

1 **Influence of specimen geometries and drying conditions on concrete**
2 **cracking in restrained elliptical ring tests**

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25 **ABSTRACT**

26 The restrained shrinkage elliptical ring test has been established as an efficient method for assessing
27 the cracking potential of concrete at early ages because an elliptical ring can provide a higher degree
28 of restraint compared with a circular one. In this study, a series of circular and elliptical concrete
29 rings restrained by steel rings with various thicknesses were tested under top & bottom surfaces
30 drying or outer circumferential surface drying. By comparing concrete cracking age under different
31 geometrical and drying conditions, the effects of ring geometry, restraining steel ring thickness and
32 drying condition on the cracking process in concrete rings were revealed. Furthermore, numerical
33 analyses were conducted to investigate the fracture mechanism for circular and elliptical rings by
34 applying a fictitious temperature field to simulate the shrinkage effect of concrete. It is found that the
35 increase of steel ring thickness can enhance the degree of restraint, therefore shorten the cracking age
36 for both circular and elliptical rings. However, the improvement is more significant for circular rings.
37 The fracture processes under the two drying conditions, i.e. top & bottom surfaces drying and outer
38 circumferential surface drying are completely different: for drying from outer circumferential surface,
39 the crack initiates at the outer surface and propagates towards the inner surface; for drying from top
40 & bottom surfaces, the crack initiates partially along the height direction at the inner circumference
41 of a concrete ring, and propagates along the radial direction, step by step, until the crack propagates
42 throughout the whole ring wall. In both cases, the self-restraint caused by the non-uniform shrinkage
43 of concrete and the external restraint from the inner steel ring contribute the driving effects for crack
44 propagation. In general, compared with circular rings, the elliptical rings demonstrate the advantage
45 of providing a higher degree of restraint. The elliptical ring test method can, therefore, supplement
46 the traditional circular ring test method for assessing cracking tendency of concrete with higher
47 cracking resistance.

48 **Keywords:** Circular ring; Concrete cracking; Early-age concrete; Elliptical ring; Fictitious
49 temperature field; Fracture of concrete; Initial fracture toughness; Restrained shrinkage;
50 Self-restraint; Stress intensity factor

51 **1 Introduction**

52 The durability of concrete structures is often threatened by the restrained shrinkage cracking of
53 concrete at early ages. Therefore, it is important to choose the appropriate laboratory test methods to
54 assess the cracking tendency of concrete in restrained conditions prior to being used in the field. So
55 far, several test methods have been proposed for such purpose, including the restrained uniaxial test
56 [1-3], restrained slab test [4,5], restrained beam test [6,7], and restrained ring test [8-11]. Due to its
57 simplicity and versatility, the restrained ring test has been widely adopted and recommended as the
58 standard method by the American Association of State Highway and Transportation Officials
59 (AASHTO) (i.e. AASHTO PP34-99: Standard Practice for Cracking Tendency Using a Ring
60 Specimen) and the American Society for Testing and Materials (ASTM) (i.e. ASTM
61 C1581/C1581M-09a: Standard Test Method for Determining Age at Cracking and Induced Tensile
62 Stress Characteristics of Mortar and Concrete under Restrained Shrinkage).

63 For concrete with higher cracking resistance, it was reported that the traditional circular ring may not
64 be able to provide high enough restraint to enable concrete ring cracking at an early age [12].
65 According to Moon [13], this limitation could be solved by increasing the thickness of the central
66 restraining steel ring. However, a thicker steel ring, thus **with** higher stiffness, leads to smaller
67 deformation of its inner surface, which is difficult to be detected by strain gauges that are supposed
68 to detect the age of concrete cracking. In addition, heavy metal molds and big concrete ring
69 specimens make it inconvenient to conduct the ring test at laboratory. In recent years, the restrained

70 elliptical ring test was proposed and has been regarded as a supplementary method to assess the
71 cracking potential of concrete and other cement-based materials [14-18]. In a restrained elliptical
72 concrete ring, the first crack is expected to occur earlier compared with being in a circular ring due to
73 the stress concentration caused by geometrical effects. Moreover, crack occurs near the major radius
74 of an elliptical concrete ring, which is conveniently observed in experiment. Zhou et al. [15] carried
75 out restrained shrinkage tests of a series of elliptical ring specimens with different major-to-minor
76 radius ratios. Experimental results have proved that the elliptical rings with a geometry factor of the
77 major-to-minor radius ratio of 2 were the most efficient in accelerating the occurrence of cracking so
78 that shortening the ring test duration.

79 Based on the elliptical specimen geometry, Dong et al. [19] investigated the influence of specimen
80 thickness on shrinkage cracking under outer circumferential surface drying. Experimental and
81 numerical results showed that the advantage of the elliptical geometry is obvious in thin concrete
82 rings (i.e. with the ring thickness of 37.5mm) but invalid in thick concrete rings (i.e. with ring
83 thickness of 75mm), because of the greater self-restraint caused by non-uniform shrinkage along the
84 radial direction. In fact, the non-uniform shrinkage in concrete results in the self-restraining effect,
85 which contribute the driving forces for crack evolution in concrete together with the restraint from
86 the inner steel ring. For the purpose of the assessment of cracking potential in concrete under
87 externally restrained condition, it is more appropriate to reduce the effect of self-restraint, which can
88 be achieved by decreasing the diffusion distance in the concrete ring. Upon this point, drying from
89 top & bottom surfaces was investigated for an extension of standard ring test methods recommended
90 by AASHTO and ASTM in some studies [20-25] in which drying is usually from outer
91 circumferential surface only.

92 Under drying from the top & bottom surfaces, the moisture diffuses simultaneously from the two
93 symmetrically exposed surfaces (i.e. the top and bottom surfaces) of a concrete ring so that the
94 moisture diffusion distance is half of the height of the ring specimen. However, it should be noted
95 that, although uniform shrinkage along the radial direction can be obtained, non-uniformity still
96 exists along the height direction. Particularly, the non-uniform shrinkage along the height affects the
97 crack initiation position and propagation direction in concrete, which shows a completely different
98 fracture process compared with the case of drying from outer circumferential surface in ring test [20].
99 Therefore, to reinforce the advantage of the elliptical geometry, these influential factors, i.e.,
100 specimen geometry, thickness of steel ring and drying condition, should be investigated
101 comprehensively, so that a more effective test method can be recommended to assess the cracking
102 potential of concrete under restrained shrinkage conditions.

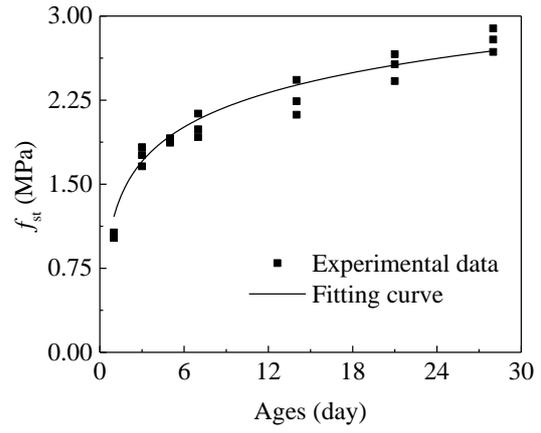
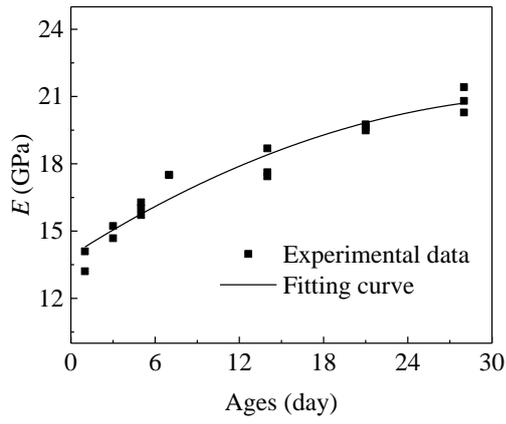
103 In line with this, a series of restrained circular and elliptical ring specimens were tested under drying
104 from top & bottom surfaces, and outer circumferential surface, respectively. In addition, the
105 influence of restraining steel ring thickness was investigated through examining two thicknesses, i.e.
106 12.5 mm and 19.5 mm. By comparison of concrete cracking age under different conditions, the
107 effects of ring geometry, steel ring thickness and drying condition on crack initiation and propagation
108 were revealed. Furthermore, a numerical analysis was conducted to investigate the fracture
109 mechanism for circular and elliptical rings by applying a fictitious temperature field to simulate the
110 shrinkage effect of concrete. It is expected that the research conducted in this paper can reveal the
111 influential factors of the elliptical ring specimen on concrete cracking so that more appropriate test
112 condition can be selected for assessing cracking potential of concrete under restrained shrinkage.

114 2 Experimental programs

115 The basic mechanical, fracture properties and free shrinkage of concrete were measured. The mix
116 proportions for the concrete used in the test were 533 kg/m³ : 800 kg/m³ : 800 kg/m³ : 267 kg/m³
117 (cement: sand: aggregate: water) and the maximum size of crushed gravel aggregate was 10 mm.
118 After curing in a normal laboratory environment for 24 h, the specimens were demoulded and moved
119 into an environmental chamber set at 23°C and 50% relative humidity (RH) for curing until the
120 designated age of testing or cracking in cases of the ring test.

121 2.1 Material Properties

122 Mechanical and fracture properties, including elastic modulus E , splitting tensile strength f_t , fracture
123 energy G_f , and initial fracture toughness K_{IC}^{ini} , of concrete for making ring specimens in this study,
124 were measured at 1, 3, 5, 7, 14, 21 and 28 days. The elastic modulus E and splitting tensile strength f_t
125 were measured by the method recommended by GB/T 50081-2002 (Standard for Test Method of
126 Mechanical Properties on Ordinary Concrete). The fracture energy G_f was measured by the standard
127 method recommended by RILEM Committee FMC50 (Determination of the Fracture Energy of
128 Mortar and Concrete by Means of the Three-Point Bend Test). Three specimens were prepared for
129 measuring each mechanical property of concrete at each designated age. Experiment data of concrete
130 at various ages were fitted to a continuous function through regression analyses, which are shown in
131 Figs. 1 (a) to (d). Accordingly, the age-dependent materials properties of concrete from 1 to 28 days
132 can be obtained through Eqs. 1 to 4, in which t is the age of concrete (Unit: days).

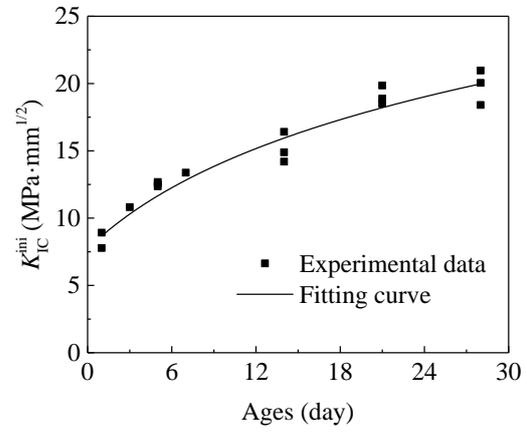
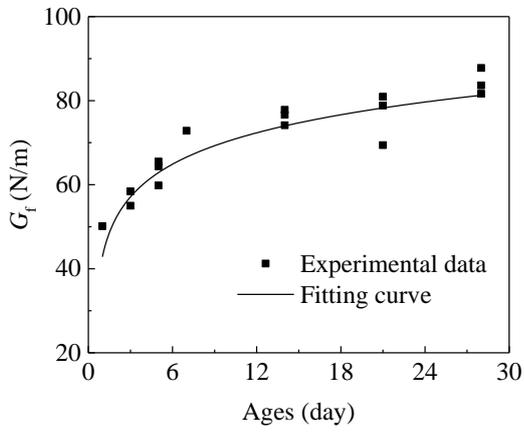


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(a) E at various ages

(b) f_t at various ages



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(c) G_f at various ages

(d) K_{IC}^{ini} at various ages

137

Fig. 1. Relationship of materials properties at various ages

138

139

$$E(t) = 13.8973 + 0.4t - 0.0056t^2 \quad (t \leq 28) \quad (1)$$

140

$$f_t(t) = 1.224 + 0.44 \times \ln(t - 0.0318) \quad (t \leq 28) \quad (2)$$

141

$$G_f(t) = 41.39 + 10.35 \times \ln(t - 0.326) \quad (t \leq 28) \quad (3)$$

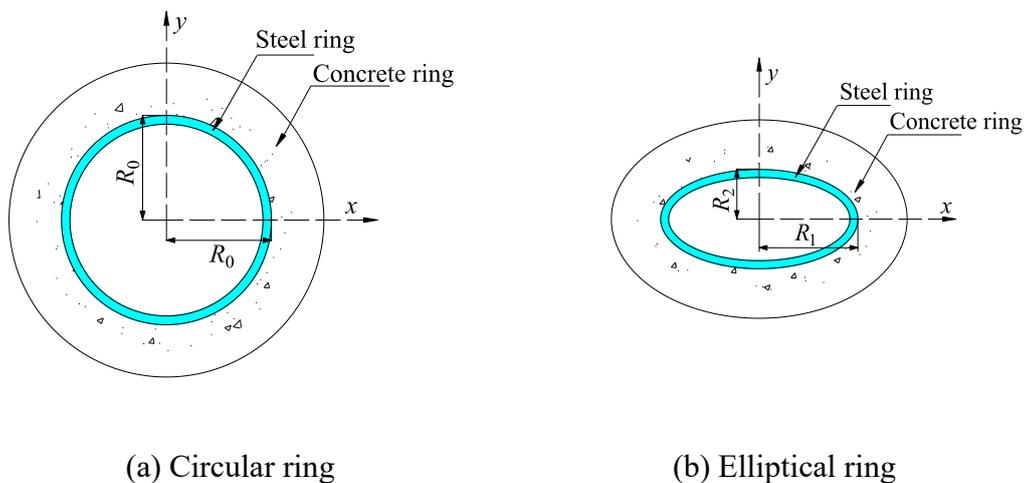
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$$K_{IC}^{ini}(t) = -0.301 + 0.26 \times \ln(t + 0.272) \quad (t \leq 28) \quad (4)$$

143

2.2 Restrained Ring Tests

144 The ring specimens tested in this study can be divided into two groups based on drying condition: (1)
 145 outer circumferential surface drying (out) and (2) top & bottom surface drying (t&b). Meanwhile,
 146 two types of ring geometries, i.e. circular and elliptical, were investigated in this study, which are
 147 illustrated in Fig. 2. Here, R_0 denotes the inner radius of a circular concrete ring, and R_1 and R_2
 148 denote the major and minor inner radii of the elliptical concrete ring, respectively. In this study, R_0 ,
 149 R_1 and R_2 were chosen as 150 mm, 150 mm and 75 mm, respectively. In addition, the thickness of
 150 the steel ring is varied as 12.5 mm and 19.5 mm for both types of ring geometries. The details of the
 151 circular (*c*) and elliptical (*e*) ring specimens tested in this study are listed in Table 1. A test specimen
 152 identifying system was adopted. The test identifier is presented as *c*-(or *e*)-out-*m*-37.5 for outer
 153 circumferential surface dried specimens and *c*-(or *e*)-t&b-*m*-*n* for top and bottom surface dried
 154 specimens in which *c* stands for circular specimens and *e* for elliptical specimens, *m* denotes the
 155 thickness (in mm) of the central restraining ring and *n* stands for the concrete ring height (in mm).
 156 Taking Specimen *c*-t&b-12.5-30 as an example, “*c*” denotes a circular ring geometry, “*t&b*” denotes
 157 drying from top & bottom surfaces, “12.5” donates a steel ring thickness of 12.5 mm, and “30”
 158 denotes a concrete ring height of 30 mm. It should be noted that, in the case of drying from outer
 159 circumferential surface, the last number 37.5 in Specimen *c*-out-12.5-37.5 denotes a concrete ring
 160 thickness of 37.5 mm.



163 Fig. 2. Diagrams of the restrained circular and elliptical ring specimens

164

165 Table 1. Geometries of ring specimens and corresponding cracking ages

Drying direction	Specimen	Height (mm)	Steel ring thickness (mm)	Concrete ring thickness (mm)	Cracking ages (days)	
					Exp.	Num.
Outer surface	c-out-12.5-37.5	75	12.5	37.5	16	12
	e-out-12.5-37.5				12	9
	c-out-19.5-37.5		13		9	
	e-out-19.5-37.5		10		8	
Top and bottom surfaces	c-t&b-12.5-30	30	12.5	75	19	18
	e-t&b-12.5-30		14		15	
	c-t&b-19.5-30		16		16	
	e-t&b-19.5-30		12		13	
	c-t&b-12.5-50	50	12.5	75	24	25
	e-t&b-12.5-50		21		19	
	c-t&b-19.5-50		20		22	
	e-t&b-19.5-50		18		17	

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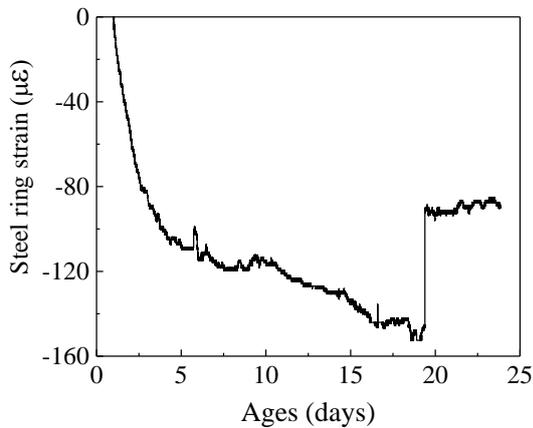
167 In each ring test, the central restraining steel ring had four strain gauges attached on its inner surface.

168 Finally, a data acquisition system was used to detect the age of the first crack in the concrete ring by

169 a sudden drop of strain in the steel ring picked by the attached strain gauges. Fig. 3 (a) shows the

170 drop in strain detected from specimen c-t&b-12.5-30 over the test period and Fig. 3(b) accordingly

171 illustrates the crack on it.



172

(a) Variation of strains over the ages



173

(b) Crack in the specimens

174

Fig. 3. Experiment results of Specimen c-t&b-12.5-30

175 The average cracking ages for all ring specimens tested are also listed in Table 1. It can be seen that
176 elliptical ring specimens cracked up to 4 days earlier than the corresponding circular ring specimens,
177 which demonstrated the advantage of the elliptical geometry in accelerating the occurrence of
178 cracking. For the 30 mm high ring specimens under top & bottom surface drying, both circular and
179 elliptical ring specimens with a thicker steel ring, i.e. with the thickness of 19.5mm, cracked earlier
180 than those with a thinner steel ring, i.e. with the thickness of 12.5mm. Moreover, the differences in
181 cracking ages were about 3 days and 2 days for the circular ring geometry and elliptical ring
182 geometry, respectively. **It means that a thicker steel ring can enhance the degree of restraint to both**
183 **circular and elliptical ring specimens.** Making a comparison between 30 mm and 50 mm high
184 concrete ring specimens, the 30 mm high ring specimens cracked earlier than the 50 mm high ring
185 specimens. This may be as a result of a more significant non-uniform shrinkage along the height
186 direction, which **is** discussed later in this paper.

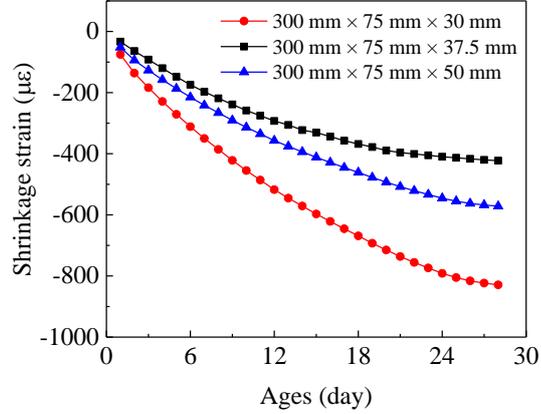
187 **2.3 Free Shrinkage Tests**

188 To take into account the shrinkage of the concrete, the free shrinkage tests were carried out using
189 prismatic specimens. Furthermore, in order to match the drying conditions in the ring tests, including
190 the drying direction and humidity diffusion distance, three series of free shrinkage prism tests with
191 specimen sizes of 300 mm × 75 mm × 37.5 mm, 300 mm × 75 mm × 30 mm and 300 mm × 75 mm ×
192 50 mm were conducted to measure the free shrinkage strain of concrete. For the prisms of 300 mm ×
193 75 mm × 37.5 mm, only a 300 mm × 75 mm surface was exposed for drying; the other surfaces were
194 sealed using a double-layer aluminium tape to match rings drying from the outer surface of a
195 concrete ring specimen. For the prisms of 300 mm × 75 mm × 30 mm and 300 mm × 75 mm × 50
196 mm, the specimens were dried from two symmetrically exposed 300 mm × 75 mm surfaces and the
197 other surfaces were sealed using a double-layer aluminium tape to match the scenario that concrete
198 rings were dried from top & bottom. The magnitudes of free shrinkage were measured using
199 mechanical dial gauges (see Fig. 4(a)) and the result was recorded twice a day at regular intervals.

200 By fitting the measured data, free shrinkage strains at different ages can be derived, which are
 201 graphically presented in Fig. 4 (b).



202 (a) Free shrinkage test set-up



203 (b) Free shrinkage strain

204 Fig. 4. Free shrinkage test.

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206 3. Numerical simulation

207 3.1 Derivation of the fictitious temperature field

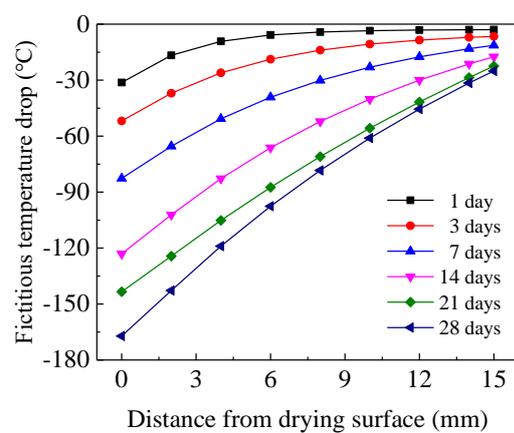
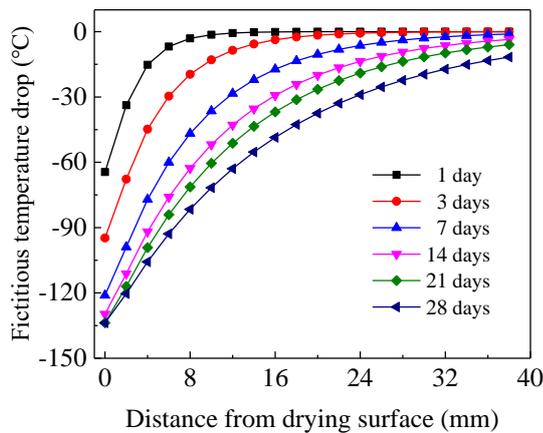
208 In this study, numerical analyses were carried out using ANSYS finite element codes to investigate
 209 the crack initiation and propagation process for all ring specimens listed in Table 1. In the numerical
 210 analyses, the fictitious temperature field, derived from the free shrinkage test, was applied in the
 211 numerical model to simulate the mechanical effect of concrete shrinkage. According to Moon et al.
 212 [26], the relationship between moisture distribution and shrinkage strain can be regarded as being
 213 linear when the relative humidity (RH) is greater than 50%. In this study, the moisture distribution in
 214 a concrete specimen can be calculated from Eq. (5), which was proposed by Weiss et al. [22]

215

$$H(x,t) = H_{INTERNAL} - (H_{INTERNAL} - H_{EXPOSED}) \left(10^{-\frac{(A_1 D + A_2) t^{(B_2 + B_1 \ln(D))}}{D} \frac{x}{D}} \right) \quad (5)$$

216 where $H(x,t)$ is the relative humidity at the depth x from the drying surface, $H_{INTERNAL}$ is the internal

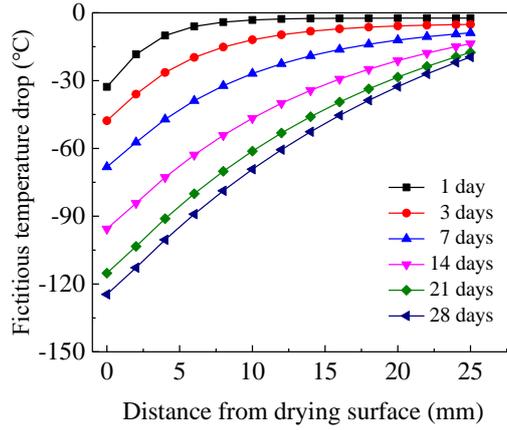
217 relative humidity of the concrete specimen, which was assumed to be 100% because the specimen
 218 was completely sealed in this study; $H_{EXPOSED}$ is the relative humidity at the exposed surface of the
 219 specimen, which was the same as the relative humidity in environment and determined as 50% in
 220 this study. According to Weiss et al. [22], the coefficients A_1 , A_2 , B_1 and B_2 in Eq. (5) were
 221 determined to be 0.2007, -1.0455, 0.0865 and -0.9115, respectively. D is the distance of between the
 222 designated concrete element and the drying surface. In addition, the coefficient of thermal expansion,
 223 $10 \times 10^{-6}/^{\circ}\text{C}$, was introduced to establish the relationship of the temperature and shrinkage strain in the
 224 concrete specimens. By establishing the relation between the drying shrinkage strain from
 225 experiment and the strain caused by the temperature decrease, the fictitious temperature fields can be
 226 derived for the three cases, i.e., 37.5 mm-thick prism drying from single surface, 30 mm- and 50
 227 mm- thick prisms drying from two symmetrical surfaces, which are illustrated in Fig. 5. The three
 228 fictitious temperature fields were accordingly applied to the rings with the three geometrical and
 229 drying conditions, i.e. 37.5 mm-thick rings drying from outer surfaces, 30 mm- and 50 mm- high
 230 rings drying from top & bottom surfaces.



231
 232 (a) Drying from outer surface: 37.5 mm thick

(b) Drying from top & bottom: 30 mm high

233



(c) Drying from top & bottom: 50 mm high

Fig. 5. Fictitious temperature field derived from the experiment

3.2 Fracture model in numerical simulation

A fictitious crack model [27] was introduced in the fracture analysis to characterize the nonlinear property of concrete by applying a cohesive force to the fracture process zone (FPZ). The bilinear expression for the relationship of cohesive stress (σ) and crack opening displacement (w) in concrete was used in the numerical simulation. According to Peterson [28], σ at the starting point of σ - w relationship with zero crack opening displacement is f_t , and w at the ending point with zero cohesive stress is $3.6G_f/f_t$. Moreover, σ and w corresponding to the breakpoint in the bilinear softening relationship equal to $f_t/3$ and $0.8G_f/f_t$, respectively. Therefore, the σ - w relationship can be determined through giving fracture energy G_f and tensile strength of concrete f_t , which can be derived from the fit expressions (i.e. Eqs. (2) and (3), respectively).

Furthermore, a concrete crack propagation criterion based on the initial fracture toughness [29, 30] was introduced in the numerical model to determine the crack initiation and propagation in the concrete rings subjected to restrained shrinkage. The criterion can be described as: a crack begins to propagate when the difference between the stress intensity factors (SIFs) caused by the shrinkage

effect, K_I^S , and by the cohesive stress, K_I^σ , exceeds the initial fracture toughness of concrete, K_{IC}^{ini} .

The criterion can be formulated as follows:

253
$$K_I^S - K_I^\sigma < K_{IC}^{ini}, \text{ crack does not propagate} \quad (6)$$

254
$$K_I^S - K_I^\sigma = K_{IC}^{ini}, \text{ crack is in the critical state} \quad (7)$$

255
$$K_I^S - K_I^\sigma > K_{IC}^{ini}, \text{ crack propagates} \quad (8)$$

256 Firstly, a 2 mm-long initial crack was set at the potential cracking position, which can be determined
257 through the maximum circumferential tensile stress in the concrete ring. In order to reduce the
258 impact of the artificially pre-set crack on the fracture analysis, the cohesive force was applied on the
259 crack according to the crack opening displacement under the fictitious temperature field. In this case,
260 the SIF of K_I^S at the tip of the pre-crack can be calculated using the displacement extrapolation
261 method, and the SIF of K_I^σ can also be derived by means of the bilinear σ - w relationship. Thus, the
262 crack propagation status can be determined by comparing $K_I^S - K_I^\sigma$ and K_{IC}^{ini} . If Eq. (8) is satisfied,
263 the crack will propagate, and a new numerical model will be re-established with a crack length
264 increment of 2 mm. If not, the fictitious temperature field corresponding to the next time step (in this
265 case next day) will be adopted, and the SIFs of K_I^S , K_I^σ and K_{IC}^{ini} will be re-calculated until Eq.
266 (8) is satisfied. The elastic modulus of concrete was reduced by 40% to consider the creep effect of
267 concrete [13, 31]. By carrying out the abovementioned iteration process, the whole fracture process
268 of the concrete ring under restrained shrinkage condition can be simulated. Fig. 6 illustrates the mesh
269 of specimen *e-out-12.5-37.5* when the crack propagation length is 15 mm. The predicted cracking
270 ages (see Table 1) show reasonable agreements with the experimental results, which validates the
271 proposed numerical method in this study.

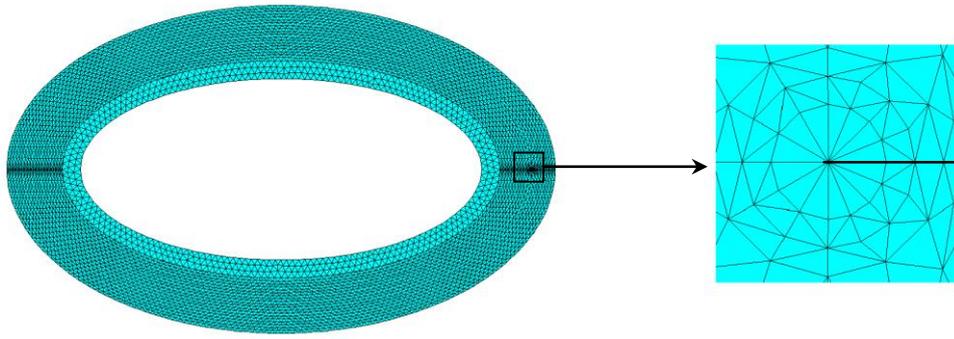
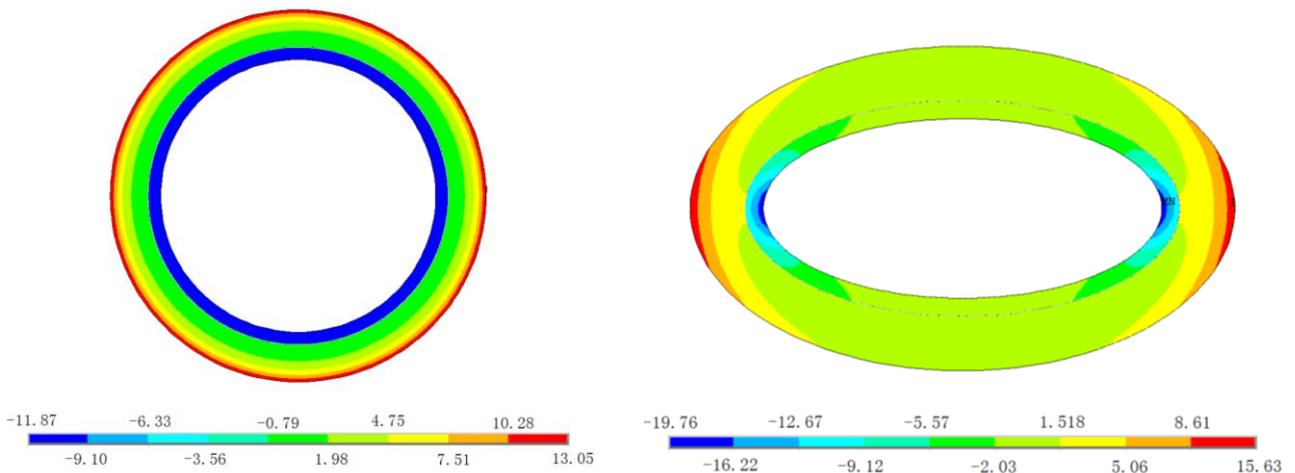


Fig. 6. Mesh of Specimen *e-out-12.5-37.5*

4. Results and discussions

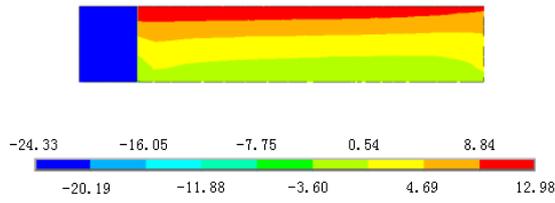
4.1 Effect of drying direction on crack initiation and propagation in concrete rings

To have a deep understanding on the fracture process of restrained concrete rings under drying from the outer circumferential and top & bottom surfaces, it is significant to clearly clarify the crack initiation and propagation in the two drying conditions. In this study, the positions of initial cracks were determined by means of maximum circumferential tensile stresses in the concrete rings. Figs. 7 (a) to (f) present the circumferential tensile stress contours for the ring specimens with 12.5 mm-thick steel rings at the age of 15 days.



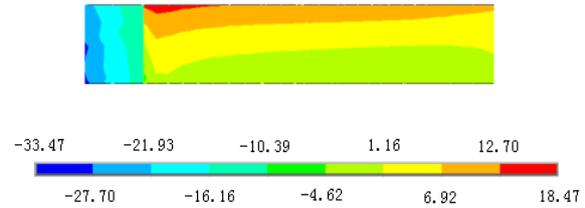
(a) Specimen *c-out-12.5-37.5*

(b) Specimen *e-out-12.5-37.5*

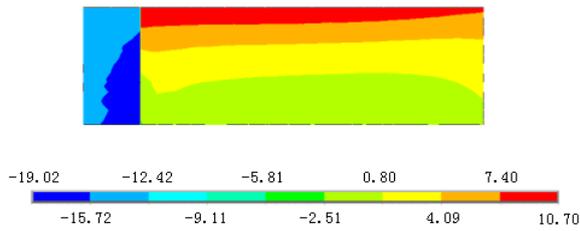


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286 (c) Specimen c-t&b-12.5-30 (along the height)

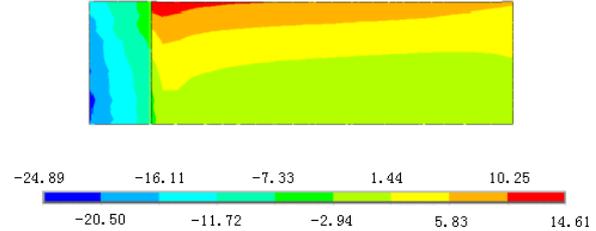


(d) Specimen e-t&b-12.5-30 (along the height)



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288 (e) Specimen c-t&b-12.5-50 (along the height)



(f) Specimen e-t&b-12.5-50 (along the height)

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Fig. 7. Stress contour of ring specimens at the age of 15 days

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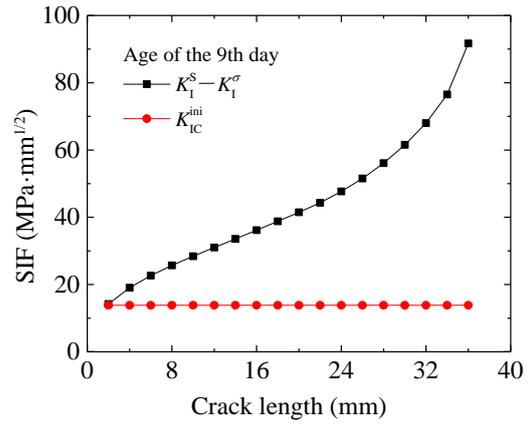
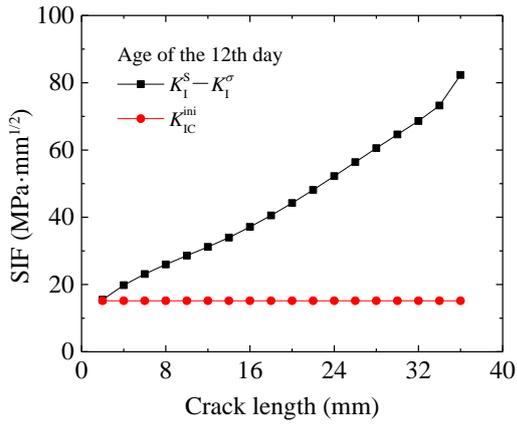
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For the ring specimens dried from their outer circumferential surface, the stresses are the same along the height direction so that their distributions can be adequately illustrated in plane. It can be seen from Figs. 7(a) and (b) that the maximum circumferential stress occurs at the outer surfaces for both the circular and elliptical rings. In the case of the circular ring, the maximum tensile stress is distributed equally at its outer surface allowing the crack to initiate randomly at any position on the outer circumferential surface. By contrast, in the case of the elliptical ring, the maximum stress value occurs near the major radius of the elliptical ring, indicating that a potential crack can appear at that corresponding position.

For the specimens drying from top & bottom surfaces, the stresses vary along the height direction due to the effect of non-uniform shrinkage so that their distributions in the cross-sections are presented. It should be noted that only the half of specimen height is considered due to the symmetries of geometry about the median surface and drying condition. Figs. 7(c)-(f) show the stress distribution of cross section (random along circumference for a circular ring and along the major radius for an elliptical ring) for the ring specimens under drying from top and bottom surfaces. In these figures, the left and right rectangular block represents the steel ring and concrete ring,

305 **respectively.** It can be seen from Figs. 7(c) to (f) that the tensile stress distributions are hierarchical
306 in intensity along the height direction. The tensile stress reaches its highest at the top surface and
307 decreases along the height direction down to median surface of the ring specimen. **In this manner, it**
308 **can be predicted that a crack will most likely occur at the top left and bottom left corners of the**
309 **cross-section for both the circular and elliptical specimens with different heights.**

310 After the initial crack position is determined, it is necessary to analyze the crack propagation process
311 under restrained shrinkage. The cracking ages (see Table 1) of the concrete rings were predicted
312 using the aforementioned numerical procedure. Figs. 8(a) to (f) show the relationships of $K_I^S - K_I^\sigma$
313 and K_{IC}^{ini} in the specimens at their corresponding cracking age. According to the analyses of the
314 stress distributions, the crack will initiate at the outer surface and propagate towards the inner surface
315 for the specimens drying from their outer circumference. From the results in Figs. 8(a) and (b), it can
316 be seen that the SIFs of $K_I^S - K_I^\sigma$ keep increasing and always remain greater than K_{IC}^{ini} for both the
317 circular and elliptical specimens at their corresponding cracking age. Therefore, it can be concluded
318 for the specimens drying from outer circumferences, the cracks will propagate throughout the
319 cross-sections once they initiate. In contrast, according to the results in Figs. 8(c) to (f), the SIFs of
320 $K_I^S - K_I^\sigma$ increase firstly and then decrease. However, except for Specimen c-t&b-12.5-50, the
321 values of $K_I^S - K_I^\sigma$ in these samples are greater than K_{IC}^{ini} , indicating that the crack can propagate
322 through its horizontal section step by step until the whole crack section is formed at the cracking age.
323 Even for Specimen c-t&b-12.5-50, the crack can form completely at the next age, i.e. at the 25th day.
324 In summary, the strain decrease of the steel ring observed in the ring test means a crack initiates as
325 well as approximately propagates throughout the entire wall of a concrete ring specimen.

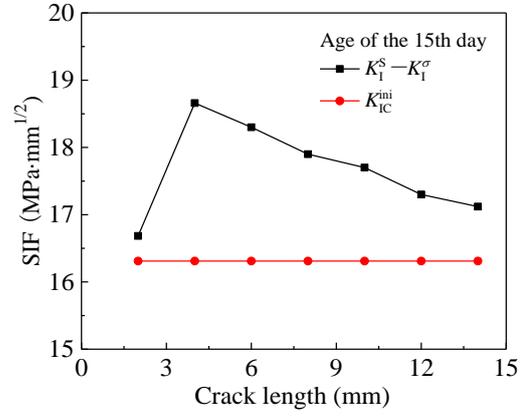
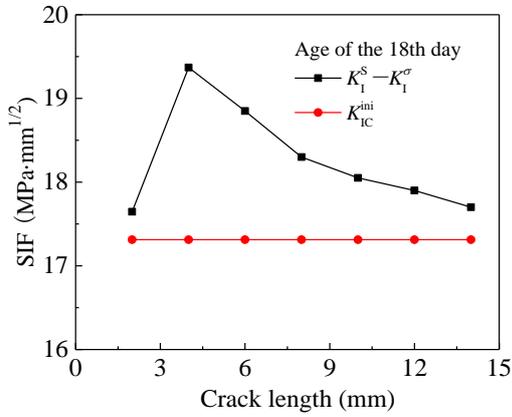


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(a) Specimen c-out-12.5-37.5

(b) Specimen e-out-12.5-37.5

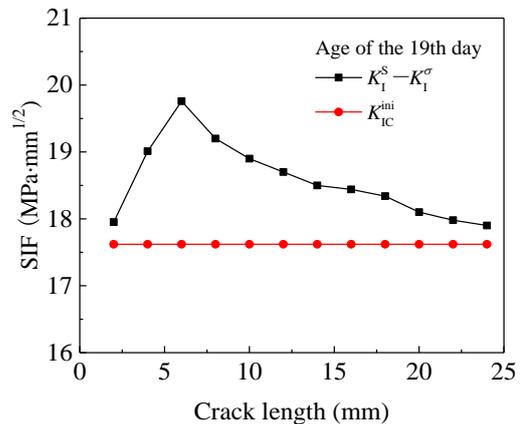
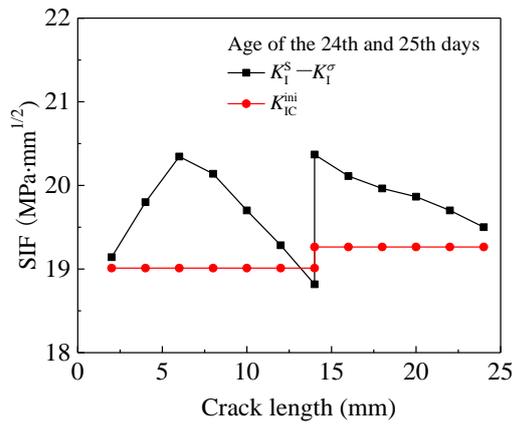


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(c) Specimen c-t&b-12.5-30

(d) Specimen e-t&b-12.5-30



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(e) Specimen c-t&b-12.5-50

(f) Specimen e-t&b-12.5-50

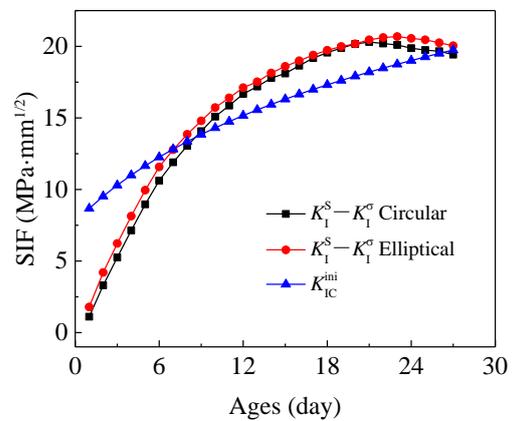
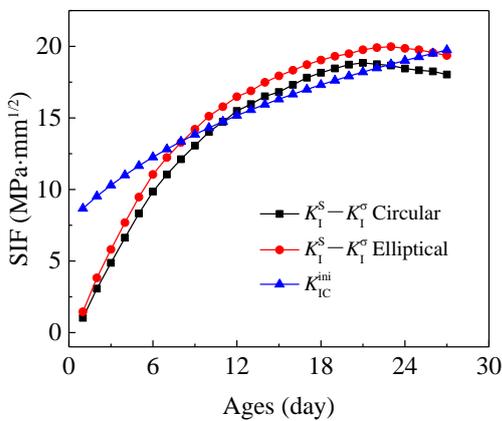
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Fig. 8. Relationships of $K_I^S - K_I^\sigma$ and K_{IC}^{ini} at the cracking ages

333

4.2 Effects of ring geometry and thickness of steel ring on cracking ages

334 Since a crack approximately propagates throughout the cross-section very shortly after its initiation,
 335 it is valuable to investigate the effects of ring geometry and thickness of steel ring on the cracking
 336 age under restrained shrinkage. To quantify the effects of the ring geometry, the relationships of K_I^S
 337 - K_I^σ and K_{IC}^{ini} at various ages were investigated for all specimens used in this study, which are
 338 shown in Figs. 9(a) to (f). It can be seen, for the specimens drying from outer circumferences, the
 339 elliptical geometry with a 12.5 mm-thick steel ring can provide a more significant restraining effect
 340 compared with the circular one, resulting in an earlier cracking age (the difference between the
 341 predicted cracking ages of circular and elliptical rings is 3 days). However, with the increase of steel
 342 ring thickness from 12.5 mm to 19.5 mm, the advantage of the elliptical geometry becomes less
 343 obvious (the difference of predicted cracking ages in circular and elliptical rings is only 1 day). **It**
 344 **indicates that the increase of the steel ring thickness provides an effective contribution to the**
 345 **restraining effect in the circular and elliptical rings under drying from the outer circumferential**
 346 **surface.** However, in the case of drying from top & bottom surfaces, the elliptical geometry is
 347 advantageous to the specimens with different thicknesses. The predicted cracking ages in elliptical
 348 geometry are 3, 3, 6 and 5 days earlier than the ones in circular geometry for the cases of
 349 t&b-12.5-30, t&b-19.5-30, t&b-12.5-50 and t&b-19.5-50, respectively.

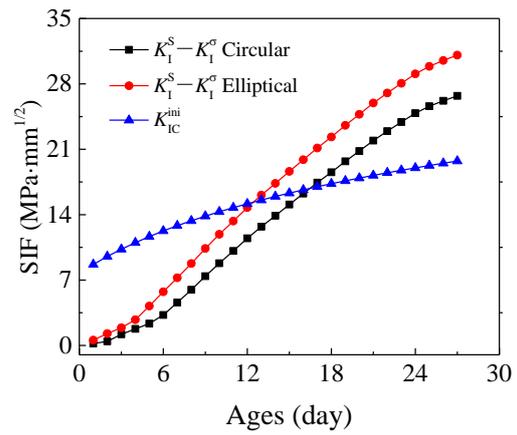
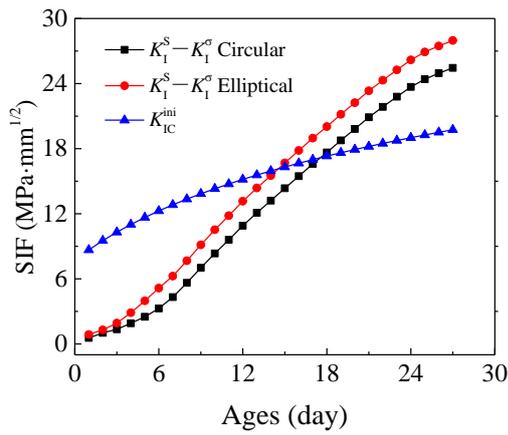


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(a) Specimens c/e-out-12.5-37.5

(b) Specimens c/e-out-19.5-37.5

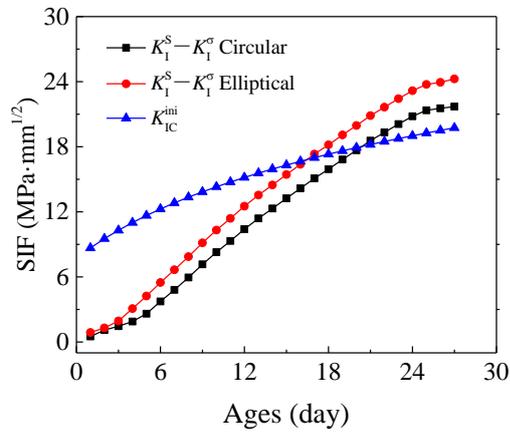
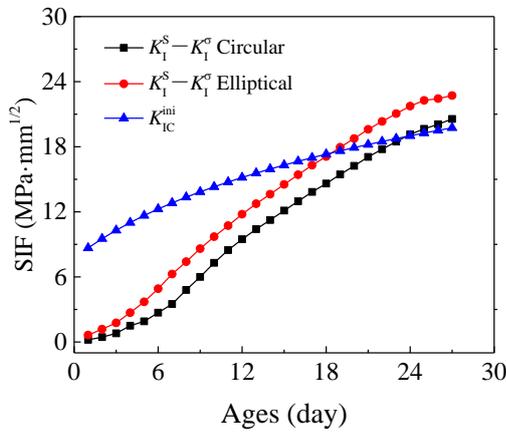


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(c) Specimens c/e-t&b-12.5-37.5

(d) Specimens c/e-t&b-19.5-37.5

353



354

(e) Specimens c/e-t&b-12.5-37.5

(f) Specimens c/e-t&b-19.5-37.5

355

356

Fig. 9. Relationships between $K_I^S - K_I^\sigma$ and K_{IC}^{ini} on various ages

357

In order to further investigate the effect of the steel ring thickness on the cracking age of concrete in

358

the ring test, Fig. 10 illustrate the ratios of $K_{I\text{steel}}^S$ to K_I^S in the crack propagation processes. Here,

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$K_{I\text{steel}}^S$ is the SIF caused by the restraint from inner steel ring and K_I^S is the SIF caused by the total

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restraints, i.e. the combined restraint from the inner steel ring and the non-uniform shrinkage (i.e.

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self-restraint) of concrete. It is shown that, in the case of the circular geometry, the increase of the

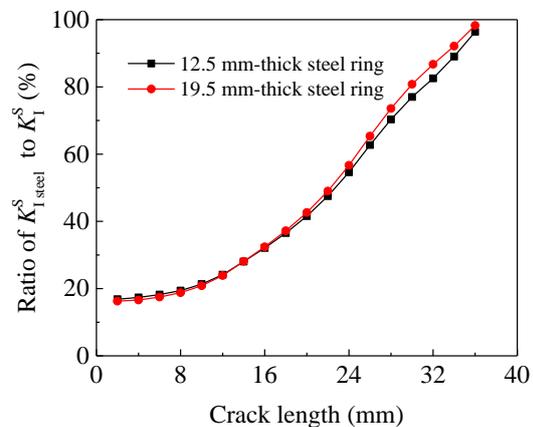
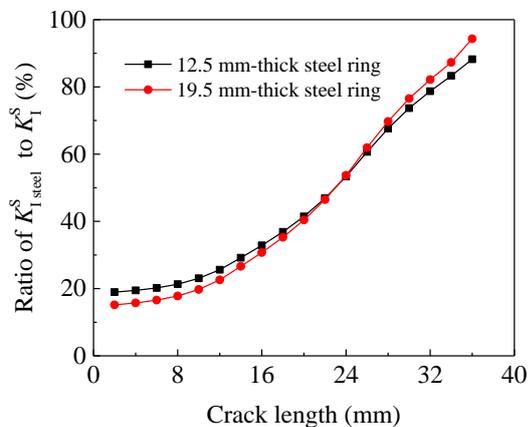
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steel ring thickness can slightly enhance the proportion of the restraint from steel ring in the total

363

restraint. In contrast, the increase of the steel ring thickness for the elliptical geometry has almost no

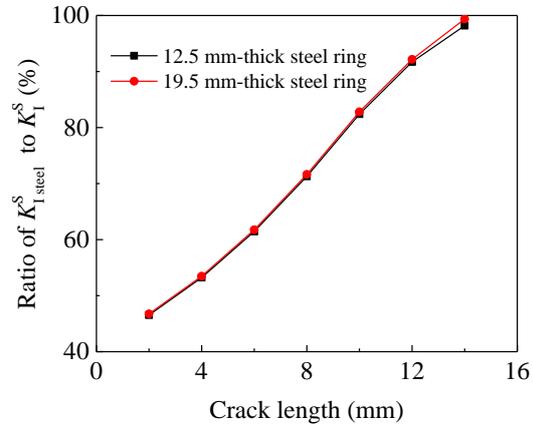
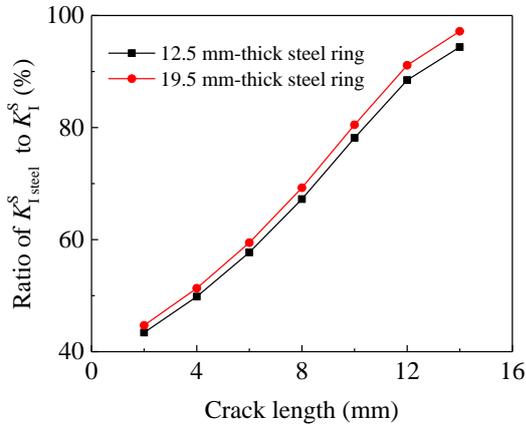
364 contribution to the improvement of the restraining effect. The ratios of $K_{I\text{steel}}^S$ to K_I^S keep
 365 increasing as crack propagates. However, the crack will propagate throughout the whole
 366 cross-section once it is initiated, so the values of $K_{I\text{steel}}^S$ to K_I^S at the cracking ages are more
 367 important in determining the cracking potential in restrained shrinkage conditions. Based on the
 368 latter point, Table 2 lists the ratios of $K_{I\text{steel}}^S$ to K_I^S at the cracking ages for all ring specimens
 369 investigated in this study. It is interesting to note that the ratios of $K_{I\text{steel}}^S$ to K_I^S are less than 50%
 370 for all specimens, signifying that the fractures are not dominated by the restrained shrinkage but
 371 rather by the self-restraint caused by the non-uniform shrinkage in concrete. It should be noted that
 372 the moisture distribution in concrete has a significant effect on the analysis of the fracture
 373 mechanism in the ring tests. The moisture distributions in this study are from experimental
 374 investigations, in which several humidity sensors are placed at different drying depths to measure the
 375 relative humidity, and the moisture distribution is obtained by curve fitting the experimental data. In
 376 fact, the calculation of the moisture gradient may be not as straightforward as that presented in Eq.
 377 (5). Hence, for a more meaningful determination of the fracture mechanism, an accurate derivation
 378 of the moisture distribution is significant in the fracture analyses of concrete ring tests and thus,
 379 reserved for a future study.



381

(a) Specimens c-out-12.5/19.5-37.5

(b) Specimens e-out-12.5/19.5-37.5

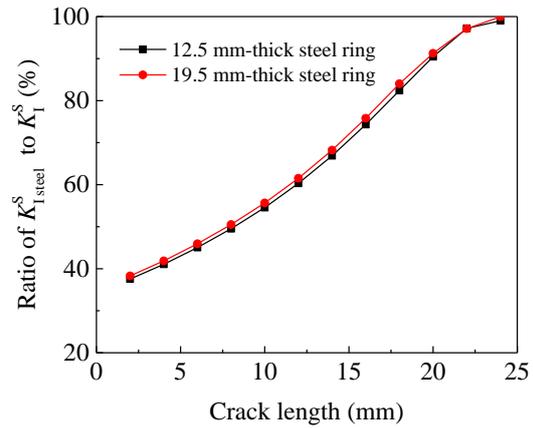
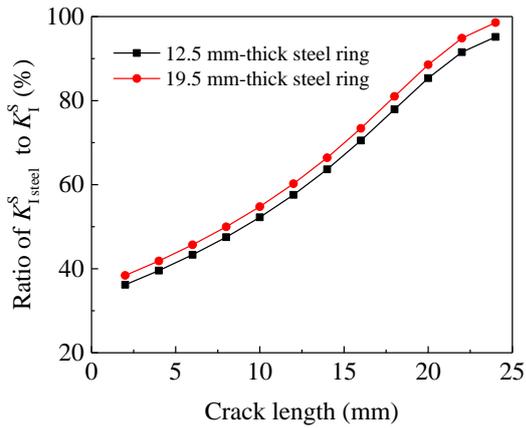


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(c) Specimens c-t&b-12.5/19.5-30

(d) Specimens e-t&b-12.5/19.5-30



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(e) Specimens c-t&b-12.5/19.5-50

(f) Specimens e-t&b-12.5/19.5-50

386

Fig. 10. Ratio of $K_{I,steel}^S$ to K_I^S in crack propagation process387 Table 2. Ratios of $K_{I,steel}^S / K_I^S$ at the cracking ages

Drying direction	Height (mm)	Steel ring thickness (mm)	Ratio of $K_{I,steel}^S / K_I^S$	
			Circular	Elliptical
Outer surface	37.5	12.5	18.95%	16.82%
		19.5	15.16%	16.27%
Top & bottom surfaces	50	12.5	36.19%	37.54%
		19.5	38.41%	38.29%
	30	12.5	43.41%	46.53%
		19.5	44.71%	46.76%

388

389

5. Conclusions

390 The purpose of this study was to investigate the influence of the specimen geometry, steel ring
391 thickness and boundary conditions on the cracking of concrete in the restrained elliptical ring test for
392 assessing cracking tendency of concrete and other cement-based materials. A series of restrained
393 circular and elliptical ring specimens with different steel ring thickness were tested under two drying
394 conditions (from the outer circumferential surface and the top & bottom surfaces). A numerical
395 method of the fracture mechanics was proposed to predict the entire fracture process in the concrete
396 ring under restrained shrinkage. By comparison of concrete cracking ages under different drying and
397 restraint conditions, the effects of ring geometry, steel ring thickness and drying condition on the
398 crack initiation and propagation were discussed. Based on the experimental and numerical
399 investigations, the following conclusions can be drawn:

400 (a) The drying condition has a significant effect on the fracture process of a concrete ring. In the case
401 of drying from the outer circumferential surface, the crack initiates at the outer circumferential
402 surface and propagates towards the inner surface of a concrete ring specimen. By contrast, in the
403 case of drying from top & bottom surfaces, the crack initiates partially at the inner circumference
404 of the concrete ring and propagates along the radial direction. The fracture process continues
405 until the crack finally propagates throughout the ring wall. For both the circular and elliptical
406 specimens, complete development of the cracks would occur immediately after the crack initiated
407 or at most, in the period of about 1 day.

408 (b) Compared with traditional restrained circular ring specimens, the elliptical ring geometry has the
409 advantage of improving the restraining effect and accelerating the occurrence of the first crack.
410 In addition, the first crack occurs at a known location near the major radius in an elliptical
411 concrete ring instead of a random position along the circumference in a circular concrete ring,
412 making the determination of crack position more convenient. For both circular and elliptical ring
413 specimens, a thicker steel ring can improve the restraining effect compared with a thinner steel

414 ring. It should, however, be noted that this improvement was more obvious for circular rings and
415 proves that the advantage of the elliptical ring is mainly caused by its geometrical shape.

416 (c) The driving forces to enable crack initiation and propagation come from two parts, namely the
417 restraint from the inner steel ring and self-restraint caused by the non-uniform shrinkage of
418 concrete. Using the moisture fields derived from a previous experimental study, the proportion of
419 the restraint from steel ring was calculated to be less than 50% of total restraint, indicating that
420 the fracture is not dominated by the restrained shrinkage but instead by the self-restraint caused
421 by the non-uniform shrinkage in concrete. To clearly clarify the fracture mechanism of the ring
422 test, it is significant to analyze the effect of the non-uniform shrinkage in concrete by introducing
423 an effective moisture diffusion model.

424

425

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