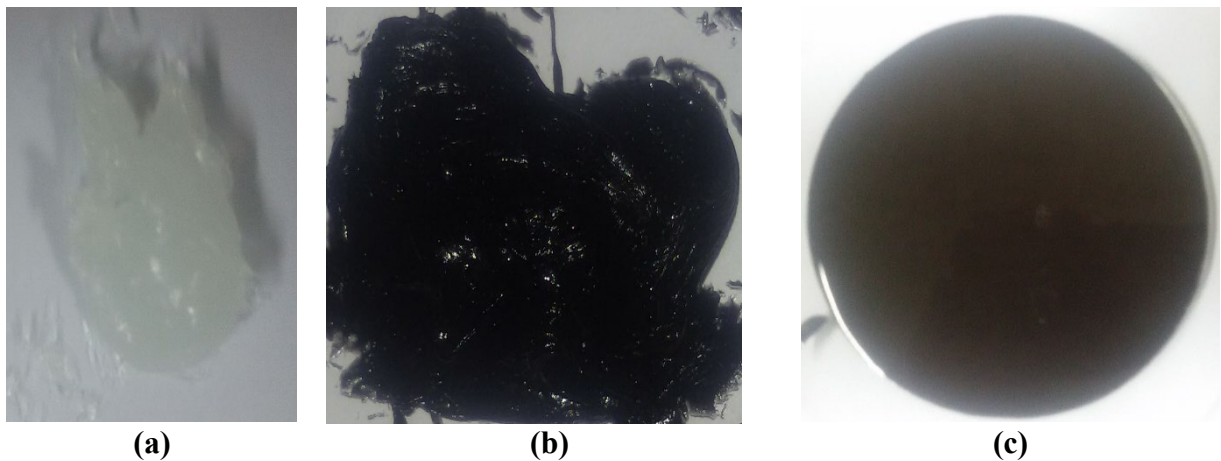
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**Fig. 4 Measured contact pressure as a function of applied torque**

112 **2.1.2 Thermal conductivity of different TIM's**

113 The silicon grease and silicone oil were used for this study as a base material with nanoparticles  
 114 (NPs) such as Multiwall Carbon Nanotube (MWCNT) and copper added in varying proportions  
 115 to improve the thermal conductivity of the TIM. Silicone grease, a mixture of Silicone grease with  
 116 0.5 weight percentage (%wt) of MWCNT and a mixture of Silicone oil with 40 %wt of Cu NPs  
 117 are respectively shown in Fig. 5(a), 5(b) and 5(c). Their thermal conductivity was measured using  
 118 Laser Flash Apparatus (LFA 457 microflash<sup>®</sup>, Netzsch, Germany) and are presented in Table 2.



(a)

(b)

(c)

119

**Fig. 5 Thermal Interface Materials (TIMs) employed in this study**

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**Table 2 Thermal conductivity of TIM's**

SI No	Thermal Interface Material	Thermal conductivity ( $k_{tim}$ ) (W/m.K)
1	Silicone grease	0.6
2	Silicone grease + 0.5 %wt MWCNT	0.9
3	Silicone Oil + 40 %wt Cu NP	4.2

### 122 2.1.3 Surface Roughness of base and TEG surface material

123 The aluminium heat spreader plate and aluminium fin base plate used in the experiments had three  
124 variations in surface roughness viz., 0.8  $\mu$ , 3.12  $\mu$  and 6  $\mu$  as measured by White Light  
125 Interferometer (Rtec instruments, USA). The surface roughness of TEG was constant at 1.3  $\mu$ .

## 126 3 Modelling

### 127 3.1 Governing equation for Numerical model (NM)

#### 128 3.1.1. Heat exchanger model

129 Energy equation (Laplace equation) for thermoelectric modules for various temperature fields is  
130 described in Eq. (1).

$$131 \nabla \cdot \nabla T_{hs} = 0 \quad (1)$$

132 where  $T_{hs}$  is the heat source surface temperature

133 Temperature of the exposed heat source surface ( $T_{hs}$ ) was used as the boundary condition. Rate of  
134 heat transferred from cold side of TEGs ( $Q_c$  in W) by convection was calculated using Eq. (2).

$$135 Q_c = h_{camb} A_{eff} (T_{hs} - T_a) \quad (2)$$

136 where  $h_{camb}$  is the heat transfer coefficient between fin and ambient,  $A_{eff}$  is the fin effective area,  
137  $T_a$  is the ambient temperature.

#### 138 3.1.2 Thermal contact model

139 Eqs. (3) and (4) provide the conductance ( $h$ ) at the interface of two bodies in contact [23].

$$140 -n_d \cdot (-k_d \nabla T_d) = -h(T_u - T_d) \quad (3)$$

$$141 -n_u \cdot (-k_u \nabla T_u) = -h(T_d - T_u) \quad (4)$$

142 where u and d subscripts denote the upside and downside of the slit respectively, k denotes the  
143 thermal conductivity, T is the temperature, n is the normal vector to the boundary and the  
144 conductance ( $h$ ) at the interface of two bodies in contact can be written Eq. (5)

145  $h = h_c + h_g$  (5)

146 where  $h_c$  is the contact conductance and  $h_g$  the gap conductance.

147 The contact conductance ( $h_c$ ) described by Eq. (6) is the heat flux across the surfaces in contact  
148 [24].

149  $h_c = \frac{1}{R_c A_a} = 1.25 k_s \left( \frac{m_s}{\sigma} \right) \left( \frac{P_{tim}}{H_c} \right)^{0.95}$  (6)

150 where  $R_c$  is the thermal interface resistance due to contact,  $A_a$  is the apparent contact area of  
151 joining surfaces,  $P_{tim}$  the contact pressure and  $H_c$  the micro-hardness of softer contact surface of  
152 the two surfaces in contact.

153 The effective Root Mean Square (RMS) of surface roughness ( $\sigma$ ) is given as:

154  $\sigma = \sqrt{\sigma_{Al}^2 + \sigma_{teg}^2}$  (7)

155  $\sigma_{Al}$  is the surface roughness plate in contact with TEG,  $\sigma_{teg}$  is the surface roughness of TEG

156  $m_s$  is the absolute mean asperity slope obtained from slopes  $m_{Al}$  and  $m_{teg}$  for two contacting  
157 surfaces. The effective absolute mean asperity slope ( $m_i$ ) is obtained using Eq. (8).

158  $m_i = 0.152(\sigma_i)^{0.44}$  (8)

159 where  $\sigma_i$  is the effective absolute surface roughness

160  $m_s = \sqrt{m_{Al}^2 + m_{teg}^2}$

161 Then, the thermal conductivity of the material,  $k_s$  [23], could be calculated using Eq. (9).

162  $\frac{2}{k_s} = \frac{1}{(k_u n_u) \cdot n_u} + \frac{1}{(k_d n_d) \cdot n_d}$  (9)

163 where u and d subscripts denote the upside and downside of the slit refer respectively, k denotes  
164 the thermal conductivity and n is the normal vector to the boundary.

165 For parallel plate, gap conductance ( $h_g$ ) could be calculated by using Eqs. (10) - (13) [23 and 24].

166  $h_g = \frac{1}{R_g A_a} = \frac{k_{tim}}{Y+M}$  (10)

167 where Y is the layer thickness of the TIM,  $R_g$  is the thermal interface resistance due to gap and  
168  $k_{tim}$  is the thermal conductivity of TIM.

169 According to simple power law relation [17], the mean plane separation Y will be



170  $Y = 1.53\sigma \left(\frac{P_{tim}}{H_c}\right)^{-0.097}$  (11)

171  $M = 0$ , for TIM filled gap, (12)

172 where  $M$  is a constant and  $M = \alpha_a \beta \Lambda$ , for the gap filled by air ( $k_{tim} = k_{air}$ ). (13)

173 For air, gas parameters  $\alpha_a = 2.4$ ,  $\beta = 1.7$  and molecular free path ( $\Lambda$ ) = 0.06  $\mu\text{m}$ .

174 The thermal interface conductance  $h$  for both hot and cold sides of TEG could be found by using  
175 Eqs. (5) - (13).

### 176 3.1.3 Coupled field model

177 Accounting for the coupling mechanisms of Seebeck, Peltier and Thomson effects between  
178 electrical and thermal fields, a fully coupled-field model was developed by generating governing  
179 equations under steady-state conditions for both electrical potential profiles and temperature in  
180 the absence of input magnetic field [25].

181 By taking Joule heating into account in the process, the equation for energy conservation is given  
182 by

183  $\nabla(k \cdot \nabla T) - T \cdot J \cdot \frac{\partial \alpha}{\partial T} + \rho \cdot J = 0$  (14)

184 where  $\rho$  is the electrical resistivity,  $k$  is the thermal conductivity of TEG leg,  $T$  is the temperature,  
185  $J$  is the current density and  $\alpha$  is the Seebeck coefficient.

186 Now, applying thermoelectric effect into the coupling of heat flow equation and electric charge  
187 continuity equation [25],

188  $\nabla \cdot J = 0$  (15)

189  $q = [\Pi] \cdot J - [k] \cdot \nabla T$  (16)

190  $J = [\sigma_e] \cdot (E - [\alpha] \cdot \nabla T)$  (17)

191 where  $[\sigma_e]$  is the electrical conductivity of TEG leg matrix,  $[k]$  is the thermal conductivity of TEG  
192 leg matrix,  $[\alpha]$  is the Seebeck coefficient of TEG leg matrix and  $[\Pi]$  is the Peltier coefficient of  
193 TEG leg matrix which depends on  $T$   $[\alpha]$

194 In the absence of time varying magnetic field,  $E$  becomes irrotational and was derived from an  
195 electric scalar potential ( $\phi$ )

196  $E = -\nabla \phi$  (18)

197 Hence, electric power ( $P_o$ ) expression is given by

198 
$$P_o = \frac{V_{oc}^2}{2(R_{teg} + R_L)} \quad (19)$$

199 where  $V_{oc}$  is the open circuit voltage,  $R_{teg}$  is the internal resistance of TEG,  $R_L$  is the external load  
 200 resistance.

201 For obtaining maximum electric power,  $R_L = R_{teg}$

202 The thermoelectric equations provided above were used for determining the five state vector  
 203 parameters  $Q_h$ ,  $Q_c$ ,  $T_h$ ,  $T_c$  and  $I_o$  for TEGs.

204 **3.2 Computer model and the boundary conditions employed**

205 A 3D TEG system (see Table 1 for geometric details), was modelled using COMSOL™  
 206 Multiphysics for cold and hot side thermal contact resistance. Fig. 6 shows the 3D mesh for the  
 207 overall TEG system employed. A 3D mesh (Fig. 6(a)) and a fine mesh (Fig. 6(b)) were used in  
 208 the TEG P and N junction elements to capture a realistic thermoelectric effect.

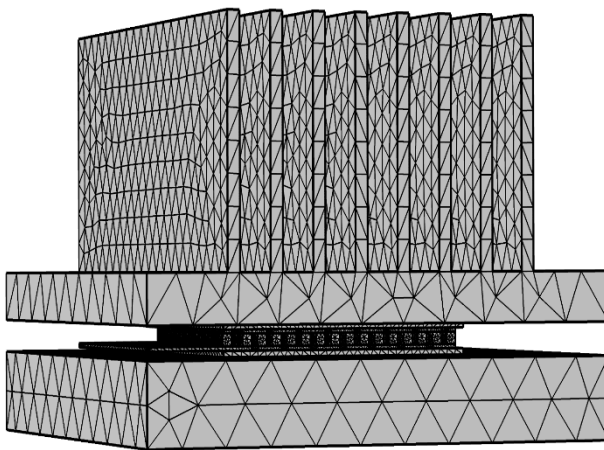


Fig. 6(a) 3D mesh for TEG system of TIM

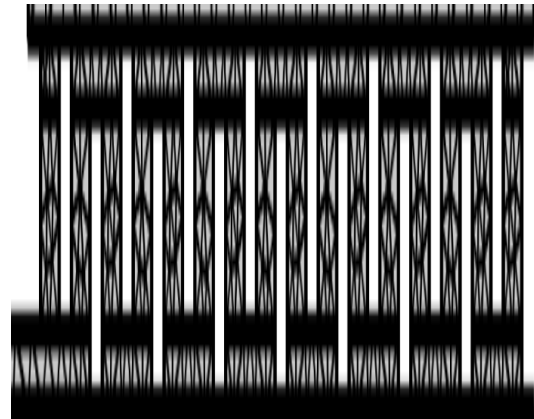


Fig. 6(b) Zoomed mesh TEG P and N junction elements

209 Principles of heat transfer in solids, thermoelectric effect and Yovanovich correlation [24] were  
 210 employed in the analysis. Table 3 provides the material properties and Table 4 the boundary  
 211 conditions employed.

212

213

214

**Table 3 Material properties of the TEG-TIM components employed**

SI No	Description	Value
<b>Base plate and heat sink</b>		
1	Thermal conductivity of aluminum base plate	201 W/mK
2	Thermal conductivity of aluminum heat sink	201 W/mK
<b>TEG</b>		

3	Thermal conductivity of top and bottom alumina plate	40 W/mK
4	Thermal conductivity of top and bottom copper bar	401 W/mK
5	Seebeck coefficient of P-junction	0.0002 V/K
6	Seebeck coefficient of N-junction	0.0002 V/K
7	Thermal conductivity of P-junction	1.7 W/mK
8	Thermal conductivity of N-junction	1.7 W/mK
9	Resistivity of P-junction	1X10 <sup>-5</sup> Ωm
10	Resistivity of N-junction	1X10 <sup>-5</sup> Ωm
11	Resistivity of top and bottom copper bar	2.27X10 <sup>-8</sup> Ωm

215

**Table 4 Boundary conditions employed**

SI No	Description	Value
1	Base plate hot source temperature (T <sub>hs</sub> )	100, 150, 200 °C
2	Surface roughness of TEG (σ <sub>teg</sub> )	1.3 μ
3	Surface roughness of aluminium base and fin (σ <sub>Al</sub> )	0.8, 3.1, 6 μ
4	Contact pressure (P <sub>tim</sub> )	420, 840, 1240, 1650 kPa
5	Surface hardness of aluminium material (H <sub>Al</sub> )	1060 MPa
6	Ambient temperature (T <sub>a</sub> )	35 °C
7	Combined heat transfer co-efficient (fin surface to ambient) (h <sub>camb</sub> )	25 W/m <sup>2</sup> K
8	Low potential on N-junction bottom copper bar	0 V
9	Electric conductivity of electric load resistor at 200 °C, 150 °C, 100 °C for TEG internal resistance equal to load resistance	2955, 2585, 2501 S/m

216

### 3.3 Performance coefficients of TEG

217 Seebeck effect states that for an electrical conductor, the temperature difference across the  
 218 conductor will cause the induction of an electric current and TEG works using this principle. In  
 219 addition, Peltier effect, Fourier effect and Joule effect also play a significant role in a TEG module.

220 TEG performance can be described by considering the Seebeck coefficient, its internal electrical  
 221 resistance and thermal conductance. The hot side thermal power input (Q<sub>h</sub> in W) and the cold side  
 222 thermal power output (Q<sub>c</sub> in W) were calculated by using Eqs. (20) - (29) [26].

$$223 \quad Q_h = \alpha I_o T_h + K(T_h - T_c) - \frac{I_o^2 R_{teg}}{2} \quad (20)$$

$$224 \quad Q_c = \alpha I_o T_c + K(T_h - T_c) + \frac{I_o^2 R_{teg}}{2} \quad (21)$$

225 where  $T_h$  is the TEG hot side temperature,  $T_c$  is the TEG cold side temperature,  $I_o$  is the output  
 226 current and

227  $\alpha$  is the Seebeck coefficient (V/K) of the TEG module can be described as:

$$228 \quad \alpha = N_c \alpha(T) \quad (22)$$

229 where  $N_c$  is module series connected couple count and  $\alpha(T)$  is the Seebeck coefficient of the P-N  
 230 couple

231 The internal resistance ( $R_{teg}$ ) of the TEG module is given by

$$232 \quad R_{teg} = \frac{N_c L \rho(T)}{A_{ct}} \quad (23)$$

233 where  $L$  is the height of P-type and N-type elements and  $A_{ct}$  the cross-sectional area of P-type /  
 234 N-type elements and  $\rho(T)$  is the electrical resistivity of the P-N couple

235 The thermal conductance ( $K$ ) of the TEG module is given as

$$236 \quad K = \frac{N_c A_{ct} k(T)}{H_l} \quad (24)$$

237 where  $k(T)$  is the thermal conductivity of the P-N couple and  $H_l$  is the height of the TEG element

238 The power generated by the TEG system was calculated from heat flux variation or from the  
 239 product of voltage and output current.

$$240 \quad P_o = V_o I_o = Q_h - Q_c \quad (25)$$

241 where  $P_o$  is the electric power output and  $V_o$  is the output voltage

242 Using Eq. (20) and Eq. (21), Eq. (25) can be written as

$$243 \quad P_o = Q_h - Q_c = \alpha I_o (T_h - T_c) - I_o^2 R_{teg} \quad (26)$$

244 The output voltage can be found from Eq. (26)

$$245 \quad V_o = \frac{P_o}{I_o} = \alpha (T_h - T_c) - I_o R_{teg} \quad (27)$$

246 During open circuit condition ( $I_o=0$ ), open circuit voltage ( $V_{oc}$ ) can be deduced from Eq. (27) as  
 247 shown in Eq. (28).

$$248 \quad V_{oc} = \alpha (T_h - T_c) \quad (28)$$

249 Hence, the output current ( $I_o$ ) was calculated by 1<sup>st</sup> order partial derivative of Eq. (26) and equating  
 250 it to zero.

$$251 \quad I_o = \frac{V_{oc}}{R_g + R_L} = \frac{\alpha (T_h - T_c)}{R_g + R_L} \quad (29)$$

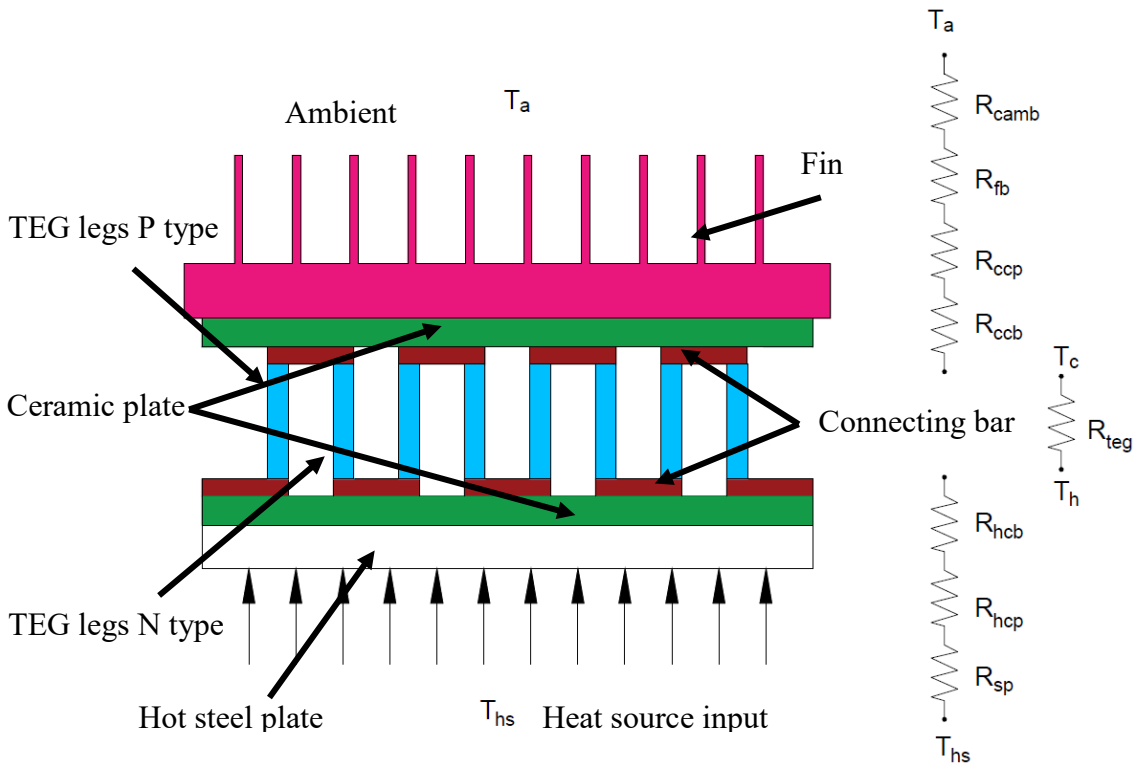
252 where  $R_L$  is the variable electrical load resistance connected externally to the circuit.

### 253 3.4 Heat Transfer model of TEG with TIM's.

254 In this study, the A plate fin heat sink with a fan on the cold side was used to minimize the TEG  
 255 cold side temperature.

256 The developed heat transfer model accounted for the thermal interface resistances of hot and cold  
 257 sides of TEG. Thermal resistance on hot side comprised of interface thermal resistance ( $R_{hi}$ ) and  
 258 heat spreading plate thermal resistance ( $R_{sp}$ ). Thermal resistance on cold sides comprised of  
 259 interface thermal resistance ( $R_{ci}$ ), fin base plate thermal resistance ( $R_{fb}$ ) and fin to air convective  
 260 thermal resistance ( $R_{camb}$ ).

261 The thermal resistances of the system from hot side to cold side of the TEG are as shown in Fig.  
 262 7.



263

264 **Fig. 7 Details on thermal resistances of TEG system**

265 Thermal resistance of hot base plate was calculated from Eq. (30).

$$266 R_{sp} = \frac{t_{sp}}{k_{sp}A_{sp}} = \frac{t_{sp}}{k_{sp}W_{sp}L_{sp}} \quad (30)$$

267 where  $R_{sp}$  is the thermal resistance of heat spreader plate,  $k_{sp}$ ,  $t_{sp}$ ,  $A_{sp}$ ,  $W_{sp}$  and  $L_{sp}$  are the thermal  
 268 conductivity, thickness, surface area, width and length of the heat spreader plate respectively.

269 Introduction of the TIM reduces air gap and increases thermal conductivity and hence reduces the  
 270 temperature difference contact surfaces. The following factors influence the interface resistances  
 271 on hot and cold sides of TEG<sub>7</sub>:

- 272 1. Thermal conductivity of TIM ( $k_{tim}$ )
- 273 2. Surface roughness ( $\sigma$ )
- 274 3. Contact pressure ( $P_{tim}$ )

275 The contact and gap resistances ( $R_c$  and  $R_g$ ) of hot and cold side of TEG could be obtained using  
 276 Eqs. (5) - (13). The combined resistances of hot ( $R_{hi}$ ) or cold ( $R_{ci}$ ) side could be obtained using  
 277 Eq. (31).

$$278 \frac{1}{R_i} = \frac{1}{R_c} + \frac{1}{R_g} \quad (31)$$

279 The total interface resistance ( $R_i$ ) is expressed as in Eq. (31) considering the following  
 280 assumptions:

- 281 1. The surfaces are microscopically rough and macroscopically conforming.
- 282 2. The plastic deformation occurs in the softer solid and the flow pressure is constant.
- 283 3. The contact spots are isothermal.
- 284 4. The total heat flow rate through each flux tube can be separated into two independent heat flow  
 285 rates: contact spot and gap flow rates.
- 286 5. The effective gap thickness is dependent upon the surface roughness and the relative contact  
 287 pressure.
- 288 6. Non-continuum gas effects must be taken into account.
- 289 7. The surfaces are clean and free from oxides, films, etc.
- 290 8. Radiative heat transfer is negligible.

291 The fin base plate resistance ( $R_{fb}$ ) could be expressed as

$$292 R_{fb} = \frac{t_{fb}}{k_{fb}A_{fb}} = \frac{t_{fb}}{k_{fb}W_{fb}L_{fb}} \quad (32)$$

293 where  $R_{fb}$  is the fin base plate thermal resistance,  $k_{fb}$ ,  $t_{fb}$ ,  $A_{fb}$ ,  $W_{fb}$  and  $L_{fb}$  are the thermal  
 294 conductivity, thickness, surface area, width and length of the fin base plate respectively.

295 Ambient thermal resistance ( $R_{camb}$ ) could be expressed as

$$296 R_{camb} = \frac{1}{h_{camb}A_{eff}} \quad (33)$$

297 where  $h_{camb}$  is the combined heat transfer co-efficient which could be expressed as,

298 
$$h_{\text{camb}} = 5.678 \left\{ a + b \left[ \frac{(294.26V_w/(T_a))}{0.3048} \right]^n \right\} \quad (34)$$

299 where  $a = 0.99$ ,  $b = 0.21$ ,  $n=1$  for  $V_w < 4.88$  m/s

300  $a = 0$ ,  $b = 0.5$ ,  $n=0.7$  for  $4.88 \leq V_w \leq 30.48$  m/s

301 and  $V_w$  is the wind velocity,  $T_a$  is the ambient temperature

302 For plate fin heat sink effective heat transfer area ( $A_{\text{eff}}$ ) and fin efficiency ( $\eta_f$ ) could be obtained  
303 by using Eqs. (35) - (37).

304 Plate fin heat sink effective heat transfer area,

305 
$$A_{\text{eff}} = (\eta_f(2N_f L_f H_f) + (N_f - 1)bL_f) \quad (35)$$

306 where  $N_f$  is the number of fin,  $L_f$  is the length of the fin,  $H_f$  is the height of the fin,  $b$  is the tunnel  
307 width.

308 Fin efficiency, 
$$\eta_f = \frac{\tanh(mH_f)}{mH_f} \quad (36)$$

309 
$$m = \sqrt{\frac{h_{\text{camb}} X_p}{k_f X t_f}} \quad (37)$$

310 where  $p$  is the perimeter of the fin tip,  $k_f$  is the thermal conductivity of fin and  $t_f$  is the thickness  
311 of the fin.

312  $U_h$ ,  $A_h$  and  $U_c$ ,  $A_c$  are overall heat transfer coefficient and effective heat transfer area on hot and  
313 cold side respectively could be obtained by using Eqs. (38) and (39).

314 
$$U_h A_h = \frac{1}{R_{sp} + R_{hi}} \quad (38)$$

315 
$$U_c A_c = \frac{1}{R_{ci} + R_{fb} + R_{camb}} \quad (39)$$

316 The heat supplied ( $Q_h$ ) to hot side and heat dissipated ( $Q_c$ ) out through cold side were given by  
317 the equations:

318 
$$Q_h = U_h A_h (T_{hs} - T_h) \quad (40)$$

319 
$$Q_c = U_c A_c (T_c - T_a) \quad (41)$$

320 By equating Eq. (20) with Eq. (40) and Eq. (21) with Eq. (42), the expressions for  $T_h$  and  $T_c$  were  
321 arrived as follows:

322 
$$T_h = \frac{U_h A_h T_{hs} + K T_c + \frac{I_0^2 R_{teg}}{2}}{\alpha I_0 + K + U_h A_h} \quad (42)$$

$$T_c = \frac{U_c A_c T_a + K T_h + \frac{I_o^2 R_{teg}}{2}}{U_c A_c + K - \alpha I_o} \quad (43)$$

where  $U_c$  is the overall heat transfer coefficient on cold side and  $U_h$  is the overall heat transfer coefficient on hot side.

$T_h$  and  $T_c$  were iteratively computed for different source temperatures and other variables. The expression for  $I_o$  was obtained by substituting  $T_{hs}$ ,  $T_a$ ,  $\alpha$  and  $R_{teg}$  into Eq. (29). Consequently,  $Q_h$ ,  $Q_c$ ,  $P_o$  and  $V_o$  expressions were obtained by substituting  $T_h$ ,  $T_c$ ,  $\alpha$ ,  $R_{teg}$ ,  $K$  and  $I_o$  into Eqs. (20), (21), (42) and (43).

## 4 Results and discussion

### 4.1 Modelling analysis

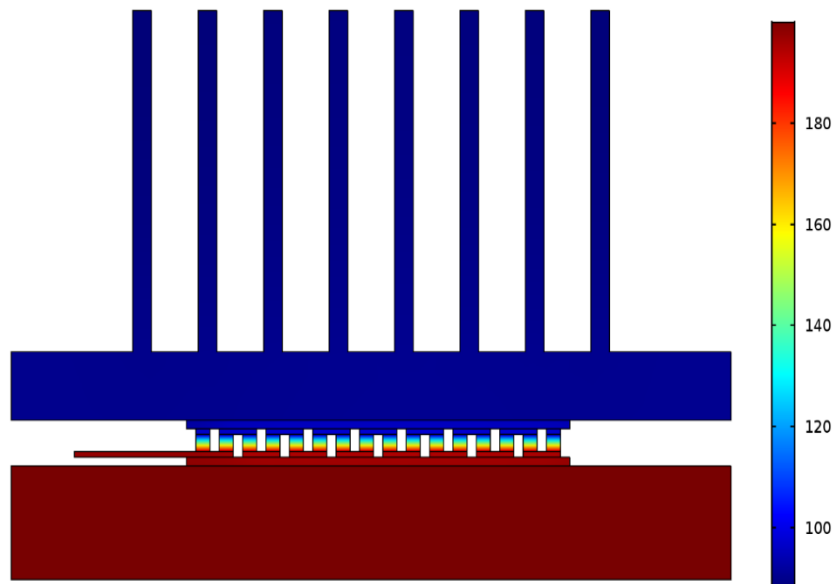
The commercially available COMSOL<sup>TM</sup> multiphysics numerical model having in-built governing equations discussed in 3.1 was simulated for all material properties and boundary conditions of TEG-TIM test set up as given in Table 3 and Table 4 respectively. Outputs at both open and closed circuit conditions were obtained for each variation.

Fig. 8(a) and 9(a) show the temperature and open circuit voltage contours respectively for a case with no TIM (only air gap) and  $T_{hs} = 200$  °C,  $\sigma = 1.53$   $\mu$  and  $P_{tim} = 1650$  kPa.

Fig. 8(b) and 9(b) show the temperature and open circuit voltage contours respectively for a case with Silica oil + 40 %wt Cu nanoparticle as TIM and  $T_{hs} = 200$  °C,  $\sigma = 1.53$   $\mu$  and  $P_{tim} = 1650$  kPa.

Maroon colour shows the highest temperature/voltage while blue colour indicates lowest temperature/voltage in the above pictures. Comparison of the colour contours with and without TIM in the interface zone between the top of TEG and the bottom of fin plate and that between the bottom surface of TEG and top of hot plate reveal appreciable reduction in temperatures at the interfaces due to the introduction of TIM leading to an increased open circuit voltage in the output. Similar improvement is seen in the contours for closed circuit.





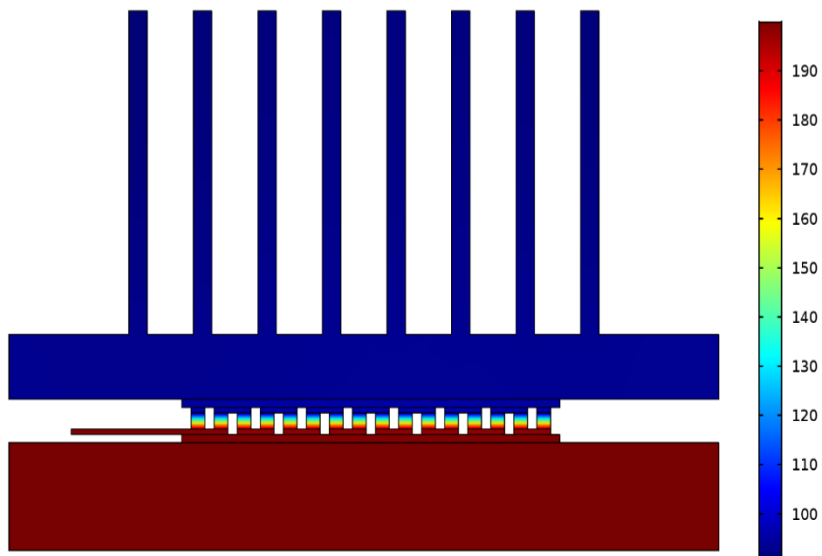
347

348

**Fig. 8(a) Temperature contour at open circuit condition for no TIM (only entrapped air)**

349

**and  $T_{hs} = 200\text{ }^{\circ}\text{C}$ ,  $\sigma = 1.53\text{ }\mu$  and  $P_{tim} = 1650\text{ kPa}$**



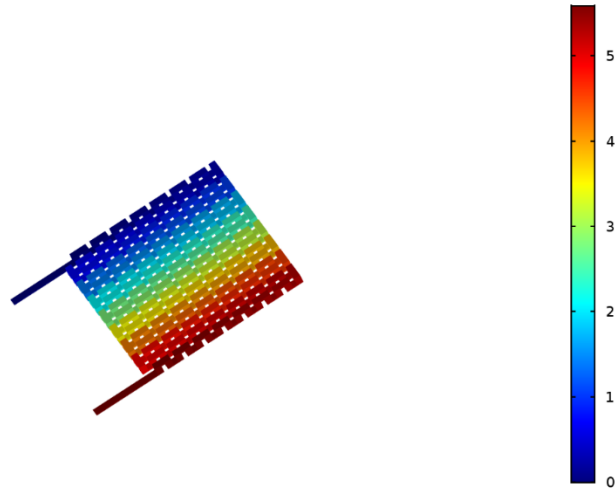
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**Fig. 8(b) Temperature contour at open circuit condition for Silica Oil+ 40% Cu**

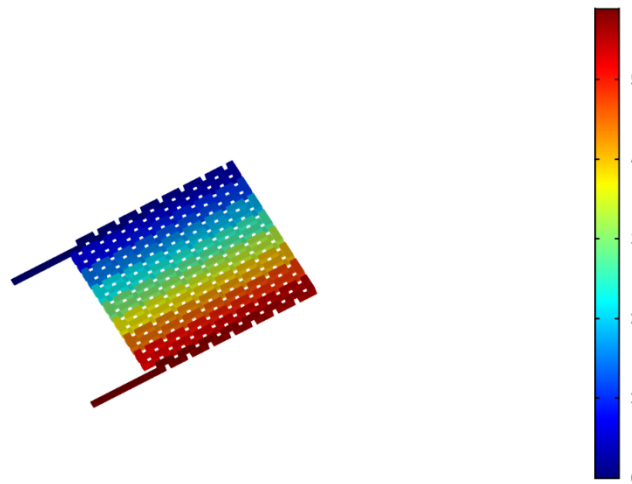
352

**Nanoparticle ( $k_{tim} = 4.2\text{ W/mK}$ ) as TIM and  $T_{hs} = 200\text{ }^{\circ}\text{C}$ ,  $\sigma = 1.53\text{ }\mu$  and  $P_{tim} = 1650\text{ kPa}$**



353  
 354 **Fig. 9(a) Voltage contour at open circuit condition for no TIM (only entrapped air) and  $T_{hs}$**   
 355  **$= 200\text{ }^{\circ}\text{C}$ ,  $\sigma = 1.53\text{ }\mu$  and  $P_{tim} = 1650\text{ kPa}$**

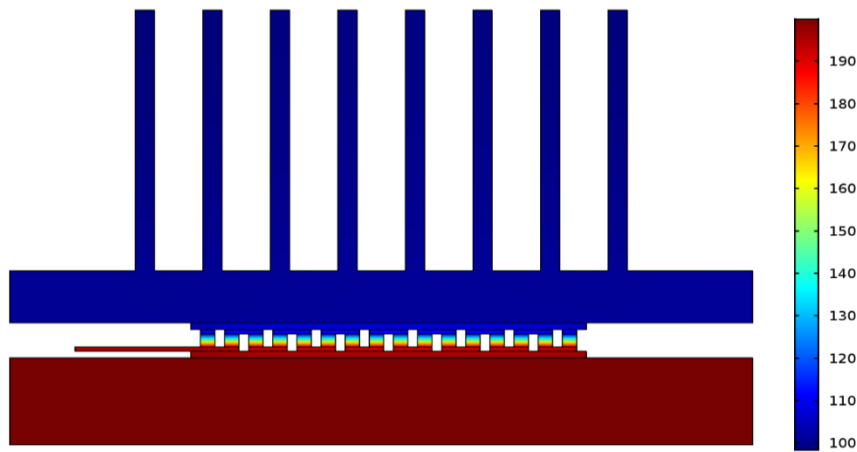
356



357  
 358 **Fig. 9(b) Voltage contour at open circuit condition for Silica Oil+ 40% Cu Nanoparticle**  
 359 **( $k_{tim} = 4.2\text{ W/mK}$ ) as TIM and  $T_{hs} = 200\text{ }^{\circ}\text{C}$ ,  $\sigma = 1.53\text{ }\mu$  and  $P_{tim} = 1650\text{ kPa}$**

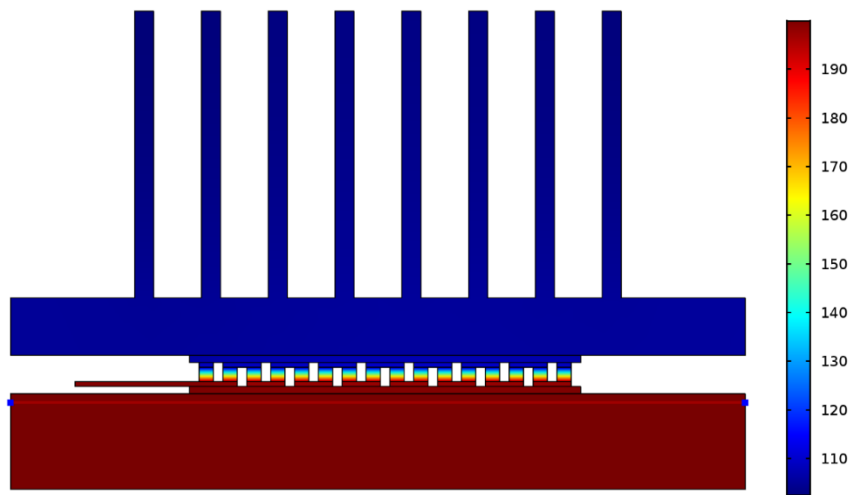
360 Fig. 10(a) and 11(a) show the temperature and closed circuit voltage contours respectively for a  
 361 case with no TIM (only air gap) and  $T_{hs} = 200\text{ }^{\circ}\text{C}$ ,  $\sigma = 1.53\text{ }\mu$  and  $P_{tim} = 1650\text{ kPa}$ .

362 Fig. 10(b) and 11(b) show the temperature and closed circuit voltage contours respectively for a  
 363 case with Silica oil + 40 %wt Cu nanoparticle as TIM and  $T_{hs} = 200\text{ }^{\circ}\text{C}$ ,  $\sigma = 1.53\text{ }\mu$  and  $P_{tim} =$   
 364 1650 kPa.



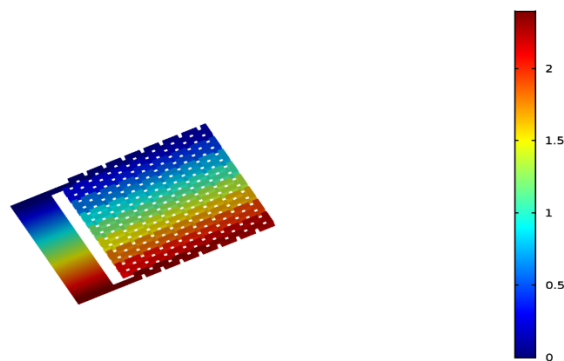
365  
 366 **Fig. 10(a) Temperature contour at closed circuit condition for no TIM (only entrapped air)**  
 367 **and  $T_{hs} = 200\text{ }^{\circ}\text{C}$ ,  $\sigma = 1.53\text{ }\mu$  and  $P_{tim} = 1650\text{ kPa}$**

368

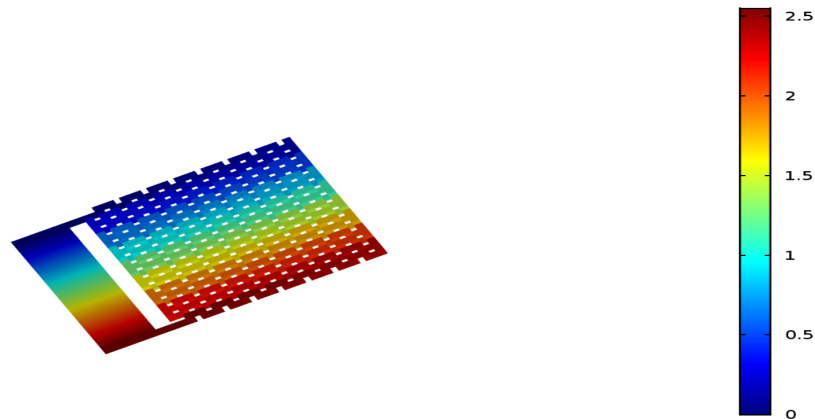


369  
 370 **Fig. 10(b) Temperature contour at closed circuit condition for Silica Oil+ 40% Cu**  
 371 **Nanoparticle ( $k_{tim} = 4.2\text{ W/mK}$ ) as TIM and  $T_{hs} = 200\text{ }^{\circ}\text{C}$ ,  $\sigma = 1.53\text{ }\mu$  and  $P_{tim} = 1650\text{ kPa}$**

372



373  
 374 **Fig. 11(a) Voltage contour of closed circuit condition for no TIM (only entrapped air) and**  
 **$T_{hs} = 200\text{ }^{\circ}\text{C}$ ,  $\sigma = 1.53\text{ }\mu$  and  $P_{tim} = 1650\text{ kPa}$**



376

377 **Fig. 11(b) Voltage contour of closed circuit condition for Silica Oil+ 40% Cu Nanoparticle**  
 378 **( $k_{tim} = 4.2$  W/mK) as TIM and  $T_{hs} = 200$  °C,  $\sigma = 1.53$   $\mu$  and  $P_{tim} = 1650$  kPa**

#### 379 **4.2 Comparison of the model outputs with experimental data and evaluation of the impact** 380 **of various parameters on the performance of TEG**

381 Experiments and model outputs for varying thermal conductivities of 0.025, 0.6, 0.9 and 4.2  
 382 W/mK for TIM at hot side temperatures of 100, 150 and 200 °C, contact pressures of 420, 840,  
 383 1240 and 1650 kPa and surface roughness of 0.8, 3.1 and 6 $\mu$  were compared for open circuit  
 384 voltage and power output of TEG.

##### 385 **4.2.1 Influence of thermal conductivity**

386 Fig. 12 and Fig. 13 show typical trends of open circuit voltage and closed circuit power outputs  
 387 of TEG with respect to thermal conductivity of TIM keeping the other variables constant as shown  
 388 in the respective figures. Analysis of the trends indicates steep increase in voltage and power  
 389 outputs upto a thermal conductivity of 0.6 W/mK compared with that of air having a thermal  
 390 conductivity of 0.025 W/mK and subsequent increase in outputs are very little for the increases in  
 391 thermal conductivities to 0.9 and 4.2 W/mK. Though the trends of outputs of both models are in  
 392 line with experimental data, the outputs of COMSOL<sup>TM</sup> model is in close agreement with  
 393 experiments with marginal deviation in the range 0.3 to 2.6 % for open circuit voltage and 0.5 to  
 394 3.1 % for electric power output. The outputs of heat transfer model comparatively deviate in the  
 395 range of 2.3 to 5.9 % for open circuit voltage and 3.3 to 11.3 % for electric power output when  
 396 compared to the experimental data.

397 It is clear from the data that the TIM fills up the unnoticeable surface undulations, establishes  
 398 better connectivity and conductivity between the mating surfaces and thus improves the

399 performance of TEG by 10 to 20%. The data has also revealed that the use of TIM with a thermal  
400 conductivity higher than 0.6 W/mK has only marginal improvement in the performance.

#### 401 **4.2.2 Influence of contact pressure**

402 Fig. 14 and Fig. 15 show typical trends of open circuit voltage and closed circuit power outputs  
403 of TEG with respect to contact pressure between the spreading plates and TIM keeping the other  
404 variables constant as shown in the respective figures. The analysis of the trends indicates  
405 progressive improvements in voltage and power outputs at all conditions for a case with no TIM  
406 (only entrapped air) and the pressure requirement is higher for a rough surface than a smooth  
407 surface. Contact pressure does not have any effect at any condition for TIMs having higher thermal  
408 conductivities in the range of 0.6 to 4.2 W/mK.

#### 409 **4.2.3 Influence of surface roughness**

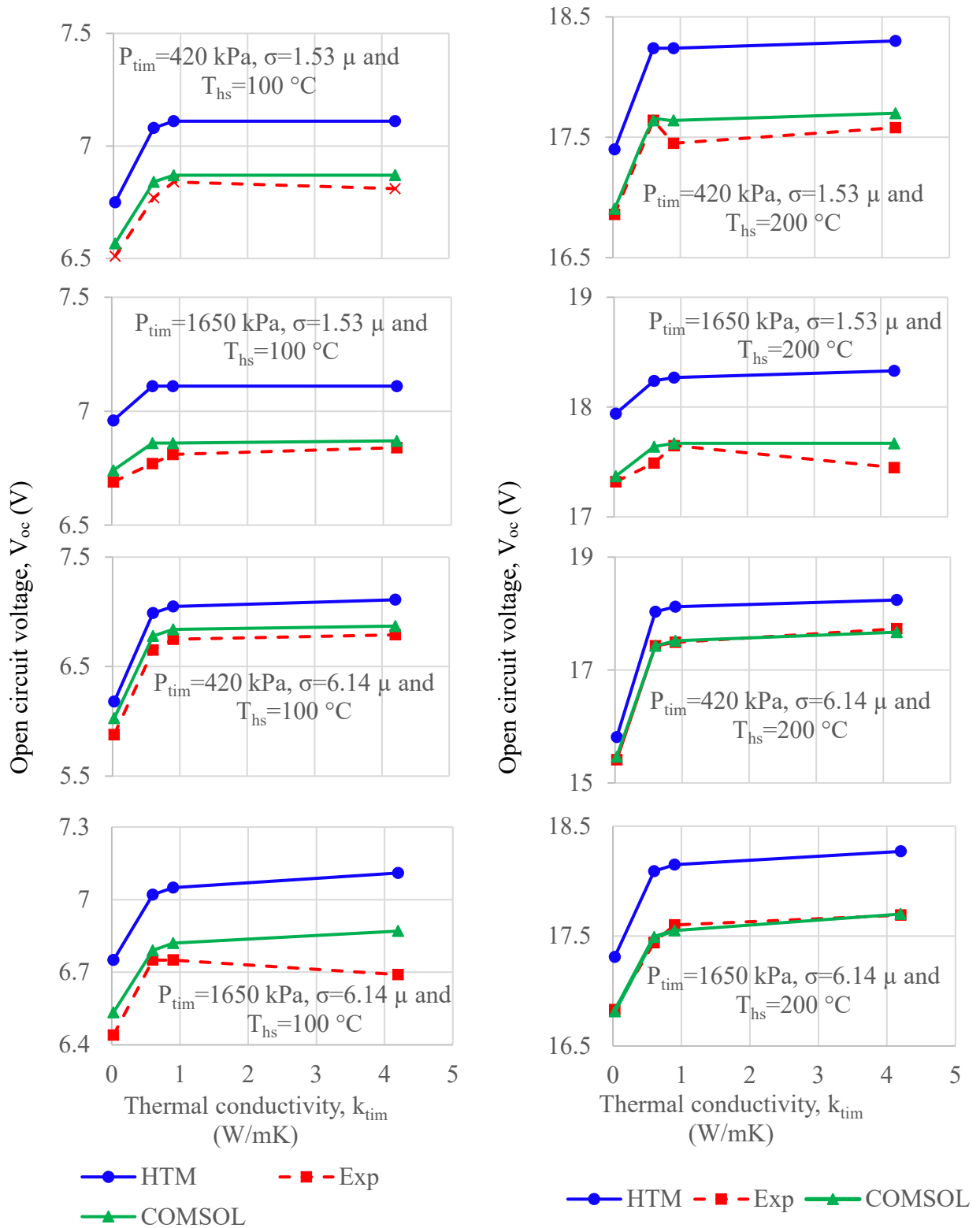
410 Fig. 16 and Fig. 17 show typical trends of open circuit voltage and closed circuit power outputs  
411 of TEG with respect to surface roughness of heat spreading aluminium plates keeping the other  
412 variables constant as shown in the respective figures. The analysis indicated that the surface  
413 roughness is influential only when air is used as TIM and not for other TIMs having higher thermal  
414 conductivities.

#### 415 **4.2.4 Influence of heat source temperature**

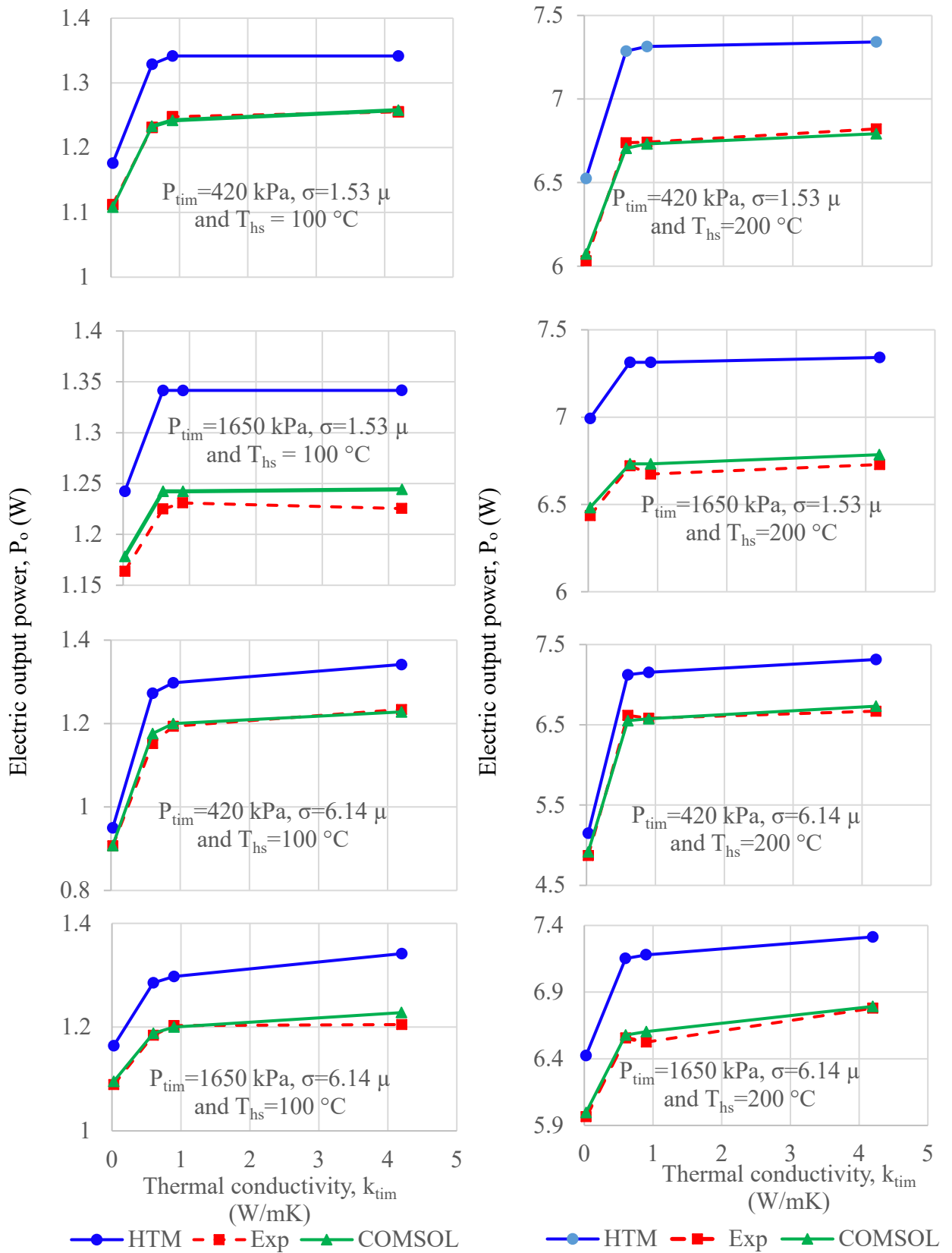
416 Fig. 18 and Fig. 19 show typical trends of open circuit voltage and closed circuit power outputs  
417 of TEG with respect to hot side temperatures keeping the other variables constant as shown in the  
418 respective figures. The results indicate that irrespective of the TIM, the open circuit voltage and  
419 electric power output keep increasing with increase in source temperature. However, the outputs  
420 are higher with the use of TIMs with higher thermal conductivity than air.

421 Recently, Wang et al. [22] analysed the performance of TEG with air and thermal grease as TIM  
422 under varying contact pressures of up to 765 kPa, however, the effect of roughness of the contact  
423 surfaces was ignored. This aspect has been covered by the present study and approximately 12%  
424 increase in power output with the use of TIM (2.01 W with air to 2.25 W with TIM at a contact  
425 pressure of 420 kPa) is being reported. Wang et al. [22] reported an increase in power output with  
426 an increase in contact pressure even when TIM was used. Whereas, what this paper reports here  
427 is an increase in power output with an increase in contact pressure only with air and it remains  
428 almost constant at all contact pressures when TIM is used.

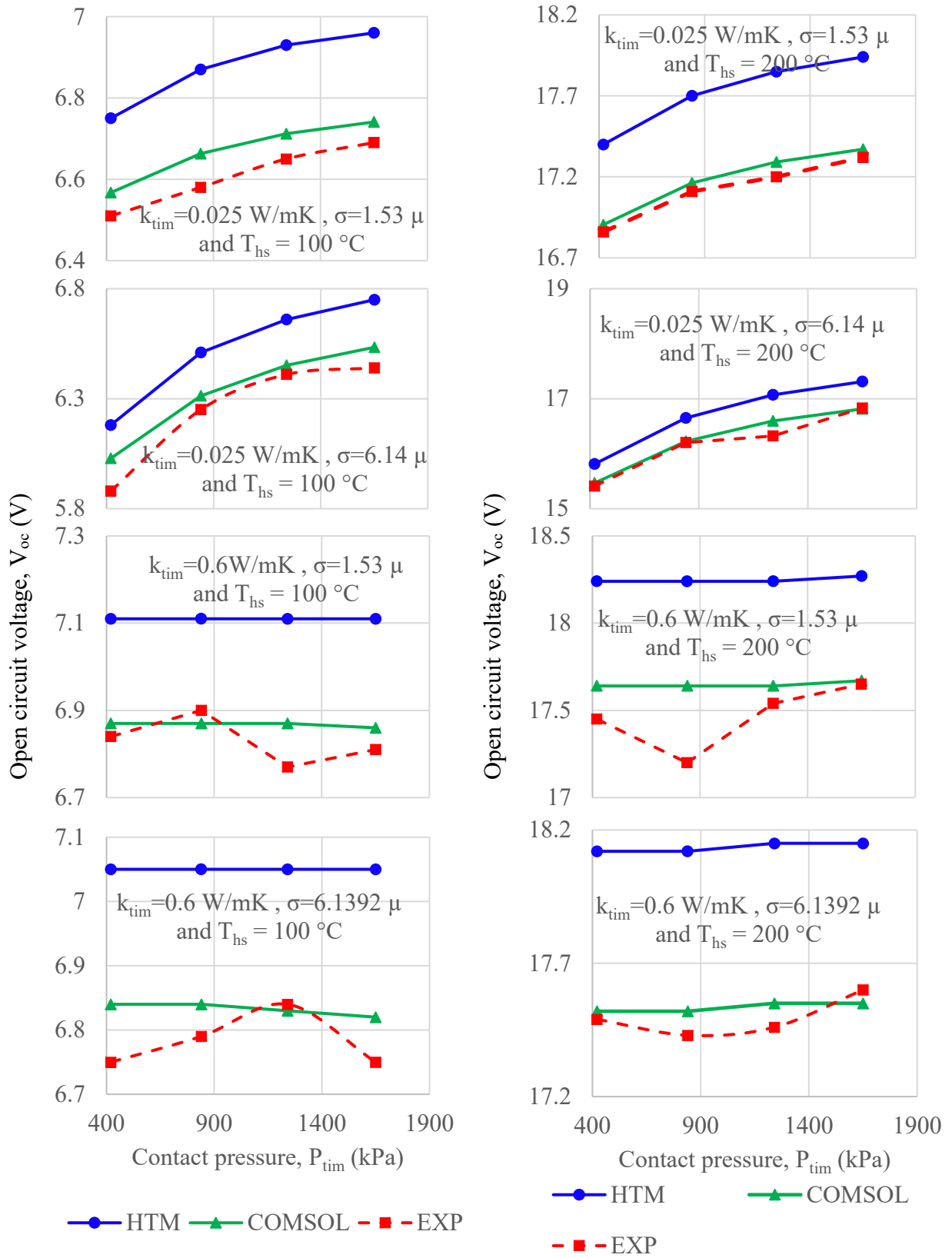
429



**Fig. 12 Influence of thermal conductivity of TIM at open circuit condition**



**Fig. 13 Influence of thermal conductivity of TIM at closed circuit condition**

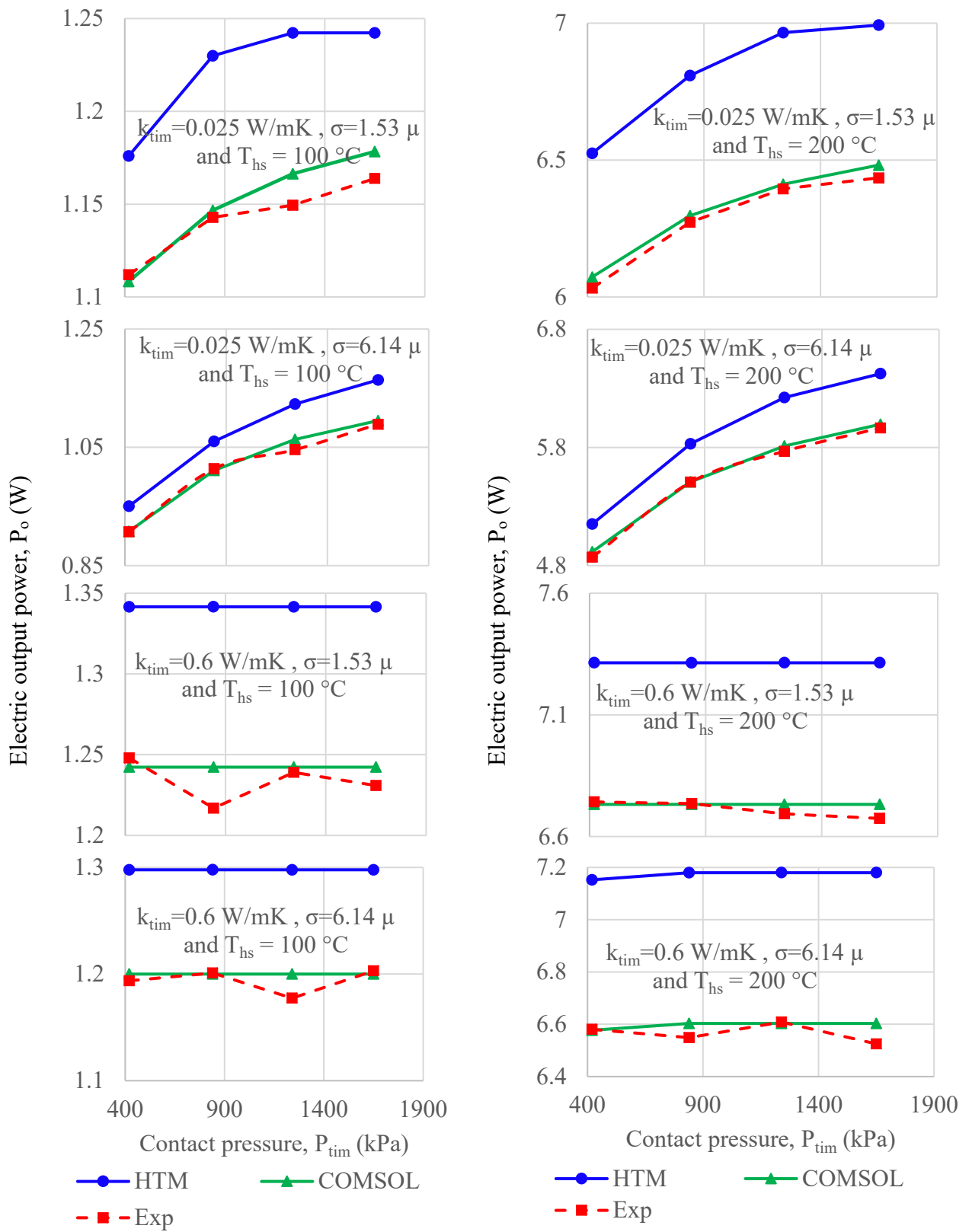


**Fig. 14 Influence of contact pressure and TIM at open circuit condition**

432

433

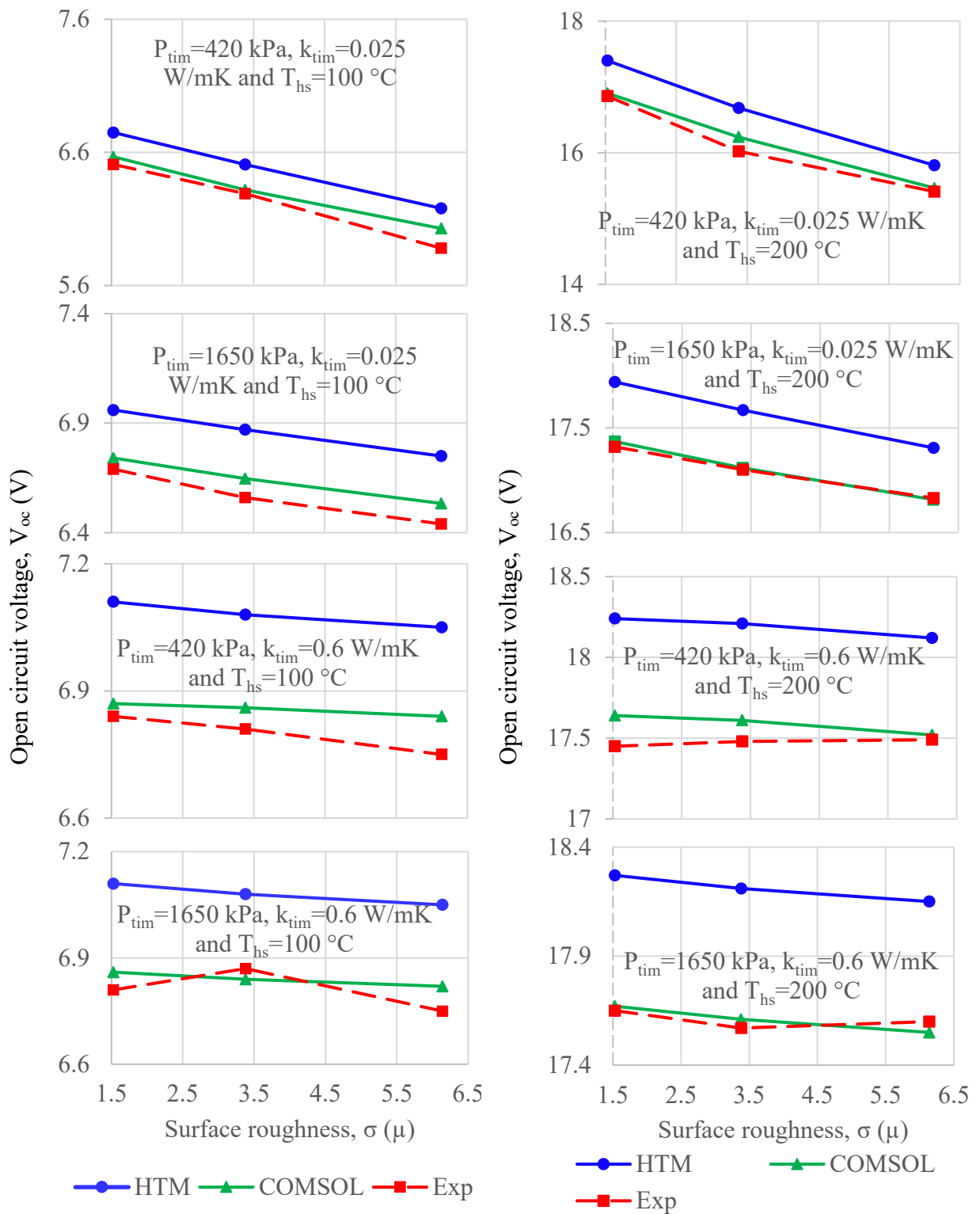




**Fig. 15 Influence of contact pressure and TIM at closed circuit condition**

434

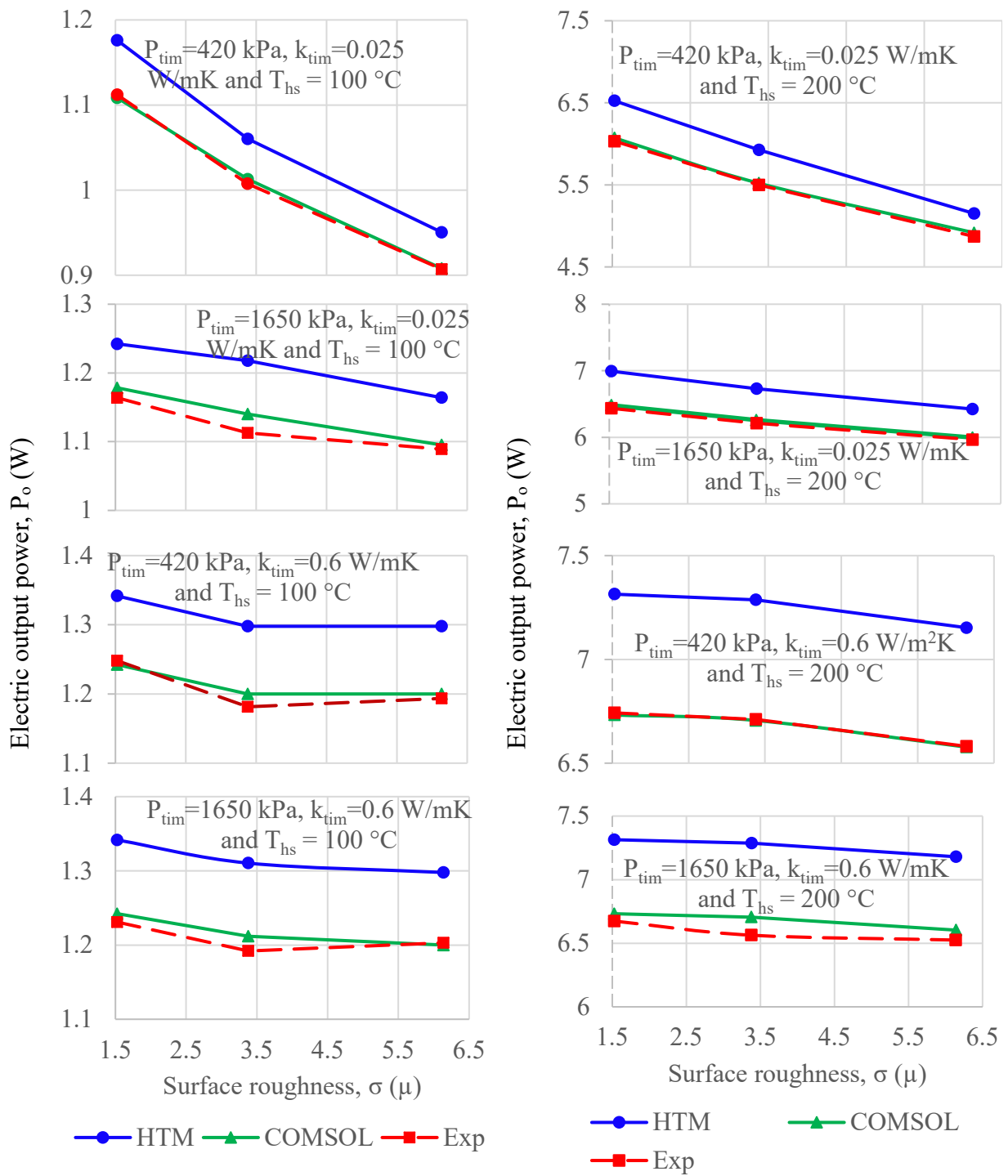
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**Fig. 16 Influence of surface roughness and TIM at open circuit condition**

436

437

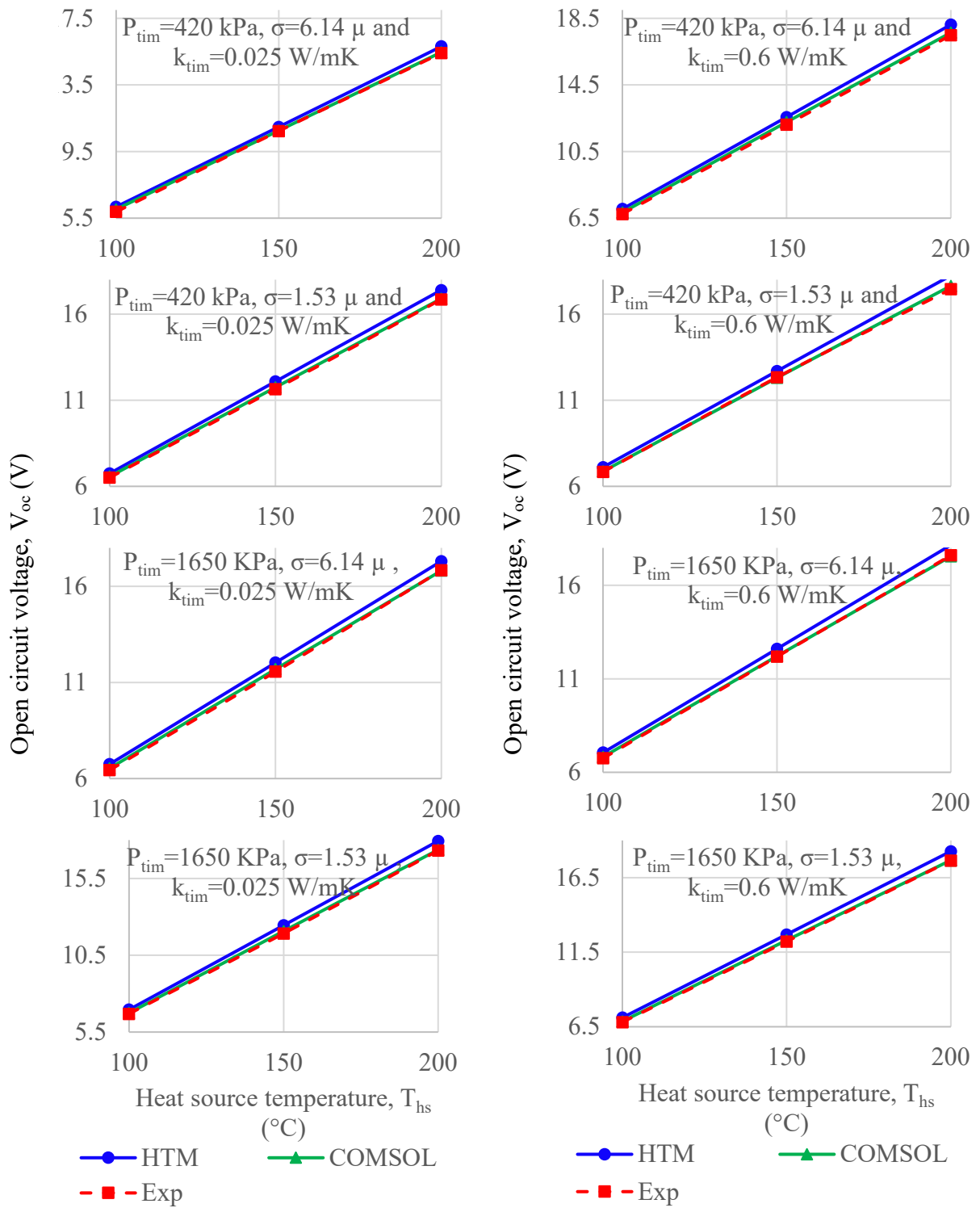


**Fig. 17 Influence of surface roughness and TIM at closed circuit condition**

438

439

440



**Fig. 18 Influence of heat source temperature at open circuit condition**

441

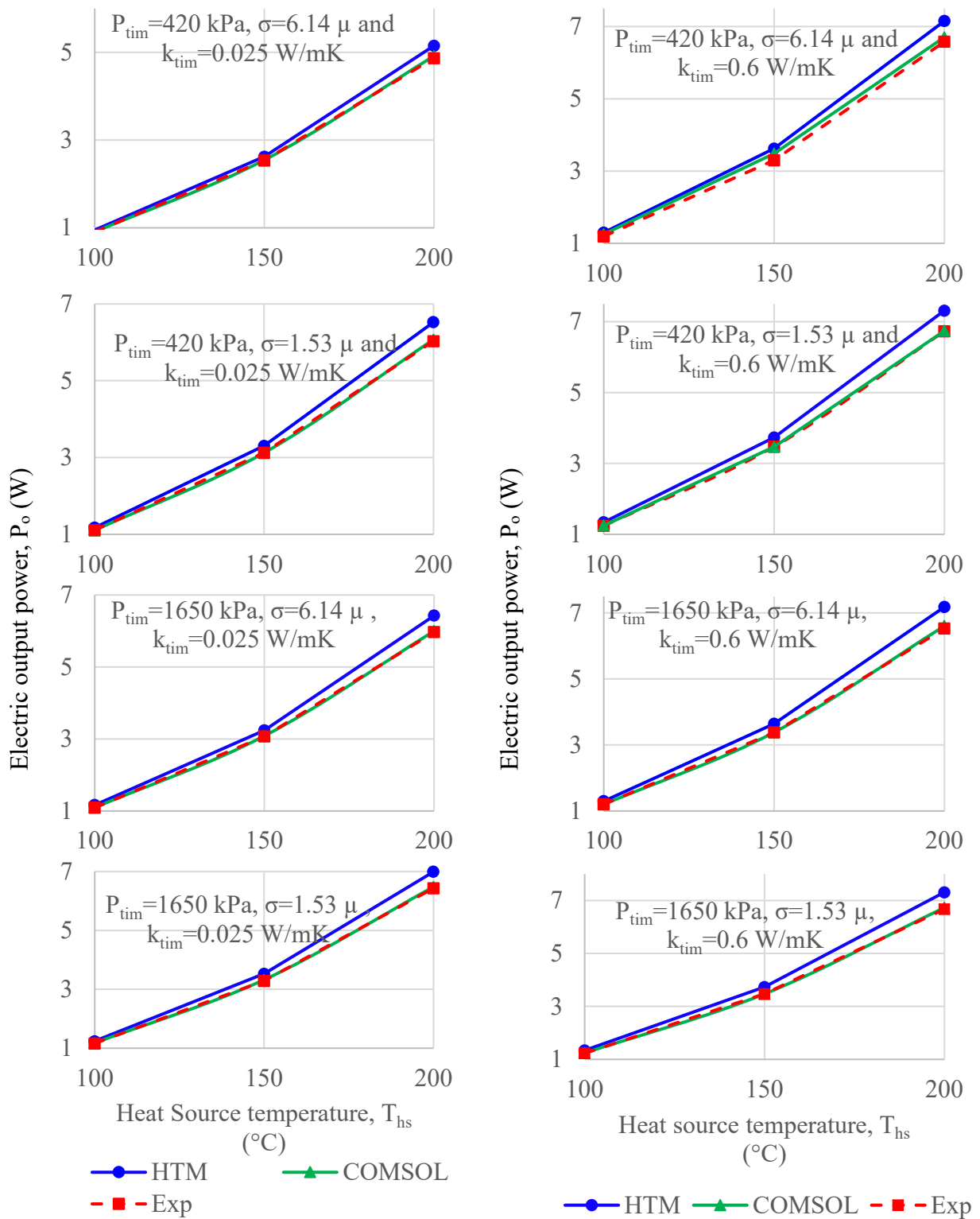
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**Fig. 19 Influence of heat source temperature at closed circuit condition**

## 450 **5 Conclusions**

451 Experiments were carried out with different Thermal Interface Materials (TIM) of different  
452 thermal conductivities at varying conditions of contact pressure, surface roughness and heat  
453 source temperature. Mathematical Heat Transfer Model and numerical model using COMSOL™  
454 Multiphysics were developed and validated with the experimental results. The impacts of thermal  
455 conductivity, contact pressure, surface roughness and heat source temperature on the thermal  
456 performance of TIM and in turn the performance of TEG were evaluated.

457 The following are the observations:

- 458 1. A TIM material having a thermal conductivity of 0.6 W/mK was found to be the best;  
459 increasing the thermal conductivities to 0.9 and 4.2 W/mK did not have appreciable  
460 increase in voltage and power output from TEG. Hence, use of expensive TIMs comprising  
461 MWCNT and Cu NPs may not be required.
- 462 2. Increase in contact pressure improves voltage and power outputs at all conditions for a  
463 case when no TIM (only entrapped air) is used. It does not have any effect at any condition  
464 for TIMs having higher thermal conductivities of 0.6, 0.9 and 4.2 W/mK.
- 465 3. Increase in surface roughness decreases the voltage and power output at all conditions for  
466 a case when no TIM (only entrapped air) is used. Surface roughness effect is insignificant  
467 for TIMs having higher thermal conductivities of 0.6, 0.9 and 4.2 W/mK.
- 468 4. Increase in source temperature increases the voltage and power output irrespective of the  
469 TIM used. For the same source temperature, the outputs increase with increase in thermal  
470 conductivity of TIM.
- 471 5. The trends of the outputs of both COMSOL™ and Heat Transfer Models (HTM) were in  
472 line with the observations of experiments. However, the outputs of COMSOL™  
473 Multiphysics model had a closer agreement with experimental observation than that of  
474 mathematical heat transfer model.

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## 549 Nomenclature

$A_a$	apparent contact area of joining surfaces ( $m^2$ )
$A_c$	effective heat transfer area on cold side ( $m^2$ )
$A_{ct}$	total cross-sectional area of P-type / N-type elements ( $m^2$ )
$A_{eff}$	fin effective area ( $m^2$ )
$A_{fb}$	surface area of the fin base plate ( $m^2$ )
$A_h$	effective heat transfer area on hot side ( $m^2$ )
$A_{sp}$	surface area of the heat spreader plate ( $m^2$ )
$b$	tunnel width (m)
$E$	magnetic field (V)
$h$	combined thermal interface conductance ( $W/m^2K$ )
$h_c$	contact conductance ( $W/m^2K$ )
$H_c$	micro-hardness of softer contact surface of the two surfaces in contact (MPa)
$h_{camb}$	heat transfer coefficient between fin and ambient ( $W/m^2K$ )
$H_f$	height of the fin (m)
$h_g$	gap conductance ( $W/m^2K$ )
$H_l$	height of the TEG element (m)
$I_o$	output current (A)
$J$	TEG current density ( $A/m^2$ )
$k$	thermal conductivity ( $W/mK$ )



$K$	thermal conductance (W/K)
$k_f$	thermal conductivity of fin (W/mK)
$k_{fb}$	thermal conductivity fin base plate (W/mK)
$k_s$	harmonic mean mean of two contacting surfaces thermal conductivity (W/mK)
$k_{sp}$	thermal conductivity of the heat spreader plate (W/mK)
$k_{tim}$	Thermal conductivity of TIM (W/mK)
$k(T)$	thermal conductivity of the P-N couple (W/mK)
$L$	height of P-type and N-type elements (m)
$L_f$	length of the fin (m)
$L_{fb}$	length of the fin base plate (m)
$L_{sp}$	length of the heat spreader plate (m)
$m_s$	absolute mean asperity slope
$m_i$	effective absolute mean asperity slope
$n$	normal vector to the boundary
$N_c$	number of couple in TEG (No)
$N_f$	number of fin (No)
$p$	perimeter of the fin tip (m)
$P_o$	electric power output (W)
$P_{tim}$	contact pressure (MPa)
$Q_c$	Rate of heat transferred from cold side of TEG (W)
$Q_h$	heat absorption by TEG (W)
$R_c$	thermal interface resistance due to contact ( $m^2K/W$ )
$R_{ci}$	combined resistances of TEG cold side interface ( $m^2K/W$ )
$R_{camb}$	ambient thermal resistance ( $m^2K/W$ )
$R_{fb}$	fin base plate thermal resistance ( $m^2K/W$ )
$R_g$	thermal interface resistance due to gap ( $m^2K/W$ )
$R_{hi}$	combined resistances of TEG hot side interface ( $m^2K/W$ )
$R_L$	external load resistance ( $\Omega$ )
$R_{sp}$	thermal resistance of heat spreader plate ( $m^2K/W$ )
$R_{teg}$	TEG internal resistance ( $\Omega$ )
$T_a$	ambient temperature (K)
$t_f$	thickness of the fin (m)
$t_{fb}$	thickness of the fin base plate (m)
$T_{hs}$	heat source surface temperature (K)

$T$	temperature (K)
$T_h$	TEG hot side temperature (K)
$T_c$	TEG cold temperature (K)
$t_{sp}$	thickness of the heat spreader plate (m)
$U_c$	overall heat transfer coefficient on hot side ( $W/m^2K$ )
$U_h$	overall heat transfer coefficient on hot side ( $W/m^2K$ )
$V_o$	TEG output voltage (V)
$V_{oc}$	TEG open circuit voltage (V)
$V_w$	wind velocity (m/s)
$W_{fb}$	width of the fin base plate (m)
$W_{sp}$	width of the heat spreader plate (m)
$Y$	layer thickness of the TIM (m)
$[k]$	thermal conductivity of TEG leg matrix ( $W/mK$ )

### Greek symbol

$\alpha$	Seebeck coefficient of TEG module (V/K)
$[\alpha]$	Seebeck coefficient of TEG leg matrix (V/K)
$\alpha_a$ and $\beta$	gas parameters
$\alpha(T)$	Seebeck coefficient of the P-N couple (V/K)
$\Lambda$	molecular free path (m)
$\phi$	electric scalar potential (V)
$\eta_f$	fin efficiency (%)
$\rho$	electrical resistivity ( $\Omega m$ )
$\rho(T)$	electrical resistivity of the P-N couple ( $\Omega m$ )
$[\Pi]$	Peltier coefficient of TEG leg matrix which depends on $T[\alpha]$ (W/A)
$\sigma$	effective RMS surface roughness (m)
$\sigma_{Al}$	Surface roughness of base plate material surface (m)
$\sigma_e$	electrical conductivity of TEG leg matrix (S/m)
$[\sigma_e]$	electrical conductivity of TEG leg matrix (S/m)
$\sigma_i$	effective absolute surface roughness ( $\mu$ )
$\sigma_{teg}$	Surface roughness of TEG module surface (m)

### Subscript

$d$	downside of the slit
$u$	upside of the slit