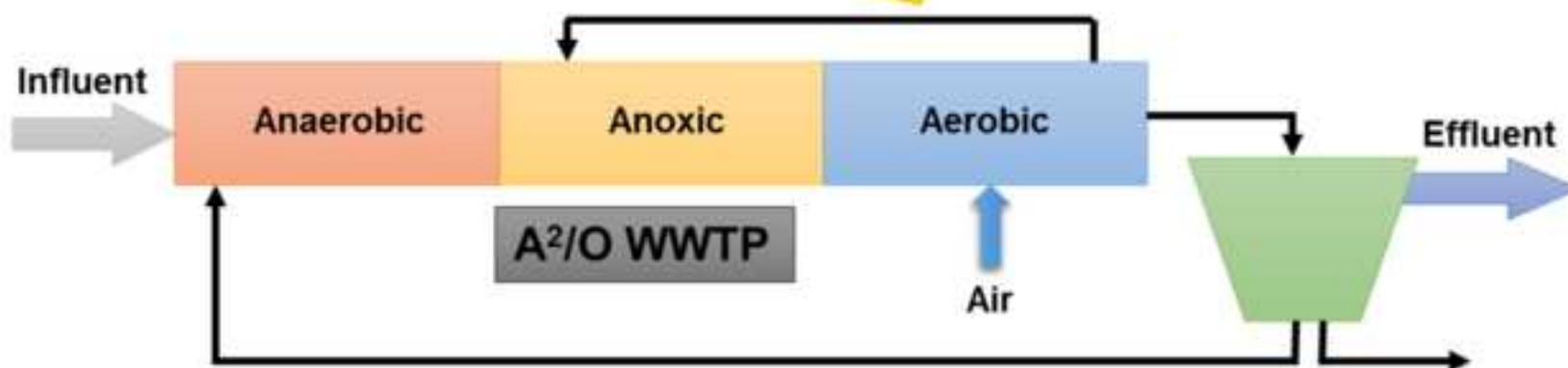


ASM2d-N<sub>2</sub>O model

Describe N<sub>2</sub>O:  
• production  
• consumption  
• stripping



## Highlights

- N<sub>2</sub>O mitigation calls for the modeling of all production pathways under changing DO.
- If complete nitrification occurs, low aeration decreases the WWTP carbon footprint.
- Partial nitrification combined with high stripping caused the highest N<sub>2</sub>O emission.

1 **Development of an ASM2d-N<sub>2</sub>O model to describe nitrous oxide emissions in municipal**  
2 **WWTPs under dynamic conditions**

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18

19 **Abstract**

20 Nitrous oxide (N<sub>2</sub>O), a significant contributor to the greenhouse effect, is generated during the  
21 biological nutrient removal in wastewater treatment plants (WWTPs). Developing mathematical  
22 models estimating the N<sub>2</sub>O dynamics under changing operational conditions (e.g. dissolved  
23 oxygen, DO) is essential to design mitigation strategies. Based on the activated sludge models  
24 (ASM) structure, this work presents an ASM2d-N<sub>2</sub>O model including all the biological N<sub>2</sub>O  
25 production pathways for a municipal WWTP under an anaerobic/anoxic/oxic (A<sup>2</sup>/O)  
26 configuration with biological removal of organic matter, nitrogen and phosphorus, and its  
27 application in different dynamic scenarios. Three microbial N<sub>2</sub>O production pathways were  
28 considered: nitrifier denitrification, hydroxylamine oxidation, and heterotrophic denitrification,  
29 with the first two being activated by ammonia oxidizing bacteria (AOB). A stripping effectivity  
30 (SE) coefficient was added to reflect the non-ideality of the stripping modeling. With the DO in  
31 the aerobic compartment ranging from 1.8 to 2.5 mg L<sup>-1</sup>, partial nitrification and high N<sub>2</sub>O  
32 production via nitrifier denitrification were noted, indicating that low aeration strategies lead to a  
33 low overall carbon footprint only if complete nitrification is not hindered. High N<sub>2</sub>O emissions  
34 were predicted as a combination of low DO (~1.1 mg L<sup>-1</sup>) with high ammonium concentration.  
35 With the AOB prevailing over the Nitrite Oxidizing Bacteria (NOB), nitrite was accumulated,  
36 thus activating the nitrifier denitrification pathway. After suddenly increasing the influent  
37 ammonium load, the AOB had a greater growth compared to the NOB and the same pathway  
38 was considered as N<sub>2</sub>O hotspot. Especially under conditions promoting partial nitrification (i.e.  
39 low DO) and raising the stripping effect importance (i.e. high SEs), the highest N<sub>2</sub>O emission  
40 factors were predicted.

42 **Keywords** A<sup>2</sup>/O, nitrous oxide, emission factor, modeling, N<sub>2</sub>O production pathways, N<sub>2</sub>O  
43 stripping

44

### Abbreviations

A <sup>2</sup> /O	Anaerobic/Anoxic/Oxic WWTP configuration
AOB	Ammonia Oxidizing Bacteria
AOR	Ammonium Oxidation Rate
ASM	Activated Sludge Models
ASMN	Activated Sludge Model for Nitrogen
BNR	Biological Nutrient Removal
C	Carbon
COD	Chemical Oxygen Demand
DO	Dissolved Oxygen
EF	Emission Factor
EBPR	Enhanced Biological Phosphorus Removal
GHG	Greenhouse Gas
GWP	Global Warming Potential
HRT	Hydraulic Retention Time
IWA	International Water Association
N	Nitrogen
NOB	Nitrite Oxidizing Bacteria
OHO	Ordinary Heterotrophic Organisms
P	Phosphorus
PAO	Phosphorus Accumulating Organisms
PHA	Polyhydroxyalkanoates
PP	Polyphosphates
SA	Sensitivity Analysis
SBR	Sequencing Batch Reactor
SE	Stripping Effectivity
TKN	Total Kjeldahl Nitrogen
TSS	Total Suspended Solids
WWTP	Wastewater Treatment Plant

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## 48 1. Introduction

49 Nitrous oxide ( $N_2O$ ) is a particularly important greenhouse gas (GHG) because of its high global  
50 warming potential (GWP) compared to other GHGs such as methane ( $CH_4$ ) and carbon dioxide  
51 ( $CO_2$ ).  $N_2O$  has a GWP 265 times higher than  $CO_2$ , in contrast to  $CH_4$  that has a GWP only 28  
52 times higher than  $CO_2$  [1]. Moreover,  $N_2O$  has been characterized as the predominant ozone-  
53 depleting substance of the century [2].  $N_2O$  can be produced and directly emitted during the  
54 biological nutrient removal (BNR) in wastewater treatment plants (WWTPs) [3-4]. More  
55 importantly, it has been proved that the total carbon (C) footprint of full-scale WWTPs can be  
56 affected by  $N_2O$  emissions to an impressive extent: e.g. around 60% [5], or even around 75% [6].

57 The currently known microbial pathways for  $N_2O$  production during the BNR are connected to  
58 the biochemical processes of nitrification and denitrification. Those related to nitrification occur  
59 through the activity of the ammonia oxidizing bacteria (AOB) (i.e. the nitrifier denitrification  
60 and the hydroxylamine ( $NH_2OH$ ) oxidation). Heterotrophic denitrification, during which  $N_2O$  is  
61 an intermediate product, is the third biological pathway [5, 7-9]. The parameters mostly  
62 contributing to the  $N_2O$  generation have been reviewed and linked to insufficient levels of  
63 dissolved oxygen (DO) at the nitrification stage, increased nitrite ( $NO_2^-$ ) concentration during  
64 both nitrification and denitrification, in addition to low chemical oxygen demand to nitrogen  
65 ratio (COD/N) during denitrification [10-12].

66 Studies have revealed a considerable variation in the  $N_2O$  emission in WWTPs, thus rendering  
67 the emission factor (EF) estimation difficult. For example, Law et al. [13] reported an EF range  
68 of 0-25% amongst different full-scale WWTPs. The significant variation can be explained  
69 through the highly dynamic conditions in WWTPs, as well as the different configurations and  
70 operational conditions applied in each plant [13-14]. Furthermore, the  $N_2O$  EF calculation can be

71 influenced by the N<sub>2</sub>O quantification method [13, 15]. After examining twelve different WWTPs  
72 in the United States, Ahn et al. [3] found that the EFs ranged from 0.01 to 1.8% when normalized  
73 to the influent Total Kjeldahl Nitrogen (TKN) load. This variability was correlated with the  
74 diurnal variations of the influent N-loading. Similarly, Rodriguez-Caballero et al. [16] examined  
75 the N<sub>2</sub>O dynamics in a municipal WWTP. Due to instable nitrification in the bioreactor, the  
76 emissions presented a significant decreasing trend within the day; the reported N<sub>2</sub>O EF decreased  
77 from 0.116 to 0.064% of the influent TKN. In both cases, the authors captured the changing N<sub>2</sub>O  
78 dynamics because of the continuous online reporting of the data. Foley et al. [4] studied seven  
79 full-scale BNR WWTPs in Australia with various configurations, concluding to a minimum N<sub>2</sub>O  
80 EF of 0.6% and a maximum of 25.3% of the N-denitrified. The authors recommended online  
81 emission monitoring in the biological compartments for the in-depth understanding of the  
82 influent dynamics and process characteristics in WWTPs. Daelman et al. [6] examined different  
83 monitoring scenarios on a 16-month dataset of a fully covered WWTP in the Netherlands to  
84 conclude to the most accurate and cost-effective one. The estimation of the average annual N<sub>2</sub>O  
85 emission required the description of seasonal dynamics and, thus, the acquisition of long-term,  
86 online or grab samples (the latter including nightly and weekend sampling). On the other hand,  
87 short-term campaigns focusing on the diurnal trends proved to be more expensive since they  
88 called for high-frequency online sampling. Thus, the accurate estimation of the N<sub>2</sub>O EF within a  
89 WWTP is a highly challenging task depending on various factors such as the operational  
90 conditions, the configuration type, the quantification method, the sampling strategy, etc.

91 The development of mathematical tools for the prediction of N<sub>2</sub>O emissions during the operation  
92 of WWTPs seems essential to allow the study of different scenarios. The simulation of N<sub>2</sub>O  
93 production allows the optimization of BNR processes, thus facilitating the decrease of N<sub>2</sub>O

94 emissions. N<sub>2</sub>O modeling is constantly advancing; models describing different pathways and  
95 based on different assumptions have been developed [9, 17].

96 For instance, models that focus on the nitrifier denitrification pathway have been suggested: Ni  
97 et al. [18] developed a model describing how low DO levels (i.e.  $\leq 1.5 \text{ mg L}^{-1}$ ) can inhibit  
98 complete nitrification, induce NO<sub>2</sub><sup>-</sup> accumulation and, subsequently, increase N<sub>2</sub>O emissions.  
99 Similarly, Mampaey et al. [19] observed that N<sub>2</sub>O production and emission was mainly observed  
100 during the aerated phases under relatively low DO (i.e.  $\leq 1.5 \text{ mg L}^{-1}$ ). The NH<sub>2</sub>OH oxidation  
101 pathway was the basis for the models by Law et al. [20] and Ni et al. [21]. Law et al. [20]  
102 observed the N<sub>2</sub>O production rate increasing with the ammonium oxidation rate (AOR) within an  
103 enriched AOB culture. The simulations by Ni et al. [21] indicated that ammonium (NH<sub>4</sub><sup>+</sup>)  
104 accumulation during aeration was translated into a high specific AOR and, finally, into the  
105 increased production of by-products such as NH<sub>2</sub>OH.

106 Given that the AOB pathways are regarded as major contributors to the N<sub>2</sub>O production amongst  
107 the three microbial routes [7, 17, 22], 2-(AOB) pathway models have emerged. For example, the  
108 Ni et al. [23] model which depicted the following trends: (i) NH<sub>2</sub>OH oxidation predominance  
109 under extremely low/high NO<sub>2</sub><sup>-</sup> concentration along with high DO, and (ii) nitrifier  
110 denitrification predominance at low DO with moderate NO<sub>2</sub><sup>-</sup> accumulation. In the 2-AOB  
111 pathway model by Pocquet et al. [17], the DO increase was combined with decreased N<sub>2</sub>O  
112 emission along with a slightly higher contribution of the NH<sub>2</sub>OH oxidation pathway.

113 Regarding the heterotrophic denitrification pathway, the activated sludge model for nitrogen  
114 (ASMN) developed by Hiatt and Grady [24] described denitrification as a four-step reaction with  
115 different specific growth rates. In a more recent model, Pan et al. [25] considered the electron

116 competition amongst the **four** heterotrophic denitrification steps by dissociating the C-oxidation  
117 and the N-reduction.

118 Nevertheless,  $N_2O$  is likely to be produced/consumed by both the AOB and the heterotrophic  
119 denitrifiers during the BNR in WWTPs. As a result, the development of models including all the  
120 possible pathways gives a deeper insight into the  $N_2O$  production/consumption dynamics and  
121 enhances the study of strategies for the  **$N_2O$  emission** mitigation, especially in cases of full-scale  
122 modeling [9-10, 12]. With the view to investigating the significant spatial variations in the  $N_2O$   
123 flux of a step-feed 2-pass full-scale activated sludge plant, Ni et al. [26] combined the 2-(AOB)  
124 pathway modeling part by Ni et al. [23] and **the heterotrophic denitrification processes** appearing  
125 in Ni et al. [21] in an integrated model.

126 Multiple-pathway models seem more apt to elucidate the effect of changing operational  
127 parameters (e.g. DO,  $NO_2^-$  concentration, etc.) and explain possible spatial/temporal variations,  
128 thus helping plant operators with designing mitigation strategies [12]. Given the influence of  
129 aeration and DO profiles on the emissions, it is necessary to develop even more integrated  
130 models which include all the production pathways and, simultaneously, consider the  $N_2O$   
131 transfer from the liquid to the gas phase under varying gas flow patterns.

132 **The activated sludge models (ASM) introduced by the International Water Association (IWA)**  
133 **task group have been widely used for the description of BNR processes during wastewater**  
134 **treatment [12]. Extensions to these models have been made to consider the  $N_2O$  production with**  
135 **emphasis either on the nitrifier denitrification or the  $NH_2OH$  oxidation pathway, and on the**  
136 **impact of changing influent (e.g. influent N-loading, COD/N) and/or operational conditions (e.g.**  
137 **DO) [18, 21]. Nevertheless, these models lack consideration of other nutrients removal (e.g. P).**  
138 **Moreover, they do not necessarily pay equal attention to all biological  $N_2O$  production routes**

139 and/or deal with the N<sub>2</sub>O stripping modeling. Hence, the aim of this work was to develop an  
140 ASM-type model which: (i) includes N, P and organic matter removal, (ii) integrates all the  
141 microbial pathways for N<sub>2</sub>O production/consumption, (iii) contains N<sub>2</sub>O stripping modeling, and  
142 (iv) estimates the N<sub>2</sub>O EF under different DO levels. To this end, the IWA ASM2d model was  
143 modified and expanded into an ASM2d-N<sub>2</sub>O model to include all the biological N<sub>2</sub>O production  
144 pathways and the calculation of the N<sub>2</sub>O EF. The continuity of the model was also examined to  
145 detect typing and/or conceptual errors, inconsistencies and gaps in the proposed model. Finally,  
146 sensitivity analysis (SA) was performed to reveal the parameters most sensitive to the N<sub>2</sub>O EF as  
147 estimated using the proposed model.

148

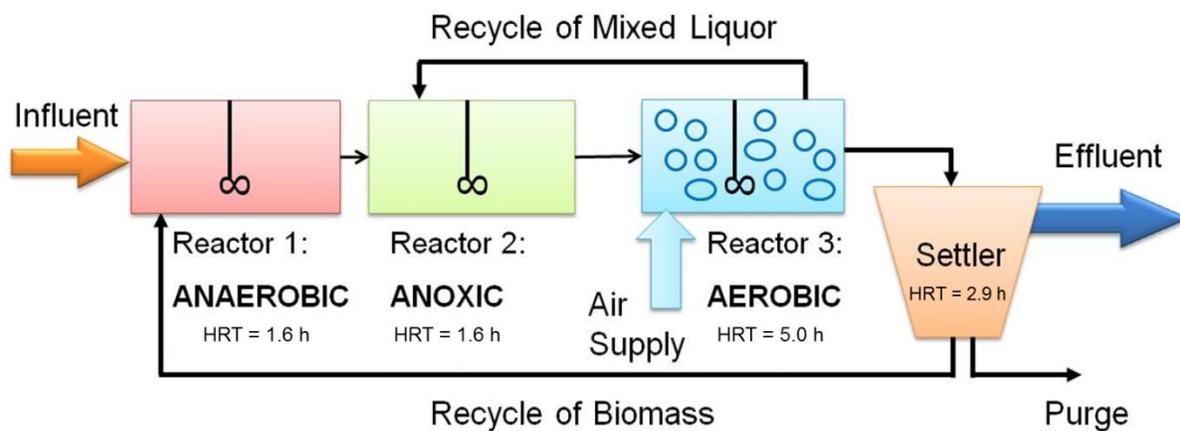
## 149 2. Materials and methods

### 150 2.1 Brief description of the WWTP configuration and influent data

151 The kinetic model was developed to describe the simultaneous N, P and COD removal for a  
152 WWTP with three continuous stirred tank reactors and one settler operating as an anaerobic-  
153 anoxic-aerobic (A<sup>2</sup>/O) configuration (Fig. 1).

154 The first reactor (Hydraulic Retention Time: HRT=1.6 h) was anaerobic with the view to  
155 facilitating the phosphorus accumulating organisms (PAO) predominance over the ordinary  
156 heterotrophic organisms (OHO) and, subsequently, enhancing the P-removal. Nitrate (NO<sub>3</sub><sup>-</sup>)  
157 entering the second (anoxic) reactor (HRT=1.6 h) through the internal recycle of the mixed  
158 liquor was denitrified by the OHO or the denitrifying PAO. Finally, the third (aerobic) reactor  
159 (HRT=5 h) coupled P and organic matter removal along with nitrification. After settling the  
160 treated effluent, the settler (HRT=2.9 h) produced two streams; the effluent and an external

161 recycle of biomass returned to the first reactor. The total WWTP HRT was 11.1 h, and the purge,  
 162 internal and external recirculation ratios with respect to the influent flowrate were equal to 0.007,  
 163 2 and 1/3, respectively. The typical DO control setpoints for the three reactors were: 0 mg L<sup>-1</sup>  
 164 (anaerobic and anoxic) and 3 mg L<sup>-1</sup> (aerobic).



165  
 166 **Figure 1.** A<sup>2</sup>/O WWTP configuration integrated in the current study (adapted from Guerrero et  
 167 al. [27]).

168 The influent composition was typical for the municipal WWTP of Manresa (Catalonia, Spain)  
 169 (Machado et al. [28]). The influent characterization considered S<sub>I</sub> (inert soluble material), X<sub>I</sub>  
 170 (inert particulate organic material), X<sub>S</sub> (slowly biodegradable substrates), and S<sub>F</sub> (fermentable,  
 171 readily biodegradable organic substrates) fractions as follows: S<sub>I</sub>=0.07\*COD, X<sub>I</sub>=0.11\*COD,  
 172 X<sub>S</sub>=0.6\*COD, and S<sub>F</sub>= 0.4\*COD (Machado et al. [28]). All the remaining COD state variables  
 173 were fixed to zero. The influent composition is shown in Table 1.

174

175

**Table 1.** Influent composition (pH=7 and T=20 °C)

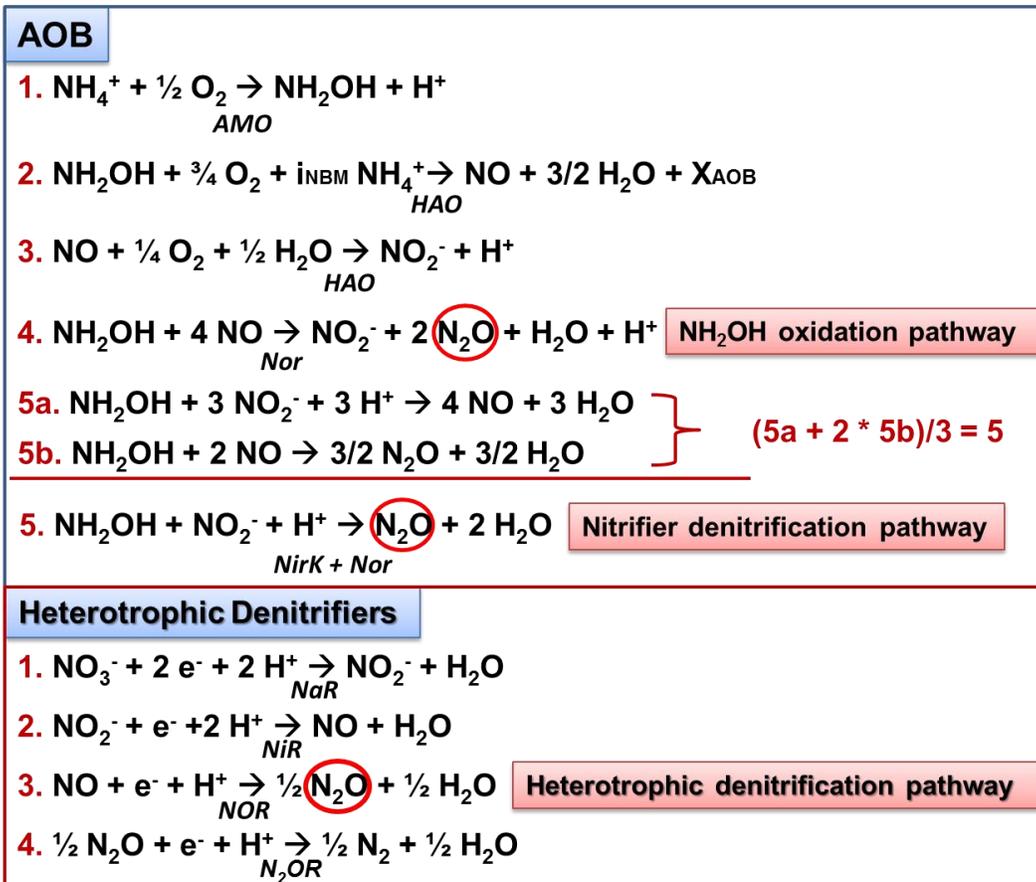
Composition	mg L <sup>-1</sup>
N-NH <sub>4</sub> <sup>+</sup>	20
BOD <sub>5</sub>	170
COD	420
Total N	35
N-NO <sub>3</sub> <sup>-</sup>	2.6
P-PO <sub>4</sub> <sup>3-</sup>	9
TKN (Kjeldahl N)	33
TSS	189

176

177

## 178 2.2 Model description

179 The core of our **ASM2d-N<sub>2</sub>O** model emerged as an extension of the IWA ASM2d (i.e. an ASM  
180 version including the bioprocesses related to the heterotrophic biomass, the PAO and the  
181 nitrifiers) [29]. The scope of this study was to describe the N<sub>2</sub>O production/consumption  
182 dynamics within a WWTP with enhanced biological phosphorus removal (EBPR). In that sense,  
183 the assumptions for the description of the two AOB pathways were made upon the Pocquet et al.  
184 [17] model, while those for the heterotrophic denitrification upon the Hiatt and Grady [24]  
185 model, **always by extending and adapting the same processes to PAOs**. It was considered as a  
186 holistic approach for **the description of the** N<sub>2</sub>O dynamics during the BNR in **WWTPs**. Thus, the  
187 final model describes the following: N<sub>2</sub>O production through all the three microbial pathways,  
188 but also N<sub>2</sub>O consumption during denitrification (Fig. 2).



189

190 **Figure 2.** The three pathways for the N<sub>2</sub>O production considered in our model: NH<sub>2</sub>OH  
 191 oxidation pathway (AOB pathway), nitrifier denitrification (AOB pathway) and heterotrophic  
 192 denitrification. The assumptions concerning the AOB and heterotrophic denitrification-related  
 193 reactions were made **in accordance to what was reported by** Pocquet et al. [17] and Ni and Yuan  
 194 [9].

195 Considering the assumptions made by Pocquet et al. [17], our work included the following **five**  
 196 **AOB** reactions (Fig. 2): (1) NH<sub>4</sub><sup>+</sup> oxidation to NH<sub>2</sub>OH, (2) NH<sub>2</sub>OH oxidation to nitric oxide  
 197 (NO), (3) NO oxidation to NO<sub>2</sub><sup>-</sup>, (4) NO reduction to N<sub>2</sub>O along with the NH<sub>2</sub>OH oxidation to  
 198 NO<sub>2</sub><sup>-</sup> (N<sub>2</sub>O production via the NH<sub>2</sub>OH oxidation pathway), (5) NO<sub>2</sub><sup>-</sup> reduction combined with  
 199 NH<sub>2</sub>OH oxidation to produce N<sub>2</sub>O (N<sub>2</sub>O production by the nitrifier denitrification pathway,

200 combination of reactions 5a and 5b). The additional four reactions related to the heterotrophic  
201 denitrification pathway and the enzymes catalyzing all the steps of the three pathways are  
202 schematically shown in Fig. 2. The enzymes involved are: AMO ( $\text{NH}_4^+$  monooxygenase), HAO  
203 ( $\text{NH}_2\text{OH}$  oxidoreductase), Nor (NO reductase), NirK ( $\text{NO}_2^-$  reductase) for the AOB, and NaR  
204 ( $\text{NO}_3^-$  reductase), NiR ( $\text{NO}_2^-$  reductase), NOR (NO reductase), and  $\text{N}_2\text{OR}$  ( $\text{N}_2\text{O}$  reductase) for the  
205 heterotrophs [9, 17]. Pocquet et al. [17] grouped together the  $\text{NO}_2^-$  reduction to NO (NirK  
206 enzyme) and the reduction of NO to  $\text{N}_2\text{O}$  (Nor enzyme) into one reaction:  $\text{NO}_2^-$  being directly  
207 reduced to  $\text{N}_2\text{O}$  (Fig. 2, eq. 5). They assumed that the Nor quickly consumed the NO produced  
208 by the aid of NirK or, equivalently, that the NO produced through the nitrifier denitrification  
209 pathway was converted to  $\text{N}_2\text{O}$  at a high rate. The latter was necessary in order to avoid a NO  
210 loop.

211 The model also considered P removal. Based on the ASM2d [29] structure, the following PAO-  
212 related processes were included: storage of polyhydroxyalkanoate (PHA), aerobic storage of  
213 polyphosphate (PP), aerobic growth of PAO and lysis of PHA, PP and PAO. Moreover, the  
214 anoxic processes of PP storage and PAO growth were expanded to cover all the four possible  
215 electron acceptors included in the current model:  $\text{NO}_3^-$ ,  $\text{NO}_2^-$ , NO and  $\text{N}_2\text{O}$ , following the same  
216 reactions as those for the ordinary heterotrophs (Fig. 2).

217 The final model was developed in Matlab<sup>®</sup> using the *ode15s* function, which is a variable order  
218 method recommended for stiff systems. The settling was modeled with reference to the study by  
219 Takács et al. [30]. Steady-state was achieved by simulating the WWTP with constant influent  
220 composition for a period of 200 d.

221 All the kinetic parameter values were normalized for 20 °C from the ASM2d section of Henze et  
 222 al. [29]. The AOB decay and growth rates were taken from Hiatt and Grady [24];  $\mu_{AOB}=0.78 \text{ d}^{-1}$ ,  
 223  $b_{AOB}=0.096 \text{ d}^{-1}$ . As far as the growth/decay rates for the nitrite oxidizing bacteria (NOB) are  
 224 concerned, two different sets were tested for comparative purposes; **the first** from Hiatt and  
 225 Grady [24] ( $\mu_{NOB}=0.78 \text{ d}^{-1}$ ,  $b_{NOB}=0.096 \text{ d}^{-1}$ ), and the second one from Jubany et al. [31]  
 226 ( $\mu_{NOB}=1.02 \text{ d}^{-1}$ ,  $b_{NOB}=0.17 \text{ d}^{-1}$ ).

227 Tables presenting the stoichiometric/kinetic parameters, the stoichiometry, and the process rates  
 228 of the processes integrated into our model are given in detail **as** Supportive Material.

229

### 230 **2.3 N<sub>2</sub>O emission factor (EF) modeling**

231 The N<sub>2</sub>O emission factor in our model was calculated in three ways: i) considering both the  
 232 stripped N<sub>2</sub>O and the N<sub>2</sub>O in the effluent to reflect the most conservative estimation (N<sub>2</sub>O-  
 233 EF<sub>TOTAL</sub>, Eq. 1.1), ii) considering only the stripping contribution (N<sub>2</sub>O-EF<sub>GAS</sub>, Eq. 1.2), and iii)  
 234 considering only the effluent contribution (N<sub>2</sub>O-EF<sub>EF</sub>, Eq. 1.3).

---


$$N_2O-EF_{TOTAL}(\%) = 100 \cdot \frac{N_2O_{ST} + N_2O_{EF}}{N_{IN}} \quad \text{(Equation 1.1)}$$

$$N_2O-EF_{GAS}(\%) = 100 \cdot \frac{N_2O_{ST}}{N_{IN}} \quad \text{(Equation 1.2)}$$

$$N_2O-EF_{EF}(\%) = 100 \cdot \frac{N_2O_{EF}}{N_{IN}} \quad \text{(Equation 1.3)}$$


---

235

236 Where N<sub>2</sub>O<sub>ST</sub> is the amount of N<sub>2</sub>O stripped from the aerobic reactor, N<sub>2</sub>O<sub>EF</sub> the N<sub>2</sub>O in the  
 237 effluent of the plant and N<sub>IN</sub> the total **N**-content of the influent, which was calculated with Eq. 2.

---

$$N_{IN} (gN \cdot d^{-1}) = Q_{IN} \cdot (S_{NH4} + S_{NO3} + S_F \cdot i_{NSF} + X_S \cdot i_{NXS} + S_I \cdot i_{NSI} + X_I \cdot i_{NXI})$$

(Equation 2)

---

238

239 With  $Q_{IN}$  as the influent flowrate, and the rest of terms following the ASM2d nomenclature  
240 reported by Henze et al. [29]:  $S_{NH4}$ ,  $S_{NO3}$ ,  $S_F$ ,  $X_S$ ,  $S_I$  and  $X_I$  denote the influent concentrations for  
241  $NH_4^+$  (gNH<sub>4</sub><sup>+</sup>-N m<sup>-3</sup>),  $NO_3^-$  (gNO<sub>3</sub><sup>-</sup>-N m<sup>-3</sup>), fermentable substrate (gCOD m<sup>-3</sup>), slowly  
242 biodegradable substrate (gCOD m<sup>-3</sup>), inert soluble substrate (gCOD m<sup>-3</sup>) and inert particulate  
243 substrate (gCOD m<sup>-3</sup>), respectively.  $i_{NSF}$ ,  $i_{NXS}$ ,  $i_{NSI}$  and  $i_{NXI}$  are the N-content (gN g<sup>-1</sup>COD) of  
244  $S_F$ ,  $X_S$ ,  $S_I$  and  $X_I$ , respectively.

245 The N<sub>2</sub>O in the effluent ( $N_2O_{EF}$ ) was calculated using the N<sub>2</sub>O concentration (gN m<sup>-3</sup>) in the  
246 aerobic reactor ( $N_2O_{AE}$ ) as in Eq. 3:

---

$$N_2O_{EF} (gN \cdot d^{-1}) = Q_{IN} \cdot N_2O_{AE} \quad (\text{Equation 3})$$

---

247

248 Finally, the stripped N<sub>2</sub>O ( $N_2O_{ST}$ ) was calculated using Eq. 4, where  $k_{LaN_2O}$  is the volumetric  
249 mass transfer coefficient for N<sub>2</sub>O,  $V_{AE}$  is the volume of the aerobic reactor and the SE factor  
250 denotes ‘stripping effectivity’. We applied SE values in the range 0-1 as a mechanism enabling  
251 us to investigate the impact of the non-ideality of this typical simplified modeling approach on  
252 the N<sub>2</sub>O EF.

253

---

$$N_2O_{ST} (gN \cdot d^{-1}) = k_{LaN_2O} \cdot V_{AE} \cdot N_2O_{AE} \cdot SE \quad (\text{Equation 4})$$

---

254

255 The volumetric mass transfer coefficient ( $k_L a$ ) comprises the global transfer coefficient  $k_L$  along  
256 with the interfacial area  $a$  (interphase transport surface between liquid and gas per unit of reactor  
257 volume). The  $k_L a_{N_2O}$  resulted from Eq. 5 following Higbie's penetration model [32]:

---

$$k_L a_{N_2O} (d^{-1}) = k_L a_{O_2} \cdot \sqrt{\frac{Dif_{N_2O}}{Dif_{O_2}}} \quad (\text{Equation 5})$$

---

258

259  $k_L a_{O_2}$  is the volumetric mass transfer of oxygen in the aerobic reactor, which was automatically  
260 calculated by including the DO control system in the model.  $Dif_{N_2O}$  is the molecular diffusivity  
261 of  $N_2O$  in water ( $2.11 \cdot 10^{-9} \text{ m}^2 \text{ s}^{-1}$  at  $20 \text{ }^\circ\text{C}$ ) and  $Dif_{O_2}$  the molecular diffusivity of oxygen in water  
262 ( $2.01 \cdot 10^{-9} \text{ m}^2 \text{ s}^{-1}$  at  $20 \text{ }^\circ\text{C}$ ) [33].

263

## 264 **2.4 Continuity check**

265 The continuity of the model was verified to detect typos, inconsistencies, gaps or conceptual  
266 errors in the proposed extension following the methodology proposed by Hauduc et al. [34] **who**  
267 **checked and corrected** seven of the most commonly used ASM models. The method consists in  
268 the analysis of the matrix which results after multiplying the stoichiometric matrix (available in  
269 the Supportive Material section) and the composition matrix (i.e. conversion factors of each state  
270 variable to COD, N, P, charge and total suspended solids (TSS)). The tolerance allowing the  
271 acceptance of the continuity matrix was set at  $10^{-15}$  as suggested by Hauduc et al. [34]. The  
272 stoichiometric matrix, the composition matrix (definitions and numerical values) and the  
273 continuity check can be found in the Supportive Material.

274

## 275 2.5 Sensitivity analysis (SA)

276 A local SA was conducted to **establish** the parameters that were more sensitive to  $N_2O-EF_{TOTAL}$   
277 (Eq. 1.1). Reichert and Vanrolleghem [35] defined the relative sensitivity ( $S_{i,j}$ ) of an output ( $y_i$ )  
278 with respect to a parameter ( $\theta_j$ ) as in Eq. 6:

---

$$S_{i,j} = \frac{\theta_j}{y_i} \cdot \frac{\partial y_i}{\partial \theta_j} \quad \text{(Equation 6)}$$

---

279  
280 In our case, the  $N_2O-EF_{TOTAL}$  at steady state was used as the model output. The parameters  
281 involved in the SA were all the kinetic and stoichiometric parameters as well as the conversion  
282 factors that are given in the Supportive Material. However, the  $S_I$  production in hydrolysis ( $f_{SI}$ )  
283 and the P-content of  $S_I$  ( $i_{PSI}$ ) were excepted since they were fixed at zero. Furthermore, the  
284 anoxic growth factor ( $n_G$ ) parameter was adjusted to 0.9 (instead of 1) to compute the forward  
285 difference. The NOB growth and decay parameters were retrieved from the study by Hiatt and  
286 Grady [24]. A total number of 104 parameters were included in the SA.

287 The central difference method was used to calculate the sensitivity for each parameter. Different  
288 perturbation factors, ranging from 0.01% to 10%, were tested to ensure that the perturbation  
289 factor selection did not affect the parameter ranking.

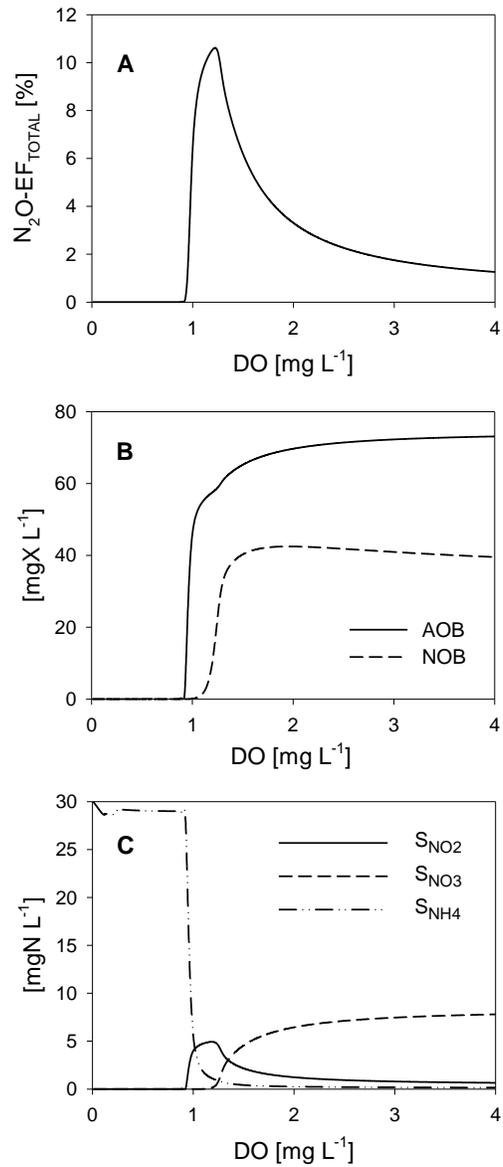
290 **As will be discussed in sections 3.1, 3.2 and 3.4, different DO values in the aerobic reactor (e.g.**  
291 **varying from 1 to 4 mg L<sup>-1</sup>), resulted in very different EFs. Hence, the SA was performed** under  
292 two different steady-state scenarios (i.e. at high and low DO setpoint in the aerobic reactor, set as  
293 equal to 3 and 1 mg L<sup>-1</sup>, respectively). **The latter was decided** to better understand the causes of

294 high N<sub>2</sub>O emission. During the SA tests, the influent S<sub>NH4</sub> was fixed at 30 mg L<sup>-1</sup> and the SE at  
295 0.5.

### 296 **3. Results and discussion**

#### 297 **3.1 DO impact on nitrification and N<sub>2</sub>O emissions**

298 The model was applied to investigate the effect of DO concentration (from 0 to 4 mg L<sup>-1</sup>) in the  
299 aerobic reactor on the nitrification process and, finally, on the N<sub>2</sub>O emissions. The evolution of  
300 N<sub>2</sub>O-EF<sub>TOTAL</sub>, AOB and NOB activity and NH<sub>4</sub><sup>+</sup>, NO<sub>2</sub><sup>-</sup> and NO<sub>3</sub><sup>-</sup> concentrations with respect to  
301 different DO levels are shown in Fig. 3.



302

303 **Figure 3.** DO effect in the aerobic reactor on the steady state values of (A)  $\text{N}_2\text{O}$  emission factor,  
 304 (B) AOB and NOB concentration, and (C)  $\text{NO}_2^-$ ,  $\text{NO}_3^-$  and  $\text{NH}_4^+$  concentration. The SE was 1  
 305 and both the AOB and NOB growth and decay parameters were taken from the study by Hiatt  
 306 and Grady [24].

307

308 Fig. 3B and 3C show that neither AOB/NOB growth nor  $\text{NO}_2^-/\text{NO}_3^-$  production was observed  
309 under oxygen-limiting conditions (i.e. for DO values lower than approximately  $0.8 \text{ mg L}^{-1}$ ). The  
310  $\text{NH}_4^+$  concentration increased compared to the respective influent one ( $S_{\text{NH}_4}=20 \text{ mgN L}^{-1}$ )  
311 because of hydrolysis processes releasing  $\text{NH}_4^+$  and no nitrification happening due to the low  
312 DO. The DO increase from  $0.8 \text{ mg L}^{-1}$  onwards enhanced the AOB growth. On the contrary, the  
313 NOB growth only commenced at a DO around  $1.1 \text{ mg L}^{-1}$  (Fig. 3B). These threshold values (i.e.  
314  $0.8$  and  $1.1 \text{ mg L}^{-1}$ ) are mainly determined by the oxygen affinity constants values and, thus,  
315 from mass transfer and operational conditions. The NOB have a lower affinity to oxygen  
316 compared to the AOB [36], which explains why synergies that result in partial  
317 nitrification/nitritation (i.e.  $\text{NH}_4^+$  oxidation to  $\text{NO}_2^-$ ) are based on the selection of a proper DO  
318 setpoint [37]. In accordance to this, our simulation results demonstrated that the AOB prevailed  
319 over the NOB under relatively low DO levels (i.e. DO between  $0.8$  and  $1.1 \text{ mg L}^{-1}$ ) (Fig. 3B). In  
320 this range, the  $\text{NH}_4^+$  concentration decreased, while  $\text{NO}_2^-$  started increasing; nitritation resulted  
321 in  $\text{NO}_2^-$  accumulation (Fig. 3C). Within the same DO range ( $0.8$ - $1.1 \text{ mg L}^{-1}$ ), we observed a  
322 significant  $\text{N}_2\text{O}$  emission factor increase up to almost 10.5% (Fig. 3A). In this case, the dominant  
323  $\text{N}_2\text{O}$  production pathway was nitrifier denitrification; under such oxygen-limiting conditions,  
324  $\text{NO}_2^-$  substitutes oxygen at the role of the final electron acceptor and, thus, the AOB perform  
325 nitrifier denitrification [11, 38-39]. Our observations agree with previous studies investigating  
326 the preferred  $\text{N}_2\text{O}$  production pathway at different DO levels. For example, Law et al. [40]  
327 worked with an enriched AOB culture in a lab-scale nitritation system fed with anaerobic  
328 digester liquor; amongst the two AOB pathways, nitrifier denitrification was suggested as  
329 predominant at the lowest DO values tested (i.e.  $0.55$  and  $1.3 \text{ mg L}^{-1}$ ; the highest tested was  $2.3$   
330  $\text{mg L}^{-1}$ ) and decreased  $\text{NO}_2^-$  concentrations. Similarly, the DO effect on  $\text{N}_2\text{O}$  production by an

331 enriched nitrifying sludge was investigated in a lab-scale sequencing batch reactor (SBR); the  
332 DO increase from 0.2 to 3 mg L<sup>-1</sup> was correlated with a decreased contribution of the nitrifier  
333 denitrification pathway [41].

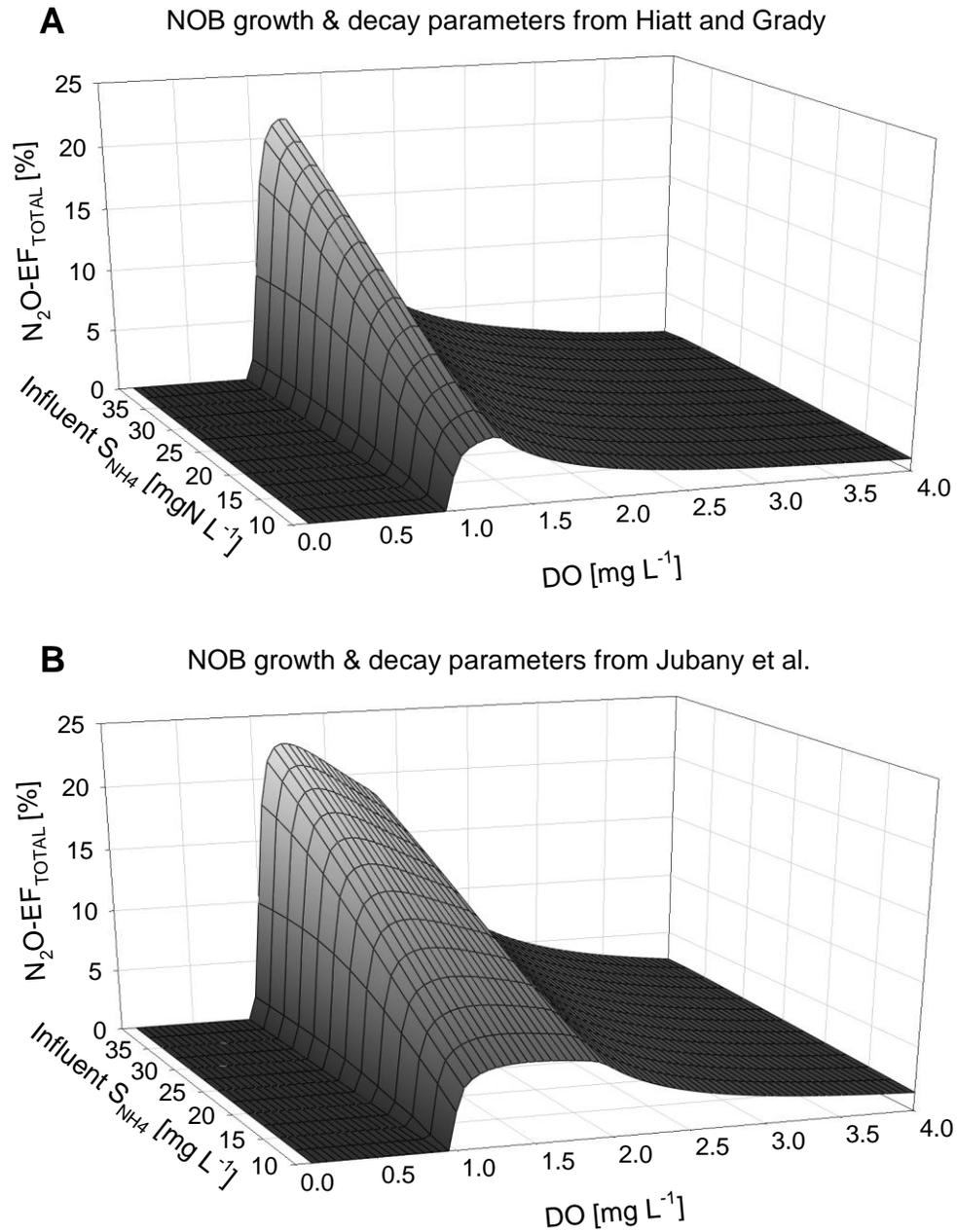
334 Our simulations showed that, as soon as DO reached the level of 1.5 mg L<sup>-1</sup>, AOB and NOB  
335 were stabilized around 70 mg L<sup>-1</sup> and 40 mg L<sup>-1</sup>, respectively (Fig. 3B). Complete nitrification  
336 started and resulted in less NO<sub>2</sub><sup>-</sup> accumulation as well as in the gradual nitrifier denitrification  
337 pathway deactivation. This is depicted in Fig. 3A through a continuous N<sub>2</sub>O-EF<sub>TOTAL</sub> decrease  
338 that initiated at a DO around 1.5 mg L<sup>-1</sup> and was reinforced with the further DO increase.  
339 Furthermore, NO<sub>3</sub><sup>-</sup> production began; the latter indicating that full nitrification was happening  
340 (Fig. 3C). At high DO levels (i.e. >3 mg L<sup>-1</sup>), the N<sub>2</sub>O emission factor was significantly lower;  
341 less than 2%. In terms of N<sub>2</sub>O emission mitigation, high DO (i.e. >3 mg L<sup>-1</sup>) proved to be  
342 beneficial. However, it is an energy-consuming option. For instance, a study on a plug-flow  
343 (three-pass) full-scale municipal WWTP in the UK indicated that N<sub>2</sub>O emissions added 13% to  
344 the carbon footprint of the plant because of the electricity needed to run the nitrifying process  
345 [42]. Intermittent aeration regimes can be applied as a promising option to reduce aeration costs  
346 by 33-45%. However, this strategy is likely to disturb the bioreactor operation, hinder the  
347 nitrifying population activity, and, hence, create conditions favouring the N<sub>2</sub>O generation.  
348 Consequently, an additional carbon footprint related to the N<sub>2</sub>O emissions can arise [43].  
349 Therefore, it is essential to consider the potential magnitude of N<sub>2</sub>O process emissions before  
350 adopting low-energy strategies [42]. Increased N<sub>2</sub>O production and emission is probable under  
351 low-DO conditions suggesting a high final overall carbon footprint for a WWTP. It is useful to  
352 investigate multiple DO values to find an interval inside which neither the nitrification process

353 nor the plant's carbon footprint is compromised; this can be between 1.8 and 2.5 mg L<sup>-1</sup> for our  
354 study.

### 355 **3.2 Influence of two different parameter sets for the NOB growth and decay on the N<sub>2</sub>O** 356 **emission factor (EF)**

357 As explained in section 2.2, two different sets regarding the growth/decay rates for the NOB  
358 were tested for comparative purposes; one from Hiatt and Grady [24] ( $\mu_{\text{NOB}}=0.78 \text{ d}^{-1}$ ,  
359  $b_{\text{NOB}}=0.096 \text{ d}^{-1}$ ) and the second one from Jubany et al. [31] ( $\mu_{\text{NOB}}=1.02 \text{ d}^{-1}$ ,  $b_{\text{NOB}}=0.17 \text{ d}^{-1}$ ).

360 Short-cut biological nitrogen removal, i.e. nitrification ( $\text{NH}_4^+$  oxidation to  $\text{NO}_2^-$ ) followed by  
361 denitrification ( $\text{NO}_2^-$  reduction to  $\text{N}_2$ ) emerged as extremely interesting in the domain of  
362 wastewater treatment, especially in the cases of wastewaters with high  $\text{NH}_4^+$  content [44].  
363 Compared to full nitrification (i.e.  $\text{NH}_4^+$  oxidation to  $\text{NO}_3^-$ ), the short-cut process has proved to  
364 be more advantageous in terms of COD demand (40% reduction during denitrification) and  
365 denitrification rate (63% higher) [45]. Furthermore, it can induce a 25% decrease in the oxygen  
366 demand during nitrification because of the avoidance of nitrification (i.e.  $\text{NO}_2^-$  oxidation to  $\text{NO}_3^-$ )  
367 [46]. If nitrification is the target for the plant operators, it is essential to apply conditions which  
368 favour the AOB activity but suppress the NOB community. The relative influential parameters  
369 include temperature, pH and DO [44]. The current study focused on the DO effect; temperature  
370 and pH were considered stable for all simulations ( $T=20 \text{ }^\circ\text{C}$  and  $\text{pH}=7$ ). Low-DO environments  
371 are expected to enhance the  $\text{NO}_2^-$  accumulation [47-49].



372

373 **Figure 4.** The steady-state  $N_2O$  emission factor with respect to different DO setpoints in the  
 374 aerobic reactor (0 to 4  $mg\ L^{-1}$ ) and influent  $S_{NH_4}$  concentrations (10 to 40  $mg\ L^{-1}$ ). The selected  
 375 SE was 1. A) NOB parameters of Hiatt and Grady [24]. B) NOB parameters of Jubany et al. [31].

376 Fig. 4 shows the effect on  $N_2O$ - $EF_{TOTAL}$  in different scenarios with the DO concentration ranging  
377 from 0 to 4 mg L<sup>-1</sup> and the influent  $S_{NH_4}$  from 10 to 40 mg L<sup>-1</sup>. For **this part of the** simulations,  
378 we used the maximum theoretical stripping efficiency (SE=1). The latter offered the **possibility**  
379 to examine a range of DO and influent  $NH_4^+$  values which embodied the worst-case scenario (i.e.  
380 highest  $N_2O$  emissions). The simulations were executed for each one of the different parameter  
381 sets for the NOB growth and decay. In both cases, the general trends were similar. First, no  
382 nitrification and, subsequently, no  $N_2O$  emission was noticed at very low DO (i.e. below 0.8 mg  
383 L<sup>-1</sup>). The DO increase provided the conditions for the initiation of nitrification. The highest  $N_2O$   
384 emissions occurred for (still relatively low) DO levels between 0.8 and 1.8 mg L<sup>-1</sup>; it is when  
385 nitrification led to  $NO_2^-$  accumulation and, afterwards, to  $N_2O$  production through the nitrifier  
386 denitrification pathway. The model predicted the highest  $N_2O$  emission (around 22%) under the  
387 following combination: DO around 1.1 mg L<sup>-1</sup>, influent  $N-NH_4^+=40$  mg L<sup>-1</sup> (i.e. the highest  
388 tested) and SE=1. The further DO increase above 1.8 mg L<sup>-1</sup> resulted in the significant  $N_2O$ -  
389  $EF_{TOTAL}$  decrease (reaching almost 2% after  $DO>2.5$  mg L<sup>-1</sup>), as a consequence of the  $NO_2^-$   
390 consumption through full nitrification. Similar results have been reported in past **experimental**  
391 studies. Pijuan et al. [50] monitored the nitrification process in an airlift system with granular  
392 biomass to explore the DO effect.  $N_2O$  emissions decreased from 6% to 2.2% of N-oxidized  
393 when DO increased from 1 to 4.5 mg L<sup>-1</sup>. Moreover, Rathnayake et al. [51] **observed that** the  
394  $N_2O$  emissions over the oxidized  $NH_4^+$  decreased from 2.9% (DO=0.6 mg L<sup>-1</sup>) to 1.4% (DO=2.3  
395 mg L<sup>-1</sup>) **in a lab-scale nitrification reactor fed with synthetic wastewater.**

396 Furthermore, according to the trends **noted** while examining **the**  $N_2O$ - $EF_{TOTAL}$  versus the influent  
397  $S_{NH_4}$  concentration, the  $N_2O$  emissions increased with the increase of the influent  $NH_4^+$  load. As  
398 a result, lower loaded influents are expected to have lower emissions. While investigating the

399 combined effect of N-loading rate and DO in a pilot-scale SBR treating reject water, Frison et al.  
400 [52] tested two different combinations of these parameters (first combination: volumetric N-  
401 loading rate= $1.08 \text{ kg N m}^{-3} \text{ d}^{-1}$  &  $\text{DO}=0.95 \text{ mg L}^{-1}$ ; second combination: volumetric N-loading  
402 rate= $0.81 \text{ kg N m}^{-3} \text{ d}^{-1}$  &  $\text{DO}=1.48 \text{ mg L}^{-1}$ ).  $\text{N}_2\text{O}$  emissions decreased from 1.49% to 0.24% of  
403 the influent N-load when switching from the first to the second combination. The higher DO  
404 along with an influent N-loading not exceeding the system's capacity resulted in lower  $\text{NO}_2^-$   
405 accumulation and  $\text{N}_2\text{O}$  emissions. Similarly, our model predicted the increase in  $\text{N}_2\text{O}$  emissions  
406 after applying higher  $S_{\text{NH}_4}$  influent concentrations along with lower DO.

407 However, it is noted that the N-removal via  $\text{NO}_2^-$  was prolonged with the NOB growth and decay  
408 parameters from Jubany et al. [31]. Nitrification occurred at around  $0.8 < \text{DO} < 1.8 \text{ mg L}^{-1}$  with the  
409 parameters from Hiatt and Grady [24], whereas at around  $0.8 < \text{DO} < 2.2 \text{ mg L}^{-1}$  with the  
410 parameters from Jubany et al. [31] (Fig. 4). The NOB growth and decay rates of Jubany et al.  
411 [31] are 23.5% and 43.5% higher, respectively, than the ones of Hiatt and Grady [24]. However,  
412 the most important parameter affecting the N-removal via  $\text{NO}_2^-$  is the NOB-related half-  
413 saturation coefficient for oxygen. This parameter was  $1.2 \text{ mg L}^{-1}$  for Hiatt and Grady [24],  
414 whereas equal to  $1.75 \text{ mg L}^{-1}$  [44, 48] for Jubany et al. [31]. This higher value increases the  
415 range of DO values leading to a limitation of NOB activity, and hence provokes a higher  
416 operational region with important  $\text{N}_2\text{O}$  emission.

417 Finally, the results obtained in this section match with **past** experimental observations **according**  
418 **to which** the operational parameters mostly contributing to the  $\text{N}_2\text{O}$  generation are linked to  
419 insufficient DO levels at the nitrification stage and increased  $\text{NO}_2^-$  concentration during both  
420 nitrification and denitrification [10-12].

421

### 422 3.3 Effect of the stripping effectivity (SE) on the N<sub>2</sub>O emission factor (EF)

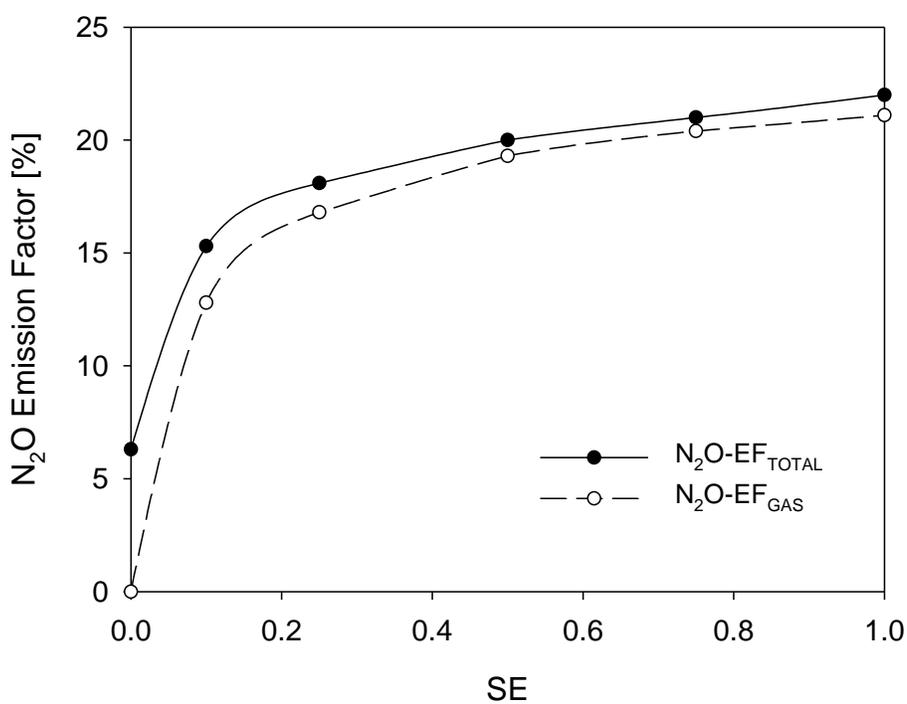
423 Even though N<sub>2</sub>O is an intermediate of heterotrophic denitrification, aerobic (nitrification-  
424 related) compartments in WWTPs are the major N<sub>2</sub>O emission hotspots. Stripping occurs during  
425 aeration and the produced N<sub>2</sub>O is emitted into the atmosphere [13, 53].

426 As mentioned in section 2.3, our modeling concerning the N<sub>2</sub>O stripping was based on the  $k_{La}$   
427 approach. Moreover, it was enriched by the SE which acted as a coefficient describing the  
428 divergence of the model prediction (Eq. 4) with respect to ideality (SE=1). Eq. 4 simplifies the  
429 real stripping process by assuming the following: i) the air bubbles are always free of N<sub>2</sub>O; their  
430 enrichment in N<sub>2</sub>O is negligible as they rise up in the basin, ii) the liquid phase (as DO or N<sub>2</sub>O  
431 concentration) has a homogeneous composition, and iii) the same  $k_{La}$  independently of the liquid  
432 depth. The combined effect of different DO levels and the highest influent S<sub>NH4</sub> value tested (i.e.  
433 40 mg L<sup>-1</sup>) on the N<sub>2</sub>O-EF under different SEs (i.e. 0, 0.1, 0.25, 0.5, 0.75, 1) was evaluated using  
434 the parameters by Hiatt and Grady [24]. It is presented in Fig. 5 for N<sub>2</sub>O-EF<sub>TOTAL</sub> (i.e.  
435 considering both the N<sub>2</sub>O stripped and the N<sub>2</sub>O contained in the effluent) as well as for the  
436 N<sub>2</sub>O-EF<sub>GAS</sub> (referring exclusively to the stripping contribution).

437 Under the application of the highest influent S<sub>NH4</sub> value tested in this study (i.e. 40 mg L<sup>-1</sup>), the  
438 trends were always similar and the maximum N<sub>2</sub>O-EF was always observed for a DO around 1.2  
439 mg L<sup>-1</sup>. However, the maximum absolute values differed. In specific, the maximum N<sub>2</sub>O-EF<sub>GAS</sub>  
440 values ranged from 0% (SE=0) to ~21.1% (SE=1), while the maximum N<sub>2</sub>O-EF<sub>TOTAL</sub> values  
441 were between 6.3% (SE=0) and ~22% (SE=1). In other words, the SE increase led to a general  
442 rise in the EF. This was sharper in the beginning (SE: 0→0.1) and, then, more gradual (SE:  
443 0.25→1) (Fig. 5). The observed trend reflects that a lower SE gives more chances for N<sub>2</sub>O to

444 follow the denitrification pathway (reaction 4 of denitrification in Fig. 2), thus favouring its  
445 consumption instead of its stripping.

446 For each of the SE values tested, the  $N_2O$ - $EF_{TOTAL}$  was always higher than the respective  
447  $N_2O$ - $EF_{GAS}$  one, but not significantly (Fig. 5). The latter showed that the  $N_2O$  stripping majorly  
448 contributed to the  $N_2O$  EF estimation. Only in the case of  $SE=0$  (the hypothetical case of no  
449 stripping) the contribution of the dissolved  $N_2O$  was very significant. More importantly, our  
450 results indicated that the SE factor was a very significant contributor to the final EF results.  
451 Hence, a more detailed modeling of the stripping process in the future, avoiding the  
452 simplifications previously commented can potentially increase the accuracy in the EF prediction  
453 and prevent its overestimation.



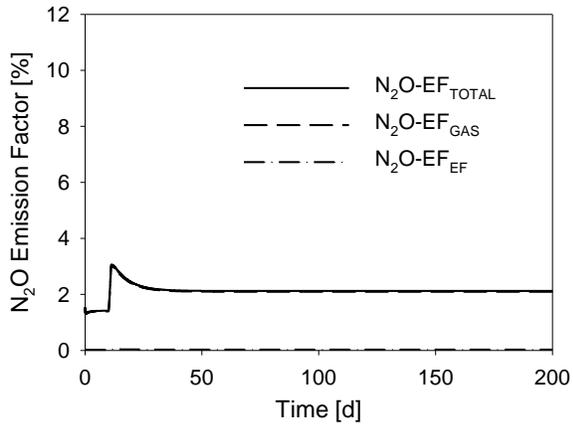
454

455 **Figure 5.** The maximum N<sub>2</sub>O emission factor (N<sub>2</sub>O-EF<sub>TOTAL</sub> considering both the N<sub>2</sub>O stripped  
456 and the N<sub>2</sub>O contained in the effluent; N<sub>2</sub>O-EF<sub>GAS</sub> referring exclusively to the contribution of the  
457 N<sub>2</sub>O stripping) observed for the different SE values (0, 0.1, 0.25, 0.5, 0.75, 1) tested during our  
458 simulations. The influent S<sub>NH4</sub> value was considered equal to 40 mg L<sup>-1</sup> and the parameters were  
459 retrieved from the study by Hiatt and Grady [24].

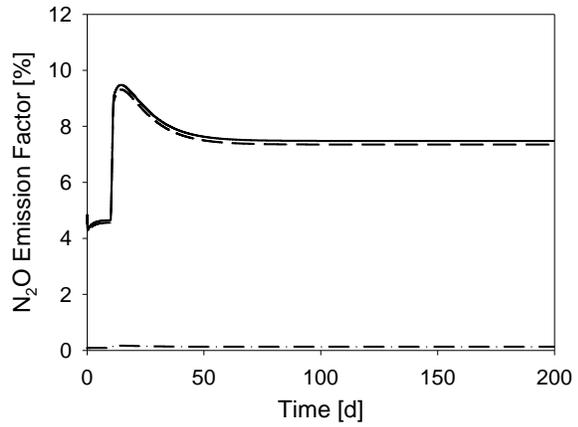
460

### 461 **3.4 Modeling of dynamic N<sub>2</sub>O emissions under disturbances**

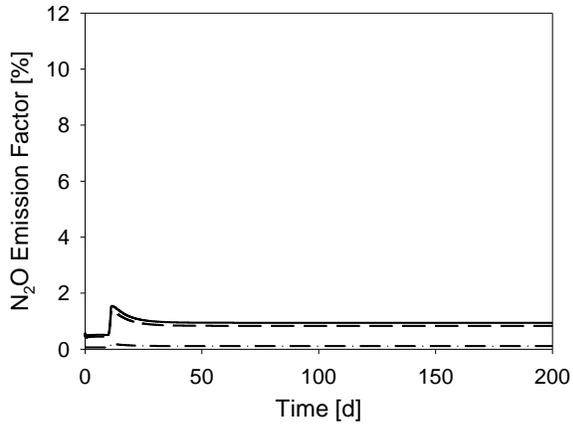
462 An additional goal of this work was to examine how the N<sub>2</sub>O emissions were influenced by  
463 influent disturbances under different DO scenarios. Transition states after a disturbance are the  
464 most favourable scenarios for **intermediates** accumulation and, thus, higher N<sub>2</sub>O emissions. As  
465 an example, the effect of a S<sub>NH4</sub> concentration increase in the influent was studied (as a ‘**step**’  
466 **increase** from 20 to 30 mgN L<sup>-1</sup> on the 10<sup>th</sup> day of the plant operation). This was examined for  
467 various scenarios with different combinations of SE and DO control values in the aerobic  
468 reactor.



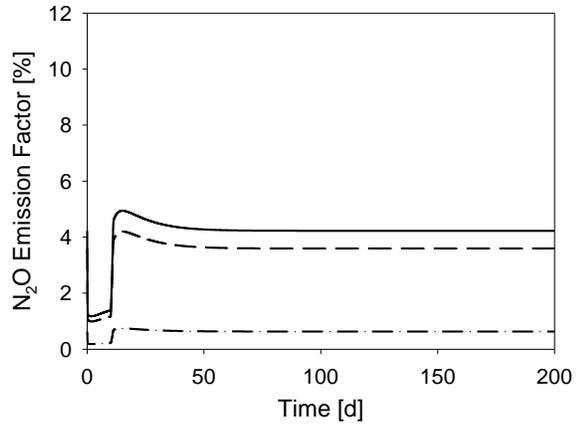
a. SE = 1 & DO setpoint = 3 mg L<sup>-1</sup>



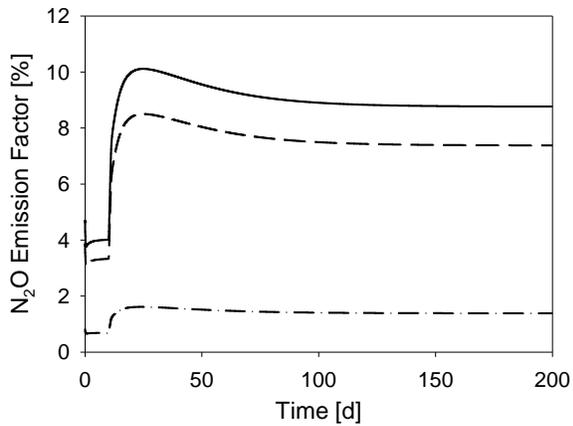
b. SE = 1 & DO setpoint = 1.5 mg L<sup>-1</sup>



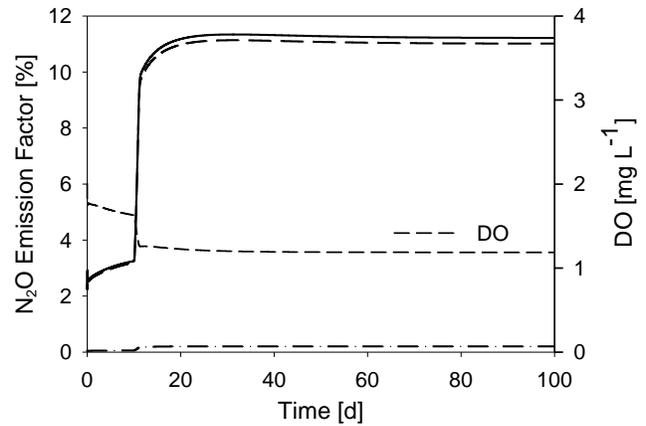
c. SE = 0.1 & DO setpoint = 3 mg L<sup>-1</sup>



d. SE = 0.1 & DO setpoint = 1.5 mg L<sup>-1</sup>



e. SE = 0.1 & DO setpoint = 1.2 mg L<sup>-1</sup>

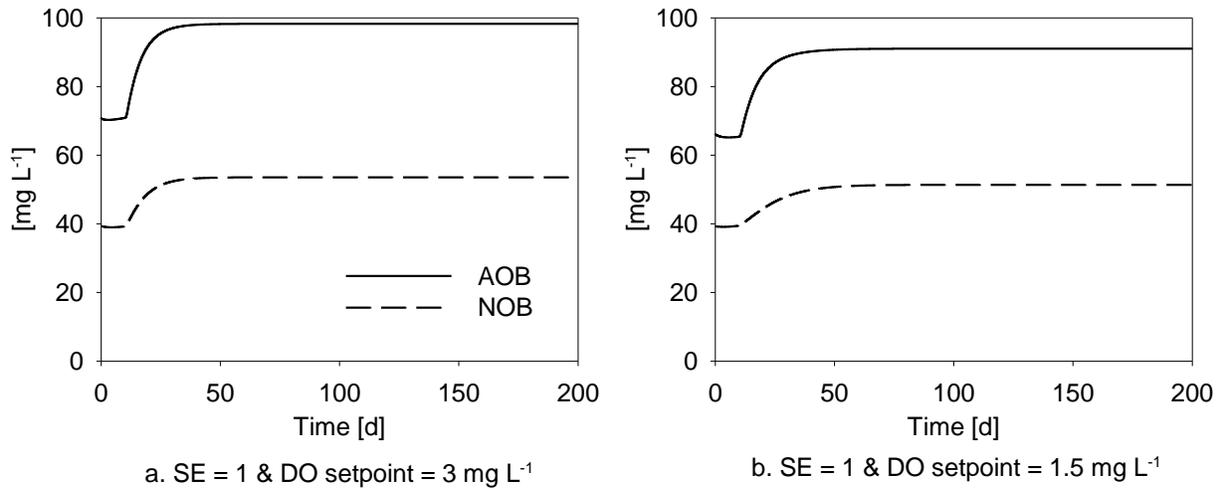


f. SE = 1 & no DO control

470 **Figure 6.** The effect of increasing the influent  $S_{\text{NH}_4}$  concentration (from 20 to 30  $\text{mgN L}^{-1}$ ) at the  
471 10<sup>th</sup> day of the plant operation on the  $\text{N}_2\text{O-EF}$ . Different SE values (1 and 0.1) and DO setpoints  
472 ( $3 \text{ mg L}^{-1}$ ,  $1.5 \text{ mg L}^{-1}$ ,  $1.2 \text{ mg L}^{-1}$  and no DO control) were tested.

473

474 For the scenarios a and b, the SE was 1 to enable the observance of the full stripping effect under  
475 the sudden change of the operational conditions. The fast  $S_{\text{NH}_4}$  increase resulted in a rapid  
476 increase of  $\text{N}_2\text{O}$  emissions. The  $\text{N}_2\text{O-EF}_{\text{TOTAL}}$  presented the following trends: 1.4→3.1% almost  
477 up to the 12<sup>th</sup> day of operation (scenario a) and 4.5→9.6% until the 17<sup>th</sup> day (scenario b). Then, a  
478 gradual EF reduction started until it was stabilized at lower levels: at ~2.1% after the 30<sup>th</sup> day  
479 (scenario a), and at ~7.5% after the 40<sup>th</sup> day (scenario b) (Fig. 6). The DO control setpoint in case  
480 b was significantly lower than in scenario a; thus, higher absolute EF values were expected as  
481 previously seen in Fig. 4A. Under such conditions, the AOB bacteria are known to induce  
482 nitritation, use  $\text{NO}_2^-$  as terminal electron acceptor and, finally, produce  $\text{N}_2\text{O}$  (nitrifier  
483 denitrification pathway) [54-56]. Indeed, low DO (e.g.  $<1.5 \text{ mg L}^{-1}$ ) has been experimentally  
484 connected with the achievement of nitritation, the subsequent  $\text{NO}_2^-$  accumulation and NOB  
485 washout [57-60]. For both scenarios a and b, the downward trend of the  $\text{N}_2\text{O-EF}$  indicated that  
486 NOB were growing and performing  $\text{NO}_2^-$  oxidation. However, the fact that the final  $\text{N}_2\text{O-EF}$   
487 never recovered its initial value implies that the NOB growth only covered part of the new  $\text{NO}_2^-$   
488 oxidation requirements. Fig. 7 shows the effect of scenarios a and b on the AOB and NOB  
489 growth. In both cases, the AOB growth was always sharper than the respective NOB one after  
490 the operational change on the 10<sup>th</sup> day. In accordance to what is seen in Fig. 7, the AOB  
491 population has been reported to prevail over the NOB under increased  $\text{NH}_4^+$  availability and  
492 controlled aeration [61].



494 **Figure 7.** The AOB and NOB evolution after increasing the influent  $S_{\text{NH}_4}$  concentration (from 20  
 495 to 30 mgN L<sup>-1</sup>) on the 10<sup>th</sup> day of the plant operation. Different DO control setpoints (3 mg L<sup>-1</sup>  
 496 and 1.5 mg L<sup>-1</sup>) were compared for a SE=1.

497

498 For the same DO levels, different SE values were tested to simulate the full and reduced  
 499 stripping effect via fixing the SE as equal to 1 and 0.1, respectively (comparison between  
 500 scenarios a and c, and comparison between scenarios b and d in Fig. 6). In terms of N<sub>2</sub>O-  
 501 EF<sub>TOTAL</sub>, the same trends were observed: a fast increase followed by a decrease with a final value  
 502 stabilized higher than the one observed before the S<sub>NH4</sub> increase. The SE decrease (from 1 to 0.1)  
 503 explains the increased distance between the lines of N<sub>2</sub>O-EF<sub>TOTAL</sub> and N<sub>2</sub>O-EF<sub>GAS</sub>. The  
 504 emissions were lower in the SE=0.1 cases (Fig. 6c and 6d) and more N<sub>2</sub>O was considered as  
 505 remaining dissolved, thus coming out in the effluent. A lower SE value (i.e. 0.1) imposes less  
 506 stripping to the system, which results in: i) an increased N<sub>2</sub>O concentration in the aerobic reactor,  
 507 and ii) an increased recycling of N<sub>2</sub>O to the anoxic reactor leading to higher N<sub>2</sub>O consumption.

508 Scenario e studies the effect of working at the DO setpoint of  $1.2 \text{ mg}\cdot\text{L}^{-1}$  and  $\text{SE} = 0.1$ , in order to  
509 show clearly the effect of working under DO conditions **unfavorable to the NOB growth**.  $\text{N}_2\text{O}$   
510 emissions higher than 9% were observed because of N-removal via  $\text{NO}_2^-$  and NOB washout, as  
511 detailed in sections 3.1 and 3.2.

512 However, all the scenarios previously commented (i.e. scenarios a - e) were DO-controlled; this  
513 enabled simulating how increasing aeration by the control loop allowed the maintenance of the  
514 desired DO concentration. Scenario f, though, showed that the effect of the  **$\text{S}_{\text{NH}_4}$  influent increase**  
515 can be higher and more persistent **in a non-DO-controlled environment**. The increase of  $\text{NH}_4^+$   
516 load decreases the DO concentration, and can move the system from an operational point with  
517 full nitrification to a point with N-removal via  $\text{NO}_2^-$  which explains the higher EF noted.

518 A sudden operational change imposed to the system such as the one examined in this section (i.e.  
519 a step increase in the influent  $\text{S}_{\text{NH}_4}$  from 20 to  $30 \text{ mg L}^{-1}$ ) increased the  $\text{N}_2\text{O}$  emissions. The AOB  
520 and NOB populations were affected, with the AOB growth being quicker and higher compared  
521 to the respective NOB one. Thus, N-removal via  $\text{NO}_2^-$  was increased and  $\text{N}_2\text{O}$  was produced  
522 through nitrifier denitrification. The magnitude of the emissions depended on the imposed SE  
523 value and DO control setpoint; the higher the imposed SE value, the higher the stripping effect  
524 and, thus, the anticipated emissions. Moreover, a lower DO setpoint placed the system under  
525 nitritation regime, thus creating the conditions for the activation of the nitrifier denitrification  
526 pathway. Under no DO control, the environment within the reactor became even more favorable  
527 to N-removal via  $\text{NO}_2^-$ , **hence** greatly increasing the EF.

528

529

### 530 3.5 Sensitivity Analysis (SA) of the developed model

531 Table 2 shows the 40 most sensitive parameters to the  $N_2O-EF_{TOTAL}$  for the two studied  
 532 scenarios with influent  $S_{NH_4}=30 \text{ mg L}^{-1}$  and  $SE=0.5$  (first:  $DO$  in the aerobic reactor= $3 \text{ mg L}^{-1}$ ;  
 533 second:  $DO$  in the aerobic reactor= $1 \text{ mg L}^{-1}$ ). The values are listed in descending order  
 534 considering the  $S_{i,j}$  absolute values calculated with Eq. 6. The sign of the sensitivity indices is  
 535 maintained since it contains information: a positive sensitivity index indicates that an increase in  
 536 the parameter results in an increase of the  $N_2O-EF_{TOTAL}$ , while a negative sensitivity suggests  
 537 that an increase in the parameter will lead to a decrease in the  $N_2O-EF_{TOTAL}$ . The results showed  
 538 in Table 2 were obtained with a perturbation factor of 0.01%. The choice on the perturbation  
 539 factor was based on the work by De Pauw [62] who suggested to use a factor producing equal  
 540 derivative values for forward and backward differences. Nevertheless, the perturbation factor did  
 541 not significantly affect the parameter categorization (data not shown).

542

543 **Table 2.** Sensitivity analysis results for the two different operational modes (first:  $DO_{AE} = 3 \text{ mg}$   
 544  $L^{-1}$ ; second:  $DO_{AE} = 1 \text{ mg L}^{-1}$ ); both with influent  $S_{NH_4}=30 \text{ mg L}^{-1}$  and  $SE=0.5$ .  $DO_{AE}$  stands for  
 545 the  $DO$  control setpoint in the aerobic reactor.

Order	$DO_{AE}=3 \text{ mg L}^{-1}$		$DO_{AE}=1 \text{ mg L}^{-1}$	
	Parameter	$S_{i,j}$	Parameter	$S_{i,j}$
1	$\mu_{NOB}$	-2.138	$Y_{AOB}$	2.233
2	$\eta_G$	1.489	$\eta_G$	1.978
3	$b_{NOB}$	1.059	$q_{AOB\_AMO}$	1.407
4	$q_{AOB\_N_2O\_ND}$	0.997	$Y_{PAO}$	1.108
5	$\mu_{AOB\_HAO}$	-0.926	$b_{AOB}$	-1.024
6	$K_{I\_O_2\_AOB}$	0.878	$\eta_{G5}$	-0.947
7	$Y_{AOB}$	0.863	$K_{OH5}$	-0.853
8	$K_{HNO_2\_AOB}$	-0.857	$q_{AOB\_N_2O\_ND}$	0.841
9	$K_{NO_2\_NOB}$	0.851	$K_{O_2\_AOB1}$	-0.738

Order	DO <sub>AE</sub> =3 mg L <sup>-1</sup>		DO <sub>AE</sub> =1 mg L <sup>-1</sup>	
	Parameter	Si,j	Parameter	Si,j
10	Y <sub>PAO</sub>	0.739	i <sub>NXS</sub>	0.674
11	K <sub>O2_NOB</sub>	0.629	Y <sub>H</sub>	-0.470
12	η <sub>G5</sub>	-0.620	Y <sub>PO4</sub>	-0.435
13	K <sub>OH5</sub>	-0.470	q <sub>PP</sub>	0.400
14	K <sub>N2O_Den</sub>	0.435	μ <sub>PAO</sub>	-0.386
15	i <sub>NXS</sub>	0.428	i <sub>NBM</sub>	-0.375
16	b <sub>PAO</sub>	-0.408	K <sub>HNO2_AOB</sub>	-0.360
17	SE	0.375	i <sub>NSF</sub>	0.338
18	Y <sub>H</sub>	-0.364	K <sub>I_O2_AOB</sub>	0.299
19	K <sub>MAX_P</sub>	0.259	K <sub>MAX_P</sub>	0.292
20	i <sub>NBM</sub>	-0.247	SE	0.223
21	μ <sub>PAO</sub>	0.246	K <sub>NH2OH_AOB</sub>	-0.209
22	i <sub>NSF</sub>	0.207	K <sub>O2_AOB_ND</sub>	0.198
23	K <sub>O2_AOB_ND</sub>	0.192	μ <sub>AOB_HAO</sub>	-0.175
24	D <sub>O2</sub>	-0.187	K <sub>N2O_Den</sub>	0.170
25	D <sub>N2O</sub>	-0.187	K <sub>S5</sub>	0.166
26	K <sub>P_P</sub>	-0.169	K <sub>F</sub>	-0.157
27	K <sub>O2_AOB2</sub>	0.167	Y <sub>PHA</sub>	-0.149
28	K <sub>S5</sub>	0.151	K <sub>NH4_AOB</sub>	-0.137
29	b <sub>H</sub>	0.149	n <sub>fe_H</sub>	-0.134
30	Y <sub>PO4</sub>	-0.135	K <sub>O2_P</sub>	-0.132
31	K <sub>P_NOB</sub>	0.122	b <sub>H</sub>	0.121
32	q <sub>AOB_AMO</sub>	-0.120	D <sub>O2</sub>	-0.111
33	q <sub>PHA</sub>	0.118	D <sub>N2O</sub>	-0.111
34	K <sub>H</sub>	-0.101	b <sub>PAO</sub>	-0.101
35	K <sub>F</sub>	-0.099	K <sub>H</sub>	-0.098
36	n <sub>fe_H</sub>	-0.094	k <sub>La</sub>	0.089
37	Y <sub>PHA</sub>	-0.094	K <sub>O2_AOB2</sub>	0.082
38	q <sub>PP</sub>	0.085	K <sub>IPP_P</sub>	-0.074
39	η <sub>G3</sub>	0.077	i <sub>PXS</sub>	-0.073
40	i <sub>PXS</sub>	-0.064	b <sub>PP</sub>	-0.071

546

547

548 Different parameter ranking was found between the two scenarios: the most sensitive parameters  
549 to the N<sub>2</sub>O-EF<sub>TOTAL</sub> factor varied under the different DO setpoints. For the DO setpoint of 3 mg  
550 L<sup>-1</sup>, the most sensitive parameters were those related to NOB metabolism, followed by those

551 related to the AOB activity and, finally, by those connected to PAO. The sensitivity of  
552 parameters referring to the NOB metabolism is important to understand potential  $\text{NO}_2^-$   
553 accumulation. **The latter** will inevitably lead to changes in the total  $\text{N}_2\text{O}$  emission factor through  
554 the activation/deactivation of the nitrifier denitrification pathway, as discussed in section 3.1. On  
555 the other hand, under the DO setpoint of  $1 \text{ mg L}^{-1}$ , the AOB-related parameters were the most  
556 sensitive since limited NOB growth is anticipated in a low-DO environment (Fig. 3B). Hence,  
557 the NOB-related parameters became insensitive. For this scenario, the WWTP model operates  
558 under nitritation and increased  $\text{N}_2\text{O}$  production through nitrifier denitrification is expected  
559 (section 3.1).

560 For both tested scenarios, the anoxic growth factor ( $\eta_G$ ) (i.e. the stoichiometric factor implicated  
561 in the growth of heterotrophs and PAO under anoxic conditions) had a severe impact on the  $\text{N}_2\text{O}$   
562 emission factor. Considering that this parameter affects all the anoxic processes, its perturbation  
563 will change the stoichiometry of various processes.

564 It is worth mentioning that the SE only appears in the middle range of the table (17<sup>th</sup> and 20<sup>th</sup> for  
565 a DO setpoint of 3 and  $1 \text{ mg L}^{-1}$ , respectively). The reference value of this parameter (0.5) is  
566 essential to understand the sensitivity results. According to Fig. 5, the SE parameter has a  
567 significant effect on the  $\text{N}_2\text{O-EF}_{\text{TOTAL}}$  while increasing from 0 to 0.2; its further increase from  
568 0.2 to 1 has a lesser influence on the  $\text{N}_2\text{O-EF}$  values. Had this parameter been set at a lower  
569 value, its relative sensitivity would have increased.

570 Moreover, the conversion factors mostly affecting the  $\text{N}_2\text{O-EF}_{\text{TOTAL}}$  were those related to the N-  
571 content ( $i_{\text{NXS}}$ ,  $i_{\text{NSF}}$ ) of state variables  $X_S$  and  $S_F$ . The latter can be justified by their interference in  
572 the calculation of the  $N_{\text{IN}}$  content (Eq. 2) and their subsequent effect on the  $\text{N}_2\text{O-EF}_{\text{TOTAL}}$   
573 estimation (Eq. 1.1).

574 Finally, we examined **Table 2 again** to see if any common parameters appeared in the first ten  
575 places for both scenarios. It was noted that  $n_G$ ,  $q_{AOB\_N2O\_ND}$  (maximum  $N_2O$  production rate by  
576 the nitrifier denitrification pathway),  $Y_{PAO}$  (yield coefficient for the PAO) and  $Y_H$  (yield  
577 coefficient for the heterotrophs) were amongst the first ten parameters for both DO setpoints; all  
578 with positive sensitivity. Hence, it can be deduced that decreasing these values leads to a  
579 decrease in the  $N_2O$ - $EF_{TOTAL}$ . The  $n_G$ ,  $Y_{PAO}$  and  $Y_H$  stoichiometric parameters, in specific, are  
580 included in the stoichiometry of the processes referring to the anoxic growth of PAO and  
581 heterotrophs. These processes can indeed be considered as significantly influencing the EF since  
582 they occur in an anoxic environment where  $N_2O$  can be consumed through denitrification. These  
583 results also show that the inclusion of PAO in our model has a significant impact in the EF  
584 related to the denitrification of  $N_2O$ . Lastly, the impact of the  $q_{AOB\_N2O\_ND}$  kinetic parameter  
585 proved to be important in both scenarios. Given that  $q_{AOB\_N2O\_ND}$  expresses the  $N_2O$  production  
586 rate through nitrifier denitrification, this observation indicates that nitrifier denitrification is  
587 probably the most important pathway to consider for the  $N_2O$  mitigation.

588

#### 589 **4. Conclusions**

590 In this work, **an ASM2d- $N_2O$**  model including COD, N and P removal along with all the known  
591  $N_2O$  **microbial** pathways was developed for a municipal  $A^2/O$  WWTP, which can be highly  
592 useful for the estimation of the  $N_2O$ -EF. The following major conclusions were reached:

- 593 • Plant operators often opt for lower aeration to decrease a WWTP's energy requirements.  
594 With the aerobic DO ranging from 0.8 to 1.8 mg  $L^{-1}$ , the AOB prevailed over the NOB,  
595 thus promoting the shift from full to partial nitrification and, **subsequently**, the  $N_2O$

596 production through nitrifier denitrification. Due to the important N<sub>2</sub>O GWP, this  
597 operational change can result in a high final overall WWTP carbon footprint.  
598 Consequently, low aeration is desired **only if** it does not disturb the nitrification process.

- 599 • A SE coefficient (from 0 to 1) was added to reflect the non-ideality of the stripping  
600 modeling. Decreasing the SE was translated into higher N<sub>2</sub>O concentration in the mixed  
601 liquor; the latter led to a higher N<sub>2</sub>O denitrification rate and lower emissions.
- 602 • The effect of a sudden increase in the influent S<sub>NH4</sub> from 20 to 30 mg L<sup>-1</sup> was simulated.  
603 The AOB predominance over the NOB enabled NO<sub>2</sub><sup>-</sup> accumulation and increased the  
604 nitrifier denitrification pathway. Higher emissions were observed under the following  
605 conditions: lower DO setpoints that created an environment more advantageous to  
606 nitrifier denitrification combined with higher SE values that raised the significance of the  
607 stripping effect.
- 608 • The sensitivity analysis showed that **the** NOB-related parameters had minor influence  
609 over the N<sub>2</sub>O-EF under low-DO conditions, given the limited NOB growth at low DO.  
610 However, they were very significant at high DO due to its effect on the **NO<sub>2</sub><sup>-</sup>** oxidation  
611 rate. The parameters n<sub>G</sub>, q<sub>AOB\_N2O\_ND</sub>, Y<sub>PAO</sub> and Y<sub>H</sub> were amongst the top ten for both DO  
612 setpoints tested. n<sub>G</sub>, Y<sub>PAO</sub> and Y<sub>H</sub> are related to the N<sub>2</sub>O consumption through  
613 denitrification. q<sub>AOB\_N2O\_ND</sub> indicates that nitrifier denitrification is probably the most  
614 important pathway to consider for the N<sub>2</sub>O mitigation.

615

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1 **Development of an ASM2d-N<sub>2</sub>O model to describe nitrous oxide emissions in municipal**  
2 **WWTPs under dynamic conditions**

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19 **Abstract**

20 Nitrous oxide (N<sub>2</sub>O), a significant contributor to the greenhouse effect, is generated during the  
21 biological nutrient removal in wastewater treatment plants (WWTPs). Developing mathematical  
22 models estimating the N<sub>2</sub>O dynamics under changing operational conditions (e.g. dissolved  
23 oxygen, DO) is essential to design mitigation strategies. Based on the activated sludge models  
24 (ASM) structure, this work presents an ASM2d-N<sub>2</sub>O model including all the biological N<sub>2</sub>O  
25 production pathways for a municipal WWTP under an anaerobic/anoxic/oxic (A<sup>2</sup>/O)  
26 configuration with biological removal of organic matter, nitrogen and phosphorus, and its  
27 application in different dynamic scenarios. Three microbial N<sub>2</sub>O production pathways were  
28 considered: nitrifier denitrification, hydroxylamine oxidation, and heterotrophic denitrification,  
29 with the first two being activated by ammonia oxidizing bacteria (AOB). A stripping effectivity  
30 (SE) coefficient was added to reflect the non-ideality of the stripping modeling. With the DO in  
31 the aerobic compartment ranging from 1.8 to 2.5 mg L<sup>-1</sup>, partial nitrification and high N<sub>2</sub>O  
32 production via nitrifier denitrification were noted, indicating that low aeration strategies lead to a  
33 low overall carbon footprint only if complete nitrification is not hindered. High N<sub>2</sub>O emissions  
34 were predicted as a combination of low DO (~1.1 mg L<sup>-1</sup>) with high ammonium concentration.  
35 With the AOB prevailing over the Nitrite Oxidizing Bacteria (NOB), nitrite was accumulated,  
36 thus activating the nitrifier denitrification pathway. After suddenly increasing the influent  
37 ammonium load, the AOB had a greater growth compared to the NOB and the same pathway  
38 was considered as N<sub>2</sub>O hotspot. Especially under conditions promoting partial nitrification (i.e.  
39 low DO) and raising the stripping effect importance (i.e. high SEs), the highest N<sub>2</sub>O emission  
40 factors were predicted.

42 **Keywords** A<sup>2</sup>/O, nitrous oxide, emission factor, modeling, N<sub>2</sub>O production pathways, N<sub>2</sub>O  
43 stripping

44

### **Abbreviations**

A <sup>2</sup> /O	Anaerobic/Anoxic/Oxic WWTP configuration
AOB	Ammonia Oxidizing Bacteria
AOR	Ammonium Oxidation Rate
ASM	Activated Sludge Models
ASMN	Activated Sludge Model for Nitrogen
BNR	Biological Nutrient Removal
C	Carbon
COD	Chemical Oxygen Demand
DO	Dissolved Oxygen
EF	Emission Factor
EBPR	Enhanced Biological Phosphorus Removal
GHG	Greenhouse Gas
GWP	Global Warming Potential
HRT	Hydraulic Retention Time
IWA	International Water Association
N	Nitrogen
NOB	Nitrite Oxidizing Bacteria
OHO	Ordinary Heterotrophic Organisms
P	Phosphorus
PAO	Phosphorus Accumulating Organisms
PHA	Polyhydroxyalkanoates
PP	Polyphosphates
SA	Sensitivity Analysis
SBR	Sequencing Batch Reactor
SE	Stripping Effectivity
TKN	Total Kjeldahl Nitrogen
TSS	Total Suspended Solids
WWTP	Wastewater Treatment Plant

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48 **1. Introduction**

49 Nitrous oxide (N<sub>2</sub>O) is a particularly important greenhouse gas (GHG) because of its high global  
50 warming potential (GWP) compared to other GHGs such as methane (CH<sub>4</sub>) and carbon dioxide  
51 (CO<sub>2</sub>). N<sub>2</sub>O has a GWP 265 times higher than CO<sub>2</sub>, in contrast to CH<sub>4</sub> that has a GWP only 28  
52 times higher than CO<sub>2</sub> [1]. Moreover, N<sub>2</sub>O has been characterized as the predominant ozone-  
53 depleting substance of the century [2]. N<sub>2</sub>O can be produced and directly emitted during the  
54 biological nutrient removal (BNR) in wastewater treatment plants (WWTPs) [3-4]. More  
55 importantly, it has been proved that the total carbon (C) footprint of full-scale WWTPs can be  
56 affected by N<sub>2</sub>O emissions to an impressive extent: e.g. around 60% [5], or even around 75% [6].

57 The currently known microbial pathways for N<sub>2</sub>O production during the BNR are connected to  
58 the biochemical processes of nitrification and denitrification. Those related to nitrification occur  
59 through the activity of the ammonia oxidizing bacteria (AOB) (i.e. the nitrifier denitrification  
60 and the hydroxylamine (NH<sub>2</sub>OH) oxidation). Heterotrophic denitrification, during which N<sub>2</sub>O is  
61 an intermediate product, is the third biological pathway [5, 7-9]. The parameters mostly  
62 contributing to the N<sub>2</sub>O generation have been reviewed and linked to insufficient levels of  
63 dissolved oxygen (DO) at the nitrification stage, increased nitrite (NO<sub>2</sub><sup>-</sup>) concentration during  
64 both nitrification and denitrification, in addition to low chemical oxygen demand to nitrogen  
65 ratio (COD/N) during denitrification [10-12].

66 Studies have revealed a considerable variation in the N<sub>2</sub>O emission in WWTPs, thus rendering  
67 the emission factor (EF) estimation difficult. For example, Law et al. [13] reported an EF range  
68 of 0-25% amongst different full-scale WWTPs. The significant variation can be explained  
69 through the highly dynamic conditions in WWTPs, as well as the different configurations and  
70 operational conditions applied in each plant [13-14]. Furthermore, the N<sub>2</sub>O EF calculation can be

71 influenced by the N<sub>2</sub>O quantification method [13, 15]. After examining twelve different WWTPs  
72 in the United States, Ahn et al. [3] found that the EFs ranged from 0.01 to 1.8% when normalized  
73 to the influent Total Kjeldahl Nitrogen (TKN) load. This variability was correlated with the  
74 diurnal variations of the influent N-loading. Similarly, Rodriguez-Caballero et al. [16] examined  
75 the N<sub>2</sub>O dynamics in a municipal WWTP. Due to instable nitrification in the bioreactor, the  
76 emissions presented a significant decreasing trend within the day; the reported N<sub>2</sub>O EF decreased  
77 from 0.116 to 0.064% of the influent TKN. In both cases, the authors captured the changing N<sub>2</sub>O  
78 dynamics because of the continuous online reporting of the data. Foley et al. [4] studied seven  
79 full-scale BNR WWTPs in Australia with various configurations, concluding to a minimum N<sub>2</sub>O  
80 EF of 0.6% and a maximum of 25.3% of the N-denitrified. The authors recommended online  
81 emission monitoring in the biological compartments for the in-depth understanding of the  
82 influent dynamics and process characteristics in WWTPs. Daelman et al. [6] examined different  
83 monitoring scenarios on a 16-month dataset of a fully covered WWTP in the Netherlands to  
84 conclude to the most accurate and cost-effective one. The estimation of the average annual N<sub>2</sub>O  
85 emission required the description of seasonal dynamics and, thus, the acquisition of long-term,  
86 online or grab samples (the latter including nightly and weekend sampling). On the other hand,  
87 short-term campaigns focusing on the diurnal trends proved to be more expensive since they  
88 called for high-frequency online sampling. Thus, the accurate estimation of the N<sub>2</sub>O EF within a  
89 WWTP is a highly challenging task depending on various factors such as the operational  
90 conditions, the configuration type, the quantification method, the sampling strategy, etc.

91 The development of mathematical tools for the prediction of N<sub>2</sub>O emissions during the operation  
92 of WWTPs seems essential to allow the study of different scenarios. The simulation of N<sub>2</sub>O  
93 production allows the optimization of BNR processes, thus facilitating the decrease of N<sub>2</sub>O

94 emissions. N<sub>2</sub>O modeling is constantly advancing; models describing different pathways and  
95 based on different assumptions have been developed [9, 17].

96 For instance, models that focus on the nitrifier denitrification pathway have been suggested: Ni  
97 et al. [18] developed a model describing how low DO levels (i.e.  $\leq 1.5 \text{ mg L}^{-1}$ ) can inhibit  
98 complete nitrification, induce NO<sub>2</sub><sup>-</sup> accumulation and, subsequently, increase N<sub>2</sub>O emissions.  
99 Similarly, Mampaey et al. [19] observed that N<sub>2</sub>O production and emission was mainly observed  
100 during the aerated phases under relatively low DO (i.e.  $\leq 1.5 \text{ mg L}^{-1}$ ). The NH<sub>2</sub>OH oxidation  
101 pathway was the basis for the models by Law et al. [20] and Ni et al. [21]. Law et al. [20]  
102 observed the N<sub>2</sub>O production rate increasing with the ammonium oxidation rate (AOR) within an  
103 enriched AOB culture. The simulations by Ni et al. [21] indicated that ammonium (NH<sub>4</sub><sup>+</sup>)  
104 accumulation during aeration was translated into a high specific AOR and, finally, into the  
105 increased production of by-products such as NH<sub>2</sub>OH.

106 Given that the AOB pathways are regarded as major contributors to the N<sub>2</sub>O production amongst  
107 the three microbial routes [7, 17, 22], 2-(AOB) pathway models have emerged. For example, the  
108 Ni et al. [23] model which depicted the following trends: (i) NH<sub>2</sub>OH oxidation predominance  
109 under extremely low/high NO<sub>2</sub><sup>-</sup> concentration along with high DO, and (ii) nitrifier  
110 denitrification predominance at low DO with moderate NO<sub>2</sub><sup>-</sup> accumulation. In the 2-AOB  
111 pathway model by Pocquet et al. [17], the DO increase was combined with decreased N<sub>2</sub>O  
112 emission along with a slightly higher contribution of the NH<sub>2</sub>OH oxidation pathway.

113 Regarding the heterotrophic denitrification pathway, the activated sludge model for nitrogen  
114 (ASMN) developed by Hiatt and Grady [24] described denitrification as a four-step reaction with  
115 different specific growth rates. In a more recent model, Pan et al. [25] considered the electron

116 competition amongst the four heterotrophic denitrification steps by dissociating the C-oxidation  
117 and the N-reduction.

118 Nevertheless,  $N_2O$  is likely to be produced/consumed by both the AOB and the heterotrophic  
119 denitrifiers during the BNR in WWTPs. As a result, the development of models including all the  
120 possible pathways gives a deeper insight into the  $N_2O$  production/consumption dynamics and  
121 enhances the study of strategies for the  $N_2O$  emission mitigation, especially in cases of full-scale  
122 modeling [9-10, 12]. With the view to investigating the significant spatial variations in the  $N_2O$   
123 flux of a step-feed 2-pass full-scale activated sludge plant, Ni et al. [26] combined the 2-(AOB)  
124 pathway modeling part by Ni et al. [23] and the heterotrophic denitrification processes appearing  
125 in Ni et al. [21] in an integrated model.

126 Multiple-pathway models seem more apt to elucidate the effect of changing operational  
127 parameters (e.g. DO,  $NO_2^-$  concentration, etc.) and explain possible spatial/temporal variations,  
128 thus helping plant operators with designing mitigation strategies [12]. Given the influence of  
129 aeration and DO profiles on the emissions, it is necessary to develop even more integrated  
130 models which include all the production pathways and, simultaneously, consider the  $N_2O$   
131 transfer from the liquid to the gas phase under varying gas flow patterns.

132 The activated sludge models (ASM) introduced by the International Water Association (IWA)  
133 task group have been widely used for the description of BNR processes during wastewater  
134 treatment [12]. Extensions to these models have been made to consider the  $N_2O$  production with  
135 emphasis either on the nitrifier denitrification or the  $NH_2OH$  oxidation pathway, and on the  
136 impact of changing influent (e.g. influent N-loading, COD/N) and/or operational conditions (e.g.  
137 DO) [18, 21]. Nevertheless, these models lack consideration of other nutrients removal (e.g. P).  
138 Moreover, they do not necessarily pay equal attention to all biological  $N_2O$  production routes

139 and/or deal with the N<sub>2</sub>O stripping modeling. Hence, the aim of this work was to develop an  
140 ASM-type model which: (i) includes N, P and organic matter removal, (ii) integrates all the  
141 microbial pathways for N<sub>2</sub>O production/consumption, (iii) contains N<sub>2</sub>O stripping modeling, and  
142 (iv) estimates the N<sub>2</sub>O EF under different DO levels. To this end, the IWA ASM2d model was  
143 modified and expanded into an ASM2d-N<sub>2</sub>O model to include all the biological N<sub>2</sub>O production  
144 pathways and the calculation of the N<sub>2</sub>O EF. The continuity of the model was also examined to  
145 detect typing and/or conceptual errors, inconsistencies and gaps in the proposed model. Finally,  
146 sensitivity analysis (SA) was performed to reveal the parameters most sensitive to the N<sub>2</sub>O EF as  
147 estimated using the proposed model.

148

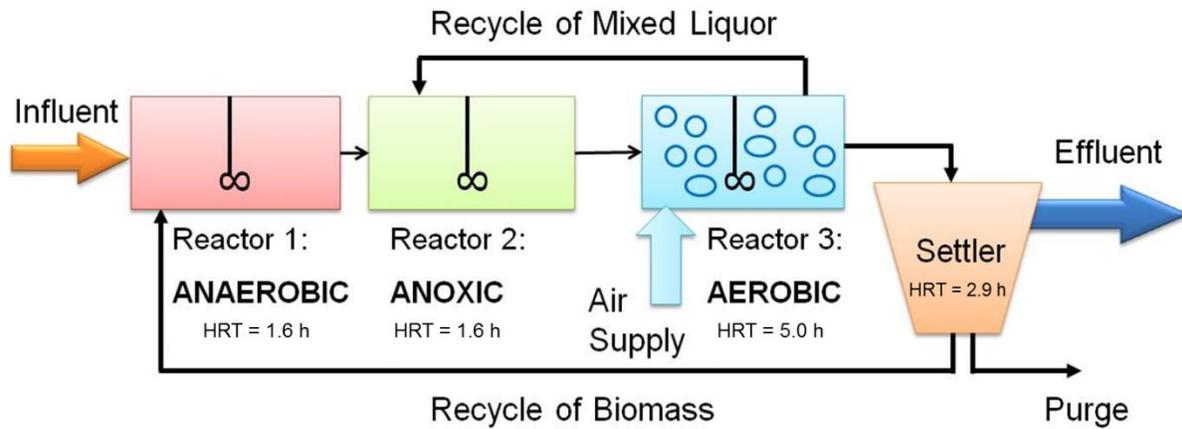
## 149 **2. Materials and methods**

### 150 **2.1 Brief description of the WWTP configuration and influent data**

151 The kinetic model was developed to describe the simultaneous N, P and COD removal for a  
152 WWTP with three continuous stirred tank reactors and one settler operating as an anaerobic-  
153 anoxic-aerobic (A<sup>2</sup>/O) configuration (Fig. 1).

154 The first reactor (Hydraulic Retention Time: HRT=1.6 h) was anaerobic with the view to  
155 facilitating the phosphorus accumulating organisms (PAO) predominance over the ordinary  
156 heterotrophic organisms (OHO) and, subsequently, enhancing the P-removal. Nitrate (NO<sub>3</sub><sup>-</sup>)  
157 entering the second (anoxic) reactor (HRT=1.6 h) through the internal recycle of the mixed  
158 liquor was denitrified by the OHO or the denitrifying PAO. Finally, the third (aerobic) reactor  
159 (HRT=5 h) coupled P and organic matter removal along with nitrification. After settling the  
160 treated effluent, the settler (HRT=2.9 h) produced two streams; the effluent and an external

161 recycle of biomass returned to the first reactor. The total WWTP HRT was 11.1 h, and the purge,  
 162 internal and external recirculation ratios with respect to the influent flowrate were equal to 0.007,  
 163 2 and 1/3, respectively. The typical DO control setpoints for the three reactors were: 0 mg L<sup>-1</sup>  
 164 (anaerobic and anoxic) and 3 mg L<sup>-1</sup> (aerobic).



165  
 166 **Figure 1.** A<sup>2</sup>/O WWTP configuration integrated in the current study (adapted from Guerrero et  
 167 al. [27]).

168 The influent composition was typical for the municipal WWTP of Manresa (Catalonia, Spain)  
 169 (Machado et al. [28]). The influent characterization considered S<sub>I</sub> (inert soluble material), X<sub>I</sub>  
 170 (inert particulate organic material), X<sub>S</sub> (slowly biodegradable substrates), and S<sub>F</sub> (fermentable,  
 171 readily biodegradable organic substrates) fractions as follows: S<sub>I</sub>=0.07\*COD, X<sub>I</sub>=0.11\*COD,  
 172 X<sub>S</sub>=0.6\*COD, and S<sub>F</sub>= 0.4\*COD (Machado et al. [28]). All the remaining COD state variables  
 173 were fixed to zero. The influent composition is shown in Table 1.

174

175

**Table 1.** Influent composition (pH=7 and T=20 °C)

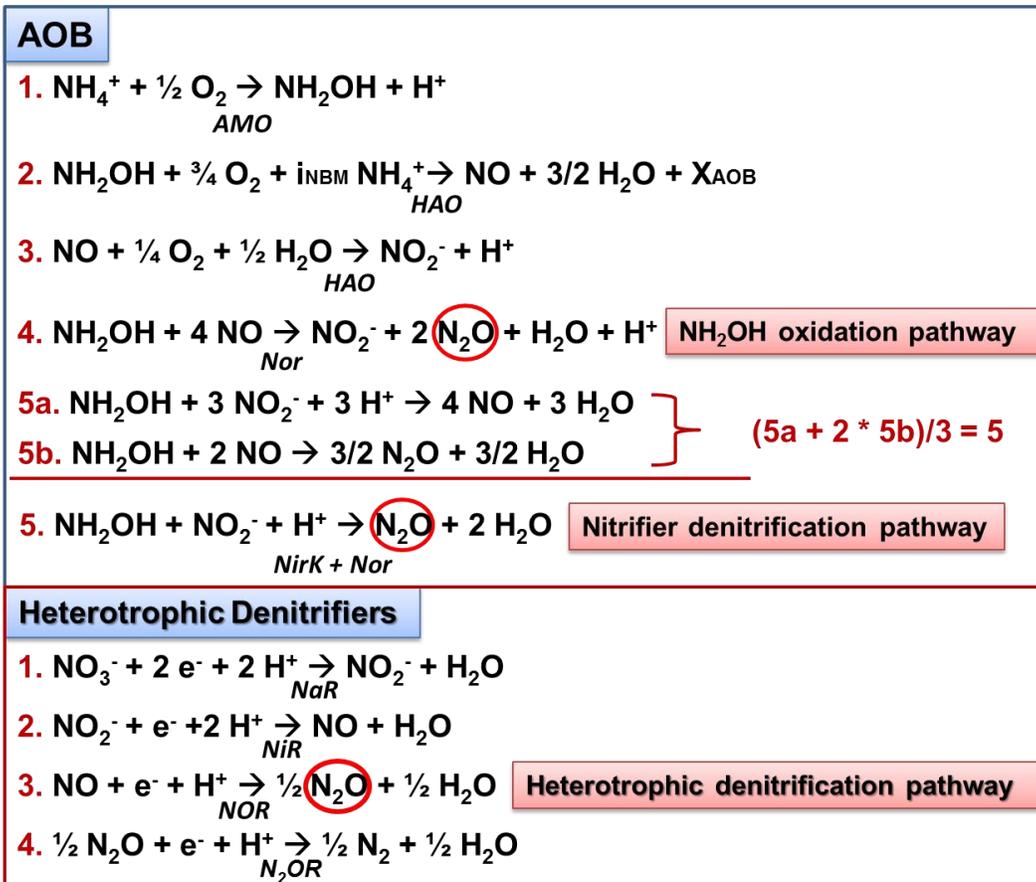
<b>Composition</b>	<b>mg L<sup>-1</sup></b>
N-NH <sub>4</sub> <sup>+</sup>	20
BOD <sub>5</sub>	170
COD	420
Total N	35
N-NO <sub>3</sub> <sup>-</sup>	2.6
P-PO <sub>4</sub> <sup>3-</sup>	9
TKN (Kjeldahl N)	33
TSS	189

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177

## 178 **2.2 Model description**

179 The core of our ASM2d-N<sub>2</sub>O model emerged as an extension of the IWA ASM2d (i.e. an ASM  
180 version including the bioprocesses related to the heterotrophic biomass, the PAO and the  
181 nitrifiers) [29]. The scope of this study was to describe the N<sub>2</sub>O production/consumption  
182 dynamics within a WWTP with enhanced biological phosphorus removal (EBPR). In that sense,  
183 the assumptions for the description of the two AOB pathways were made upon the Pocquet et al.  
184 [17] model, while those for the heterotrophic denitrification upon the Hiatt and Grady [24]  
185 model, always by extending and adapting the same processes to PAOs. It was considered as a  
186 holistic approach for the description of the N<sub>2</sub>O dynamics during the BNR in WWTPs. Thus, the  
187 final model describes the following: N<sub>2</sub>O production through all the three microbial pathways,  
188 but also N<sub>2</sub>O consumption during denitrification (Fig. 2).



189

190 **Figure 2.** The three pathways for the N<sub>2</sub>O production considered in our model: NH<sub>2</sub>OH  
 191 oxidation pathway (AOB pathway), nitrifier denitrification (AOB pathway) and heterotrophic  
 192 denitrification. The assumptions concerning the AOB and heterotrophic denitrification-related  
 193 reactions were made in accordance to what was reported by Pocquet et al. [17] and Ni and Yuan  
 194 [9].

195 Considering the assumptions made by Pocquet et al. [17], our work included the following five  
 196 AOB reactions (Fig. 2): (1) NH<sub>4</sub><sup>+</sup> oxidation to NH<sub>2</sub>OH, (2) NH<sub>2</sub>OH oxidation to nitric oxide  
 197 (NO), (3) NO oxidation to NO<sub>2</sub><sup>-</sup>, (4) NO reduction to N<sub>2</sub>O along with the NH<sub>2</sub>OH oxidation to  
 198 NO<sub>2</sub><sup>-</sup> (N<sub>2</sub>O production via the NH<sub>2</sub>OH oxidation pathway), (5) NO<sub>2</sub><sup>-</sup> reduction combined with  
 199 NH<sub>2</sub>OH oxidation to produce N<sub>2</sub>O (N<sub>2</sub>O production by the nitrifier denitrification pathway,

200 combination of reactions 5a and 5b). The additional four reactions related to the heterotrophic  
201 denitrification pathway and the enzymes catalyzing all the steps of the three pathways are  
202 schematically shown in Fig. 2. The enzymes involved are: AMO ( $\text{NH}_4^+$  monooxygenase), HAO  
203 ( $\text{NH}_2\text{OH}$  oxidoreductase), Nor (NO reductase), NirK ( $\text{NO}_2^-$  reductase) for the AOB, and NaR  
204 ( $\text{NO}_3^-$  reductase), NiR ( $\text{NO}_2^-$  reductase), NOR (NO reductase), and  $\text{N}_2\text{OR}$  ( $\text{N}_2\text{O}$  reductase) for the  
205 heterotrophs [9, 17]. Pocquet et al. [17] grouped together the  $\text{NO}_2^-$  reduction to NO (NirK  
206 enzyme) and the reduction of NO to  $\text{N}_2\text{O}$  (Nor enzyme) into one reaction:  $\text{NO}_2^-$  being directly  
207 reduced to  $\text{N}_2\text{O}$  (Fig. 2, eq. 5). They assumed that the Nor quickly consumed the NO produced  
208 by the aid of NirK or, equivalently, that the NO produced through the nitrifier denitrification  
209 pathway was converted to  $\text{N}_2\text{O}$  at a high rate. The latter was necessary in order to avoid a NO  
210 loop.

211 The model also considered P removal. Based on the ASM2d [29] structure, the following PAO-  
212 related processes were included: storage of polyhydroxyalkanoate (PHA), aerobic storage of  
213 polyphosphate (PP), aerobic growth of PAO and lysis of PHA, PP and PAO. Moreover, the  
214 anoxic processes of PP storage and PAO growth were expanded to cover all the four possible  
215 electron acceptors included in the current model:  $\text{NO}_3^-$ ,  $\text{NO}_2^-$ , NO and  $\text{N}_2\text{O}$ , following the same  
216 reactions as those for the ordinary heterotrophs (Fig. 2).

217 The final model was developed in Matlab<sup>®</sup> using the *ode15s* function, which is a variable order  
218 method recommended for stiff systems. The settling was modeled with reference to the study by  
219 Takács et al. [30]. Steady-state was achieved by simulating the WWTP with constant influent  
220 composition for a period of 200 d.

221 All the kinetic parameter values were normalized for 20 °C from the ASM2d section of Henze et  
 222 al. [29]. The AOB decay and growth rates were taken from Hiatt and Grady [24];  $\mu_{AOB}=0.78 \text{ d}^{-1}$ ,  
 223  $b_{AOB}=0.096 \text{ d}^{-1}$ . As far as the growth/decay rates for the nitrite oxidizing bacteria (NOB) are  
 224 concerned, two different sets were tested for comparative purposes; the first from Hiatt and  
 225 Grady [24] ( $\mu_{NOB}=0.78 \text{ d}^{-1}$ ,  $b_{NOB}=0.096 \text{ d}^{-1}$ ), and the second one from Jubany et al. [31]  
 226 ( $\mu_{NOB}=1.02 \text{ d}^{-1}$ ,  $b_{NOB}=0.17 \text{ d}^{-1}$ ).

227 Tables presenting the stoichiometric/kinetic parameters, the stoichiometry, and the process rates  
 228 of the processes integrated into our model are given in detail as Supportive Material.

229

### 230 **2.3 N<sub>2</sub>O emission factor (EF) modeling**

231 The N<sub>2</sub>O emission factor in our model was calculated in three ways: i) considering both the  
 232 stripped N<sub>2</sub>O and the N<sub>2</sub>O in the effluent to reflect the most conservative estimation (N<sub>2</sub>O-  
 233 EF<sub>TOTAL</sub>, Eq. 1.1), ii) considering only the stripping contribution (N<sub>2</sub>O-EF<sub>GAS</sub>, Eq. 1.2), and iii)  
 234 considering only the effluent contribution (N<sub>2</sub>O-EF<sub>EF</sub>, Eq. 1.3).

---


$$N_2O-EF_{TOTAL}(\%) = 100 \cdot \frac{N_2O_{ST} + N_2O_{EF}}{N_{IN}} \quad (\text{Equation 1.1})$$

$$N_2O-EF_{GAS}(\%) = 100 \cdot \frac{N_2O_{ST}}{N_{IN}} \quad (\text{Equation 1.2})$$

$$N_2O-EF_{EF}(\%) = 100 \cdot \frac{N_2O_{EF}}{N_{IN}} \quad (\text{Equation 1.3})$$


---

235

236 Where N<sub>2</sub>O<sub>ST</sub> is the amount of N<sub>2</sub>O stripped from the aerobic reactor, N<sub>2</sub>O<sub>EF</sub> the N<sub>2</sub>O in the  
 237 effluent of the plant and N<sub>IN</sub> the total N-content of the influent, which was calculated with Eq. 2.

---

$$N_{IN} (gN \cdot d^{-1}) = Q_{IN} \cdot (S_{NH4} + S_{NO3} + S_F \cdot i_{NSF} + X_S \cdot i_{NXS} + S_I \cdot i_{NSI} + X_I \cdot i_{NXI})$$

(Equation 2)

---

238

239 With  $Q_{IN}$  as the influent flowrate, and the rest of terms following the ASM2d nomenclature  
240 reported by Henze et al. [29]:  $S_{NH4}$ ,  $S_{NO3}$ ,  $S_F$ ,  $X_S$ ,  $S_I$  and  $X_I$  denote the influent concentrations for  
241  $NH_4^+$  ( $gNH_4^+-N \ m^{-3}$ ),  $NO_3^-$  ( $gNO_3^--N \ m^{-3}$ ), fermentable substrate ( $gCOD \ m^{-3}$ ), slowly  
242 biodegradable substrate ( $gCOD \ m^{-3}$ ), inert soluble substrate ( $gCOD \ m^{-3}$ ) and inert particulate  
243 substrate ( $gCOD \ m^{-3}$ ), respectively.  $i_{NSF}$ ,  $i_{NXS}$ ,  $i_{NSI}$  and  $i_{NXI}$  are the N-content ( $gN \ g^{-1}COD$ ) of  
244  $S_F$ ,  $X_S$ ,  $S_I$  and  $X_I$ , respectively.

245 The  $N_2O$  in the effluent ( $N_2O_{EF}$ ) was calculated using the  $N_2O$  concentration ( $gN \ m^{-3}$ ) in the  
246 aerobic reactor ( $N_2O_{AE}$ ) as in Eq. 3:

---

$$N_2O_{EF} (gN \cdot d^{-1}) = Q_{IN} \cdot N_2O_{AE} \quad (\text{Equation 3})$$

---

247

248 Finally, the stripped  $N_2O$  ( $N_2O_{ST}$ ) was calculated using Eq. 4, where  $k_{LaN_2O}$  is the volumetric  
249 mass transfer coefficient for  $N_2O$ ,  $V_{AE}$  is the volume of the aerobic reactor and the SE factor  
250 denotes ‘stripping effectivity’. We applied SE values in the range 0-1 as a mechanism enabling  
251 us to investigate the impact of the non-ideality of this typical simplified modeling approach on  
252 the  $N_2O$  EF.

253

---

$$N_2O_{ST} (gN \cdot d^{-1}) = k_{LaN_2O} \cdot V_{AE} \cdot N_2O_{AE} \cdot SE \quad (\text{Equation 4})$$

---

254

255 The volumetric mass transfer coefficient ( $k_L a$ ) comprises the global transfer coefficient  $k_L$  along  
256 with the interfacial area  $a$  (interphase transport surface between liquid and gas per unit of reactor  
257 volume). The  $k_L a_{N_2O}$  resulted from Eq. 5 following Higbie's penetration model [32]:

---

$$k_L a_{N_2O} (d^{-1}) = k_L a_{O_2} \cdot \sqrt{\frac{Dif_{N_2O}}{Dif_{O_2}}} \quad (\text{Equation 5})$$

---

258

259  $k_L a_{O_2}$  is the volumetric mass transfer of oxygen in the aerobic reactor, which was automatically  
260 calculated by including the DO control system in the model.  $Dif_{N_2O}$  is the molecular diffusivity  
261 of  $N_2O$  in water ( $2.11 \cdot 10^{-9} \text{ m}^2 \text{ s}^{-1}$  at  $20 \text{ }^\circ\text{C}$ ) and  $Dif_{O_2}$  the molecular diffusivity of oxygen in water  
262 ( $2.01 \cdot 10^{-9} \text{ m}^2 \text{ s}^{-1}$  at  $20 \text{ }^\circ\text{C}$ ) [33].

263

## 264 **2.4 Continuity check**

265 The continuity of the model was verified to detect typos, inconsistencies, gaps or conceptual  
266 errors in the proposed extension following the methodology proposed by Hauduc et al. [34] who  
267 checked and corrected seven of the most commonly used ASM models. The method consists in  
268 the analysis of the matrix which results after multiplying the stoichiometric matrix (available in  
269 the Supportive Material section) and the composition matrix (i.e. conversion factors of each state  
270 variable to COD, N, P, charge and total suspended solids (TSS)). The tolerance allowing the  
271 acceptance of the continuity matrix was set at  $10^{-15}$  as suggested by Hauduc et al. [34]. The  
272 stoichiometric matrix, the composition matrix (definitions and numerical values) and the  
273 continuity check can be found in the Supportive Material.

274

## 275 2.5 Sensitivity analysis (SA)

276 A local SA was conducted to establish the parameters that were more sensitive to  $N_2O-EF_{TOTAL}$   
277 (Eq. 1.1). Reichert and Vanrolleghem [35] defined the relative sensitivity ( $S_{i,j}$ ) of an output ( $y_i$ )  
278 with respect to a parameter ( $\theta_j$ ) as in Eq. 6:

---

$$S_{i,j} = \frac{\theta_j}{y_i} \cdot \frac{\partial y_i}{\partial \theta_j} \quad (\text{Equation 6})$$

---

279  
280 In our case, the  $N_2O-EF_{TOTAL}$  at steady state was used as the model output. The parameters  
281 involved in the SA were all the kinetic and stoichiometric parameters as well as the conversion  
282 factors that are given in the Supportive Material. However, the  $S_I$  production in hydrolysis ( $f_{SI}$ )  
283 and the P-content of  $S_I$  ( $i_{PSI}$ ) were excepted since they were fixed at zero. Furthermore, the  
284 anoxic growth factor ( $n_G$ ) parameter was adjusted to 0.9 (instead of 1) to compute the forward  
285 difference. The NOB growth and decay parameters were retrieved from the study by Hiatt and  
286 Grady [24]. A total number of 104 parameters were included in the SA.

287 The central difference method was used to calculate the sensitivity for each parameter. Different  
288 perturbation factors, ranging from 0.01% to 10%, were tested to ensure that the perturbation  
289 factor selection did not affect the parameter ranking.

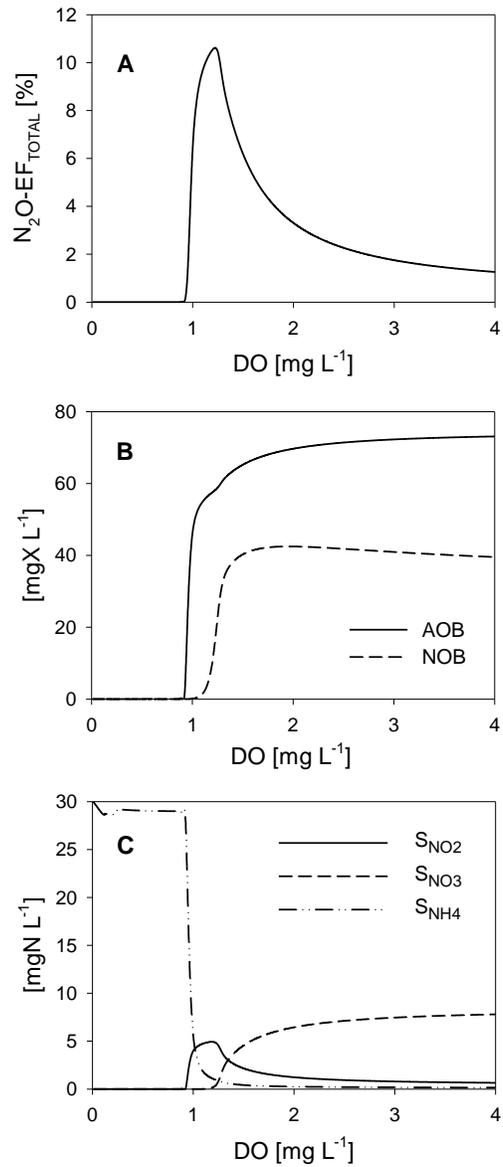
290 As will be discussed in sections 3.1, 3.2 and 3.4, different DO values in the aerobic reactor (e.g.  
291 varying from 1 to 4 mg L<sup>-1</sup>), resulted in very different EFs. Hence, the SA was performed under  
292 two different steady-state scenarios (i.e. at high and low DO setpoint in the aerobic reactor, set as  
293 equal to 3 and 1 mg L<sup>-1</sup>, respectively). The latter was decided to better understand the causes of

294 high N<sub>2</sub>O emission. During the SA tests, the influent S<sub>NH4</sub> was fixed at 30 mg L<sup>-1</sup> and the SE at  
295 0.5.

### 296 **3. Results and discussion**

#### 297 **3.1 DO impact on nitrification and N<sub>2</sub>O emissions**

298 The model was applied to investigate the effect of DO concentration (from 0 to 4 mg L<sup>-1</sup>) in the  
299 aerobic reactor on the nitrification process and, finally, on the N<sub>2</sub>O emissions. The evolution of  
300 N<sub>2</sub>O-EF<sub>TOTAL</sub>, AOB and NOB activity and NH<sub>4</sub><sup>+</sup>, NO<sub>2</sub><sup>-</sup> and NO<sub>3</sub><sup>-</sup> concentrations with respect to  
301 different DO levels are shown in Fig. 3.



302

303 **Figure 3.** DO effect in the aerobic reactor on the steady state values of (A)  $\text{N}_2\text{O}$  emission factor,  
 304 (B) AOB and NOB concentration, and (C)  $\text{NO}_2^-$ ,  $\text{NO}_3^-$  and  $\text{NH}_4^+$ , concentration. The SE was 1  
 305 and both the AOB and NOB growth and decay parameters were taken from the study by Hiatt  
 306 and Grady [24].

307

308 Fig. 3B and 3C show that neither AOB/NOB growth nor  $\text{NO}_2^-/\text{NO}_3^-$  production was observed  
309 under oxygen-limiting conditions (i.e. for DO values lower than approximately  $0.8 \text{ mg L}^{-1}$ ). The  
310  $\text{NH}_4^+$  concentration increased compared to the respective influent one ( $S_{\text{NH}_4}=20 \text{ mgN L}^{-1}$ )  
311 because of hydrolysis processes releasing  $\text{NH}_4^+$  and no nitrification happening due to the low  
312 DO. The DO increase from  $0.8 \text{ mg L}^{-1}$  onwards enhanced the AOB growth. On the contrary, the  
313 NOB growth only commenced at a DO around  $1.1 \text{ mg L}^{-1}$  (Fig. 3B). These threshold values (i.e.  
314  $0.8$  and  $1.1 \text{ mg L}^{-1}$ ) are mainly determined by the oxygen affinity constants values and, thus,  
315 from mass transfer and operational conditions. The NOB have a lower affinity to oxygen  
316 compared to the AOB [36], which explains why synergies that result in partial  
317 nitrification/nitritation (i.e.  $\text{NH}_4^+$  oxidation to  $\text{NO}_2^-$ ) are based on the selection of a proper DO  
318 setpoint [37]. In accordance to this, our simulation results demonstrated that the AOB prevailed  
319 over the NOB under relatively low DO levels (i.e. DO between  $0.8$  and  $1.1 \text{ mg L}^{-1}$ ) (Fig. 3B). In  
320 this range, the  $\text{NH}_4^+$  concentration decreased, while  $\text{NO}_2^-$  started increasing; nitritation resulted  
321 in  $\text{NO}_2^-$  accumulation (Fig. 3C). Within the same DO range ( $0.8$ - $1.1 \text{ mg L}^{-1}$ ), we observed a  
322 significant  $\text{N}_2\text{O}$  emission factor increase up to almost 10.5% (Fig. 3A). In this case, the dominant  
323  $\text{N}_2\text{O}$  production pathway was nitrifier denitrification; under such oxygen-limiting conditions,  
324  $\text{NO}_2^-$  substitutes oxygen at the role of the final electron acceptor and, thus, the AOB perform  
325 nitrifier denitrification [11, 38-39]. Our observations agree with previous studies investigating  
326 the preferred  $\text{N}_2\text{O}$  production pathway at different DO levels. For example, Law et al. [40]  
327 worked with an enriched AOB culture in a lab-scale nitritation system fed with anaerobic  
328 digester liquor; amongst the two AOB pathways, nitrifier denitrification was suggested as  
329 predominant at the lowest DO values tested (i.e.  $0.55$  and  $1.3 \text{ mg L}^{-1}$ ; the highest tested was  $2.3$   
330  $\text{mg L}^{-1}$ ) and decreased  $\text{NO}_2^-$  concentrations. Similarly, the DO effect on  $\text{N}_2\text{O}$  production by an

331 enriched nitrifying sludge was investigated in a lab-scale sequencing batch reactor (SBR); the  
332 DO increase from 0.2 to 3 mg L<sup>-1</sup> was correlated with a decreased contribution of the nitrifier  
333 denitrification pathway [41].

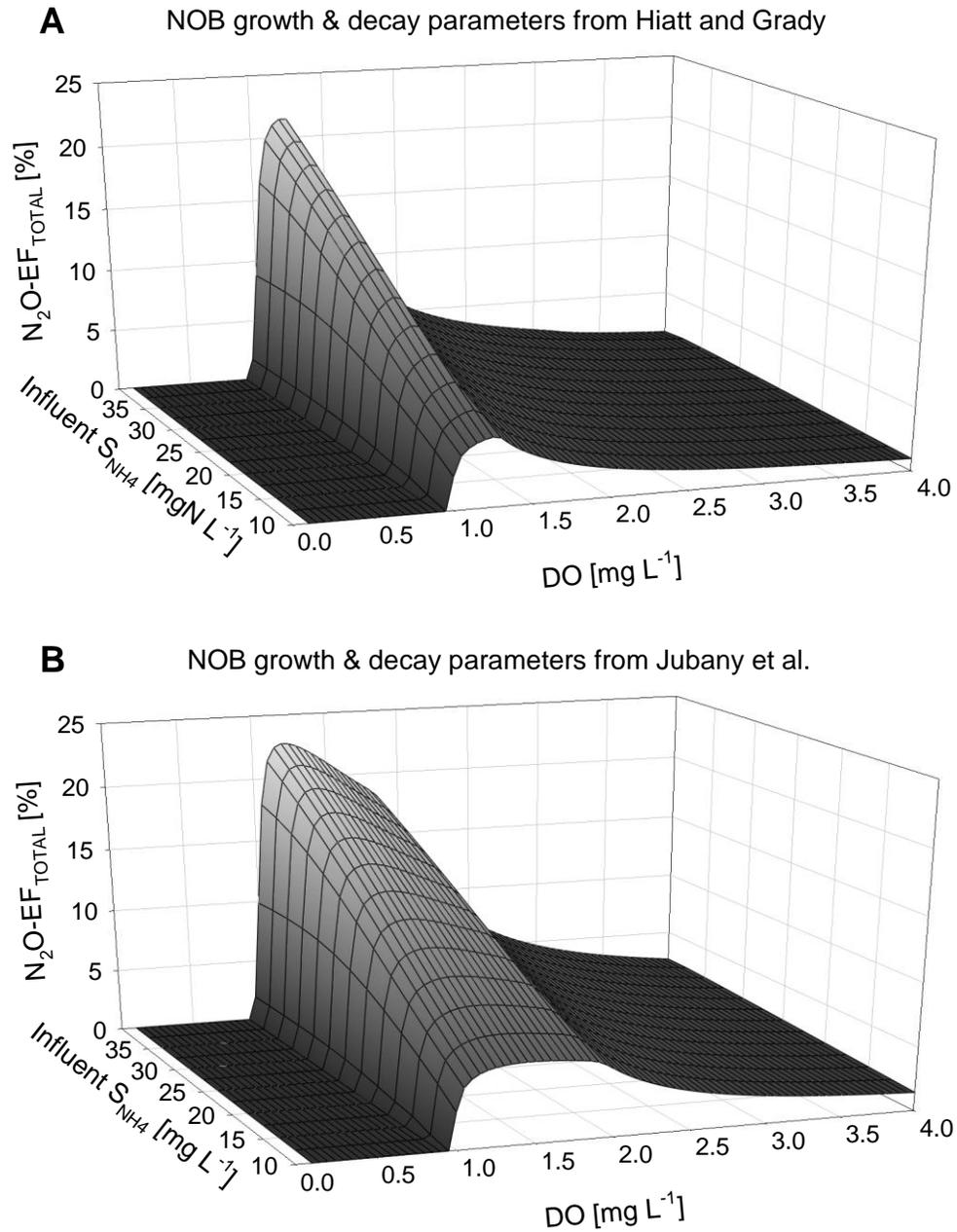
334 Our simulations showed that, as soon as DO reached the level of 1.5 mg L<sup>-1</sup>, AOB and NOB  
335 were stabilized around 70 mg L<sup>-1</sup> and 40 mg L<sup>-1</sup>, respectively (Fig. 3B). Complete nitrification  
336 started and resulted in less NO<sub>2</sub><sup>-</sup> accumulation as well as in the gradual nitrifier denitrification  
337 pathway deactivation. This is depicted in Fig. 3A through a continuous N<sub>2</sub>O-EF<sub>TOTAL</sub> decrease  
338 that initiated at a DO around 1.5 mg L<sup>-1</sup> and was reinforced with the further DO increase.  
339 Furthermore, NO<sub>3</sub><sup>-</sup> production began; the latter indicating that full nitrification was happening  
340 (Fig. 3C). At high DO levels (i.e. >3 mg L<sup>-1</sup>), the N<sub>2</sub>O emission factor was significantly lower;  
341 less than 2%. In terms of N<sub>2</sub>O emission mitigation, high DO (i.e. >3 mg L<sup>-1</sup>) proved to be  
342 beneficial. However, it is an energy-consuming option. For instance, a study on a plug-flow  
343 (three-pass) full-scale municipal WWTP in the UK indicated that N<sub>2</sub>O emissions added 13% to  
344 the carbon footprint of the plant because of the electricity needed to run the nitrifying process  
345 [42]. Intermittent aeration regimes can be applied as a promising option to reduce aeration costs  
346 by 33-45%. However, this strategy is likely to disturb the bioreactor operation, hinder the  
347 nitrifying population activity, and, hence, create conditions favouring the N<sub>2</sub>O generation.  
348 Consequently, an additional carbon footprint related to the N<sub>2</sub>O emissions can arise [43].  
349 Therefore, it is essential to consider the potential magnitude of N<sub>2</sub>O process emissions before  
350 adopting low-energy strategies [42]. Increased N<sub>2</sub>O production and emission is probable under  
351 low-DO conditions suggesting a high final overall carbon footprint for a WWTP. It is useful to  
352 investigate multiple DO values to find an interval inside which neither the nitrification process

353 nor the plant's carbon footprint is compromised; this can be between 1.8 and 2.5 mg L<sup>-1</sup> for our  
354 study.

### 355 **3.2 Influence of two different parameter sets for the NOB growth and decay on the N<sub>2</sub>O** 356 **emission factor (EF)**

357 As explained in section 2.2, two different sets regarding the growth/decay rates for the NOB  
358 were tested for comparative purposes; one from Hiatt and Grady [24] ( $\mu_{\text{NOB}}=0.78 \text{ d}^{-1}$ ,  
359  $b_{\text{NOB}}=0.096 \text{ d}^{-1}$ ) and the second one from Jubany et al. [31] ( $\mu_{\text{NOB}}=1.02 \text{ d}^{-1}$ ,  $b_{\text{NOB}}=0.17 \text{ d}^{-1}$ ).

360 Short-cut biological nitrogen removal, i.e. nitrification ( $\text{NH}_4^+$  oxidation to  $\text{NO}_2^-$ ) followed by  
361 denitrification ( $\text{NO}_2^-$  reduction to  $\text{N}_2$ ) emerged as extremely interesting in the domain of  
362 wastewater treatment, especially in the cases of wastewaters with high  $\text{NH}_4^+$  content [44].  
363 Compared to full nitrification (i.e.  $\text{NH}_4^+$  oxidation to  $\text{NO}_3^-$ ), the short-cut process has proved to  
364 be more advantageous in terms of COD demand (40% reduction during denitrification) and  
365 denitrification rate (63% higher) [45]. Furthermore, it can induce a 25% decrease in the oxygen  
366 demand during nitrification because of the avoidance of nitrification (i.e.  $\text{NO}_2^-$  oxidation to  $\text{NO}_3^-$ )  
367 [46]. If nitrification is the target for the plant operators, it is essential to apply conditions which  
368 favour the AOB activity but suppress the NOB community. The relative influential parameters  
369 include temperature, pH and DO [44]. The current study focused on the DO effect; temperature  
370 and pH were considered stable for all simulations ( $T=20 \text{ }^\circ\text{C}$  and  $\text{pH}=7$ ). Low-DO environments  
371 are expected to enhance the  $\text{NO}_2^-$  accumulation [47-49].



372

373 **Figure 4.** The steady-state  $N_2O$  emission factor with respect to different DO setpoints in the  
 374 aerobic reactor (0 to 4  $mg\ L^{-1}$ ) and influent  $S_{NH_4}$  concentrations (10 to 40  $mg\ L^{-1}$ ). The selected  
 375 SE was 1. A) NOB parameters of Hiatt and Grady [24]. B) NOB parameters of Jubany et al. [31].

376 Fig. 4 shows the effect on  $N_2O$ - $EF_{TOTAL}$  in different scenarios with the DO concentration ranging  
377 from 0 to 4  $mg L^{-1}$  and the influent  $S_{NH_4}$  from 10 to 40  $mg L^{-1}$ . For this part of the simulations,  
378 we used the maximum theoretical stripping efficiency ( $SE=1$ ). The latter offered the possibility  
379 to examine a range of DO and influent  $NH_4^+$  values which embodied the worst-case scenario (i.e.  
380 highest  $N_2O$  emissions). The simulations were executed for each one of the different parameter  
381 sets for the NOB growth and decay. In both cases, the general trends were similar. First, no  
382 nitrification and, subsequently, no  $N_2O$  emission was noticed at very low DO (i.e. below 0.8  $mg$   
383  $L^{-1}$ ). The DO increase provided the conditions for the initiation of nitrification. The highest  $N_2O$   
384 emissions occurred for (still relatively low) DO levels between 0.8 and 1.8  $mg L^{-1}$ ; it is when  
385 nitrification led to  $NO_2^-$  accumulation and, afterwards, to  $N_2O$  production through the nitrifier  
386 denitrification pathway. The model predicted the highest  $N_2O$  emission (around 22%) under the  
387 following combination: DO around 1.1  $mg L^{-1}$ , influent  $N-NH_4^+=40 mg L^{-1}$  (i.e. the highest  
388 tested) and  $SE=1$ . The further DO increase above 1.8  $mg L^{-1}$  resulted in the significant  $N_2O$ -  
389  $EF_{TOTAL}$  decrease (reaching almost 2% after  $DO>2.5 mg L^{-1}$ ), as a consequence of the  $NO_2^-$   
390 consumption through full nitrification. Similar results have been reported in past experimental  
391 studies. Pijuan et al. [50] monitored the nitrification process in an airlift system with granular  
392 biomass to explore the DO effect.  $N_2O$  emissions decreased from 6% to 2.2% of N-oxidized  
393 when DO increased from 1 to 4.5  $mg L^{-1}$ . Moreover, Rathnayake et al. [51] observed that the  
394  $N_2O$  emissions over the oxidized  $NH_4^+$  decreased from 2.9% ( $DO=0.6 mg L^{-1}$ ) to 1.4% ( $DO=2.3$   
395  $mg L^{-1}$ ) in a lab-scale nitrification reactor fed with synthetic wastewater.

396 Furthermore, according to the trends noted while examining the  $N_2O$ - $EF_{TOTAL}$  versus the influent  
397  $S_{NH_4}$  concentration, the  $N_2O$  emissions increased with the increase of the influent  $NH_4^+$  load. As  
398 a result, lower loaded influents are expected to have lower emissions. While investigating the

399 combined effect of N-loading rate and DO in a pilot-scale SBR treating reject water, Frison et al.  
400 [52] tested two different combinations of these parameters (first combination: volumetric N-  
401 loading rate= $1.08 \text{ kg N m}^{-3} \text{ d}^{-1}$  &  $\text{DO}=0.95 \text{ mg L}^{-1}$ ; second combination: volumetric N-loading  
402 rate= $0.81 \text{ kg N m}^{-3} \text{ d}^{-1}$  &  $\text{DO}=1.48 \text{ mg L}^{-1}$ ).  $\text{N}_2\text{O}$  emissions decreased from 1.49% to 0.24% of  
403 the influent N-load when switching from the first to the second combination. The higher DO  
404 along with an influent N-loading not exceeding the system's capacity resulted in lower  $\text{NO}_2^-$   
405 accumulation and  $\text{N}_2\text{O}$  emissions. Similarly, our model predicted the increase in  $\text{N}_2\text{O}$  emissions  
406 after applying higher  $S_{\text{NH}_4}$  influent concentrations along with lower DO.

407 However, it is noted that the N-removal via  $\text{NO}_2^-$  was prolonged with the NOB growth and decay  
408 parameters from Jubany et al. [31]. Nitritation occurred at around  $0.8 < \text{DO} < 1.8 \text{ mg L}^{-1}$  with the  
409 parameters from Hiatt and Grady [24], whereas at around  $0.8 < \text{DO} < 2.2 \text{ mg L}^{-1}$  with the  
410 parameters from Jubany et al. [31] (Fig. 4). The NOB growth and decay rates of Jubany et al.  
411 [31] are 23.5% and 43.5% higher, respectively, than the ones of Hiatt and Grady [24]. However,  
412 the most important parameter affecting the N-removal via  $\text{NO}_2^-$  is the NOB-related half-  
413 saturation coefficient for oxygen. This parameter was  $1.2 \text{ mg L}^{-1}$  for Hiatt and Grady [24],  
414 whereas equal to  $1.75 \text{ mg L}^{-1}$  [44, 48] for Jubany et al. [31]. This higher value increases the  
415 range of DO values leading to a limitation of NOB activity, and hence provokes a higher  
416 operational region with important  $\text{N}_2\text{O}$  emission.

417 Finally, the results obtained in this section match with past experimental observations according  
418 to which the operational parameters mostly contributing to the  $\text{N}_2\text{O}$  generation are linked to  
419 insufficient DO levels at the nitrification stage and increased  $\text{NO}_2^-$  concentration during both  
420 nitrification and denitrification [10-12].

421

### 422 3.3 Effect of the stripping effectivity (SE) on the N<sub>2</sub>O emission factor (EF)

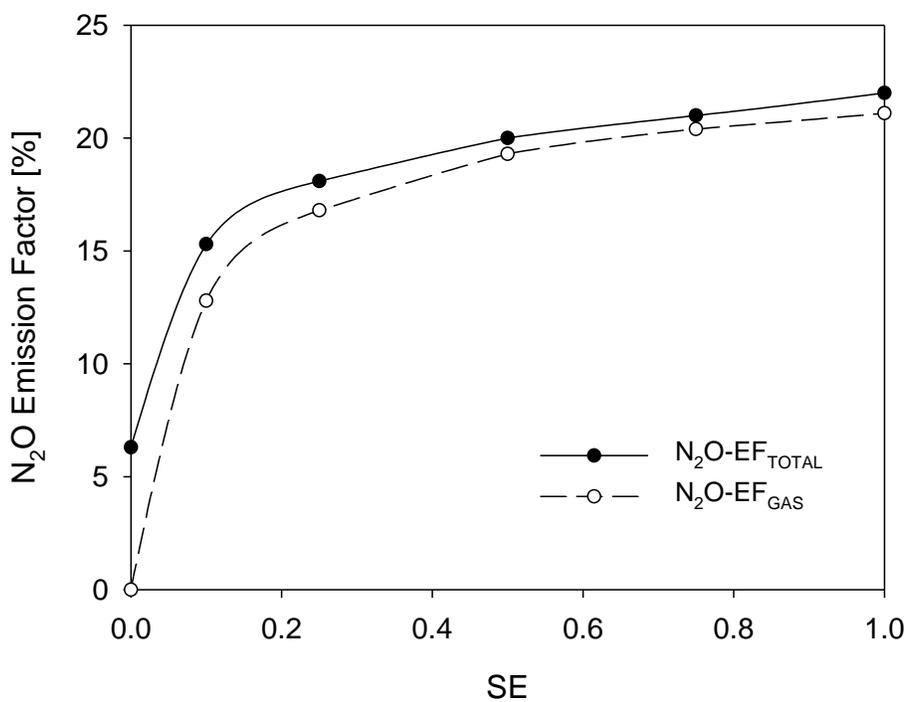
423 Even though N<sub>2</sub>O is an intermediate of heterotrophic denitrification, aerobic (nitrification-  
424 related) compartments in WWTPs are the major N<sub>2</sub>O emission hotspots. Stripping occurs during  
425 aeration and the produced N<sub>2</sub>O is emitted into the atmosphere [13, 53].

426 As mentioned in section 2.3, our modeling concerning the N<sub>2</sub>O stripping was based on the  $k_{La}$   
427 approach. Moreover, it was enriched by the SE which acted as a coefficient describing the  
428 divergence of the model prediction (Eq. 4) with respect to ideality (SE=1). Eq. 4 simplifies the  
429 real stripping process by assuming the following: i) the air bubbles are always free of N<sub>2</sub>O; their  
430 enrichment in N<sub>2</sub>O is negligible as they rise up in the basin, ii) the liquid phase (as DO or N<sub>2</sub>O  
431 concentration) has a homogeneous composition, and iii) the same  $k_{La}$  independently of the liquid  
432 depth. The combined effect of different DO levels and the highest influent S<sub>NH4</sub> value tested (i.e.  
433 40 mg L<sup>-1</sup>) on the N<sub>2</sub>O-EF under different SEs (i.e. 0, 0.1, 0.25, 0.5, 0.75, 1) was evaluated using  
434 the parameters by Hiatt and Grady [24]. It is presented in Fig. 5 for N<sub>2</sub>O-EF<sub>TOTAL</sub> (i.e.  
435 considering both the N<sub>2</sub>O stripped and the N<sub>2</sub>O contained in the effluent) as well as for the  
436 N<sub>2</sub>O-EF<sub>GAS</sub> (referring exclusively to the stripping contribution).

437 Under the application of the highest influent S<sub>NH4</sub> value tested in this study (i.e. 40 mg L<sup>-1</sup>), the  
438 trends were always similar and the maximum N<sub>2</sub>O-EF was always observed for a DO around 1.2  
439 mg L<sup>-1</sup>. However, the maximum absolute values differed. In specific, the maximum N<sub>2</sub>O-EF<sub>GAS</sub>  
440 values ranged from 0% (SE=0) to ~21.1% (SE=1), while the maximum N<sub>2</sub>O-EF<sub>TOTAL</sub> values  
441 were between 6.3% (SE=0) and ~22% (SE=1). In other words, the SE increase led to a general  
442 rise in the EF. This was sharper in the beginning (SE: 0→0.1) and, then, more gradual (SE:  
443 0.25→1) (Fig. 5). The observed trend reflects that a lower SE gives more chances for N<sub>2</sub>O to

444 follow the denitrification pathway (reaction 4 of denitrification in Fig. 2), thus favouring its  
445 consumption instead of its stripping.

446 For each of the SE values tested, the  $N_2O$ - $EF_{TOTAL}$  was always higher than the respective  
447  $N_2O$ - $EF_{GAS}$  one, but not significantly (Fig. 5). The latter showed that the  $N_2O$  stripping majorly  
448 contributed to the  $N_2O$  EF estimation. Only in the case of  $SE=0$  (the hypothetical case of no  
449 stripping) the contribution of the dissolved  $N_2O$  was very significant. More importantly, our  
450 results indicated that the SE factor was a very significant contributor to the final EF results.  
451 Hence, a more detailed modeling of the stripping process in the future, avoiding the  
452 simplifications previously commented can potentially increase the accuracy in the EF prediction  
453 and prevent its overestimation.



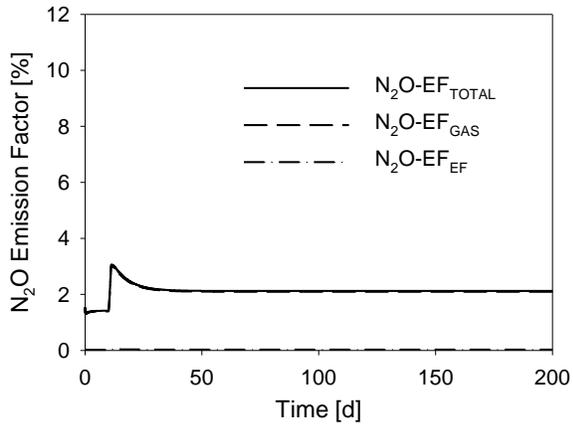
454

455 **Figure 5.** The maximum N<sub>2</sub>O emission factor (N<sub>2</sub>O-EF<sub>TOTAL</sub> considering both the N<sub>2</sub>O stripped  
456 and the N<sub>2</sub>O contained in the effluent; N<sub>2</sub>O-EF<sub>GAS</sub> referring exclusively to the contribution of the  
457 N<sub>2</sub>O stripping) observed for the different SE values (0, 0.1, 0.25, 0.5, 0.75, 1) tested during our  
458 simulations. The influent S<sub>NH4</sub> value was considered equal to 40 mg L<sup>-1</sup> and the parameters were  
459 retrieved from the study by Hiatt and Grady [24].

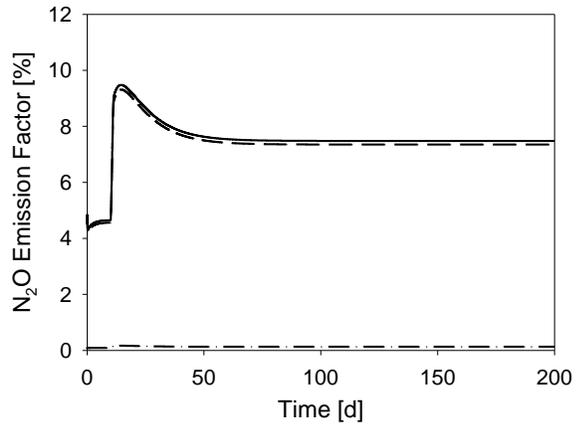
460

### 461 **3.4 Modeling of dynamic N<sub>2</sub>O emissions under disturbances**

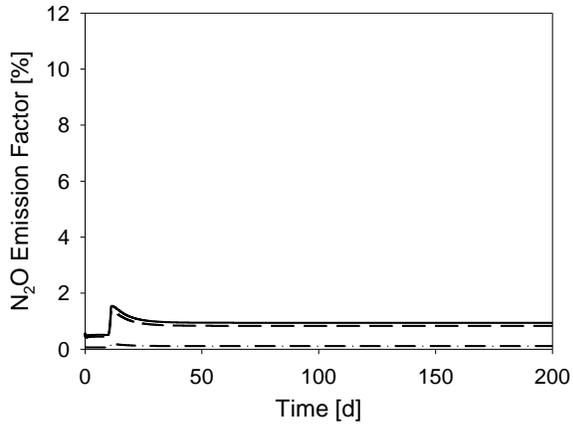
462 An additional goal of this work was to examine how the N<sub>2</sub>O emissions were influenced by  
463 influent disturbances under different DO scenarios. Transition states after a disturbance are the  
464 most favourable scenarios for intermediates accumulation and, thus, higher N<sub>2</sub>O emissions. As  
465 an example, the effect of a S<sub>NH4</sub> concentration increase in the influent was studied (as a ‘step’  
466 increase from 20 to 30 mgN L<sup>-1</sup> on the 10<sup>th</sup> day of the plant operation). This was examined for  
467 various scenarios with different combinations of SE and DO control values in the aerobic  
468 reactor.



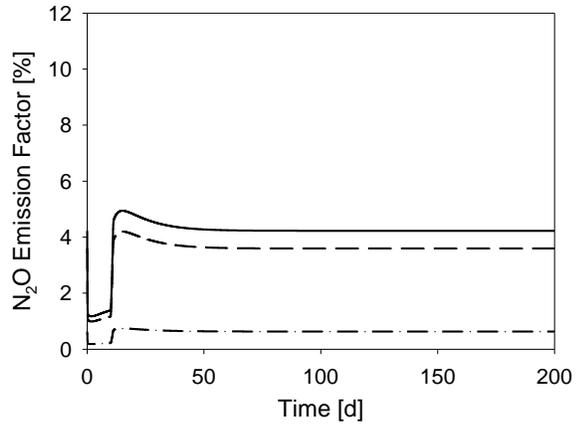
a. SE = 1 & DO setpoint = 3 mg L<sup>-1</sup>



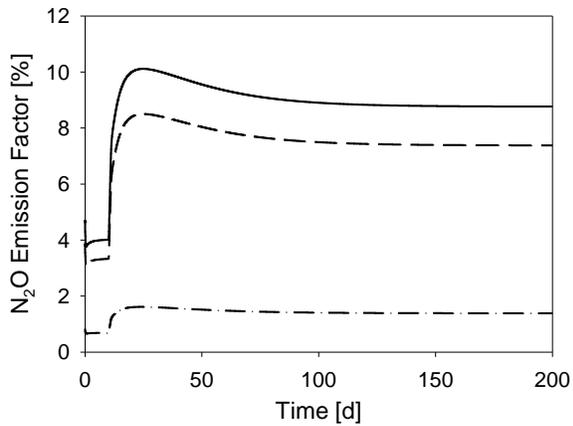
b. SE = 1 & DO setpoint = 1.5 mg L<sup>-1</sup>



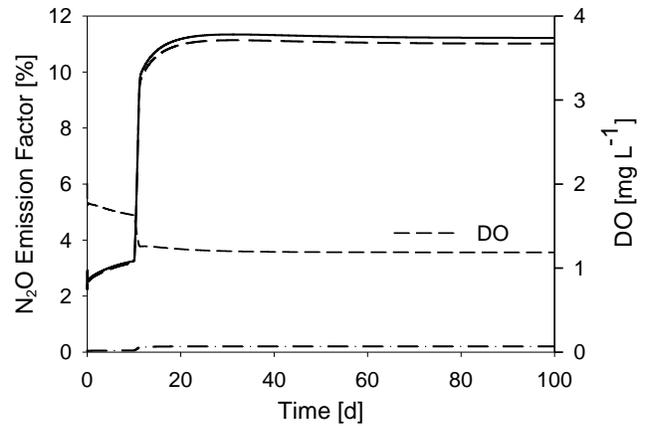
c. SE = 0.1 & DO setpoint = 3 mg L<sup>-1</sup>



d. SE = 0.1 & DO setpoint = 1.5 mg L<sup>-1</sup>



e. SE = 0.1 & DO setpoint = 1.2 mg L<sup>-1</sup>

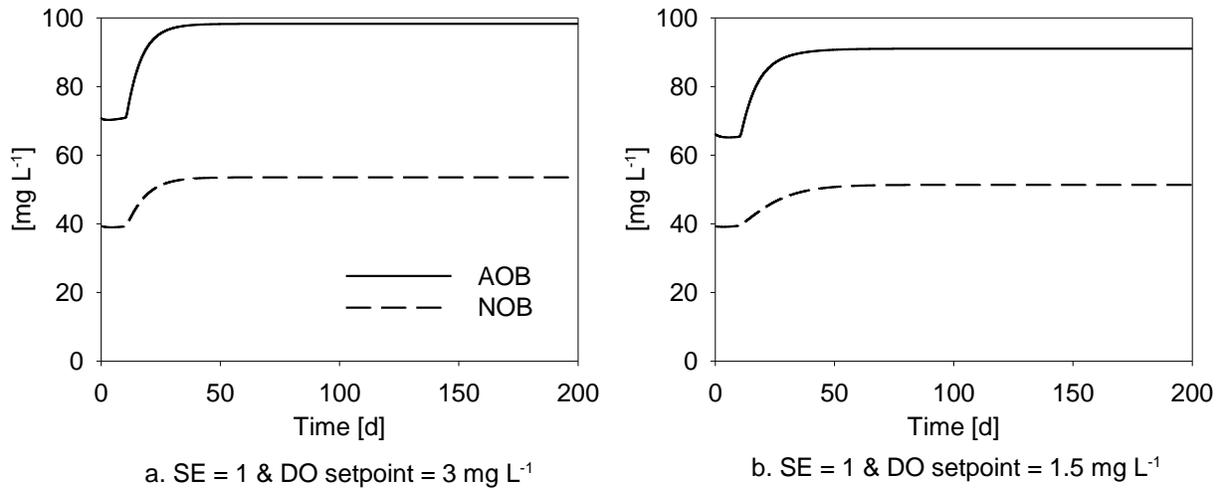


f. SE = 1 & no DO control

470 **Figure 6.** The effect of increasing the influent  $S_{\text{NH}_4}$  concentration (from 20 to 30  $\text{mgN L}^{-1}$ ) at the  
471 10<sup>th</sup> day of the plant operation on the  $\text{N}_2\text{O}$ -EF. Different SE values (1 and 0.1) and DO setpoints  
472 ( $3 \text{ mg L}^{-1}$ ,  $1.5 \text{ mg L}^{-1}$ ,  $1.2 \text{ mg L}^{-1}$  and no DO control) were tested.

473

474 For the scenarios a and b, the SE was 1 to enable the observance of the full stripping effect under  
475 the sudden change of the operational conditions. The fast  $S_{\text{NH}_4}$  increase resulted in a rapid  
476 increase of  $\text{N}_2\text{O}$  emissions. The  $\text{N}_2\text{O}$ -EF<sub>TOTAL</sub> presented the following trends: 1.4→3.1% almost  
477 up to the 12<sup>th</sup> day of operation (scenario a) and 4.5→9.6% until the 17<sup>th</sup> day (scenario b). Then, a  
478 gradual EF reduction started until it was stabilized at lower levels: at ~2.1% after the 30<sup>th</sup> day  
479 (scenario a), and at ~7.5% after the 40<sup>th</sup> day (scenario b) (Fig. 6). The DO control setpoint in case  
480 b was significantly lower than in scenario a; thus, higher absolute EF values were expected as  
481 previously seen in Fig. 4A. Under such conditions, the AOB bacteria are known to induce  
482 nitritation, use  $\text{NO}_2^-$  as terminal electron acceptor and, finally, produce  $\text{N}_2\text{O}$  (nitrifier  
483 denitrification pathway) [54-56]. Indeed, low DO (e.g.  $<1.5 \text{ mg L}^{-1}$ ) has been experimentally  
484 connected with the achievement of nitritation, the subsequent  $\text{NO}_2^-$  accumulation and NOB  
485 washout [57-60]. For both scenarios a and b, the downward trend of the  $\text{N}_2\text{O}$ -EF indicated that  
486 NOB were growing and performing  $\text{NO}_2^-$  oxidation. However, the fact that the final  $\text{N}_2\text{O}$ -EF  
487 never recovered its initial value implies that the NOB growth only covered part of the new  $\text{NO}_2^-$   
488 oxidation requirements. Fig. 7 shows the effect of scenarios a and b on the AOB and NOB  
489 growth. In both cases, the AOB growth was always sharper than the respective NOB one after  
490 the operational change on the 10<sup>th</sup> day. In accordance to what is seen in Fig. 7, the AOB  
491 population has been reported to prevail over the NOB under increased  $\text{NH}_4^+$  availability and  
492 controlled aeration [61].



494 **Figure 7.** The AOB and NOB evolution after increasing the influent  $S_{\text{NH}_4}$  concentration (from 20  
 495 to 30 mgN L<sup>-1</sup>) on the 10<sup>th</sup> day of the plant operation. Different DO control setpoints (3 mg L<sup>-1</sup>  
 496 and 1.5 mg L<sup>-1</sup>) were compared for a SE=1.

497

498 For the same DO levels, different SE values were tested to simulate the full and reduced  
 499 stripping effect via fixing the SE as equal to 1 and 0.1, respectively (comparison between  
 500 scenarios a and c, and comparison between scenarios b and d in Fig. 6). In terms of N<sub>2</sub>O-  
 501 EF<sub>TOTAL</sub>, the same trends were observed: a fast increase followed by a decrease with a final value  
 502 stabilized higher than the one observed before the  $S_{\text{NH}_4}$  increase. The SE decrease (from 1 to 0.1)  
 503 explains the increased distance between the lines of N<sub>2</sub>O-EF<sub>TOTAL</sub> and N<sub>2</sub>O-EF<sub>GAS</sub>. The  
 504 emissions were lower in the SE=0.1 cases (Fig. 6c and 6d) and more N<sub>2</sub>O was considered as  
 505 remaining dissolved, thus coming out in the effluent. A lower SE value (i.e. 0.1) imposes less  
 506 stripping to the system, which results in: i) an increased N<sub>2</sub>O concentration in the aerobic reactor,  
 507 and ii) an increased recycling of N<sub>2</sub>O to the anoxic reactor leading to higher N<sub>2</sub>O consumption.

508 Scenario e studies the effect of working at the DO setpoint of  $1.2 \text{ mg}\cdot\text{L}^{-1}$  and  $\text{SE} = 0.1$ , in order to  
509 show clearly the effect of working under DO conditions unfavorable to the NOB growth.  $\text{N}_2\text{O}$   
510 emissions higher than 9% were observed because of N-removal via  $\text{NO}_2^-$  and NOB washout, as  
511 detailed in sections 3.1 and 3.2.

512 However, all the scenarios previously commented (i.e. scenarios a - e) were DO-controlled; this  
513 enabled simulating how increasing aeration by the control loop allowed the maintenance of the  
514 desired DO concentration. Scenario f, though, showed that the effect of the  $S_{\text{NH}_4}$  influent increase  
515 can be higher and more persistent in a non-DO-controlled environment. The increase of  $\text{NH}_4^+$   
516 load decreases the DO concentration, and can move the system from an operational point with  
517 full nitrification to a point with N-removal via  $\text{NO}_2^-$  which explains the higher EF noted.

518 A sudden operational change imposed to the system such as the one examined in this section (i.e.  
519 a step increase in the influent  $S_{\text{NH}_4}$  from 20 to  $30 \text{ mg L}^{-1}$ ) increased the  $\text{N}_2\text{O}$  emissions. The AOB  
520 and NOB populations were affected, with the AOB growth being quicker and higher compared  
521 to the respective NOB one. Thus, N-removal via  $\text{NO}_2^-$  was increased and  $\text{N}_2\text{O}$  was produced  
522 through nitrifier denitrification. The magnitude of the emissions depended on the imposed SE  
523 value and DO control setpoint; the higher the imposed SE value, the higher the stripping effect  
524 and, thus, the anticipated emissions. Moreover, a lower DO setpoint placed the system under  
525 nitritation regime, thus creating the conditions for the activation of the nitrifier denitrification  
526 pathway. Under no DO control, the environment within the reactor became even more favorable  
527 to N-removal via  $\text{NO}_2^-$ , hence greatly increasing the EF.

528

529

### 530 3.5 Sensitivity Analysis (SA) of the developed model

531 Table 2 shows the 40 most sensitive parameters to the  $N_2O-EF_{TOTAL}$  for the two studied  
 532 scenarios with influent  $S_{NH_4}=30 \text{ mg L}^{-1}$  and  $SE=0.5$  (first:  $DO$  in the aerobic reactor= $3 \text{ mg L}^{-1}$ ;  
 533 second:  $DO$  in the aerobic reactor= $1 \text{ mg L}^{-1}$ ). The values are listed in descending order  
 534 considering the  $S_{i,j}$  absolute values calculated with Eq. 6. The sign of the sensitivity indices is  
 535 maintained since it contains information: a positive sensitivity index indicates that an increase in  
 536 the parameter results in an increase of the  $N_2O-EF_{TOTAL}$ , while a negative sensitivity suggests  
 537 that an increase in the parameter will lead to a decrease in the  $N_2O-EF_{TOTAL}$ . The results showed  
 538 in Table 2 were obtained with a perturbation factor of 0.01%. The choice on the perturbation  
 539 factor was based on the work by De Pauw [62] who suggested to use a factor producing equal  
 540 derivative values for forward and backward differences. Nevertheless, the perturbation factor did  
 541 not significantly affect the parameter categorization (data not shown).

542

543 **Table 2.** Sensitivity analysis results for the two different operational modes (first:  $DO_{AE} = 3 \text{ mg}$   
 544  $L^{-1}$ ; second:  $DO_{AE} = 1 \text{ mg L}^{-1}$ ); both with influent  $S_{NH_4}=30 \text{ mg L}^{-1}$  and  $SE=0.5$ .  $DO_{AE}$  stands for  
 545 the  $DO$  control setpoint in the aerobic reactor.

Order	$DO_{AE}=3 \text{ mg L}^{-1}$		$DO_{AE}=1 \text{ mg L}^{-1}$	
	Parameter	$S_{i,j}$	Parameter	$S_{i,j}$
1	$\mu_{NOB}$	-2.138	$Y_{AOB}$	2.233
2	$\eta_G$	1.489	$\eta_G$	1.978
3	$b_{NOB}$	1.059	$q_{AOB\_AMO}$	1.407
4	$q_{AOB\_N_2O\_ND}$	0.997	$Y_{PAO}$	1.108
5	$\mu_{AOB\_HAO}$	-0.926	$b_{AOB}$	-1.024
6	$K_{I\_O_2\_AOB}$	0.878	$\eta_{G5}$	-0.947
7	$Y_{AOB}$	0.863	$K_{OH5}$	-0.853
8	$K_{HNO_2\_AOB}$	-0.857	$q_{AOB\_N_2O\_ND}$	0.841
9	$K_{NO_2\_NOB}$	0.851	$K_{O_2\_AOB1}$	-0.738

Order	DO <sub>AE</sub> =3 mg L <sup>-1</sup>		DO <sub>AE</sub> =1 mg L <sup>-1</sup>	
	Parameter	Si,j	Parameter	Si,j
10	Y <sub>PAO</sub>	0.739	i <sub>NXS</sub>	0.674
11	K <sub>O2_NOB</sub>	0.629	Y <sub>H</sub>	-0.470
12	η <sub>G5</sub>	-0.620	Y <sub>PO4</sub>	-0.435
13	K <sub>OH5</sub>	-0.470	q <sub>PP</sub>	0.400
14	K <sub>N2O_Den</sub>	0.435	μ <sub>PAO</sub>	-0.386
15	i <sub>NXS</sub>	0.428	i <sub>NBM</sub>	-0.375
16	b <sub>PAO</sub>	-0.408	K <sub>HNO2_AOB</sub>	-0.360
17	SE	0.375	i <sub>NSF</sub>	0.338
18	Y <sub>H</sub>	-0.364	K <sub>I_O2_AOB</sub>	0.299
19	K <sub>MAX_P</sub>	0.259	K <sub>MAX_P</sub>	0.292
20	i <sub>NBM</sub>	-0.247	SE	0.223
21	μ <sub>PAO</sub>	0.246	K <sub>NH2OH_AOB</sub>	-0.209
22	i <sub>NSF</sub>	0.207	K <sub>O2_AOB_ND</sub>	0.198
23	K <sub>O2_AOB_ND</sub>	0.192	μ <sub>AOB_HAO</sub>	-0.175
24	D <sub>O2</sub>	-0.187	K <sub>N2O_Den</sub>	0.170
25	D <sub>N2O</sub>	-0.187	K <sub>S5</sub>	0.166
26	K <sub>P_P</sub>	-0.169	K <sub>F</sub>	-0.157
27	K <sub>O2_AOB2</sub>	0.167	Y <sub>PHA</sub>	-0.149
28	K <sub>S5</sub>	0.151	K <sub>NH4_AOB</sub>	-0.137
29	b <sub>H</sub>	0.149	n <sub>fe_H</sub>	-0.134
30	Y <sub>PO4</sub>	-0.135	K <sub>O2_P</sub>	-0.132
31	K <sub>P_NOB</sub>	0.122	b <sub>H</sub>	0.121
32	q <sub>AOB_AMO</sub>	-0.120	D <sub>O2</sub>	-0.111
33	q <sub>PHA</sub>	0.118	D <sub>N2O</sub>	-0.111
34	K <sub>H</sub>	-0.101	b <sub>PAO</sub>	-0.101
35	K <sub>F</sub>	-0.099	K <sub>H</sub>	-0.098
36	n <sub>fe_H</sub>	-0.094	k <sub>La</sub>	0.089
37	Y <sub>PHA</sub>	-0.094	K <sub>O2_AOB2</sub>	0.082
38	q <sub>PP</sub>	0.085	K <sub>IPP_P</sub>	-0.074
39	η <sub>G3</sub>	0.077	i <sub>PXS</sub>	-0.073
40	i <sub>PXS</sub>	-0.064	b <sub>PP</sub>	-0.071

546

547

548 Different parameter ranking was found between the two scenarios: the most sensitive parameters  
549 to the N<sub>2</sub>O-EF<sub>TOTAL</sub> factor varied under the different DO setpoints. For the DO setpoint of 3 mg  
550 L<sup>-1</sup>, the most sensitive parameters were those related to NOB metabolism, followed by those

551 related to the AOB activity and, finally, by those connected to PAO. The sensitivity of  
552 parameters referring to the NOB metabolism is important to understand potential  $\text{NO}_2^-$   
553 accumulation. The latter will inevitably lead to changes in the total  $\text{N}_2\text{O}$  emission factor through  
554 the activation/deactivation of the nitrifier denitrification pathway, as discussed in section 3.1. On  
555 the other hand, under the DO setpoint of  $1 \text{ mg L}^{-1}$ , the AOB-related parameters were the most  
556 sensitive since limited NOB growth is anticipated in a low-DO environment (Fig. 3B). Hence,  
557 the NOB-related parameters became insensitive. For this scenario, the WWTP model operates  
558 under nitritation and increased  $\text{N}_2\text{O}$  production through nitrifier denitrification is expected  
559 (section 3.1).

560 For both tested scenarios, the anoxic growth factor ( $\eta_G$ ) (i.e. the stoichiometric factor implicated  
561 in the growth of heterotrophs and PAO under anoxic conditions) had a severe impact on the  $\text{N}_2\text{O}$   
562 emission factor. Considering that this parameter affects all the anoxic processes, its perturbation  
563 will change the stoichiometry of various processes.

564 It is worth mentioning that the SE only appears in the middle range of the table (17<sup>th</sup> and 20<sup>th</sup> for  
565 a DO setpoint of 3 and  $1 \text{ mg L}^{-1}$ , respectively). The reference value of this parameter (0.5) is  
566 essential to understand the sensitivity results. According to Fig. 5, the SE parameter has a  
567 significant effect on the  $\text{N}_2\text{O-EF}_{\text{TOTAL}}$  while increasing from 0 to 0.2; its further increase from  
568 0.2 to 1 has a lesser influence on the  $\text{N}_2\text{O-EF}$  values. Had this parameter been set at a lower  
569 value, its relative sensitivity would have increased.

570 Moreover, the conversion factors mostly affecting the  $\text{N}_2\text{O-EF}_{\text{TOTAL}}$  were those related to the N-  
571 content ( $i_{\text{NXS}}$ ,  $i_{\text{NSF}}$ ) of state variables  $X_S$  and  $S_F$ . The latter can be justified by their interference in  
572 the calculation of the  $N_{\text{IN}}$  content (Eq. 2) and their subsequent effect on the  $\text{N}_2\text{O-EF}_{\text{TOTAL}}$   
573 estimation (Eq. 1.1).

574 Finally, we examined Table 2 again to see if any common parameters appeared in the first ten  
575 places for both scenarios. It was noted that  $n_G$ ,  $q_{AOB\_N2O\_ND}$  (maximum  $N_2O$  production rate by  
576 the nitrifier denitrification pathway),  $Y_{PAO}$  (yield coefficient for the PAO) and  $Y_H$  (yield  
577 coefficient for the heterotrophs) were amongst the first ten parameters for both DO setpoints; all  
578 with positive sensitivity. Hence, it can be deduced that decreasing these values leads to a  
579 decrease in the  $N_2O$ - $EF_{TOTAL}$ . The  $n_G$ ,  $Y_{PAO}$  and  $Y_H$  stoichiometric parameters, in specific, are  
580 included in the stoichiometry of the processes referring to the anoxic growth of PAO and  
581 heterotrophs. These processes can indeed be considered as significantly influencing the EF since  
582 they occur in an anoxic environment where  $N_2O$  can be consumed through denitrification. These  
583 results also show that the inclusion of PAO in our model has a significant impact in the EF  
584 related to the denitrification of  $N_2O$ . Lastly, the impact of the  $q_{AOB\_N2O\_ND}$  kinetic parameter  
585 proved to be important in both scenarios. Given that  $q_{AOB\_N2O\_ND}$  expresses the  $N_2O$  production  
586 rate through nitrifier denitrification, this observation indicates that nitrifier denitrification is  
587 probably the most important pathway to consider for the  $N_2O$  mitigation.

588

#### 589 4. Conclusions

590 In this work, an ASM2d- $N_2O$  model including COD, N and P removal along with all the known  
591  $N_2O$  microbial pathways was developed for a municipal  $A^2/O$  WWTP, which can be highly  
592 useful for the estimation of the  $N_2O$ -EF. The following major conclusions were reached:

- 593 • Plant operators often opt for lower aeration to decrease a WWTP's energy requirements.  
594 With the aerobic DO ranging from 0.8 to 1.8 mg  $L^{-1}$ , the AOB prevailed over the NOB,  
595 thus promoting the shift from full to partial nitrification and, subsequently, the  $N_2O$

596 production through nitrifier denitrification. Due to the important N<sub>2</sub>O GWP, this  
597 operational change can result in a high final overall WWTP carbon footprint.  
598 Consequently, low aeration is desired only if it does not disturb the nitrification process.

- 599 • A SE coefficient (from 0 to 1) was added to reflect the non-ideality of the stripping  
600 modeling. Decreasing the SE was translated into higher N<sub>2</sub>O concentration in the mixed  
601 liquor; the latter led to a higher N<sub>2</sub>O denitrification rate and lower emissions.
- 602 • The effect of a sudden increase in the influent S<sub>NH4</sub> from 20 to 30 mg L<sup>-1</sup> was simulated.  
603 The AOB predominance over the NOB enabled NO<sub>2</sub><sup>-</sup> accumulation and increased the  
604 nitrifier denitrification pathway. Higher emissions were observed under the following  
605 conditions: lower DO setpoints that created an environment more advantageous to  
606 nitrifier denitrification combined with higher SE values that raised the significance of the  
607 stripping effect.
- 608 • The sensitivity analysis showed that the NOB-related parameters had minor influence  
609 over the N<sub>2</sub>O-EF under low-DO conditions, given the limited NOB growth at low DO.  
610 However, they were very significant at high DO due to its effect on the NO<sub>2</sub><sup>-</sup> oxidation  
611 rate. The parameters n<sub>G</sub>, q<sub>AOB\_N2O\_ND</sub>, Y<sub>PAO</sub> and Y<sub>H</sub> were amongst the top ten for both DO  
612 setpoints tested. n<sub>G</sub>, Y<sub>PAO</sub> and Y<sub>H</sub> are related to the N<sub>2</sub>O consumption through  
613 denitrification. q<sub>AOB\_N2O\_ND</sub> indicates that nitrifier denitrification is probably the most  
614 important pathway to consider for the N<sub>2</sub>O mitigation.

615

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622 Guisasola and B. Solís are members of the GENOCOV research group (*Grup de Recerca*  
623 *Consolidat de la Generalitat de Catalunya*, 2014 SGR 1255).

624

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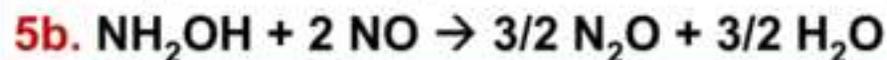
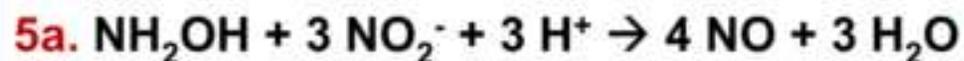
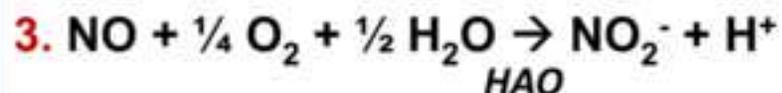
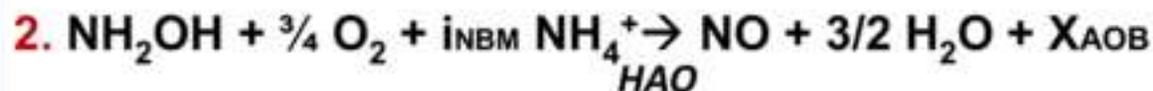
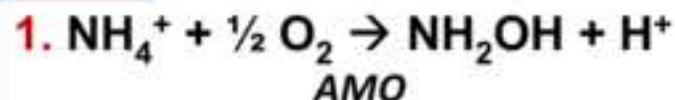
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Figure 2

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$$(5a + 2 * 5b)/3 = 5$$

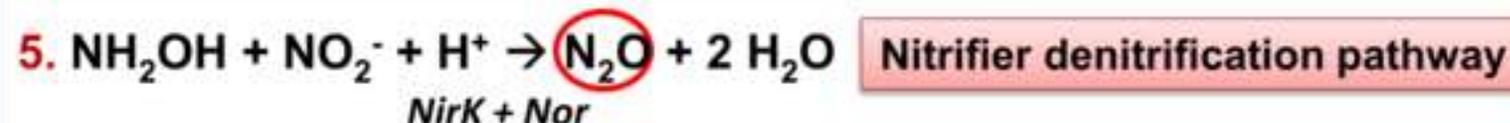
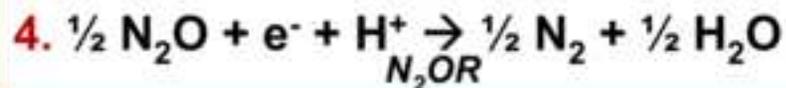
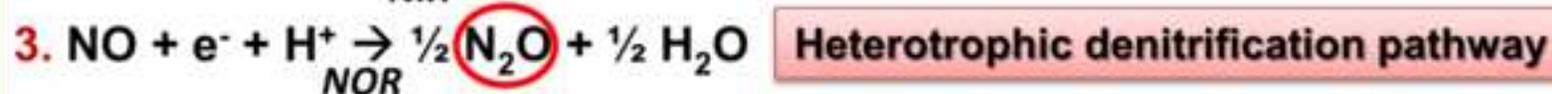
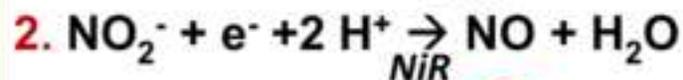
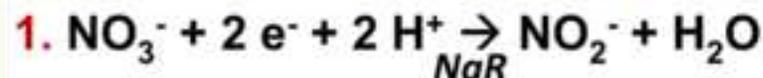
**Heterotrophic Denitrifiers**

Figure 3  
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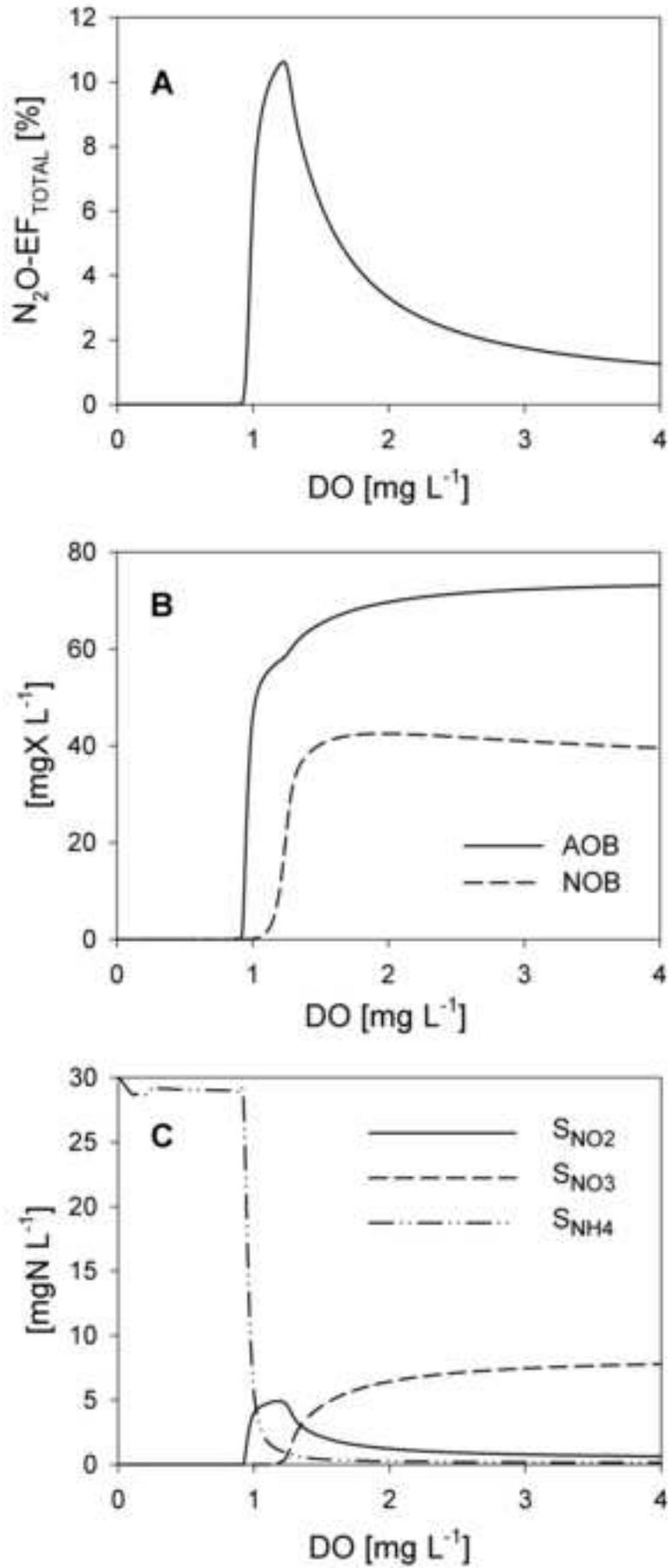
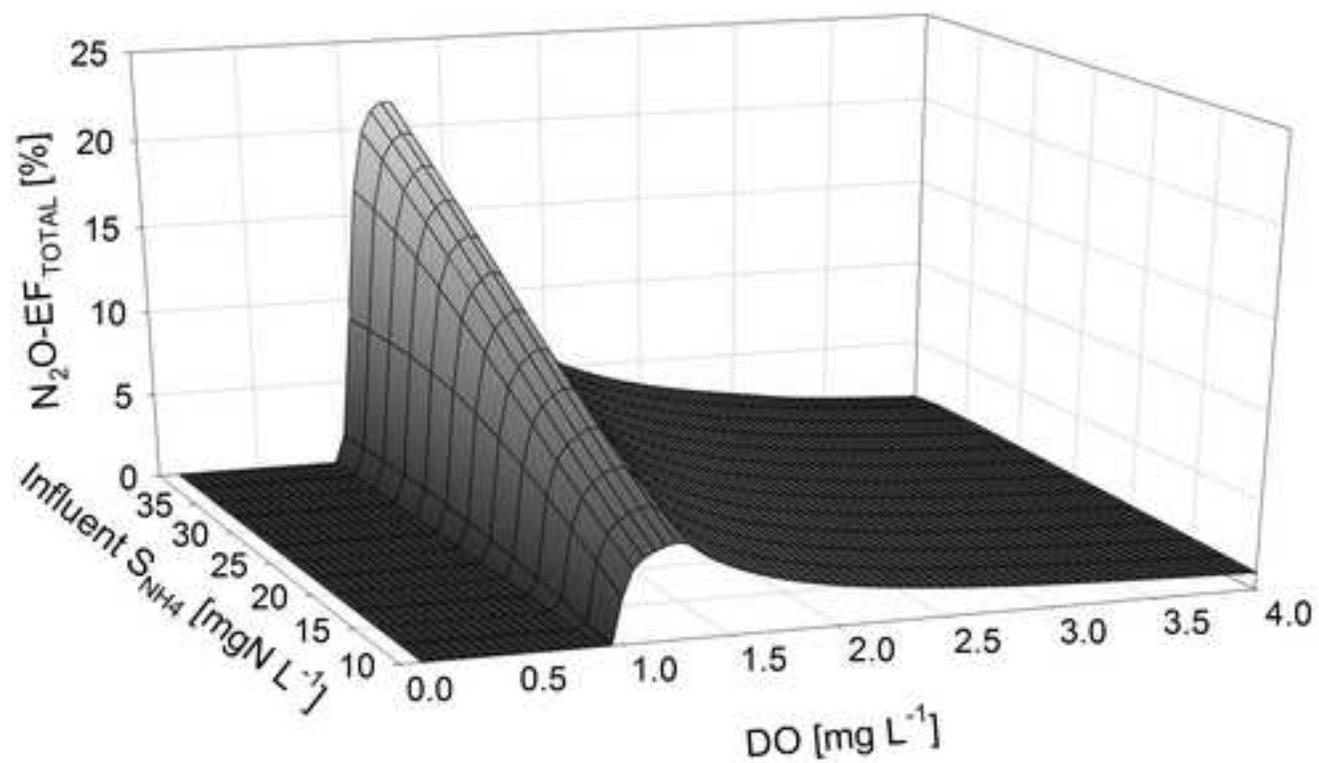


Figure 4

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**A** NOB growth & decay parameters from Hiatt and Grady



**B** NOB growth & decay parameters from Jubany et al.

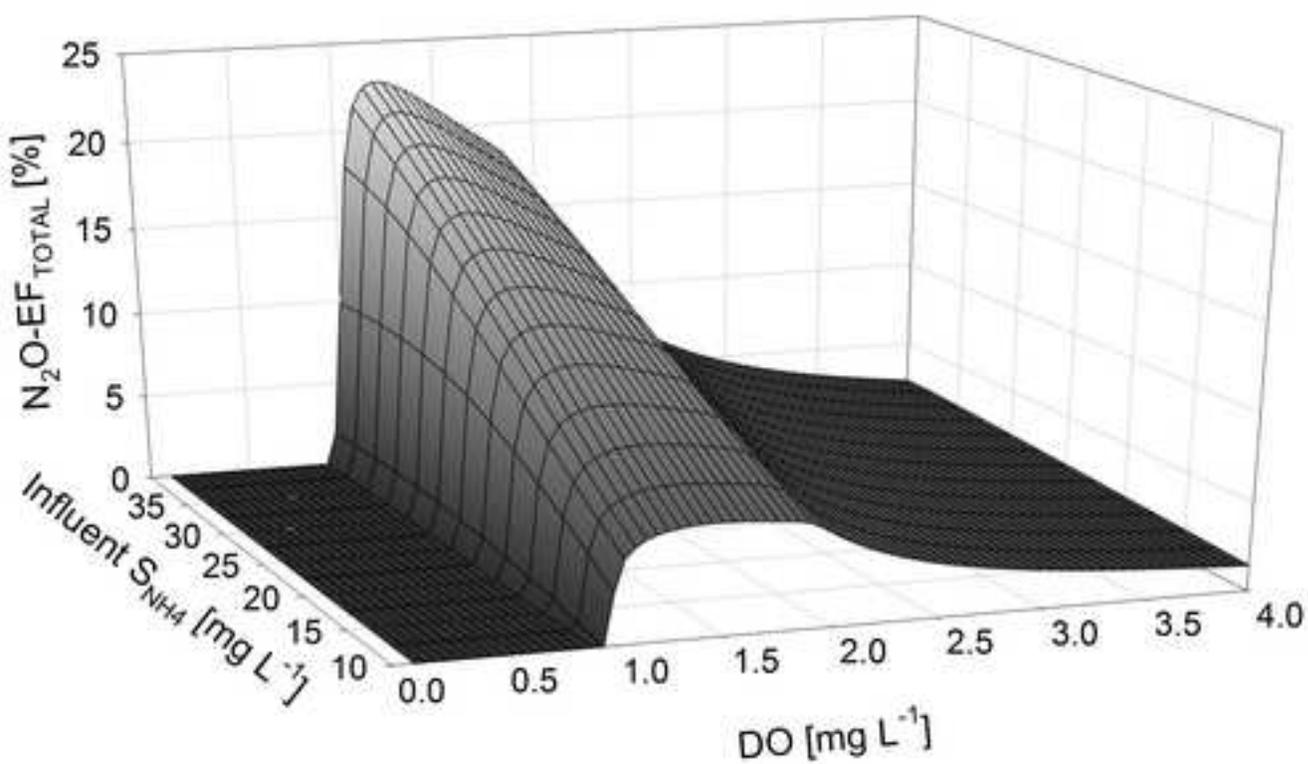


Figure 5  
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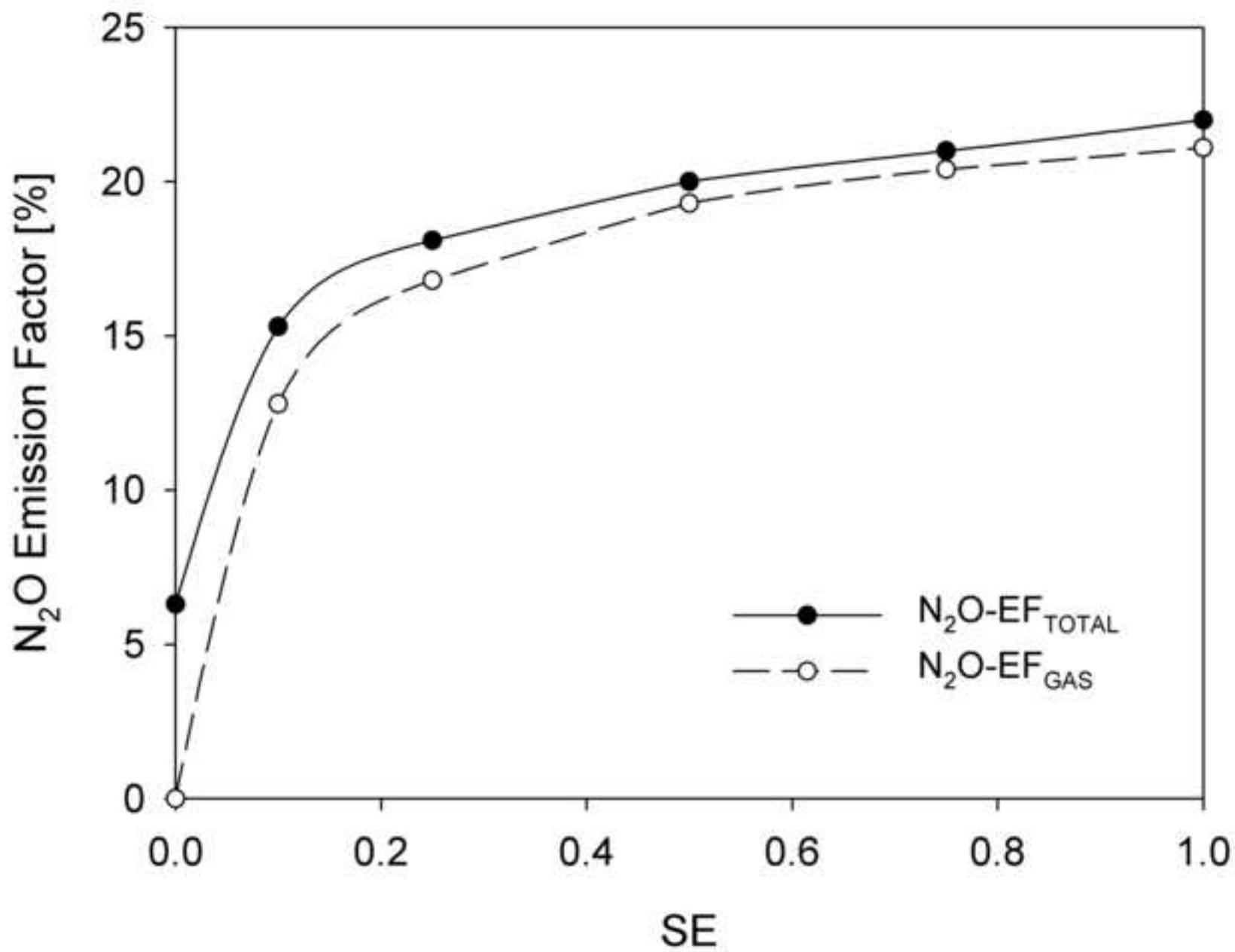
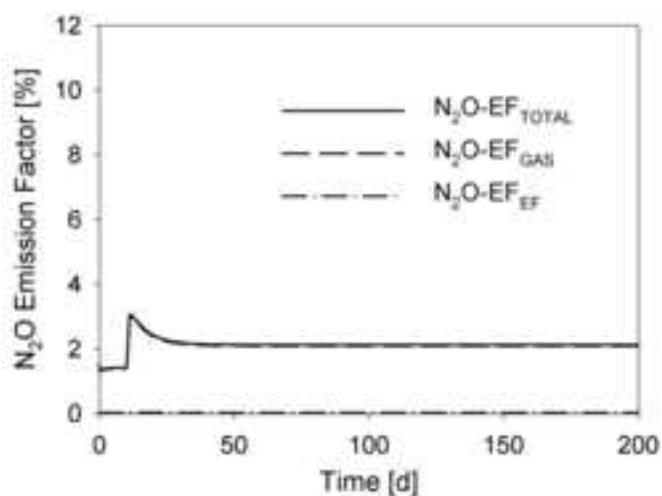
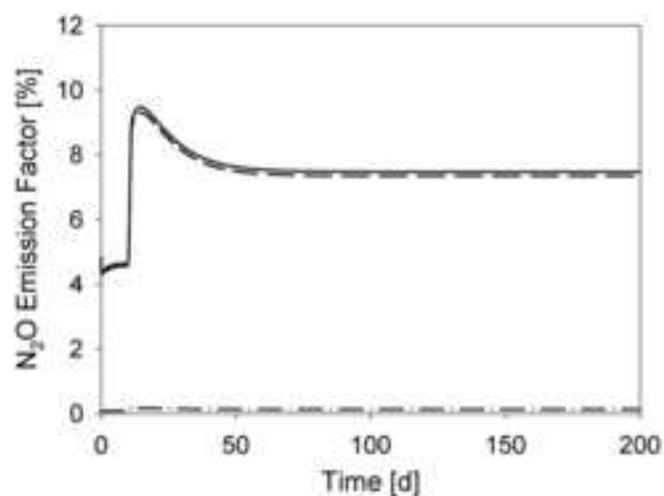


Figure 6

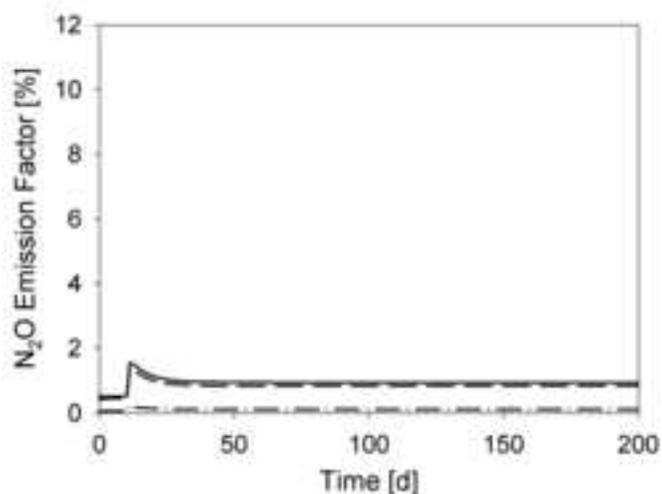
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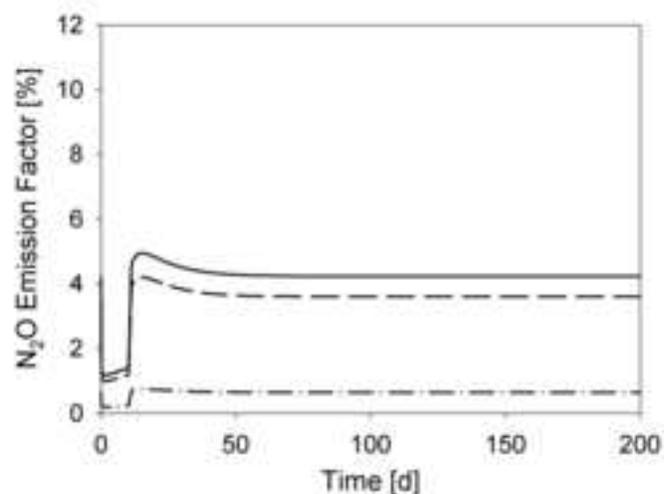
a. SE = 1 & DO setpoint = 3 mg L<sup>-1</sup>



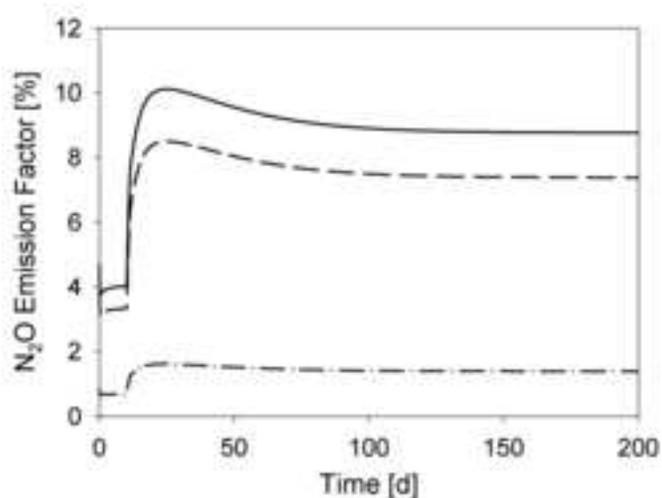
b. SE = 1 & DO setpoint = 1.5 mg L<sup>-1</sup>



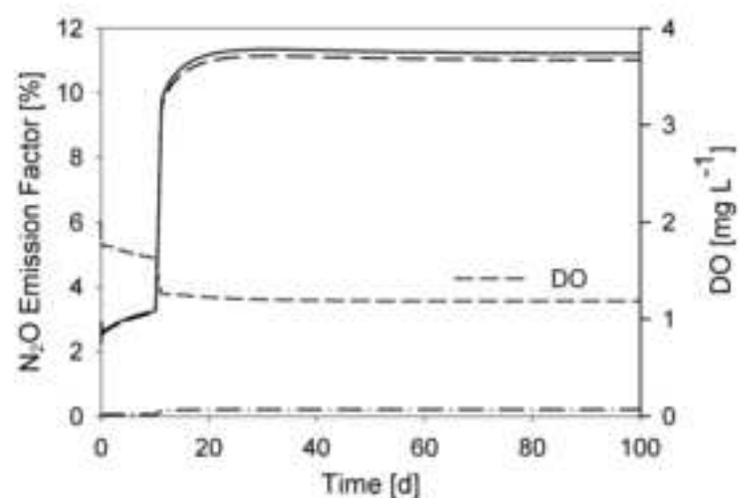
c. SE = 0.1 & DO setpoint = 3 mg L<sup>-1</sup>



d. SE = 0.1 & DO setpoint = 1.5 mg L<sup>-1</sup>

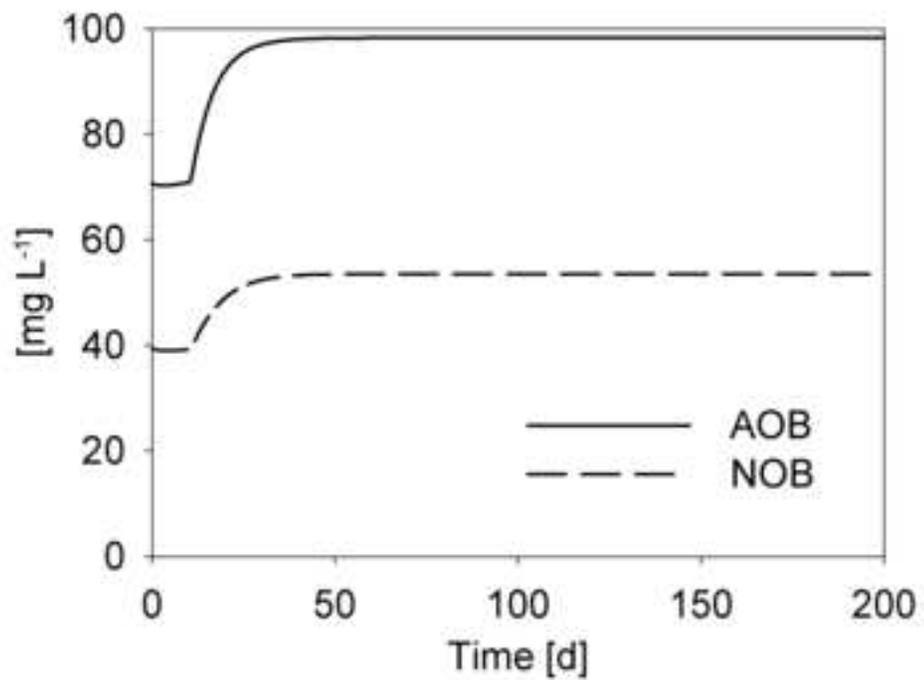


e. SE = 0.1 & DO setpoint = 1.2 mg L<sup>-1</sup>

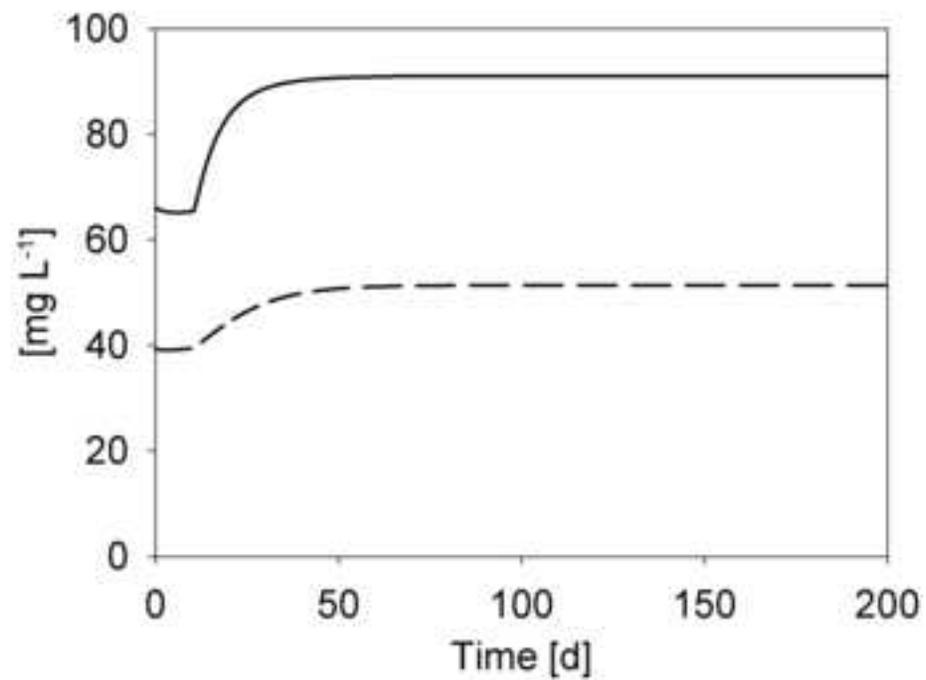


f. SE = 1 & no DO control

Figure 7  
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a. SE = 1 & DO setpoint = 3  $\text{mg L}^{-1}$



b. SE = 1 & DO setpoint = 1.5  $\text{mg L}^{-1}$

**Supplementary Material**

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