

1 **Late chronotype is associated with enhanced amygdala reactivity and reduced fronto-**  
2 **limbic functional connectivity to fearful versus happy facial expressions**

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## Abstract

24           Increasing evidence suggests late chronotype individuals are at increased risk of  
25 developing depression. However, the underlying neural mechanisms that confer risk are not  
26 well understood. In the current report fifty healthy, right-handed individuals without a current  
27 or previous diagnosis of depression, family history of depression or sleep disorder underwent  
28 structural magnetic resonance imaging. Participants completed an implicit emotion  
29 processing task (gender discrimination) including happy and fearful facial expressions.  
30 Linear effects of chronotype on BOLD response in bilateral amygdala were tested for  
31 significance using nonparametric permutation tests. Functional connectivity between  
32 amygdala and prefrontal cortex was also investigated using psychophysiological interaction  
33 (PPI) analysis. A significant negative correlation between BOLD response and chronotype  
34 was observed in bilateral amygdala where later chronotype was associated with an enhanced  
35 amygdala response to fearful vs. happy faces. This response remained significant after sleep  
36 quality, age, gender, mood, and time of scan were included as covariates in the regression  
37 model. Later chronotype was also significantly associated with reduced functional  
38 connectivity between amygdala and dorsal anterior cingulate cortex (dACC). The current  
39 results appear consistent with theories of impaired emotion regulation of the limbic system  
40 (particularly the amygdala) associated with depression and may, in part, explain the increased  
41 vulnerability for depression in late chronotype individuals.

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43           The vulnerability factors underpinning this link, however, are unclear. Here the  
44 relationship between two specific emotion regulation strategies, cognitive reappraisal and  
45 expressive suppression, and chronotype was investigated using multiple regression. Two  
46 hundred and forty participants (age range 18- 80, 189 females) completed validated self-

47 report questionnaires assaying chronotype, neuroticism, depression symptomatology, sleep  
48 quality and emotion regulation. Eveningness was associated increased expressive  
49 suppression and morningness was associated with increased cognitive reappraisal after  
50 controlling for age, gender, depressive symptomatology, neuroticism and sleep quality. Trait  
51 expressive suppression and reduced cognitive reappraisal are known to increase depression  
52 risk. Our results suggest that eveningness is associated with impaired emotion regulation  
53 which may confer risk for future depression. These findings suggest modifiable markers that  
54 could be therapeutically targeted to prevent the onset of depression in late chronotype  
55 individuals.

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58 **Keywords:** Chronotype, fMRI, Amygdala, Emotion, Depression, Regulation, PPI analysis

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## Introduction

62 Morningness-eveningness, or chronotype, refers to individual differences in diurnal  
63 preference (Horne & Östberg, 1976). Early chronotypes (colloquially referred to as larks),  
64 rise early and reach their peak early in the day (Schmidt, Collette, Cajochen, & Peigneux,  
65 2007; Schmidt et al., 2012), whereas late chronotypes (night owls) prefer later bed and wake  
66 times (i.e. “synchronised” to an individual’s optimal time of day according to their circadian  
67 profile). Chronotype is considered a relatively stable trait although patterns across the  
68 lifespan are recognised. Children tend to be early chronotypes, during adolescence there is a

69 marked shift towards late chronotype followed by a progressive swing to early chronotype  
70 during later adulthood (Randler, Freyth-Weber, Rahafar, Florez Jurado, & Kriegs, 2016).

71 A growing body of research suggests an association between late chronotype and  
72 psychological health – particularly major depression. For example, in a large cohort study ( $n$   
73 = 1994), Antypa and colleagues reported that late chronotype was associated with current  
74 depression after controlling for socio-demographic, somatic health, and sleep-related factors  
75 (Antypa, Vogelzangs, Meesters, Schoevers, & Penninx, 2016). Late chronotype is also  
76 associated with increased likelihood of reporting depressive symptoms (Hidalgo et al., 2009;  
77 Levandovski et al., 2011), diagnosis of depression and use of antidepressant medication  
78 (Merikanto et al., 2015; Merikanto et al., 2013). Together, these findings clearly demonstrate  
79 an important link between late chronotype and depression but the observational nature of  
80 these data preclude investigation of the underlying vulnerability factors that confer increased  
81 risk for depression in late chronotype individuals.

82 Functional neuroimaging studies of depression have consistently reported increased  
83 amygdala reactivity to negative emotional stimuli (Disner, Beevers, Haigh, & Beck, 2011). In  
84 response to negative stimuli, depressed patients typically show a more intense and sustained  
85 amygdala response indicative of biased stimulus processing. This pattern of amygdala  
86 response is automatic (i.e. is present even when the stimuli are presented) and is reversed  
87 with successful pharmacotherapy (Anand, Li, Wang, Gardner, & Lowe, 2007; Fu et al., 2004;  
88 Sheline et al., 2001). Indeed, many previous studies report that amygdala, as well as  
89 parahippocampal gyrus, activity is an indicator of the emotional intensity experienced by an  
90 individual, and this activity can be up-regulated and down-regulated by higher cortical  
91 regions including the superior frontal gyrus, cingulate and premotor areas (see Frank et al.,  
92 2014, for meta-analysis). For example, increased activation in the left orbitofrontal, left  
93 superior frontal and anterior cingulate gyrus was observed during suppression of negative

94 emotions compared to maintaining negative emotions (Phan et al., 2005), and this enhanced  
95 activity was related to a reduction in self-reported negative affect (Mak, Hu, Zhang, Xiao, &  
96 Lee, 2009). In major depression, however, frontal cortical regions show abnormal activity;  
97 for example, hyperactivity in the subgenual anterior cingulate cortex (ACC) (Mayberg, 2003)  
98 generally considered the ‘affect subdivision’, but hypoactivity in the dorsal ACC (Davidson,  
99 Pizzagalli, Nitschke, & Putnam, 2002) the ‘cognitive subdivision’. Taken together, these data  
100 suggest that current depression is associated with an elevated and sustained neural  
101 (amygdala) response to negative stimuli and impaired emotional regulation associated with  
102 an aberrant neural response in higher cortical regions including the ACC. This unregulated  
103 amygdala response generates a ‘bottom-up’ signal that biases emotional processing in higher  
104 cortical areas and results in maladaptive perceptions of the environment and social  
105 interactions (Disner et al., 2011).

106         In order to further explore the neural basis of emotional regulation, the functional  
107 connectivity between limbic regions and higher cortical areas has been investigated using  
108 psychophysiological interaction (PPI) analysis (Friston et al., 1997). The PPI term, which can  
109 be added to any linear model, represents the element by element multiplication (interaction)  
110 between an input variable (task time course) and a response variable (seed time course). A  
111 significant PPI indicates that the correlation in activity between two brain regions is different  
112 in different psychological contexts (O’Reilly, Woolrich, Behrens, Smith, & Johansen-Berg,  
113 2012). In a meta-analysis of 49 PPI analysis studies, increased functional connectivity with  
114 the amygdala was observed in the inferior frontal gyrus, ACC and medial frontal gyrus in a  
115 reappraisal vs. maintain condition of an emotional regulation task (Di, Huang, & Biswal,  
116 2017). This pattern of connectivity suggests the higher cognitive areas are effectively down-  
117 regulating, or inhibiting, the amygdala response to negative emotion although the direction of  
118 this effect cannot be determined. In depressed patients, however, this fronto-limbic

119 connectivity appears to be reduced (Dannlowski et al., 2009; Erk et al., 2010). Hence, there is  
120 evidence that neural emotional regulation processes are diminished in depression.

121 In order to investigate whether these aberrant neural responses are present before the  
122 onset of depression, it is necessary to examine neuroimaging data in never-depressed  
123 individuals at increased risk for developing depression. High neuroticism (a recognised risk  
124 factor for depression) is associated with elevated right amygdala response to fearful vs. happy  
125 faces (Chan, Norbury, Goodwin, & Harmer, 2009). Herringa and colleagues (2016) reported  
126 a positive correlation between right amygdala response to negative vs. neutral stimuli and  
127 childhood adversity (a recognised risk factor for depression) (Herringa et al., 2016). Monk *et*  
128 *al.*, reported increased activation in left and right amygdala to passive viewing of fearful  
129 faces in the offspring of depressed parents (Monk et al., 2008). More recently, Mannie and  
130 colleagues (2011) found no difference between offspring of depressed parents and matched  
131 controls in amygdala reactivity to negative facial expressions during an emotion matching  
132 task (Mannie, Taylor, Harmer, Cowen, & Norbury, 2011) but did report reduced activation of  
133 frontal regions which may reflect perturbed regulation of aversive stimuli. A direct  
134 comparison across these at-risk studies is challenging due to differences in task parameters  
135 (implicit gender discrimination, passive viewing or emotion matching) and participant  
136 characteristics. Nevertheless, current data suggest abnormal processing of emotional  
137 information in never-depressed at-risk individuals. In relation to emotion regulation,  
138 neuroimaging studies of never-depressed at-risk populations reveal similar functional  
139 connectivity impairments to depressed patients. For example, high neuroticism is associated  
140 with decreased functional connectivity between dACC and amygdala for sad compared to  
141 neutral faces (Cremers et al., 2010). Reduced functional connectivity between amygdala and  
142 rostral ACC has also been observed in carriers of the 5-HTTLPR polymorphism (Pezawas et  
143 al., 2005). Taken together, these data suggest that never-depressed at-risk individuals display

144 abnormal amygdala reactivity to negative stimuli and altered cognitive control processes  
145 responsible for emotional regulation, which may reflect a neural vulnerability marker present  
146 prior to the onset of depression.

147 In sum, previous evidence suggests that patients with depression have an enhanced  
148 amygdala response to negative facial expressions as compared to healthy individuals (Anand  
149 et al., 2007; Disner et al., 2011; Fu et al., 2004; Sheline et al., 2001). Other groups known to  
150 be at increased risk for developing depression also show a similar enhanced amygdala  
151 response to negative stimuli (Chan, Norbury, Goodwin, & Harmer, 2009; Herringa et al.,  
152 2015; Monk et al., 2008). To our best knowledge, however, no one has investigated amygdala  
153 reactivity to negative stimuli and related this to chronotype. Here we hypothesised that later  
154 chronotype would be associated with increased amygdala reactivity to negative (fearful)  
155 facial expressions, similar to the pattern of activity seen in depressed patients and other at-  
156 risk groups. A second objective was to explore amygdala-fronto connectivity as both  
157 depression, and risk for depression, have been associated with impaired emotional regulation  
158 of the amygdala by higher cortical regions (Mayberg, 2003). Here, we predicted that later  
159 chronotype would be associated with reduced connectivity between amygdala and brain  
160 regions implicated in emotion regulation.

## 161 **Methods**

### 162 **Participants**

163 The study was approved by the local ethics committee and written informed consent  
164 was obtained prior to any study procedures taking place. Participants were in good physical  
165 health and free of concurrent medication. Exclusion criteria were current or previous  
166 depression, presence of major depression in a biological parent, diagnosed sleep disorder  
167 (each assessed by self-report) and contraindication for MR examination. A total of 50  
168 participants were recruited (38 females, age range 18-37 ( $M = 21.24$ ,  $SD = 3.77$ ). Chronotype

169 was determined using the 5-item reduced Morningness-Eveningness Questionnaire (Adan &  
170 Almirall, 1991), based on the full version of the MEQ validated for a young adult population  
171 (18-32 years). Sleep quality was assayed using the Pittsburgh Sleep Quality Index (Buysse,  
172 Reynolds, Monk, Berman, & Kupfer, 1989). Depression and anxiety were measured using the  
173 Patient Health Questionnaire-4 (Löwe et al., 2010). The time at which the MRI scan took  
174 place was agreed between the participant and investigator and recorded, but generally took  
175 place between 10am and 5pm.

### 176 **Image data acquisition**

177 All imaging data were acquired on a research dedicated 3T Magnetom Trio (Siemens,  
178 Erlangen, Germany) fitted with a 32-channel head coil and located at the Combined  
179 Universities Brain Imaging Centre (CUBIC). For each participant, we collected a T<sub>1</sub>-  
180 weighted whole-brain scan (magnetization-prepared rapid acquisition with gradient echo  
181 (MPRAGE), inversion time (TI) = 1100 ms, repetition time (TR) = 1830 ms, echo time  
182 (TE) = 3.03 ms, flip angle (FA) = 11°, field of view (FOV) = 256 × 256 × 160 mm<sup>3</sup>, voxel  
183 size = 1 × 1 × 1 mm<sup>3</sup>). Functional MR data were acquired using a T2\*-weighted echo planar  
184 imaging sequence (EPI, TR = 2000 ms, TE = 31 ms, FA = 85°, FOV = 192 × 192 × 87 mm<sup>3</sup>  
185 [29 slices, voxel size = 3 × 3 × 3 mm<sup>3</sup>], number of measurements = 170, imaging  
186 bandwidth = 752 Hz/px, GRAPPA acceleration factor = 2). Gradient echo field mapping data  
187 were also acquired for EPI off-resonance distortion correction (TR = 400 ms, TE<sub>1</sub> = 5.19 ms,  
188 TE<sub>2</sub> = 7.65 ms, flip angle = 60°, FOV = 192 × 192 × 126 mm<sup>3</sup>, voxel size = 3 × 3 × 3 mm<sup>3</sup>).

### 189 **FMRI experimental task**

190 During FMRI scanning, participants completed a well validated gender discrimination  
191 task involving the rapid presentation of greyscale fearful and happy faces taken from the  
192 NimStim database (Tottenham et al., 2009). Nine 20 second blocks of baseline (fixation



193 cross) were interleaved with 8 blocks of the emotional faces (again 20 seconds in duration, 4  
194 blocks of fearful and four blocks of happy faces). Individual faces were presented for 100ms  
195 and the participant had to indicate, by button press, the gender of the face. Equal numbers of  
196 male and female faces were presented in each condition. Stimuli were presented on a  
197 personal computer using E-Prime (using version 2.10.242, Psychology Software Tools Inc.,  
198 USA) and projected onto an opaque screen at the foot of the scanner bore, which subjects  
199 viewed using an angled mirror mounted above the head coil. Both accuracy and response  
200 time were recorded by E-Prime.

## 201 **FMRI analysis pipeline**

202 All image pre-processing and analyses were performed using FSL version 5.0.10  
203 (FMRIB Software Library, <http://fsl.fmrib.ox.ac.uk/fsl/fslwiki/>). The following pre-statistical  
204 processes were applied to all fMRI data: non-brain removal; rigid-body motion correction;  
205 high-pass temporal filtering (Gaussian-weighted least-squares fitting with frequency cut-off  
206 point = 60 s); correction of off-resonance geometric distortions in the EPI data using B0 field  
207 maps derived from the dual-echo gradient echo dataset; artifact removal based on  
208 probabilistic ICA (Independent Component Analysis – see below); spatial normalization to  
209 Montreal Neurological Institute (MNI152) 2 mm isotropic atlas space using boundary-based  
210 registration and non-linear registration (during registration, signal loss, resulting from  
211 through-slice field gradients, was calculated and used as a cost function mask to exclude  
212 voxels where signal loss was greatest); Gaussian filtering (full width at half maximum  
213 (FWHM) = 5 mm).

## 214 **Controlling for structured noise**

215 We conducted manual ICA-based artifact removal. The first author (CH) visually  
216 inspected all the independent component maps for each participant to identify noise

217 components based on both the spatial layout of the component maps and the power spectra of  
218 the associated time series (Griffanti et al., 2016). Variance uniquely associated to the  
219 components labelled as noise was subsequently regressed from each individual's data prior to  
220 statistical modelling (see below).

## 221 **Analysis of functional imaging data**

222 Analyses of data from individual subjects (first level analysis) were computed using  
223 the general linear model with local autocorrelation correction. Two regressors were defined  
224 (fearful and happy faces) and were convolved with a haemodynamic response function, using  
225 a variant of a  $\gamma$  function (i.e. a normalization of the probability density function of the  $\gamma$   
226 function) with a standard deviation of 3 s and a mean lag of 6 s. In addition, temporal  
227 derivatives and estimated motion parameters (three translation and three rotation) were  
228 included in the model as regressors of no interest to increase statistical sensitivity.

229 At the group level, linear effects of chronotype on BOLD response in bilateral  
230 amygdala were tested for significance using non-parametric permutation tests (applying 5000  
231 permutations). Control of the family-wise error rate was obtained using threshold-free cluster  
232 enhancement (Smith & Nichols, 2009). Left and right amygdala *a priori* regions of interest  
233 were taken from the Harvard-Oxford subcortical atlas distributed within FSL. This atlas is  
234 derived from T<sub>1</sub>-weighted images of 37 subjects (21 male, age range 18-50) and combined to  
235 form population probability maps for 21 subcortical structures including the amygdalae.

## 236 **Psychophysiological interaction analysis**

237 In a complementary analysis, a generalised psychophysiological interaction analysis  
238 (PPI) (Friston et al., 1997; McLaren, Ries, Xu, & Johnson, 2012) was conducted across the  
239 whole brain in order to explore how functional connectivity between brain regions varied

240 with task. As a significant correlation between chronotype and BOLD signal was observed  
241 for both right and left amygdala (please see results), both regions were used separately as  
242 seed regions. At the individual level, the PPI GLM analyses included the original task  
243 conditions (fear and happy faces), the mean time course from each cluster identified in the  
244 analyses described above, and the two interaction terms (fear faces x seed, happy faces x  
245 seed). Temporal derivatives and six estimated motion parameters were also included in the  
246 model. This analysis identified regions that displayed stronger functional connectivity with  
247 the left/right amygdala for fearful facial expressions compared to happy facial expressions.

248 At the group level, the contrast images for the PPI effects were entered along with  
249 chronotype as a regressor in a whole-brain analysis. Brain regions that showed connectivity  
250 with the amygdala were identified, correlating positively or negatively with chronotype  
251 (rMEQ score). This was tested for significance using non-parametric permutation tests  
252 (applying 5000 permutations) and threshold-free cluster enhancement.

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## Results

### 256 Participants

257 Participant characteristics are presented in Table 1. Measures of anxiety ( $r = 0.13$ ,  $p >$   
258  $.05$ ), depression ( $r = 0.17$ ,  $p > .05$ ) and time of scan ( $r = 0.013$ ,  $p > .05$ ) were not significantly  
259 correlated with rMEQ. Chronotype scores were similar between male and female participants  
260 (independent samples  $t$ -test ( $t(13.73) = -.68$ ,  $p > .05$ ). However, there was a significant  
261 correlation between rMEQ and PSQI score ( $r = 0.383$ ,  $p < .01$ ) and age ( $r = -0.334$ ,  $p < .05$ )  
262 such that late chronotype was associated with better sleep quality and older age.

Variable	<i>M</i> (SD)	Range (this sample)	Range (original scale)
Age	21.24 (3.77)	18-37	-
Gender	Female 38 (76%); Male 12 (24%)	-	-
Sleep quality	7.30 (3.42)	1 - 15	0 – 21
Chronotype	12.62(3.62)	6 - 20	4 – 25
PHQ-4 (Anxiety)	0.91 (1.09)	0 - 5	0 – 6
PHQ-4 (Mood)	1.61 (1.58)	0 - 6	0 -6

264

265 Table 1. Descriptive statistics: Basic demographics, sleep quality (PSQI), chronotype  
 266 (rMEQ), mood and anxiety (PHQ-4). Please see Methods for questionnaire details. Values  
 267 show mean (SD). Also included are the questionnaire range (minimum-maximum) for the  
 268 study sample and original scale.

269

## 270 Behavioural results

271 Inspection of the behavioural data acquired during scanning indicated that participants  
 272 were engaged with the task and were highly accurate to classify faces as male or female (>  
 273 85%) with discrimination accuracy for happy faces greater than fearful faces (fearful faces:  $M$   
 274 = 85.20%,  $SD = 9.84$ , happy faces:  $M = 88.70\%$ ,  $SD = 9.44$ , dependent samples  $t$ -test:  $t(45) =$   
 275  $-3.41$ ,  $p = .001$ ). Response latencies to happy faces were similar to fearful faces (happy faces:  
 276  $M = 628.52$  ms,  $SD = 120.96$ , fearful faces:  $M = 642.50$  ms,  $SD = 132.52$ , dependent samples  
 277  $t$ -test:  $t(45) = 1.84$ ,  $p = .072$ ).

278

279 **FMRI results**

280           Using a region of interest approach, we observed a significant negative correlation  
281 between BOLD response and chronotype in bilateral amygdala (left amygdala  $x = -30$ ,  $y = -6$ ,  
282  $z = -18$ , maximum t-value= 3.97; cluster size = 198 voxels,  $p < .001$  [see Figure 1]; right  
283 amygdala  $x = 32$ ,  $y = 2$ ,  $z = -20$ , maximum t-value = 3.20; cluster size = 91 voxels,  $p = .012$ )  
284 such that participants with higher rMEQ scores (increased early chronotype) showed reduced  
285 activity to fearful vs. happy faces in left and right amygdalae (also see Supplementary  
286 material). There were no regions that exhibited a positive association between chronotype  
287 and BOLD response. In a further exploratory analysis, chronotype was regressed across the  
288 whole brain but no other regions were found to be significantly associated.

289           In a further analysis, restricted to the functional clusters observed above, we included  
290 PSQI score, gender, age, mood and anxiety levels (computed as the sum score for the mood  
291 and anxiety components of the PHQ-4) and time of scan (i.e. the start time of the experiment  
292 as recorded by the stimulus presentation software) as additional covariates. Bilateral  
293 amygdala activation remained significant although the amplitude and extent of activation was  
294 reduced (left amygdala  $x = -24$ ,  $y = -10$ ,  $z = -14$ , maximum t-value= 3.17; cluster size = 55  
295 voxels,  $p = .018$ ; right amygdala  $x = 28$ ,  $y = -4$ ,  $z = -14$ , maximum t-value = 2.92; cluster size  
296 = 61 voxels,  $p = .014$ ). This suggests the negative association observed between rMEQ and

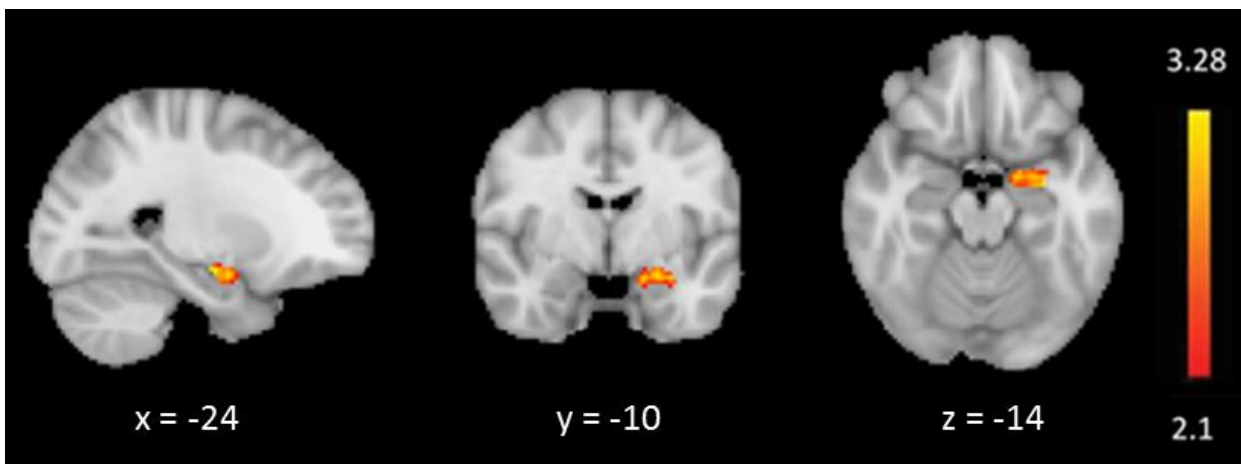
297 BOLD response is robust, and largely independent of sleep quality, gender, age, mood,  
298 anxiety levels and time of scan.

299

300 Figure 1. BOLD correlates with rMEQ in left and right amygdala (left amygdala displayed).

301 Early chronotype is associated with reduced BOLD response to fear vs. happy faces. Lower

302 numerals refer to coordinates in Montreal Neurological Institute (MNI) space. Colour bar and



303 numerals: *t* value range.

304

### 305 PPI analysis results

306 The PPI analysis revealed a positive association between chronotype (rMEQ) scores

307 and right amygdala – dACC coupling ( $x = 4, y = 26, z = 22$ , maximum *t*-value = 4.53; cluster

308 size = 54 voxels,  $p = .026$ ) and right frontal pole ( $x = 34, y = 46, z = -2$ , maximum *t*-value =

309 5.16; cluster size = 40 voxels,  $p = .02$ ) when viewing fearful faces compared to happy faces

310 [please see Figure 2]. As above (fMRI results) we included PSQI score, gender, age, mood

311 and anxiety levels and time of scan as additional covariates to assess the specificity of this

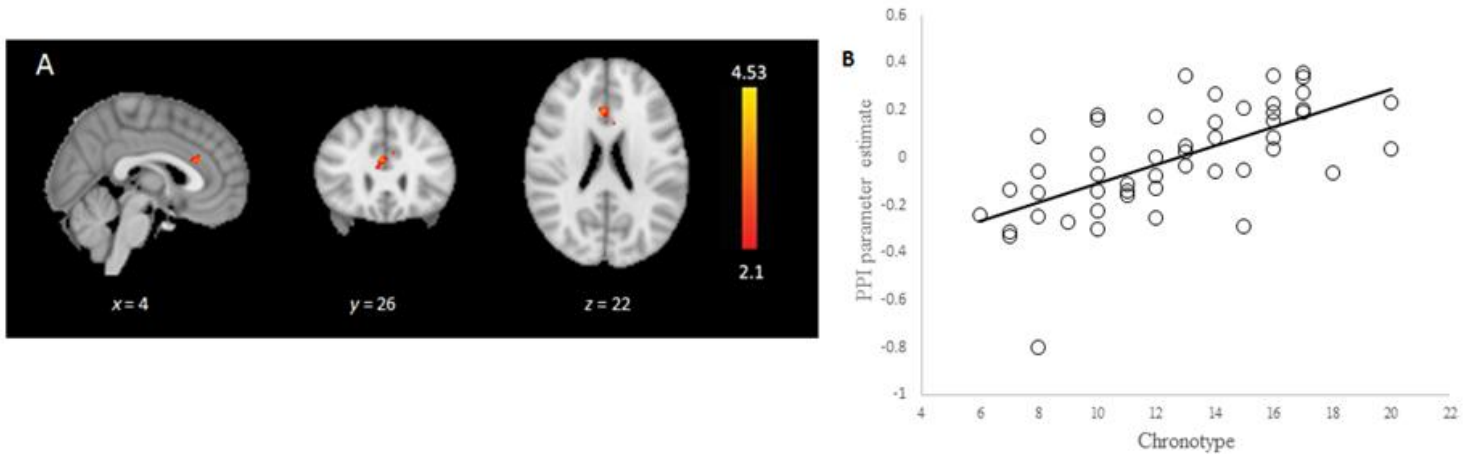
312 effect. Both the dACC cluster ( $x = 4, y = 26, z = 22$ , maximum *t*-value = 3.77; cluster size =

313 33 voxels,  $p < .05$ ) and frontal pole clusters ( $x = 34, y = 48, z = -2$ , maximum *t*-value = 4.17;

314 cluster size = 40 voxels,  $p < .05$ ) remained significant. This finding indicates that late  
315 chronotype (lower rMEQ scores) is associated with reduced functional coupling between  
316 right amygdala and dACC for fearful vs. happy facial expressions even after accounting for a  
317 number of possible confounds.

318

319 Figure 2. PPI analysis displaying A) brain regions identified as showing functional  
320 connectivity with the right amygdala that are positively correlated with chronotype (rMEQ)  
321 scores in response to fearful vs. happy facial expressions (colour bar and numerals as in



322 Figure 1), and B) scatter plot showing positive association between right amygdala – ACC  
323 connectivity and chronotype scores.

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325

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## Discussion

327 This is the first study, to our knowledge, to explore the neural basis of emotional  
328 processing biases towards negative facial expressions and related this to chronotype. A  
329 significant negative correlation was observed between BOLD response in bilateral amygdalae

330 and rMEQ score such that later chronotype (lower rMEQ score) was associated with  
331 increased BOLD response to fearful vs. happy faces. Moreover, a positive correlation  
332 between rMEQ score and functional connectivity between right amygdala and dACC was  
333 observed where earlier chronotype was associated with increased connectivity in response to  
334 fearful vs. happy faces. These effects were present independent of current or previous  
335 diagnosis of depression or sleep disorder, and were not driven by sleep quality, age, gender,  
336 measures of mood and anxiety or time of scan. Previous evidence has identified late  
337 chronotype to be associated with increased prevalence of depression (Antypa et al., 2016;  
338 Hidalgo et al., 2009; Levandovski et al., 2011; Merikanto et al., 2015; Merikanto et al., 2013)  
339 and hyperactivity of the amygdala to negative stimuli has been reported in depressed patients  
340 (Sheline et al., 2001) and individuals at increased risk for depression (Chan et al., 2009).  
341 Impaired emotional regulation has also been observed in depressed individuals (Erk et al.,  
342 2010) and never-depressed at-risk populations (Cremers et al., 2010; Pezawas et al., 2005).  
343 Here we observed that later chronotype was associated with hyperactive amygdalae and  
344 reduced amygdala-ACC connectivity to negative faces which may reflect the neural  
345 processes underlying vulnerability to depression in late chronotype individuals.

346 Biases in facial expression recognition have been reported in individuals with  
347 depression involving a discrimination bias towards negative emotions and/or away from  
348 positive emotions. For example, Gur and colleagues reported reduced sensitivity to recognise  
349 happy facial expressions and an increased likelihood to misclassify neutral faces as sad in a  
350 sample of depressed patients (Gur et al., 1992). Additionally, depressed patients have been  
351 shown to have reduced spatial attention towards positive facial expressions during a face-in-  
352 the-crowd task (Suslow, Junghanns, & Arolt, 2001). In a longitudinal study, patients were  
353 more likely to report ambiguous faces as being negative when they were first admitted as  
354 depressed than in a remitted state, and patients were more likely to relapse after six months if



355 they perceived faces as being more negative at admission or discharge (Bouhuys, Geerts, &  
356 Gordijn, 1999). This finding highlights the significance of negative biases in predicting  
357 relapse as theorists suggest that these negative biases play a key role in the aetiology and  
358 maintenance of depressed states. It is therefore important to develop prevention strategies that  
359 aim to identify and remove negative biases; for example, using psychological therapies (e.g.  
360 Cognitive Behavioural Therapy) to reverse these cognitions.

361         Similar biases have also been reported in remitted depressed patients (Anderson et al.,  
362 2011; Bhagwagar, Cowen, Goodwin, & Harmer, 2004) thereby suggesting that negative  
363 biases may either signal a ‘scar’ effect (arise as a consequence of previous depression) or a  
364 pre-existing cognitive vulnerability. However, negative biases have also been reported in  
365 never-depressed individuals at increased risk for developing depression; for example, Chan  
366 and colleagues (2007) reported an increased threshold to recognise happy facial expressions  
367 in highly neurotic individuals as compared to healthy controls (Chan, Goodwin, & Harmer,  
368 2007). We recently reported increased recognition of sad facial expressions in late  
369 chronotypes (Berdynaj et al., 2016) and have replicated this finding in a larger independent  
370 sample (Horne, Marr-Phillips, Jawaid, Gibson, & Norbury, 2017). Taken together, these data  
371 suggest that negative biases are present in never-depressed at-risk individuals and may,  
372 therefore, reflect a vulnerability marker for depression in these groups.

373         The amygdala has been shown to play a key role in both facial expression recognition  
374 and depression. For example, increased activation in the left amygdala in response to masked  
375 emotional (both fearful and sad) facial expressions (Sheline et al., 2001) as well as facial  
376 expressions morphed between intensities of sadness (Fu et al., 2004) have been reported in  
377 depressed patients which normalised with antidepressant treatment. Surguladze and  
378 colleagues also reported a linear increase in activation in the left amygdala of depressed  
379 individuals in response to increasing expressions of sadness (Surguladze et al., 2005). Using a

380 meta-analytic approach to pool data across numerous functional neuroimaging studies (44 in  
381 total), Groenewold and colleagues concluded that depressed patients display hyper-activation  
382 to negative stimuli and reduced activation to positive stimuli in left and right amygdala; a  
383 pattern of activation consistent with the negative biases widely reported in depression  
384 (Groenewold, Opmeer, de Jonge, Aleman, & Costafreda, 2013). Aberrant amygdala  
385 responses to negative facial expressions have also been associated with never-depressed at-  
386 risk groups; including high neuroticism (Chan et al., 2009), childhood adversity (Herrington et  
387 al., 2015) and offspring of depressed biological parents (Monk et al., 2008). Here, we report  
388 similar findings associated with late chronotype in never-depressed individuals.

389         The nature of the current study i.e. an implicit facial recognition task, also suggests  
390 that the aberrant amygdala responses observed in the current study are related to maladaptive  
391 implicit processing of negative facial expressions. This is similar to other studies using  
392 implicit processing tasks e.g. an unconscious masked faces paradigm (Sheline et al., 2001)  
393 and implicit sad facial expressions (Fu et al., 2004) reporting enhanced amygdala reactivity in  
394 depressed patients. It has been suggested that hyperactivity of the limbic system (particularly  
395 the amygdala) generates a bottom-up signal which suppresses higher cortical areas  
396 responsible for processing emotional information resulting in maladaptive interpretations of  
397 the environment and social interactions (Disner et al., 2011). This may therefore explain the  
398 neural basis for the negative biases observed in depressed and at-risk populations. For  
399 example, Mannie and colleagues reported participants with a biologically depressed parent  
400 displayed no biases for personality descriptors but an overall increased reaction time,  
401 suggesting a fault in the initial coding of emotionally valenced words (Mannie, Bristow,  
402 Harmer, & Cowen, 2007). Together, these findings suggest that a heightened amygdala  
403 response to negative affective stimuli may explain an increased risk for major depressive  
404 disorder, including late chronotype individuals.

405           The heightened amygdala response we observed in individuals with a later chronotype  
406 was also associated with reduced functional connectivity with the dACC. This finding is in  
407 accordance with previous evidence that depressed patients show reduced dACC-amygdala  
408 functional connectivity in response to negative (angry and sad) vs. neutral facial expressions  
409 (Dannowski et al., 2009). Moreover, reduced ACC-amygdala connectivity has also been  
410 observed in never-depressed at-risk populations including high neuroticism (Cremers et al.,  
411 2010) and individuals with a genetic risk of depression (Pezawas et al., 2005). As reviewed  
412 by Disner et al (2011), cognitive biases observed in depression appear to be influenced by: 1)  
413 neurobiological processes that initiate the cognitive bias, and 2) reduced cognitive control,  
414 which allows the bias to continue (Disner et al., 2011).

415           The dACC is part of a network of higher cortical areas including the prefrontal cortex  
416 (PFC); medial and lateral orbitofrontal cortex involved in the cognitive regulation of limbic  
417 regions associated with processing emotion. In healthy controls, the dACC, or ‘cognitive  
418 subdivision’ has been shown to be involved with down regulation of negative emotions and  
419 modulation of the neural activity of the amygdala (Mak et al., 2009; Phan et al., 2005). The  
420 dACC also plays a critical role in monitoring and adjusting emotional reactivity and cognitive  
421 control (Botvinick, Braver, Barch, Carter, & Cohen, 2001; Kerns et al., 2004; Pizzagalli,  
422 2011), and has been shown to be hypoactive in major depression (Davidson et al., 2002). It  
423 has been suggested that higher cortical areas responsible for suppressing task-irrelevant  
424 information using a ‘top-down’ mechanism may be altered in depression. For example, Etkin  
425 and colleagues demonstrated top-down inhibition of amygdala activity by the rostral ACC  
426 during an emotional conflict task using dynamic causal modelling (Etkin, Egner, Peraza,  
427 Kandel, & Hirsch, 2006). Although the directionality of the effect cannot be determined in  
428 the current study, the reduced connectivity observed between the dACC and amygdala may  
429 therefore support the notion of impaired top-down regulation of the amygdala response by the

430 dACC in individuals with a later chronotype. Of note, Rosenberg and colleagues (Rosenberg,  
431 Maximov, Reske, Grinberg, & Shah, 2014) reported significantly lower fractional anisotropy  
432 (FA; a measure of microstructural integrity) in white matter underlying the left ACC in  
433 healthy males free of current or previous psychiatric disorder characterised as late  
434 chronotypes as compared to early and intermediate types. In depressed patients, cingulate FA  
435 predicts remission (Korgaonkar, Williams, Song, Usherwood, & Grieve, 2014) and ACC  
436 white matter abnormalities have been reported in elderly depressed patients which affected  
437 cognitive functions and emotion modulation (Alexopoulos, Kiosses, Choi, Murphy, & Lim,  
438 2002; Ballmaier et al., 2004). By contrast, Olvet et al., (Olvet et al., 2016) found no  
439 difference in cingulate FA values between depressed patients and healthy controls. The lack  
440 of consensus in studies of depressed patients may reflect the heterogeneous nature of the  
441 disorder. Emerging evidence in late chronotypes (Rosenberg et al., 2014) indicates reduced  
442 microstructural integrity of the ACC which could relate to abnormal suppression of the  
443 amygdala response, although future studies are needed to directly investigate this.

444         Previous neuroimaging studies show altered emotional regulation in depressed  
445 patients. For example, Erk and colleagues reported reduced functional connectivity between  
446 DLPFC and amygdala when depressed participants down-regulated negative images  
447 compared to healthy controls (Erk et al., 2010). The ability to down-regulate the negative  
448 emotion was also negatively correlated with the participant's HAMD (Hamilton Rating Scale  
449 for Depression) score. Beauregard and colleagues reported enhanced activity in dACC, right  
450 anterior temporal pole, right amygdala and right insular when depressed participants were  
451 asked to down-regulate their emotions whilst viewing sad films (Beauregard, Paquette, &  
452 Levesque, 2006), and Johnstone and colleagues reported increased activity in right PFC and  
453 ventro-lateral prefrontal cortex (VLPFC) of depressed participants during reappraisal of  
454 negative images (Johnstone, van Reekum, Urry, Kalin, & Davidson, 2007). Both studies

455 reported enhanced activation of higher cortical regions involved in emotion regulation  
456 circuitry showing less efficient engagement of these regions.

457         Behaviourally, there is also evidence to suggest that major depression is associated  
458 with impaired emotion regulation. For example, Joormann and colleagues (2010) reported  
459 that depressed patients display a lack of inhibition of negative material during a negative  
460 affective priming task, which was associated with greater rumination i.e. a maladaptive  
461 process of ‘recycling’ thoughts (Joormann & Gotlib, 2010). In the same study, reduced  
462 inhibition of negative materials was also related to less use of cognitive reappraisal; a  
463 beneficial emotional regulation strategy involving re-interpreting the meaning of an  
464 emotional situation, and more use of expressive suppression; a maladaptive strategy  
465 involving inhibiting the expression of an emotion (Joormann & Gotlib, 2010). The misuse of  
466 these emotional regulation strategies, in particular rumination, has been shown to be  
467 important in the recurrence of depressive episodes and to some extent the chronicity of  
468 depressive disorders (for a review, see Nolen-Hoeksema, 2000). Similarly, at-risk populations  
469 display impaired emotional regulation processes. For example, decreased thought suppression  
470 (a strategy to inhibit unwanted and intrusive thoughts) and increased rumination have been  
471 reported to mediate the association between high neuroticism and depression (Lu, Yang,  
472 Zhang, & Qiu, 2017). In relation to chronotype, Antypa and colleagues found cognitive  
473 reactivity (the activation of negative thoughts in response to low mood) and rumination to be  
474 mediators of the association between late chronotype and depression, independent of  
475 insomnia and neuroticism (Antypa et al., 2017). Moreover, late chronotype was recently  
476 found to be associated with increased expressive suppression whilst early chronotype was  
477 associated with increased cognitive reappraisal after controlling for age, gender, depressive  
478 symptoms, neuroticism and sleep quality (Watts & Norbury, 2017). In addition, evening and  
479 intermediate types report reduced self-control of thoughts, emotions, impulses, performance

480 regulation and habit breaking (as measured by the Self-Control Scale) as compared to early  
481 chronotypes (Wang & Hu, 2016). Although our current data does not address the hypothesis  
482 directly, our data (increased amygdala reactivity and decreased dACC-amygdala functional  
483 connectivity) and earlier findings of reduced emotion regulation (Antypa et al., 2017; Wang  
484 & Hu, 2016; Watts & Norbury, 2017) appear consistent with this model of bottom-up  
485 suppression of higher cortical areas and top-down regulation of limbic regions and could, in  
486 part, explain the increased vulnerability for depression in late chronotype individuals.  
487 However, future studies designed to directly investigate this model of emotional regulation  
488 are needed.

489         In adulthood, late chronotypes are typically younger than early chronotypes showing  
490 peak lateness at ~ 19-20 years and shifting to a more early chronotype thereafter (Fischer,  
491 Lombardi, Marucci-Wellman, & Roenneberg, 2017; Randler et al., 2016). Also, compared to  
492 early chronotypes, late chronotypes are more likely to report poor sleep quality, daytime  
493 tiredness (Taillard, Philip, Coste, Sagaspe, & Bioulac, 2003), consumption of nicotine and  
494 alcohol (Adan, 1994; Taillard, Philip, & Bioulac, 1999). By contrast, here we report that later  
495 chronotype was moderately associated with older age and higher sleep quality. This may  
496 reflect our sample with a relatively limited age range and the fact we excluded participants  
497 with a current or previously diagnosed sleep disorder who are most likely to suffer from poor  
498 sleep quality. It is also suggested that late chronotypes often suffer from ‘chronic social jet  
499 lag’ due to the discrepancy between their endogenous sleep/wake rhythm and external  
500 constraints such as work schedules that typically start early in the day (Roenneberg, Wirz-  
501 Justice, & Merrow, 2003). We did not measure social jet lag so cannot exclude that a  
502 mismatch between internal rhythm and external demands impacted on the current findings.  
503 The underlying causes that lead to depression are likely to be multifactorial and there is a  
504 need for longitudinal studies to explore and determine effective strategies that promote

505 psychological well-being in this population. Interventions such as cognitive bias modification  
506 may be useful for the prevention of depression in late chronotype individuals. Also,  
507 experimental manipulations that allow late chronotype individuals to follow, or better match,  
508 their circadian rhythm may be effective in reducing depressive symptomatology. Indeed,  
509 Vetter and colleagues reported increased well-being on weekdays when factory workers had  
510 their most strenuous shifts abolished (late evening shifts for early chronotypes and early  
511 morning shifts for late chronotype) (Vetter, Fischer, Matera, & Roenneberg, 2015).  
512 Alternatively, correcting phase disturbance with bright morning light, melatonin or melatonin  
513 agonists may also be useful strategies to improve mood (Kasper et al., 2010).

#### 514 **Limitations**

515 Interpretation of the current findings should take into consideration a number of  
516 limitations. Chronotype was determined using a single brief self-report metric (the rMEQ).  
517 Although widely used and ratings obtained using this tool correlate well with objective  
518 measurements future studies may benefit from using additional measures; for example, core  
519 body temperature, estimates of melatonin and cortisol levels, polysomnography, sleep diaries  
520 and actigraphy. In addition, we did not fix scan times relative to individual wake up times to  
521 ensure that participants were in similar circadian phase. This is of importance as previous  
522 neuroimaging studies have reported chronotype by time-of-day dependent effects on BOLD  
523 response to a number of cognitive tasks [i.e. synchrony effects] (Schmidt et al., 2015; Song et  
524 al., 2017). In an alternative approach designed to limit potential synchrony effects Reske *et*  
525 *al.*, (2015) scanned participants performing a variable load attention-to-motion task at a fixed  
526 interval (between 10 and 12 hours) post individual waking time. During high-attentional load  
527 early and late chronotype, as compared to intermediate types, showed reduced BOLD in right  
528 dorsolateral prefrontal cortex. At moderate attentional load a more complex pattern emerged,  
529 early chronotypes had greater BOLD response in bilateral insula whereas late chronotypes

530 showed reduced activation in right superior parietal cortex (Reske, Rosenberg, Plapp,  
531 Kellermann, & Shah, 2015). Using the same approach Rosenberg and colleagues (2015)  
532 explored chronotype effects on a semantic priming task. Across all contrasts reported late  
533 chronotypes, relative to early or intermediate types, showed increased activation in a number  
534 of anatomical locations previously implicated in semantic processing (Rosenberg, Reske,  
535 Warbrick, & Shah, 2015). The limited available evidence clearly points to both chronotype-  
536 specific and chronotype-by-time dependent effects on regional BOLD. However, whether  
537 these synchrony effects (Schmidt et al., 2015; Song et al., 2017) translate from cognitively  
538 demanding tasks to less demanding implicit emotional processing tasks as reported in current  
539 work is unknown. Here, including time of scan as a covariate did not impact on the pattern of  
540 results and confirmed that late chronotype is associated with increased amygdala response to  
541 negative stimuli and reduced fronto-limbic connectivity after controlling for a number of  
542 possible confounds. Future studies, however, would benefit from explicitly controlling scan  
543 time according to individual chronotype. Current, previous and family history of depression  
544 was determined using self-report. Future studies may benefit from reference to medical  
545 history or structured clinical interview to assess exclusion criteria. We also did not measure  
546 or exclude participants with high neuroticism trait which is another population proven to be  
547 at-risk of depression (Kendler, Gatz, Gardner, & Pedersen, 2006). There is some evidence  
548 that low neuroticism and early chronotype are correlated (Duggan, Friedman, McDevitt, &  
549 Mednick, 2014), however we have previously shown that biases in emotional processing are  
550 present in a similar sample of young adults despite no observable differences in neuroticism  
551 (Berdynaj et al., 2016). Also, there a number of hormones that show diurnal variation (e.g.  
552 cortisol). As we did not conduct a blood assay we cannot rule out neuroendocrine effects on  
553 the current results. Of particular note, repeated clinical observations have reported an  
554 association between acute depression and increased availability of cortisol (Cowen, 2010)



555 and elevated levels of cortisol is associated with hyperactivity of the amygdala (Tafet &  
556 Nemeroff, 2016). Against this, Kudielka et al, (Kudielka, Federenko, Hellhammer, & Wust,  
557 2006) reported an increased cortisol awakening response in early vs. late chronotypes  
558 independent of sleep duration or awakening time. Similarly, Maierova and colleagues  
559 (Maierova et al., 2016) observed higher overall concentrations of cortisol in early  
560 chronotypes tested across a period of many hours. In this context, our observation of an  
561 association between late chronotype and increased activation in bilateral amygdala makes  
562 fluctuation in cortisol levels an unlikely explanation for our findings.

563

## 564 **Conclusion**

565 In conclusion, a clear association was found between late chronotype and increased  
566 sensitivity to negative emotional facial expressions in bilateral amygdala. Late chronotype  
567 was also associated with reduced dACC-amygdala functional connectivity suggesting  
568 impaired emotional regulation circuitry. These findings suggest that late chronotype is  
569 associated with an altered neural signature similar to that seen in depressed individuals and  
570 other at-risk groups and could be related to the ‘chronic social jet lag’ they often experience.  
571 The present findings highlight important clinical and theoretical implications for the  
572 prevention and treatment of depression in this at-risk group. Longitudinal studies are needed  
573 to investigate the predictive power of negative biases and impaired emotional regulation for  
574 the development of depression, as well as effective interventions to promote well-being in  
575 late chronotypes.

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585

586 **Competing interests**

587 The authors declare no issues of competing interests.

588

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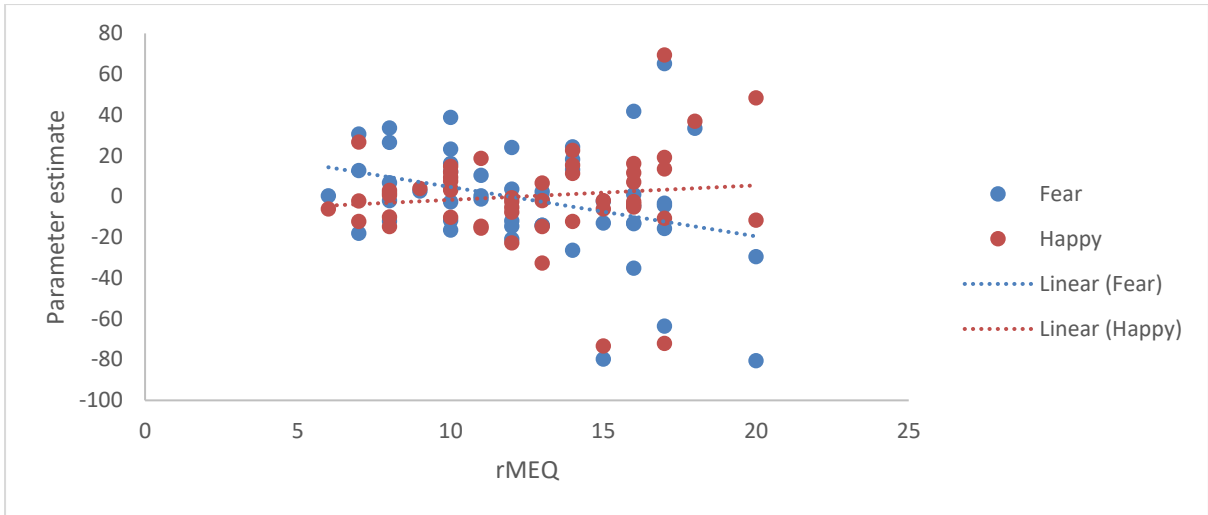
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#### 864 **Supplementary Material**

865 Inspection of the response in left amygdala to fear and happy faces separately (please see  
866 Supplemental Figure 1 below) as a function of chronotype indicates that later chronotype is  
867 associated with increased response to fear ( $r(50) = -.32, p .02$ ) an opposite (non-significant)  
868 association was observed for happy facial expressions).

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Supplemental Figure 1. Scatter plot showing a negative association between chronotype and BOLD response to fearful facial expressions (blue circles and dotted line). The relationship between chronotype and BOLD response to happy facial expressions is also shown (data in red).