

Letter

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Atomic Resolution Monitoring of Cation Exchange in CdSe-PbSe Heteronanocrystals during Epitaxial Solid–Solid–Vapor Growth

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13 **Supporting Information**

ABSTRACT: Here, we show a novel solid-solid-vapor (SSV) growth 14 mechanism whereby epitaxial growth of heterogeneous semiconductor 15 nanowires takes place by evaporation-induced cation exchange. During 16 heating of PbSe-CdSe nanodumbbells inside a transmission electron 17 microscope (TEM), we observed that PbSe nanocrystals grew epitaxially 18 at the expense of CdSe nanodomains driven by evaporation of Cd. 19 Analysis of atomic-resolution TEM observations and detailed atomistic 20 simulations reveals that the growth process is mediated by vacancies. 21



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) oth the synthesis and design of heteronanocrystals 2.4 **D** (HNCs) have undergone a rapid development, whereby 25 26 PbSe and CdSe NCs are key materials acting as functional 27 building blocks within a wide variety of heterogeneous 28 nanostructures.¹⁻⁸ PbSe-CdSe HNCs are of particular interest 29 as they can exhibit properties different from individual PbSe 30 and CdSe dots. The presence of two semiconductor quantum 31 dots connected via a well-defined interface opens new 32 possibilities for tailoring the optoelectronic properties.^{1,4-7,9} 33 Heat treatment of HNCs can induce new interface 34 designs,^{5,10–13} exemplified by the transformation of PbSe/ 35 CdSe core/shell systems into PbSe-CdSe bihemispheres.⁵ Here, 36 we report an in situ heating-induced epitaxial PbSe NC domain 37 growth at the solid-solid PbSe-CdSe nanointerface through 38 cation exchange. We show that Pb replaces Cd at the PbSe/ 39 CdSe interface, resulting in growth of the PbSe phase at the 40 expense of the CdSe phase. The incorporated Pb is originating 41 from Pb-oleate present as excess stabilizer at the surface of the 42 mature PbSe/CdSe HNCs.

⁴³ Vapor-liquid-solid (VLS)¹⁴⁻¹⁶ and vapor-solid-solid ⁴⁴ (VSS)^{17,18} growth mechanisms are commonly applied nowa-⁴⁵ days in nanochemistry to epitaxially grow semiconductor ⁴⁶ nanowires from the elements dissolved in a liquid (VLS) or ⁴⁷ solid (VSS) domain. In analogy with these growth mechanisms, the currently observed process could be called solid-solid- 48 vapor (SSV) growth as the Cd evaporates, either as neutrally 49 charged Cd atoms or in a molecular complex such as Cd-oleate. 50

Figure 1a shows a HAADF-STEM image (high angle annular 51 fi dark field scanning transmission electron microscopy) of CdSe- 52 PbSe dumbbell HNCs, consisting of CdSe nanorods with PbSe 53 tips at both ends. In this imaging mode, the intensity scales with 54 Z^2 , where Z is the atomic number. As Pb has a higher Z than 55 Cd, PbSe NCs exhibit brighter contrast than the CdSe 56 nanorods. When the HNCs were heated to 160 °C with a 57 heating rate of 10 degrees/min and annealed at this 58 temperature for 5 min, the bright contrast corresponding to 59 PbSe was observed not only at the tips but also extended 60 gradually inside the nanorod domain (solid arrows in Figure 61 1b), showing that the PbSe phase grows at the expense of the 62 CdSe phase. When the HNCs were heated to 200 °C with the 63 same heating rate and annealed at this temperature for 5 min, 64 the bright contrast was observed over the entire nanorod in 65 some nanorods (solid arrows in Figure 1c). The evolution of 66 this growth was seen to initiate mostly from one PbSe tip 67

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Figure 1. HAADF-STEM images and chemical mapping of the nanodumbbells before and after heating. (a) HAADF-STEM image of CdSe-PbSe nanodumbbells. The PbSe tips exhibit brighter contrast than the CdSe nanorods due to Z-contrast. (b,c) Dumbbell HNCs at 160 °C (b) and at 200 °C (c), showing gradual extension of PbSe domains at the expense of CdSe. A heating rate of 10 degrees/min was used in the in situ studies and the HNCs were annealed at the indicated temperatures for 5 min before imaging. Dumbbell HNCs with solid arrows transformed totally to brighter contrast with heating. This phenomenon occurred mostly from one side, though it can proceed from both PbSe domains as well (dumbbell with dashed arrows in panel c). (d–o) HAADF-STEM images and corresponding STEM-EDX elemental maps of dumbbell heteronanostructures annealed for 5 min at temperatures of (d–g) 100 °C, (h–k) 170 °C, and (l–o) 200 °C. In panels d–g, HNCs are in original dumbbell state with PbSe tips and CdSe nanorod. In panels h–k, a partially transformed nanorod is present. In panels l–o, two PbSe-CdSe HNCs became full PbSe domains. The Se remains in place during the transformation. Note that the contrast is maximized in each individual image; hence, intensities of different mappings cannot be directly compared. Quantitative analyses are provided in the Supporting Information.

68 domain (Supporting Information Movies S1 and S2), though it 69 can also proceed from both PbSe tip domains (dashed arrows 70 in Figure 1b and c).

⁷¹ Chemical mapping by means of energy-dispersive X-ray ⁷² spectrometry (EDS) using a Chemi-STEM detector (see ⁷³ Methods and Supporting Information) was performed to ⁷⁴ provide further evidence of the chemical transition. Figure 1d– ⁷⁵ g shows the initial state of the HNCs at 100 °C with CdSe ⁷⁶ nanorods and PbSe tips. Figure 1f shows that Pb is also present ⁷⁷ at the lateral surfaces of the CdSe nanorods, pointing to ⁷⁸ adsorbed Pb-oleate molecules. The dumbbell depicted with an ⁷⁹ arrow in Figure 1h underwent a transformation, after which half ⁸⁰ the nanorod exhibited a bright contrast. With annealing at 170 ⁸¹ °C for 5 min, the elemental maps of this dumbbell in Figure li–k shows that Pb is indeed present in the bright contrast 82 regions and that Cd is absent. We, therefore, conclude that Cd 83 started to sublimate (as neutral Cd atoms or in a molecular 84 form) and that at the same time, PbSe was formed by Pb 85 incorporation. Upon further heating to 200 °C and 5 min 86 annealing at this temperature, two nanorods (indicated with 87 arrows in Figure 11) exhibited a bright contrast over their entire 88 length. Elemental maps (Figure 1m–o) showed that Cd is no 89 longer present and the nanorod completely transformed into 90 PbSe. Disappearance of Cd from a nanostructure was also 91 reported by De Trizio et al. 12 during a heating of sandwich- 92 morphology CdSe/Cu₃P/CdSe HNCs. Note that a complete 93 transformation occurred very rarely (in about one percent of 94 the cases). Further heating of partially cation-exchanged 95



Figure 2. Atomic-resolution HAADF-STEM images of CdSe-PbSe HNCs. PbSe has cubic rock salt (RS) crystal structure with a lattice constant²⁰ of 6.13 Å, whereas CdSe has a hexagonal wurtzite (WZ) crystal structure with lattice parameters²¹ a = 4.29 Å and c = 7.01 Å. The CdSe WZ (0002) spacing is 3.5 Å and PbSe RS (200) spacing is 3.1 Å. With heating from 160 °C (a) to 180 °C (b) with a heating rate of 10 degrees/min, WZ CdSe nanorods started to transform to RS PbSe. The insets are Fourier transforms (FTs) taken from the white squares in each image. The spot depicted with an arrow in the inset FT of panel a corresponds to WZ CdSe(0002) spacing. It disappeared in the inset FT of panel b, confirming the WZ to RS transformation. Supporting Information Movie S4 shows the transformation with atomic resolution. (c) HAADF-STEM image of a PbSe-CdSe dumbbell HNC. Stacking faults and a dislocation are present in the CdSe nanorod domain. The interface at the left-hand side is {111}PbSe/{0001}CdSe (panel d), whereas the interface at the right-hand side is {100}PbSe/{0001}CdSe (panel f).

 $_{96}$ nanodumbbells led to dissociation of the domains (Supporting $_{97}$ Information Movie S3). The transformations took place $_{98}$ everywhere on the substrate, not only in areas that were $_{99}$ previously examined with the electron beam. The field of view $_{100}$ was changed frequently in order to avoid beam effects when $_{101}$ monitoring the evolution of the HNCs.

¹⁰² As a result of the cation exchange from CdSe to PbSe, the ¹⁰³ crystal structure transformed epitaxially from hexagonal wurtzite (WZ) to cubic rock-salt (RS). Figure 2 and Supporting $_{104\ f2}$ Information Movie S4 show this transformation at atomic $_{105}$ resolution. When the HNC was heated from 160 °C (Figure $_{106}$ 2a) to 180 °C (Figure 2b) with a heating rate of 10 degrees/ $_{107}$ min, the brighter intensity corresponding to PbSe advanced $_{108}$ into the CdSe region. The PbSe RS (200) lattice spacings $_{109}$ started to appear along the nanorod domain instead of the $_{110}$ CdSe WZ (0002) lattice spacings, as confirmed by the Fourier 111



Figure 3. Force-field MD simulations of the PbSe-CdSe nanodumbbells. (a) Overview image showing the final configuration of a dumbbell obtained after MD simulation at a temperature of 500 K for 5 ns. The ball–stick presentation was used to show the structure of the interfaces. The yellow, purple, and blue spheres are Se, Cd, and Pb atoms, respectively. (b) Magnified image of the {100}PbSe/{0001}CdSe interface at the left-hand side of the dumbbell, and (c) magnified image of the {0001}CdSe/{111}PbSe interface at the right-hand side of the dumbbell. (d,e,f) The map of the root mean square displacement (RMSD) for each atom for the same PbSe-CdSe dumbbell model at 500 K. (d) The whole PbSe-CdSe dumbbell, (e) the anion sublattice, and (f) the cation sublattice. The dumbbell was cut so that both of the surface and inner atoms can be seen. The pure red atoms correspond to those having a RMSD larger than 0.84 Å.

112 transformation (FT) patterns shown in the insets. It is clear 113 that the cation exchange takes place at the PbSe/CdSe interface 114 and propagates epitaxially (layer by layer) along the WZ (0001) 115 direction. Two types of interfaces were observed: {100}PbSe/ 116 {0001}CdSe and {111}PbSe/{0001}CdSe, similar to the 117 interfaces previously reported in the literature for PbSe/CdSe 118 and PbS/CdS HNCs.^{7,19} Sometimes both types of interfaces 119 were observed within one single dumbbell NC. Figure 2c shows 120 a HNC with the interfaces of {111}PbSe/{0001}CdSe on the 121 left (Figure 2d) and {100}PbSe/{0001}CdSe on the right 122 (Figure 2f). It is clear from Figure 2 that epitaxial PbSe growth 123 inside CdSe domain via cation exchange can advance from both 124 PbSe/CdSe interfaces.

Considering the source of Pb that is required for the epitaxial 125 126 PbSe growth in CdSe via cation exchange, we note that PbSe 127 NCs with excess Pb surface atoms (off-stoichiometric) have 128 been reported in the literature.²²⁻²⁴ Pb atoms (possibly Pb-129 oleate molecules) are also present along the CdSe nanorods (Figure 1f). From the quantification of the elemental maps (see 130 131 Methods and Supporting Information Table S1), it was found 132 that the PbSe tips contained an excess of Pb, having a cation/ 133 anion ratio of 1.3 ± 0.2 . After the transformation, the cation/ anion ratio at these PbSe tips reduced to 1.02 ± 0.14 . These 134 135 findings indicate Pb diffusion from PbSe tips toward the PbSe/ CdSe interface. Supporting Information Movie S4 verifies this, 136 whereby the bright Pb contrast propagates into the initially 137 CdSe nanorod, indicating the epitaxial growth of PbSe, whereas 138 the (PbSe) tip domain starts to lose some of its brightness, 139 140 indicating that excess Pb is consumed.

In the nanorod domains attached to the PbSe tips where taz cation exchange took place, the cation/anion ratio in the rod was reduced to 0.93 ± 0.11 due to Cd sublimation. That most han nodumbbells were not completely transformed must, hence, tas be due to the depletion of the source of Pb. The excess Pb ta6 atoms at the surfaces of the heteronanointerface diffuse toward ta7 the interface to form new layers of PbSe, but this process stops when all excess Pb has been depleted. As mentioned above, a ta9 complete transformation of the nanorods occurred only rarely. From an estimate of the number of Pb-oleate molecules that 150 could cover the surface of the nanodumbbells (assuming a high 151 surface density of 5 Pb-oleate molecules per square nano- 152 meter), it was found that for the typical dimensions of the 153 nanodumbbells in this study, the number of surface Pb atoms is 154 not sufficient to replace all the Cd atoms in the CdSe domain 155 (the number of Cd sites is at least two times larger). Therefore, 156 when a complete transformation does occur, likely also Pb 157 atoms from neighboring HNCs will have contributed to the 158 growth of the PbSe domain. This is in agreement with the 159 observation that when the nanodumbbells were lying isolated 160 on the SiN support membrane, the growth process did take 161 place but always resulted in only a partial transformation of the 162 HNCs as shown in Supporting Information Figure S21.

In order to better understand the nanoscopic growth 164 mechanism at the PbSe/CdSe interface, force-field-based MD 165 simulations were performed on HNC models, taking into 166 account various possibilities for the PbSe/CdSe interfacial 167 arrangements (details in Methods and Supporting Informa- 168 tion). Surfactant molecules are not included in the simulation 169 models, and therefore, the MD simulations serve only to study 170 the structure of and atomic mobility at the PbSe/CdSe 171 interfaces. The isolated nanodumbbell models were equili- 172 brated at 300 and 500 K for 5 ns sequentially. Figure 3a shows 173 f3 the final configuration of a nanodumbbell model after 5 ns at 174 500 K. This model has both types of the interfaces 175 ({100}PbSe/{0001}CdSe and {111}PbSe/{0001}CdSe) in 176 one HNC.

The nanodumbbell model shown in Figure 3 is structurally 178 and morphologically stable at temperatures up to 500 K. The 179 middle part of the CdSe rod and the whole PbSe tips retain 180 their initial WZ and RS structures, respectively. Structural 181 disorder was mainly found in the CdSe domains near the 182 interfaces. Compared to the {100}PbSe/{0001}CdSe interface, 183 the CdSe domain near the {111}PbSe/{0001}CdSe interface is 184 more structurally ordered. In the latter case, most of the Cd and 185 Se atoms remain at the WZ lattice sites, which is likely due to 186 the fact that the cation-terminated {0001}CdSe surface and the 187

188 anion-terminated {111}PbSe surface form a continuous polar/ 189 polar interface, whereas the lattice mismatch is small. In 190 contrast, the {100}PbSe/{0001}CdSe interface is a nonpolar/ 191 polar interface, which leads to stronger distortions in the atomic 192 lattice due to Coulombic interactions. The simulations 193 therefore suggest that the transformation at the {100}PbSe/ 194 {0001}CdSe interface will be more efficient than at the 195 {111}PbSe/{0001}CdSe interface, although this could not be 196 confirmed by the experiments as the orientation of the two 197 crystals could be determined only in a limited number of cases. 198 Not only is the atomic structure more disordered in the CdSe 199 domains near the {100}PbSe/{0001}CdSe interfaces (see 200 Supporting Information Figure S22 for a planar view of the 201 atomic bilayers parallel to the interface), the simulations also 202 show an unusually high mobility of the Cd atoms in the few 203 first atomic layers from the PbSe/CdSe interface, as evidenced 204 by the map of the root mean square displacement (RMSD) for 205 each atom (Figure 3d-f). Those atoms with the highest 206 mobility (red atoms) are mostly Cd atoms near the interfaces 207 or on the surface, indicating that the cation exchange occurs 208 only very close to the interface.

209 The experimental observations and the MD simulations 210 suggest that the transformation is mediated by vacancies in the 211 Cd and Pb sublattices; evaporation of Cd results in Cd 212 vacancies at the CdSe surface. After migration of these Cd 213 vacancies to the PbSe/CdSe interface, Pb atoms can jump into 214 the vacant sites, thereby leaving behind vacancies on the Pb 215 sublattice, which will eventually recombine with excess Pb 216 absorbed at the surface of the PbSe domain. Density functional 217 theory (DFT) calculations of defect energies (see Section F in Supporting Information) confirm that upon evaporation of Cd, 218 219 both in CdSe and PbSe the defect energetics are ruled by 220 vacancies. The DFT calculations also show (Supporting 221 Information Table S10) that the Se-Frenkel defect energy (Se 222 vacancy + Se interstitial) is considerably higher (6.00 for CdSe 223 and 3.80 eV for PbSe) than the Cd-Frenkel and Pb-Frenkel 224 defect energies (3.16 and 3.30 eV, respectively). It is, thus, 225 energetically much more expensive to create defects on the Se 226 sublattice. Because the Se sublattice is not much affected by the 227 cation exchange that takes place on the (Pb,Cd) sublattice, the 228 crystallographic orientation relation between the CdSe and 229 PbSe nanodomains is retained during the transformation. This 230 is the reason that the growth process is epitaxial in nature.

The most important driving force for the growth process is the evaporation of Cd. It is well known that a chemical reaction can be efficiently driven into one direction by bringing one cat reaction product in the gas phase. Assuming that the excess Pb originates from Pb-oleate coverage of the HNC and that the Cd cat evaporates in a molecular form, the chemical reaction can be syntaxic as follows:

$$CdSe(s) + Pb-molecule(s) \rightarrow PbSe(s) + Cd-molecule(g)$$
(1)

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In the CdSe lattice, the Cd and Se atoms can be modeled as 240 ions. Bader charge analysis (details in Section E of the 241 Supporting Information) performed on the electronic charge 242 density obtained from DFT calculations shows that the effective 243 charge of the Cd cation in CdSe bulk is approximately +0.8*e*. 244 However, the Cd will evaporate only as a neutral species. 245 Because the transition from a charged Cd^{+0.8} ion to a neutral 246 Cd⁰ atom would require the nanocrystal to donate electrons, 247 we consider it more likely that Cd at the surface of the 248 nanocrystal binds to the surfactants (e.g., oleate), followed by 285

evaporation. We mention here that heating in vacuum is an 249 efficient method to detach surfactants from nanocrystals.^{20,25} 250

From the available experimental and simulation data, a 251 mechanism can now be deduced to describe the cation 252 exchange. All processes take place close to the interfaces in a 253 fast and volatile manner as demonstrated by Supporting 254 Information Movie S4. The growth mechanism is shown 255 schematically in Figure S1 of the Supporting Information and 256 can be summarized as follows. (i) Cd sublimates from the 257 surface of the CdSe nanodomains, whereby Cd vacancies are 258 formed. (ii) The Cd vacancies occupy positions at the CdSe 259 side of the PbSe/CdSe interface (Figure 3 and Supporting 260 Information Figure S22). (iii) Cation replacement takes place 261 as Pb atoms jump into vacant Cd sites in a layer by layer 262 fashion, resulting in epitaxial growth of RS PbSe at the expense 263 of WZ CdSe. (iv) The jumping Pb atoms leave behind 264 vacancies, which migrate to the PbSe surface. (v) The Pb 265 vacancies at the surface recombine with Pb ions from adsorbed 266 Pb-oleate molecules. The oleate molecule remains adsorbed at 267 the PbSe surface and possibly migrates to the CdSe domain 268 where it combines with Cd and evaporates as Cd-oleate. (vi) 269 The process is halted when the excess Pb (in the form of Pb- 270 oleate molecules) in the system is depleted. 271

The atomistic mechanism described here most likely also 272 takes place when HNCs undergo cation exchange in colloidal 273 solutions, whereby instead of evaporating, the metal-molecule 274 complex is dissolved in the solution. In the current solid– 275 solid–vapor (SSV) growth mechanism, one solid phase grows 276 epitaxially at the expense of another solid phase, efficiently 277 driven by evaporation of one element (here, Cd) with 278 simultaneous supply of another element (here, Pb coordinated 279 with a molecule). Our results show that SSV growth can 280 provide an alternative path for growing heterogeneous 281 semiconductor nanowires, especially when the lattices have a 282 partly ionic character, and therefore holds promise for 283 generating new families of heterogeneous nanostructures. 284

METHODS

The synthesis of PbSe/CdSe dumbbell nanostructures is 286 detailed in the Supporting Information. TEM specimens were 287 prepared by dropcasting 8 μ L of the NC colloidal solution onto 288 a MEMS microhot plate with electron-transparent SiN 289 membranes, which was mounted onto a DENSsolutions low 290 drift TEM heating holder.²⁵ After dropcasting, the sample was 291 plasma cleaned for 10 s in order to remove deposits from the 292 solution that prevent high-resolution imaging in the TEM. The 293 in situ experiments were performed in a 80-300 FEI Titan 294 microscope equipped with a Chemi-STEM EDX detection 295 system. During HAADF-STEM imaging, the microscope was 296 operated at 300 kV. The camera length used in the experiments 297 equals 91 mm in order to avoid diffraction effects and to 298 guarantee Z-contrast imaging. In HAADF-STEM imaging, the 299 intensity approximately scales with Z². As Pb has a higher Z 300 number than Cd, the PbSe domains appear with higher 301 intensity in HAADF-STEM images in comparison to the CdSe 302 domains.

The Chemi-STEM EDS experiments were performed using 304 the same holder and in the same 80–300 FEI Titan microscope 305 but were operated at a lower acceleration voltage of 200 kV to 306 reduce beam damage during mapping. A beam current of 307 approximately 250 pA was used during acquisition. A 308 representative spectrum is shown in Supporting Information 309 Supplementary Figure S20. In the quantification of the 310 311 elemental maps, 18 PbSe NC maps were used to determine the 312 cation/anion ratio at the PbSe tips at the initial state. For the 313 PbSe tips from where cation exchange proceeded, the elemental 314 composition of 10 different PbSe tips was quantified. For the 315 nanorod domains attached to the PbSe tips where cation 316 exchange took place, the elemental composition of 10 different 317 nanorod (transformed)-domains were quantified. Additional 318 TEM images, chemical maps, and quantitative analysis are 319 provided in Figures S2–S19 and Tables S1–S6 of the 320 Supporting Information.

For the force-field MD simulations, a new interaction 321 322 potential model for the Pb-Cd-Se system was developed. 323 The potential was found to accurately describe physical 324 parameters such as lattice parameters, elastic constants, and 325 the relative stability of phases. Details of the potential model 326 (Supporting Information Table S7) and a description of the 327 nanodumbbell models are given in Section E of the Supporting 328 Information. For simulations of the nanodumbbells, Coulomb 329 and short-range interactions were calculated by taking into 330 account all atom pairs. The equations of motion were 331 integrated using the velocity Verlet algorithm with a time step of 1 fs. Periodic boundary conditions were not used and 332 the nanodumbbell models were isolated in vacuum. Simulations 333 of 5 ns were carried out in the NVT ensemble and 1 ns was 334 used for equilibration. 335

All density functional theory (DFT) calculations on defect 336 energies and energies of mixed PbSe-CdSe phases were carried 337 out using the first-principles' Vienna Ab Initio Simulation 338 Program (VASP)²⁶ using the projector augmented wave 339 (PAW) method.²⁷ The generalized gradient approximation 340 (GGA) formulated by Perdew, Burke, and Ernzerhof (PBE) 341 342 was employed for the exchange and correlation energy terms.²⁸ 343 The cutoff energy of the wave functions was 350.0 eV. The 344 cutoff energy of the augmentation functions was about 500.0 $_{345}$ eV. The electronic wave functions were sampled on a $4 \times 4 \times 2$ 346 grid using the Monkhorst and Pack method with 8 to 20 k-347 points depending on different symmetries of supercells (108 348 atoms). Structural optimizations were performed for both 349 lattice parameters and coordinates of atoms. Different k-meshes 350 and cutoff energies for waves were tested to have a good 351 convergence (<2 meV/atom). Details are given in the 352 Supporting Information.

353 **ASSOCIATED CONTENT**

354 Supporting Information

Includes four movies (Movies S1, S2, S3, and S4), a description
of the synthesis of the PbSe/CdSe HNCs, additional HAADFSTEM images, STEM-EDX chemical maps and quantification,
force field MD simulations, and DFT calculations of the defect
energies and mixed PbSe-CdSe phases. This material is
available free of charge via the Internet at http://pubs.acs.org.

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366 Notes

367 The authors declare no competing financial interest.

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