

1 **Semi-solid Compression of Nano/Micro-Particle Reinforced Al-Cu**
2 **Composites: An *In Situ* Synchrotron Tomographic Study**

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12 **Submitted to**

13 **Materialia**

14 **In revised version**

15 **June 30, 2020**
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Abstract

24 Four-dimensional fast synchrotron X-ray tomography has been used to investigate the
25 semi-solid deformation of nano- and micro-particle reinforced aluminum-copper
26 composites (Al-10 wt% Cu alloy with ~1.0 wt% Al₂O₃ nano and ~1.0 wt% Al₂O₃ micro
27 particles). Quantitative image analysis of the semi-solid deformation behavior of three
28 alloys (base, nano- and micro-particle reinforced) revealed the influence of the
29 particulate size on both microstructural formation and dominant deformation
30 mechanisms. The results showed that initial void closure and incubation period were
31 present in the particle-free and nano-particle reinforced Al-Cu composite during semi-
32 solid compression, while the micro-particle reinforced alloy only showed continual
33 voids growth and coalescence into cracks. The results suggest that the nano-particle
34 reinforced composite have the best hot-tearing resistance amongst the three alloys.
35 Improved hot-tear performance with nano-particulate reinforcement was attributed to
36 the small liquid channel thickness, fine grain size which alters the
37 distribution/morphology of the liquid channels, more viscous inter-dendritic liquid, and
38 fewer initial voids.

39

40 Keywords: Metal matrix composites; Semi-solid deformation; Dilatancy; Hot-tearing

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42 **1. Introduction**

43 Metal matrix composites (termed as MMCs) have attracted increasing attention in
44 aerospace and automotive industries because of their outstanding properties including
45 higher strength and wear resistance as compared to conventional particle-free
46 lightweight alloys [1-4]. Casting (e.g. stir casting [5], squeeze casting [6, 7] and die
47 casting [8]) is one of the widely used preparation methods to manufacture MMCs.
48 Semi-solid processing [9] has also been used in order to obtain a uniform distribution
49 of the added particles. It is well known that the particles significantly influence
50 microstructure, processing defects, and properties including grain size [10, 11], hot
51 tearing [12-18], viscosity [19, 20] and porosity [21, 22]. Therefore, studies of MMCs
52 during semi-solid deformation are vital to improve our understanding of the semi-solid
53 structural evolution mechanisms.

54 The addition of reinforcing particles to an alloy usually modifies its semi-solid
55 constitutive behavior due to the presence of reinforcements at grain boundaries and
56 their effect on grain refinement [11, 23]. Zhang et al. [11] showed that while the addition
57 of TiB₂ particles reduced the hot-tearing susceptibility of Al-5 wt% Cu alloy via grain
58 refinement, the reinforcing particles also increased the liquid viscosity thus lowering
59 the feeding rate [11, 20], increasing hot-tearing susceptibility.

60 It is vital to have insight into the semi-solid microstructural response to the imposed
61 deformation in the materials service and their fabrication due to the strains and stress
62 induced by shrinkage and thermal contraction during the conventional casting and semi-
63 solid processing [24-26]. Tzimas et al. [27] studied the semi-solid compression
64 deformation mechanism of AA2014 and Al-10 wt% Cu alloys with equiaxed
65 microstructure at the solid fraction above 60%, and evaluated the effect of strain rate,
66 solid fraction and grain size on semi-solid deformation behavior at different
67 deformation stages. However, the authors paid little attention to the microstructural
68 changes under deformation and thus a detailed semi-solid deformation mechanism was
69 not revealed. Due to the complex, heterogeneous interaction and deformation of the
70 three phases (solid grains, interdendritic liquid phase, and voids), a few *in situ*
71 observations of microstructural changes have been carried out to study semi-solid
72 deformation mechanisms. Farup et al. [28] observed and recorded the hot-tearing of an
73 organic succinonitrile-acetone alloy by using a microscope, but there are differences
74 between metallic alloys and organic alloys such as the formation of the final eutectic
75 and oxides, and thus the conclusion obtained by the succinonitrile-acetone alloy needs

76 further verification. Recently, Davidson et al. [29] observed the dynamic deformation
77 behavior of Al-Cu alloys during solidification and tensile load by optical microscope,
78 and they found that hot-tearing started at a low load with high solid fraction (90%).
79 However, only two dimensional changes to the microstructure can be obtained via
80 optical microscope, and organic model alloys do not possess the same mechanical and
81 material physical properties as the metallic alloys. With wider availability of X-ray
82 detection technology, improved methods have been developed to directly investigate
83 the microstructural evolution of alloys during semi-solid deformation. Su et al. [16]
84 combined *in situ* X-ray radiography of semi-solid Al-Cu alloy deformation at 40~70%
85 solid fraction with simulations to capture the key microstructural feature evolution. In
86 their study, a novel and coupled lattice Boltzmann method, discrete element method
87 (LBM-DEM) mode, was established to simulate and verify the complex liquid behavior
88 and local stresses. However, the studies using X-ray radiography were performed under
89 low spatial resolution (2.5 $\mu\text{m}/\text{pixel}$), and the real 3D morphology in materials was not
90 revealed.

91 With the further development of synchrotron radiation techniques, the improved
92 temporal and spatial resolution greatly promoted the use of *in situ* studies to study
93 metals in the semi-solid state. *In situ* X-ray tomography of tensile deformation of semi-
94 solid Al-8 wt% Cu alloys was reported by Terzi et al. in 2009 [30], but the grain size
95 was very large. Phillion et al. [31] studied the semi-solid tensile deformation of Al-12
96 wt% Cu alloys through synchrotron X-ray radiography images, and summarized the
97 deformation process of three different stages under tension. Puncreobutr et al. [13]
98 directly observed the damage accumulation of semi-solid Al-15 wt% Cu alloys through
99 fast synchrotron X-ray micro-tomography during isothermal tensile deformation, and
100 they found that voids grew and coalesced from the top region to the center of the sample,
101 and that the final growth of voids was caused by insufficient liquid feeding. Very
102 recently, Cai et al. conducted uniaxial compression [14] and indentation [15] of semi-
103 solid Al-15 wt% Cu via fast synchrotron X-ray tomography. They combined a digital
104 volume correlation technique with synchrotron experiments to analyze the
105 microstructural response to various strains and finally to reveal semi-solid deformation
106 mechanism. They found that different stages of semi-solid compression were present
107 and the multitude of deformation mechanisms occurred at a microstructural level, such
108 as liquid flow, voids/cracking, dilatancy, and intra-granular deformation. Karagadde et
109 al. [24] observed *in situ* transgranular liquation cracking in localized indentation of Al-

110 15 wt% Cu globular microstructures using a similar synchrotron method. All the
111 mentioned studies have helped us better understand the deformation mechanisms
112 during semi-solid deformation of alloys. However, our understanding of the semi-solid
113 deformation mechanisms in particle reinforced metal matrix composites is still limited
114 as no *in situ* studies have been documented.

115 In this study, we investigate the semi-solid microstructural evolution and
116 deformation behavior of an Al-10 wt% Cu alloy with and without Al₂O₃ reinforcing
117 particles. The deformation behavior was quantified via fast synchrotron X-ray
118 tomography to investigate the influence of nano- and micro- particles. A bespoke
119 thermomechanical rig used in previous studies was used to perform the experiments
120 [13, 14]. The mechanical behavior was correlated to the microstructure, the motion of
121 the interdendritic liquid phase, and the formation and growth of voids. The differences
122 in void evolution, liquid channel thickness and variation in transverse cross-sectional
123 area between the three sample compositions are compared and discussed.

124 **2. Experimental methods**

125 **2.1 Materials**

126 Three alloys were prepared for semi-solid compression: (1) Al-10 wt% Cu alloy
127 (termed as P-free alloy); (2) Al-10 wt% Cu alloy with ~1.0 wt% Al₂O₃ nano-particles
128 (termed as NP composite; average particle size of ~50 nm) and (3) Al-10 wt% Cu alloy
129 with ~1.0 wt% Al₂O₃ micro-particles (termed as MP composite; average particle size
130 of ~13 μm). 99.97% pure aluminum and an Al-50wt% Cu master alloy were used as
131 starting materials. After introduction of particles (wrapped in aluminum foil) and their
132 mixing in the melt with a titanium impeller at 400 rpm, ultrasonic treatment was applied
133 for ~15 min to both particle-containing alloys at ~100-150 °C above the liquidus
134 temperature of the alloys to disperse the particles. The ultrasonic processing was
135 performed using a niobium sonotrode under an ultrasonic wave with a peak-to-peak
136 amplitude of 30 μm and a frequency of 17.5 kHz (a water-cooled magnetostrictive
137 transducer at 3 kW power). The alloys were then cast into a preheated steel mold.
138 Finally, test cylindrical samples of ~1.8 mm diameter and ~3.5 mm height were
139 machined from the cast ingots.

140 **2.2 Testing apparatus and procedures**

141 Semi-solid compression tests were performed using a bespoke mechanical test rig

142 [13] known as the P2R, which was designed for *in situ* X-ray tomographic mechanical
143 experiments [14, 20]. A laser-based heating system was used to heat the sample [32].
144 Each sample was heated to a semi-solid temperature of ~ 570 °C, corresponding to a
145 liquid volume fraction of $\sim 25\%$ (Note: the liquid volume fraction was measured and
146 determined by analysis of the 3D images), at a rate of 40 °C/min and then held
147 isothermally for 10 min. Then, the compression displacement rate was set at ~ 0.5 $\mu\text{m/s}$.
148 Each tomography scan contained 1001 projections with an exposure time of 30 ms and
149 took ~ 37 s to complete the data collection. The time interval between tomography scans
150 was ~ 50 s before the start of the next tomography scan.

151 The tomography experiments were carried out at the TOMCAT beamline of Swiss
152 Light Source (SLS, Swiss) [33] utilizing a monochromatic X-ray beam with a photon
153 energy of 38.6 keV. A PCO Edge 5.5 camera with the resolution of 1.7 $\mu\text{m/pixel}$,
154 together with a LuAg: Ce single-crystal scintillator was used to record the projected
155 images.

156 **2.3 3D image reconstruction and quantification**

157 For reconstructing the 3D tomographic datasets, a filtered back-projection
158 algorithm was used [34]. Avizo (FEI VSG, France) and ImageJ (US NIH, Bethesda,
159 MD USA) [35] software packages were used for image processing. The 3D non-local
160 mean filter [36] and 3D median filter algorithms were used to maintain edges while
161 reducing noise, which was followed by registration using a 3D affine registration
162 approach. Global thresholding was used to segment the images into solid, liquid and
163 void by Otsu [37] and Moments [38] methods after application of a background
164 detection correction algorithm to improve segmentation of the features. Voids smaller
165 than 10 voxels could be noise and were removed from the dataset. The local thickness
166 of the liquid channels was determined using the Skeletons module in Avizo [39].
167 Quantification of the cross-sectional area and void percentage changes were acquired
168 by using BoneJ [40], an ImageJ plugin. The height (l_i , where i is the tomography
169 sequence) of the sample was measured from the 3D tomographic volumes, and was
170 used to calculate the true bulk axial strain ($\epsilon = \ln \frac{l_i}{l_0}$) [14]. Note that l_0 is the height of
171 the sample before compression. Grain size was measured in 2D longitudinal cross
172 sections using the line intersection method.

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174

175 3. Results

176 Qualitative Observations

177 Figure 1 shows centre-line longitudinal slices of the P-free alloy, NP composite and
178 MP composite during the compression experiments. For each material, images of 3-4
179 load steps are shown. In this figure, the α -Al dendrites are in gray, the Cu-enriched
180 interdendritic liquid is in white, and the voids are in black. These datasets were selected
181 as they reveal critical changes occurring within the semi-solid microstructure. Initially
182 there are only a few voids visible in the P-free alloy and NP composite (Figure 1(a),
183 (d)). At low strain ($\epsilon = 0.030$; Figure 1(b) and (e)), there does not appear to have been
184 an increase in the number nor volume of internal voids. At a moderate strain ($\epsilon = 0.095$;
185 Figure 1(c)), the voids are seen to be growing and increasing in number in the P-free
186 alloy, whereas they do not appear to change significantly in the NP composite (Figure
187 1(f)). Even at a large strain, the pores in the NP composite ($\epsilon = 0.433$; Figure 1(g)),
188 appear to be small and distributed. The MP composite has contrastingly different
189 behaviour. Void nucleation and growth are already occurring at low strain (Figure 1(i))
190 to form large micropores, and they have grown and coalesced significantly by the
191 moderate strain level (Figure 1(j)). At the final strain of $\epsilon = 0.178$, the cracks formed in
192 the MP composite are concentrated (Figure 1(k)).

193 Significant dilation of this material is also observed during the deformation process,
194 which mainly occurs where voids grow and nucleate. The mid-height transverse slices
195 are shown in Supplementary Figure 1 for all three materials, to show the process of
196 dilation. The interdendritic channels dilate, increasing in width with increasing strain
197 as indicated by the orange arrows in Figure 1. This is most obvious for the MP
198 composite. In addition, the interdendritic liquid channels in the samples are much
199 thicker where voids grow greatly. This is also indicated by the orange arrows in Figure
200 1.

201 The evolution of voids at different stages can be more directly observed from 3D
202 rendered images. Figure 2 shows these with increasing strain in the three samples at the
203 same levels of strain as Figure 1; the voids are rendered in color by size with different
204 scaling used for each sample. In the P-free alloy (Figure 2(a-c)), it can be seen that the
205 size and number of voids at the strain of $\epsilon = 0.030$ has actually decreased as compared
206 to the initial state (Figure 2(a)). At the strain of $\epsilon = 0.095$, a great number of voids is
207 seen to have appeared near the compression platen, with increased size and dilation also
208 occurring in the same region. A similar phenomenon occurs in the NP composite (Figure

209 3(d-g)): in the strain range from $\epsilon = 0.030$ to $\epsilon = 0.094$ there is an incubation period
210 prior to void growth. Voids of MP composites (Figure 2(h-k)) are two or three orders of
211 magnitude larger than in the other two samples. In Figure 2(k), voids have coalesced
212 due to their rapid growth and the largest size is measured to be $8 \times 10^8 \mu\text{m}^3$.

213 **Quantitative Observations**

214 Figure 3 compares the change in total cross section area including voids and void
215 percentage of the different alloys with increasing compression. By examining Figure
216 3(a), (c), and (e), it can be seen that dilation of all three samples becomes more and
217 more obvious with increasing strain. Combining these graphs with their counterparts
218 (Figure 3(b), (d), and (f)), it is seen that the positions of dilation are consistent with the
219 main locations of void growth. Comparing the black and red lines in Figures 3(b) and
220 (d), a period of initial void closure, i.e. the void percentages at $\epsilon = 0$ are clearly larger
221 than those at $\epsilon = 0.03$ for the P-free alloy and NP composite. This matches the
222 qualitative observations of Figure 2. In contrast, the void percentage within the cross
223 section increases constantly with the strain for the MP composite.

224 Figure 4 compares the evolution of total void percentage of the three materials
225 with true axial strain, as determined by 3D datasets, in order to examine the evolution
226 in voids/pores. The Y-axis is given in the logarithmic scale to show the three curves on
227 the same graph. Beginning with the undeformed state, it is seen that the MP composite
228 contained a much larger percentage of pre-existing voids (blue line, 1.01%) as
229 compared to both the P-free alloy (red line, 0.13%) and the NP composite (black line,
230 0.03%). These pre-existing pores will start to change upon loading. For the MP
231 composite, the void fraction is seen to grow continually with increasing compressive
232 deformation to 16.11% as the true axial strain changes from $\epsilon = 0$ to $\epsilon = 0.178$. For the
233 P-free alloy and NP composite, void growth behaves differently and is significantly less
234 than the MP composite. The void percentages of both materials are seen to first decrease,
235 then fluctuate within a small value range and finally increase rapidly. The rapid growth
236 of voids begins at a strain of $\epsilon = 0.075$ for the P-free alloy, while it begins at a strain of
237 $\epsilon = 0.295$ for the NP composite.

238 Typical morphology and sizes of pores in the early stages of deformation for each
239 alloy are also given in Figure 4. As seen, voids Pf_2 and NP_2 are smaller than voids Pf_1
240 and NP_1 , respectively, suggesting the initial closure of voids upon loading, whereas
241 void MP_2 is much bigger than void MP_1 , demonstrating void growth with compression

242 in the MP composite. As the ‘2’ voids were all acquired at different true axial strains,
243 this comparison in void size and shape should be viewed as qualitative only.

244 Figure 5 shows the quantified thickness frequency distribution of local
245 interdendritic liquid channels at various strain conditions for the three materials. The
246 analysis region consists of a volume, $510 \times 510 \times 510 \mu\text{m}^3$, near the center of dilation. As
247 can be seen, the frequency distribution of the liquid channels thicknesses is similar in
248 all three materials in the initial state (Figure 5(a)). With increasing deformation first to
249 the low strain (Figure 5(b) and then the moderate strain (Figure 5(c)), the large liquid
250 channels ($>8 \mu\text{m}$) are seen to be growing in size and in frequency in the MP composite
251 while the percentage of smaller channels ($< 8 \mu\text{m}$) is reduced. In contrast, little change
252 in the liquid channel distribution is observed in the corresponding curves for the P-free
253 alloy and NP composite. Comparing the curves at the final strain (Figure 5(d)), shows
254 that the percentage of thick liquid channels ($>8 \mu\text{m}$) in the MP composite greatly
255 exceeds those for the NP composite. It is noted that the distribution of liquid channels
256 exhibits a Gaussian-like morphology for all the samples at all levels of strain, with the
257 maximum number of liquid channels having a thickness value of 4-6 μm .

258 **4. Discussion**

259 *Semi-solid Deformation Mechanisms:*

260 Previous X-ray imaging studies of semi-solid deformation, both compression [14]
261 and tension [13, 31], have identified three distinct deformation stages for Al alloys. For
262 compression, the three stages are: I. Initial void closure; II. Incubation; III. Final rapid
263 growth [14]. This three-stage deformation mode has also been observed in the present
264 experiments, specifically within the P-free alloy and NP composite. Figure 6(a-d)
265 schematically shows the microstructural evolution for the three stages for the P-free
266 alloy and NP composite that was observed qualitatively in Figures 1 and 2 and
267 quantified in Figures 4 and 5.

- 268 • Stage 1: In the initial stages of deformation, the interdendritic channels gradually
269 broaden, Figure 5, likely because of the increasing compression pressure within the
270 liquid and feeding of the liquid into gaps; the grains are also known to undergo
271 minor plastic deformation [15, 41]. However, as was shown in Figure 4, the voids
272 may also shrink due to translation of the external loads through the liquid channels
273 to the void surfaces, as well as dilation.

- 274 • Stage II: In the incubation stage, the void percentage fluctuates within a certain
275 range value, as was seen in Figure 4. As deformation further proceeds, liquid cannot
276 distribute the continuously accumulated stress. Grains start to translate and rotate
277 (this can be found in Supplementary Figure 2), leading to the formation of gaps. A
278 similar phenomenon was also reported by Cai et al. [14, 15]. As liquid feeding is
279 occurring [20], there is a dynamic balance between void growth and liquid feeding,
280 resulting in the fluctuation of void volume.
- 281 • Stage III: When the true axial strain/shear stress reaches a critical point, the voids
282 start to grow rapidly through fast self-growth coalescence activities, Figure 4.
283 Similar deformation stages were previously observed in the semisolid compression
284 of Al-15 wt% Cu [14] whose initial void percentage (~0.11% for Al-15 wt% Cu) is
285 comparable to the P-free alloy (0.13%) in this study.

286 Figure 6(e-h) shows schematically the observed compressive deformation for the
287 MP composite. The main difference between the P-free alloy / NP composite and the
288 MP composite is that the MP composite does not show an initial void closure and
289 incubation period, as voids persistently grow and the void percentage is increasing.

290 The observed semi-solid compression behaviour can also be compared to similar
291 behaviour in tension. For tension, the three stages can be summarized as [42]: I. Strain
292 localization; II. Semi-solid necking in combination with void nucleation and growth;
293 III. Coalescence and final fracture. Compressive and tensile behaviour are similar in
294 that interdendritic liquid flow towards the location of voids/cracks with increasing
295 loading; therefore, voids will grow and coalesce together. However, there is no initial
296 void-closure stage in tension as the voids continually nucleate and increase in volume.

297 Some of the local deformation mechanisms can be summarized. In semi-solids,
298 liquid feeds persistently when grains are pulled apart, and voids also keep growing
299 because the solid-liquid interfacial energy is of a magnitude order smaller than the gas-
300 liquid interfacial energy [30]. Liquid is more likely to be sucked into the Cu-enriched
301 interdendritic liquid. During semi-solid deformation, dilation is an important
302 phenomenon, as seen in the present study, which is attributed to Reynolds dilatancy
303 effects due to localization of shear bands [43, 44]. Dilation of the sample manifestly
304 occur where liquid flows into interdendritic gaps [45]. The flow of Cu-rich
305 interdendritic liquid also causes remelting, as the local Cu concentration increases,
306 reducing the liquidus temperature, melting the primary phase (see Supplementary

307 Figure 2) [14, 46].

308 *Influence of particles on hot tearing susceptibility:*

309 The results presented above indicate that the NP composite would possess the best
310 hot-tearing resistance among the three sample conditions while the MP composite
311 shows the worst hot-tearing resistance. Factors affecting hot-tearing of particle-
312 reinforced composites include grain size [10, 11, 47] and particle size/content [11, 48].
313 In this study, the grain size of three materials was measured by an intersects method,
314 and the results are summarized in Table 1.

315 Semi-solid alloys under compression have been shown to act as granular materials,
316 both compressing the interdendritic spaces, and expanding them through dilation [17,
317 24, 44]. The fluid motion of the interdendritic liquid from one region to another is,
318 therefore, a key mechanism for accommodating strain during compression. The efficacy
319 of interdendritic feeding is dependent on: 1. the width of the interdendritic channels; 2.
320 the viscosity of the interdendritic liquid, and 3. the distance over which feeding has to
321 occur. The addition of particles to the melt can change all of these. Firstly, the particles
322 can act as grain refiners, changing both the channel width and length. Secondly, the
323 particles alter the viscosity.

324 Looking first at grain size, the NP composite grains are ~19% smaller than MP
325 composite and P-free alloy. This would result in a difference in the morphology and
326 thickness of the Cu-enriched interdendritic liquid channels (as illustrated in Figure 5),
327 which in turn further affects the fluidity and feeding to voids/damage during
328 compression. With finer grain size, the interdendritic liquid is more uniformly
329 distributed before deformation and at the initial deformation stage, less torturous shape
330 of the channels can better feed interdendritic gaps via the suck-in effect upon loading
331 [11]. Smaller grains are also easier to rotate and accommodate the imposed strain,
332 therefore offering better intrinsic resistance to hot cracking.

333 In addition, the reinforcing particles are known to increase the viscosity of liquid
334 in semi-solid metals. Due to low wettability between particles and metals, most
335 particles would be found at the grain boundaries and eutectic regions after complete
336 solidification [23]. In the MP composites, the particle size (~13 μm) is mostly larger
337 than the liquid channel thickness. Therefore, particles will exist within the interdendritic
338 liquid or at the solid-liquid interface. Zhang et al. studied the hot-tearing susceptibility
339 of $\text{TiB}_2/\text{Al-5 wt\% Cu}$, and found that the fracture surface was partly covered by large
340 TiB_2 particles (~1 μm) agglomerates, which lowered the fluidity of liquid metal and

341 hindered the intergranular feeding mechanically [11]. In addition, due to lower
342 wettability between ceramic particles and the interdendritic liquid phase, the existence
343 of a three phase interface increases the surface tension and interfacial energy [30],
344 leading to an increase in viscosity (reducing fluidity), thus also resulting in an increased
345 hot-tearing susceptibility. The decline of the liquid phase feeding ability accelerates the
346 growth of initial voids and the formation of new voids. With strain increasing, the liquid
347 phase cannot feed the growing voids, and the void percentage shows a growing trend
348 without periodic growth. As for the NP composite, the nano-particle size is ~50 nm, far
349 smaller than that of the liquid channel thickness. Ultrasonic cavitation treatment of the
350 melt greatly reduces particle agglomeration, minimizing the impact of particles on the
351 fluidity of the interdendritic liquid phase. As a result, the effect of the nanoparticles on
352 the feeding ability is minimized.

353 The different initial void distribution and size in the three sample conditions may
354 also bring about effects on the deformation and hot-tearing behavior. In this study, the
355 initial void percentages of the P-free alloy and NP composite are 0.13% and 0.04%,
356 respectively, and the general size of those pre-existing pores is relatively small, while
357 the initial void percentage of the MP composite is 1.01%, which is far higher than the
358 other two samples. In addition, the size of the pre-existing pores in the MP composite
359 is much larger than in the P-free alloy and NP composite (see Figure 2 and 4). Therefore,
360 the relatively large size and volume of voids, coupled with the restricted feeding, may
361 increase the probability of nucleation and growth of hot cracks in the MP composite
362 upon external loading as cracks are easier to merge and grow. However, the detailed
363 examination of hot tearing development is outside the scope of the current study and
364 would need further investigation.

365 Sistaninia et al [49] and Su et al [50] have performed some related simulation work
366 to study the semi-solid compression behavior of alloys. For example, Sistaninia et al.
367 [49] developed a 3D coupled hydromechanical granular model that can be used to
368 directly predict the hot tear formation and stress-strain behavior in metallic alloys
369 during solidification. Simulation results show that the pressure drop, and consequently
370 hot tear formation, depends also on the compressibility of the mushy zone skeleton, in
371 addition to the lack of liquid feeding and semi-solid deformation. Su et al. [50] coupled
372 the lattice Boltzmann method and discrete element method to study the semi-solid
373 compression behavior of semi-solid Al-Cu alloys and compared the results with the
374 experimental observations. With the simulation work, factors that affect the shear rate
375 dependence of the volumetric strain and transitions are explored. Similar work, as

376 mentioned above, can be possibly performed by incorporating the particles in the liquid
377 channel into the physical model in the future study, and then the influence of particles
378 on the deformation behavior of semi-solid particle-reinforced metal matrix composites
379 can be further explored. New insights into the semi-solid deformation mechanisms can
380 then be gained.

381 **5. Conclusions**

382 *In situ* semi-solid compression of an Al-10wt% Cu alloy with and without Al₂O₃
383 nanoparticles or Al₂O₃ micro-particles was performed to compare the deformation
384 tendencies using fast synchrotron X-ray tomography. The main findings are as follows:

385 1. Void evolutions of the NP composite and P-free alloy show three distinct stages: I.
386 Initial void closure; II. Incubation period; III. Final rapid growth. On the contrary, voids
387 in the MP composite keep growing during the whole deformation process. Dilation of
388 all three samples was manifestly observed.

389 2. The void volume of the MP composite is one to two orders of magnitude larger than
390 those of the NP composite and P-free alloy during the whole deformation process under
391 similar strains.

392 3. The NP composite shows potentially best hot-tearing resistance among the three
393 sample compositions because of the modified uniform dendritic network and better
394 ability of liquid feeding due to refined dendritic grains and smoother interdendritic
395 channels, as well as less affected liquid viscosity in the interdendritic region due to
396 well-dispersed nanoparticles.

397

398 **Acknowledgments**

399 E. G and T. W acknowledge the financial support by the National Key Research and
400 Development Program of China (No. 2017YFA0403803), National Natural Science
401 Foundation of China (Nos. 51901034, 51525401, 51927801, 51974058) and LiaoNing
402 Revitalization Talents Program (No. XLYC1808005). D. E acknowledges financial
403 support from the ExoMet Project funded by the European Commission in the 7th
404 Framework Programme (Contract FP7-NMP3-LA-2012– 280421) and EPSRC-funded
405 project UltraMelt2 (EP/R011001/1). The experiments were funded by the EPSRC
406 (EP/I02249X/1) and the Royal Academy of Engineering (CiET1819/10), and were
407 performed at TOMCAT beamline of the Swiss Light Source (Paul Scherrer Institut,
408 Switzerland, 20120463). We acknowledge both the beamline staff and Prof. Lee's group
409 for performing the beamline experiments.

410 **Declaration of interest**

411 The authors declare no known competing interests.

412

413 **Reference**

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Table

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Table 1. Comparison of grain size for three samples measured by intersects method

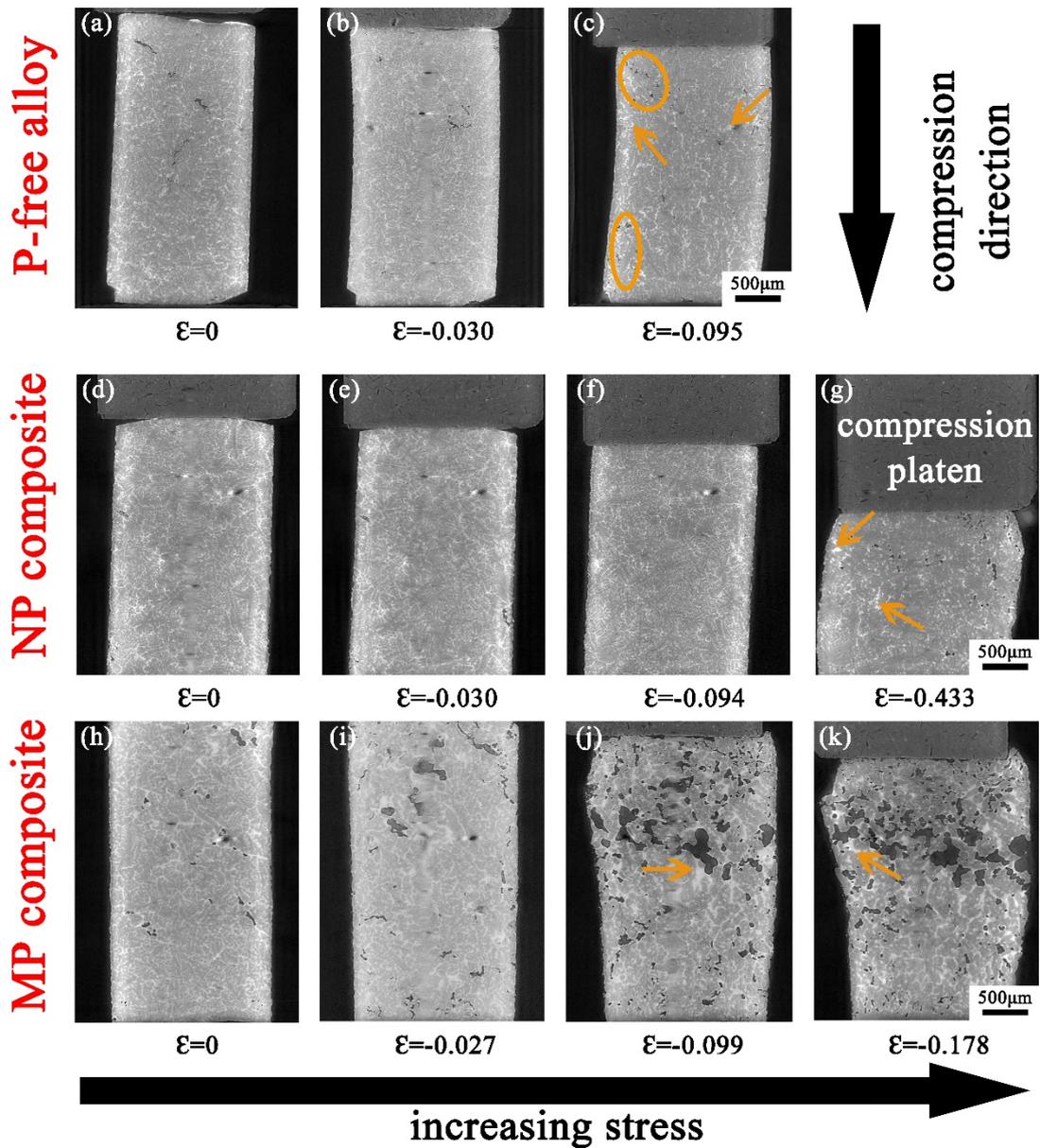
	P-free alloy	NP composite	MP composite
Grain size (μm)	98 ± 7	77 ± 8	95 ± 8

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561 **Figures**

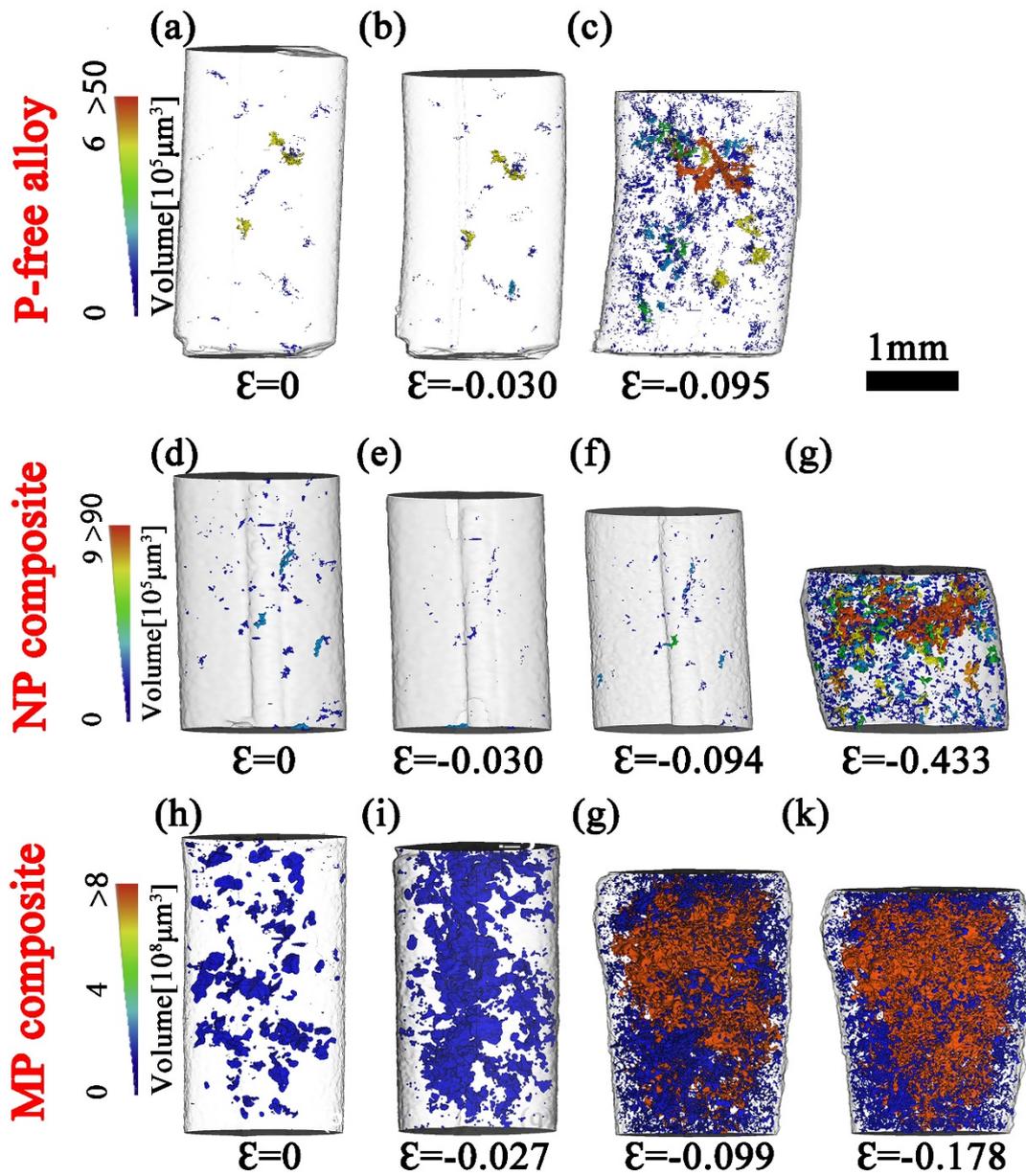
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564 Figure 1. Longitudinal slices showing the deformation behavior of P-free alloy (a-c), NP composite
565 (d-g) and MP composite (h-k) materials as a function of true axial strain during semi-solid
566 compression. The elliptic circles and arrows represent areas-of-interest.

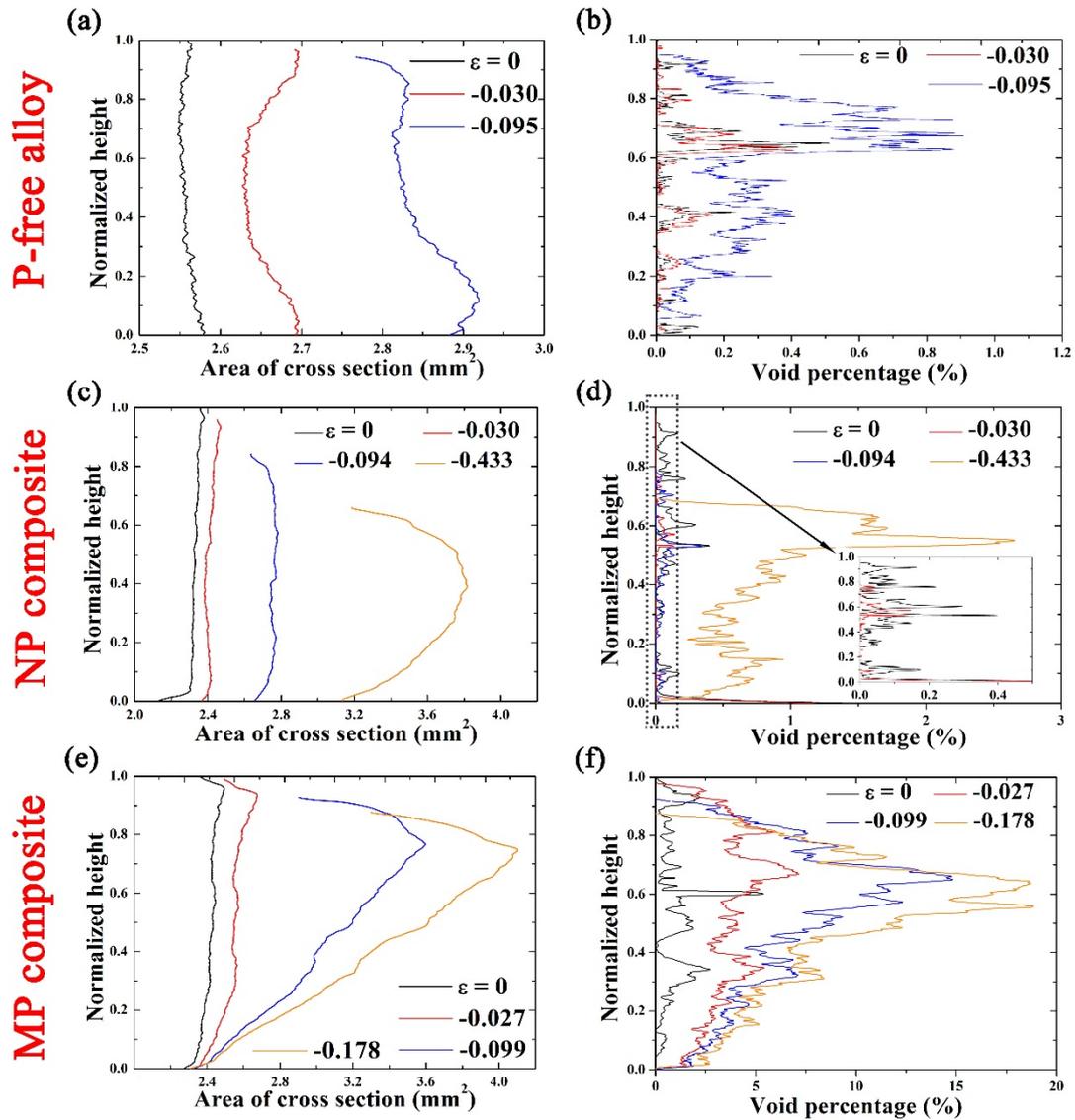
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570 Figure 2. Evolution of voids colored according to their sizes during semi-solid compression of three
 571 samples with an increase in true axial strain. Images are for (a)-(c) P-free alloy, (d)-(g) NP composite,
 572 and (h)-(k) MP composite. Note the difference in scale of pore sizes.

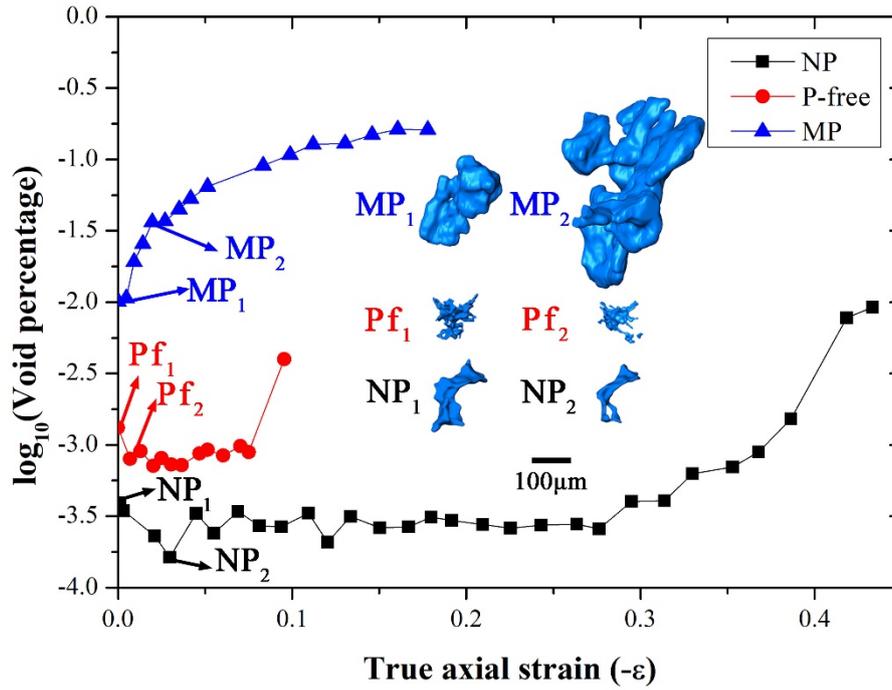
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575 Figure 3. Variation in transverse cross-sectional area ((a), (c) and (e)) and void percentage ((b), (d)
 576 and (f)) along the normalized axis of compression with increasing strain. (a, b), (c, d) and (e, f) are
 577 for P-free alloy, NP composite, and MP composite, respectively. The inserts in (d) show the
 578 variations of void percentage in cross-sectional area at the initial deformation stages.

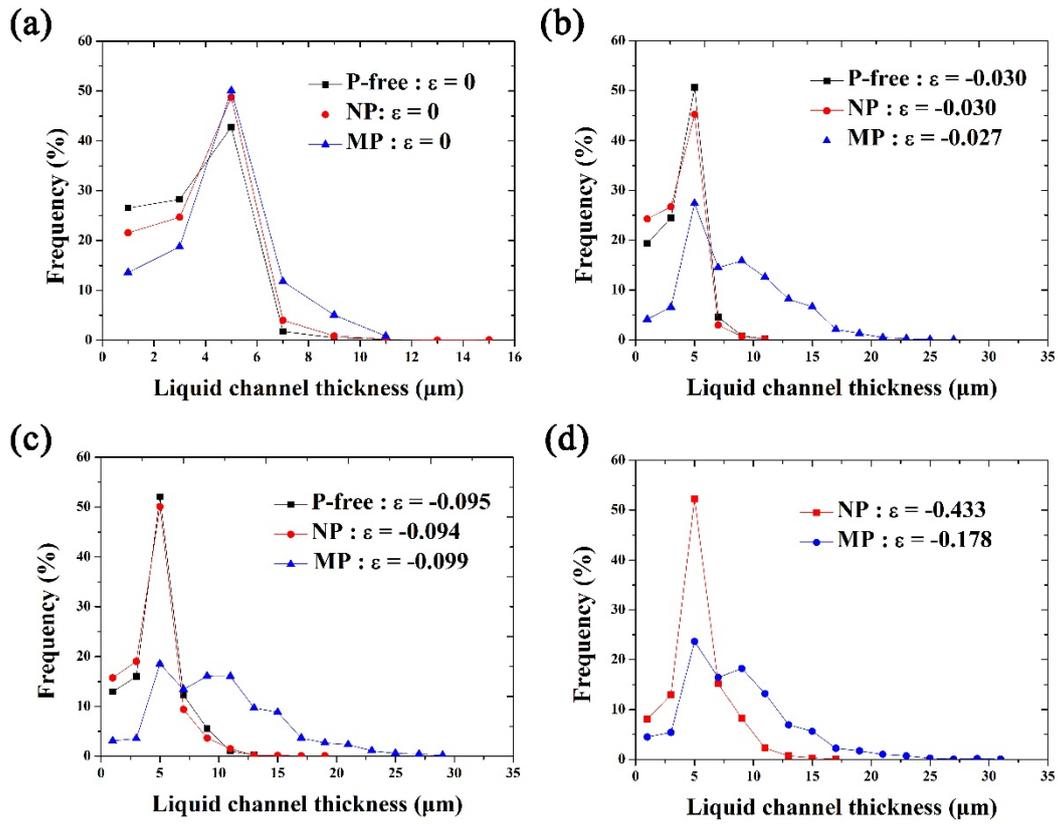
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581 Figure 4. Evolution of void percentage for the P-free alloy, NP composite, and MP composite
 582 materials as a function of true axial strain. Inserted images $Pf_{1/2}$, $NP_{1/2}$, $MP_{1/2}$ show examples of
 583 void changes at the early deformation stage of each sample. The negative sign on the x-axis denotes
 584 compressive deformation.

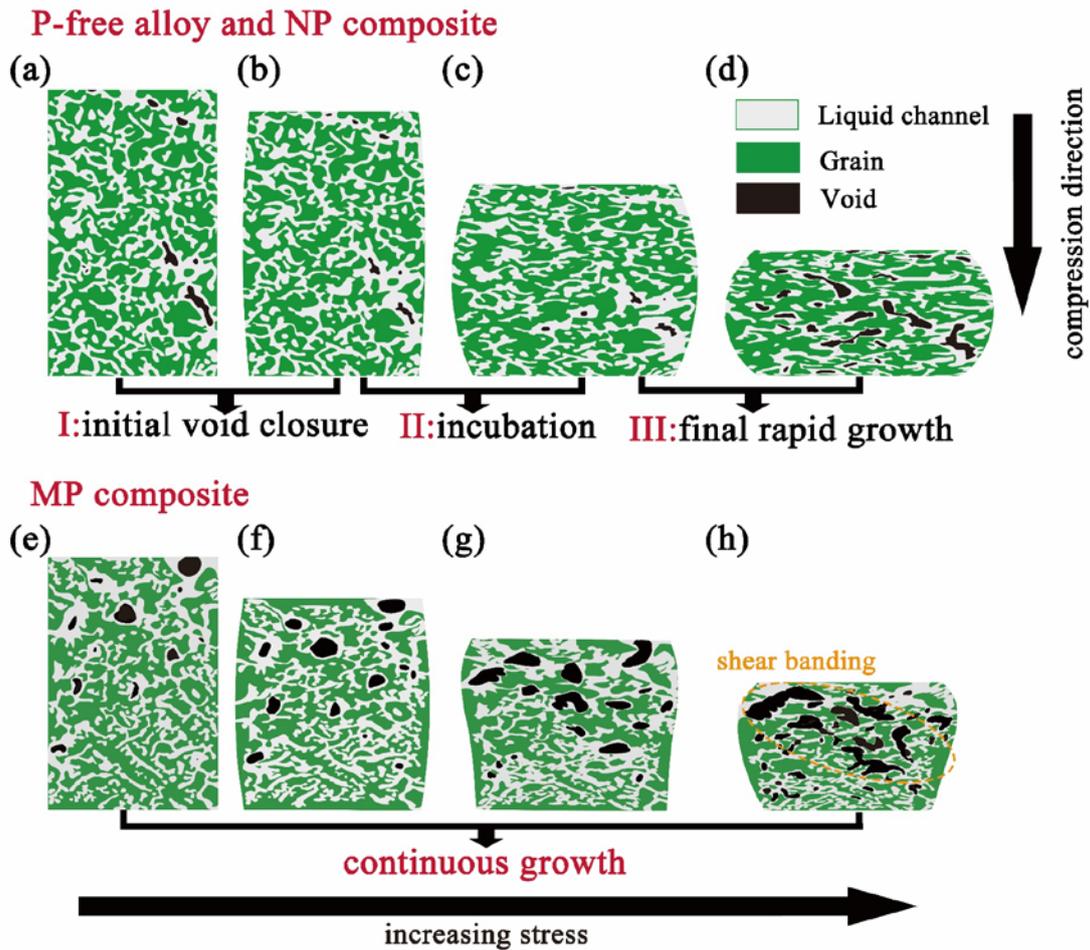
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587 Figure 5. (a - d) Comparison in liquid channel thickness distribution within the central regions of
 588 the three sample conditions for (a) $\epsilon = 0$, (b) $\epsilon = \sim -0.030$, (c) $\epsilon = \sim -0.100$, and (d) final strain,
 589 respectively

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592 Figure 6. (a)-(d) Schematic diagram at granular and intragranular deformation of different stages
 593 during semi-solid compression; stage I (a-b): initial void closure at low strain; stage II (b-c):
 594 incubation at medium strain; stage III (c-d): final rapid growth at high strain. In the figure, the
 595 primary dendritic α -Al grains (α -Al), interdendritic liquid channel, and voids/pores are in green,
 596 white and black, respectively. (e)-(h) Schematic diagram at granular and intragranular deformation
 597 of MP composite during semi-solid compression: a continuing growth stage.

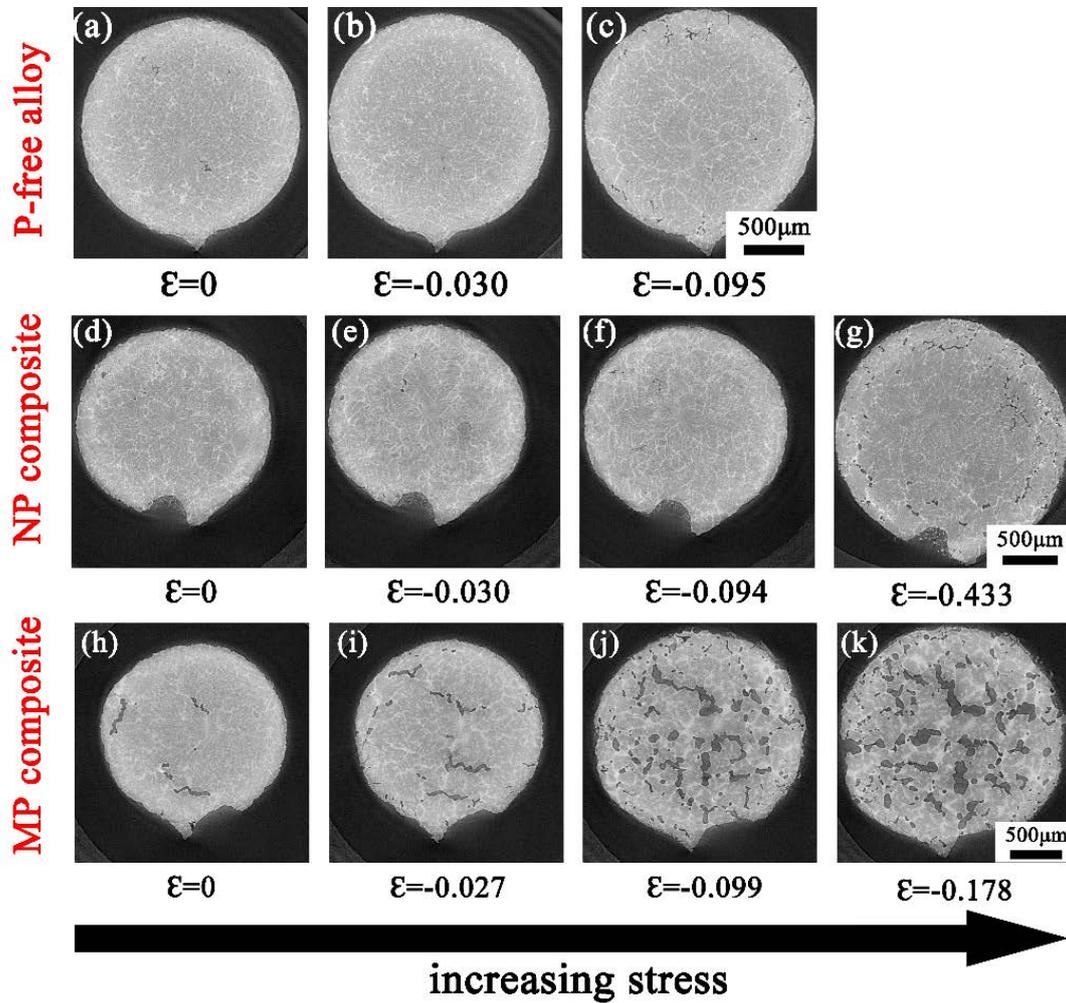
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Supplementary Materials

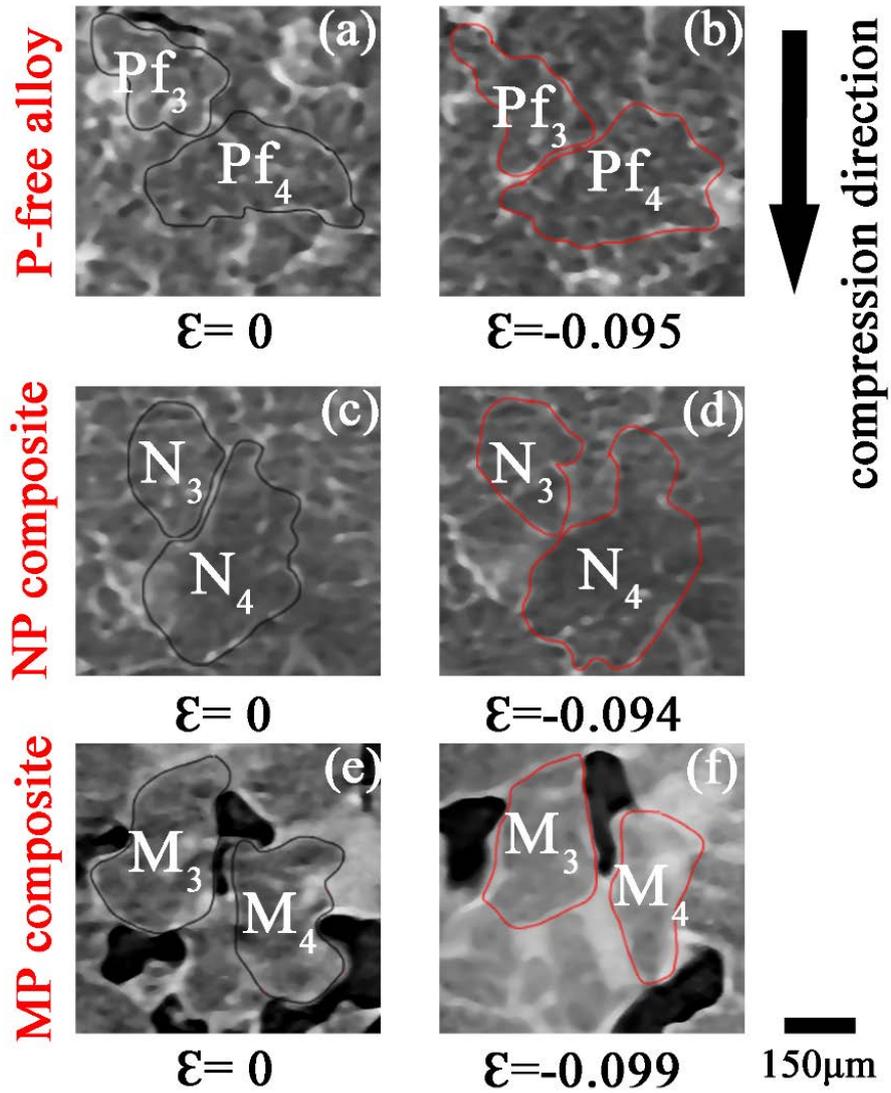
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603 Supplement-Figure 1. Mid-height transverse slices showing the deformation behaviors of (a-c) P-
604 free alloy, (d-g) NP composite and (h-k) MP composite during semi-solid compression.



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Supplement-Figure 2. Translation and rotation of local grains for three samples; Images are for (a) and (b) P-free; (c) and (d) NP composite; (e) and (f) MP composite. The grains marked by the black line are before deformation; the grains marked by the red line are during deformation.