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### 33 Abstract:

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This study aims to develop a multi-preference based interval fuzzy-credibility constrained 35 programming (MIFCP) approach for planning the regional-scale water-resources management 36 system (RWMS) of Henan Province, China. This is the first attempt for planning RWMS through 37 combining interval parameter programming (IPP), fuzzy-credibility constrained programming 38 (FCP) and three diverse attitudes of decision makers with one framework. MIFCP cannot only 39 address uncertainties expressed by interval and fuzzy information but also present multiple 40 preferences of decision makers towards conservative, neutral and radical attitudes. Solutions of 41 42 multiple water resources, multiple water-receiving cities and multiple water-using departments in association with multiple attitudes of decision makers and multiple credibility levels are 43 examined. Results reveal that over the planning horizon the total supplying water of radically 44 oriented decision maker can increase by  $1.82 \times 10^9$  m<sup>3</sup> compared to the conservatively oriented 45 decision maker. The obtained results also disclose that for the radically oriented decision maker, 46 the water allocation for agriculture, industry, life and ecology during the entire horizon can 47 respectively change by 0.24%, 0.37%, 0.67% and 0.44% as the  $\alpha$ -cut level changes from 0.5 to 1. 48 These findings cannot only gain insights on some desired supports for Henan Province but also 49 provide theoretical suggestions for other study regions. 50

51

Keywords: fuzzy credibility-constrained programming; multi-preference; multiple water
 resources; planning; uncertainty

54

#### 55 **1. Introduction**

57	Water is a unique fundamental resource for agriculture, industry, life and ecology (Yang et al.,
58	2018). Increasing water demand and limited water availability aggravate the contradiction of
59	water demand-supply owing to the population growth, industrial production and social progress
60	(Yan et al., 2018). Simultaneously, water scarcity is a significant factor for restricting economic
61	development and human's living standard improvement in many cities (Chen et al., 2019).
62	Moreover, the over-exploitation and unreasonable utilization of surface water and groundwater
63	have resulted in destruction of ecological systems and waste of water resources (Ye et al., 2018).
64	Currently, numbers of organizations have made huge efforts to enhance efficiency for water
65	resources management and seek methods to provide reliable water supplies to each department
66	(Uche et al., 2015). However, for a regional-scale water-resources management system (RWMS),
67	there are multiple water resources, multiple water-receiving cities and multiple water-using
68	departments. Moreover, these water-receiving cities and water-using departments may have
69	diverse water resources demands in association with various factors from hydrogeological,
70	sociometric and environmental aspects and they can compete for water supplies at every period
71	(Ren et al., 2017). Therefore, it is desired for formulating high-efficiency management methods
72	that could not only improve the water efficiency but also coordinate the sustainable development
73	among of various departments (Li et al., 2016).

Previously, a number of research works were conducted for supporting water resources
management such as linear programming (LP), non-linear programming (NLP), dynamic
programming (DP) and genetic algorithm (GA) (Singh, 2012). For example, Martinsen et al.
(2019) presented a LP model for planning the Haihe River Basin's water allocation issues, in

which the spatial variation of water quality and quantity were considered. Garga and Dadhichb 79 (2014) conducted the NLP model for optimizing the deficit irrigation in the Lower Indus Basin. 80 81 Abdulbaki et al. (2017) proposed an integer LP model for optimizing the treatment and allocation of water resources, where the cost of water treatment, transportation and waste water 82 discharge were considered as integer variables. Li and Majozi (2018) advanced a DP approach 83 for optimizing water networks, in which water-using units were sorted according to the 84 concentration of the entrance and every concentration were suited at a distinct gradation. Bi et al. 85 (2015) introduced the prescreened heuristic sampling method by improving the ability of GAs to 86 find optimal or near-optimal decision-making alternatives for the realistic-sized water allocation 87 optimization problem, in which hydraulic simulations were performed using EPANET 2.0. 88 Although the above studies could effectively handle problems in RWMS when their components 89 or parameters were deterministic (Wang et al., 2019). However, some ambiguous information in 90 RWMS could not be accurately expressed as deterministic values such as available water 91 92 resources, water demand, costs and benefits of water supply (Milan et al., 2018). In addition, these studies also have difficulties in reflecting the uncertainties caused by the changes of 93 socio-economic, eco-environment and subjective judgements from various decision makers (Fu 94 95 et al., 2018). Therefore, effective optimization methods for planning RWMS and dealing with kinds of complexities and uncertainties are desired (Kacimov et al., 2019). 96

97

Over the past decades, many effective methods were proposed to tackle complexities and
uncertainties in RWMS such as interval parameter programming (IPP), fuzzy programming (FP)
(Zhang and Guo, 2017). For instance, Maqsood et al. (2005) adopted the IPP method to plan
water resources of an unregulated reservoir in a dry season, where water demand for agriculture

102	and industry were regarded as interval numbers. Ren et al. (2017) formulated a FP approach to						
103	optimize the Wuwei City's irrigation water and land resources issues, in which the amount of						
104	surface water and groundwater were considered as the fuzzy sets. Zhang et al. (2018) applied a						
105	fuzzy-credibility constrained programming (FCP) method to optimize water resources allocation						
106	in Yingke Irrigation District, in which monthly rainfall and inflow were presented as the fuzzy						
107	sets. Singh (2015) developed a chance-constrained programming (CCP) model for the seasonal						
108	optimal allocation of available land and water resources, in which the net irrigation demand was						
109	solved as a random variable. Li et al. (2019a) proposed a fuzzy gradient chance-constrained						
110	programming (FGCCP) to address different uncertainties in evacuation management and						
111	planning, where decision makers' subjective judgements were interpreted as fuzzy information.						
112	In general, the IPP can deal with uncertain parameters in the format of interval numbers, but						
113	cannot reflect the reliability of satisfying system constraints in an imprecise context (Li et al.,						
114	2015). CCP and FCP are efficacious for reflecting the risk violation caused by uncertainty in the						
115	system (Charnes and Cooper, 1959; Liu and Liu, 2002; Sun et al., 2019; Zhang et al., 2019a).						
116	However, in the practical application, obtaining probability distribution couples with many						
117	complexities and uncertainties in association with information quality, while fuzzy sets may be						
118	relatively feasible when its membership function is determined (Zhang and Huang, 2010; Zhang						
119	and Huang, 2011b; Dai et al., 2016). Besides, the traditional FCP methods are over-simplified						
120	and they cannot fully present the decision makers' subjective judments (Liu et al., 2017). The						
121	FGCCP can reflect decision makers' eclectic attitudes through introducing a linear combination						
122	of possibility and necessity (Xu et al., 2017). However, in real-world water resources						
123	management issues, it is difficult to exactly reflect preferences of different stakeholders towards						
124	various perspectives in decision-making processes of water resources allocation (Zomorodian et						

al., 2018). For instance, water managers with a radically oriented attitude may prefer the smallest
credibility level with extremely high system benefits. While, the conservatively oriented water
manager prefers the largest value of credibility level and water resources demand constraints
satisfied thoroughly. Neutral oriented water manager usually secures the medium value of
credibility level, and the reasonable probability that the projected goals will be reached (Ji et al.,
2018).

131

Thus, this study aims at proposing a multi-preference based interval fuzzy-credibility constrained 132 programming (MIFCP) approach for multi-uncertainty reflection through combining IPP, FCP 133 and three diverse attitudes (i.e. conservative, neutral and radical attitudes) of decision makers. 134 MIFCP cannot only address uncertainties expressed by interval and fuzzy information but also 135 reflect multiple preferences of decision makers. Then, a MIFCP-RWMS model is developed for 136 water resources management of Henan Province, China. In the MIFCP-RWMS model, three 137 138 preferences towards three attitudes of decision makers and three satisfaction degrees of the water demand in multiple departments (i.e. agriculture, industry, life and ecology) will be considered. 139 Summarily, the MIFCP-RWMS could help decision makers: (a) handle uncertainties presented as 140 141 interval values and fuzzy sets; (b) develop comprehensive water allocation schemes under different attitudes of decision makers; (c) balance the contradiction among economic benefits, 142 143 water demand-supply, waste water discharge, chemical oxygen demand (COD) and ammonia 144 nitrogen (NH<sub>3</sub>-N) emissions.

145

146 **2. Methodology** 

The water managers are charged with allocating multiple water resources to meet the water requirements for multiple water-using departments (Milan et al., 2018). However, in practical water resources management problems, the water resources demand can vary from different departments in each city at every period (Wang and Huang, 2012). FCP is effective for reflecting the satisfaction degrees of the constraints using fuzzy sets (Li et al., 2013). A general FCP model can be described as:

154 Min 
$$E = \sum_{j=1}^{n} c_j x_j$$
 (1a)

subject to:

156 
$$Cr\left\{\sum_{j=1}^{n} a_{ij} x_{j} \le \hat{b}_{ij}^{\prime 0}\right\} \ge \lambda_{i}, i = 1, 2, ..., m$$
 (1b)

157 
$$x_j \ge 0, \ j = 1, 2, ..., n$$
 (1c)

158

159 where E is the objective function;  $x_i$  are decision variables; and  $a_{ii}$ ,  $b_i^{k}$  and  $c_i$  are

160 coefficients. Fuzzy credibility was firstly proposed by Liu and Liu (2002) and was a measure of 161 confidence level in fuzzy environment to tackle uncertainties expressed as fuzzy sets (Zhang and 162 Huang, 2011a; Zhang et al., 2012). The credibility level ( $\lambda_i$ ) represent the satisfaction degree of 163 the associated constraint (Huang, 2006; Rong and Lahdelma, 2008).

164

165 The triangular fuzzy membership function is one of the most popular possibility distributions,

and it is adopted in this study due to its computational efficiency (Chang and Wang, 1997;

- 167 Sasikumar and Mujumdar,2000; Zhang and Huang, 2010). Let  $\xi$  be fuzzy variables with
- 168 membership function  $\mu$  and  $\mathcal{H}$  be a fuzzy set (Huang, 2006). Thus, we have:

170 
$$\mu(r) = \begin{cases} \frac{r-\underline{t}}{t-\underline{t}} & \text{if } \underline{t} \le r \le t \\ \frac{r-\overline{t}}{t-\overline{t}} & \text{if } t \le r \le \overline{t} \\ 0 & otherwise \end{cases}$$
(2)

171

where  $\underline{t}$ ,  $\overline{t}$ , and t are the minimum, maximum, and most-likely values of  $\mathcal{H}$ , respectively, *r* is real number (Li et al, 2013; Zhang et al., 2018).

175 According to the definition of credibility, the credibility of  $r \le \xi$  can be depicted as (Zhang and 176 Huang, 2011b):

177 
$$Cr(r \leq \xi) = \begin{cases} 1 & \text{if } r \leq \underline{t} \\ \frac{2t - \underline{t} - r}{2(t - \underline{t})} & \text{if } \underline{t} \leq r \leq t \\ \frac{r - \overline{t}}{2(t - \overline{t})} & \text{if } t \leq r \leq \overline{t} \\ 0 & \text{if } r \geq \overline{t} \end{cases}$$
(3)

178

179 Let 
$$\sum_{j=1}^{n} a_{ij} x_j = s_i$$
. Thus Equation (1b) can be transformed into:

180 
$$Cr\{s_i \le \hat{b}_i^0\} \ge \lambda_i, i = 1, 2, ..., m$$
 (4)

181

182 Generally, the  $\lambda_i$  varies from 0 to 1 (Zhang and Huang, 2010; Xu et al., 2017). However, in 183 real-world management issues, decision makers prefer that the constraint should be satisfied under a high necessity degree which is greater than 0.5 (Yu et al., 2016; Zhang and Guo, 2017; Zhang et al., 2018). Thus, we have the following for each  $1 \ge \mu_{\beta_0} \ge \lambda_i \ge 0.5$ :

186 
$$\frac{2b_i - \underline{b}_i - s}{2(b_i - \underline{b}_i)} \ge \lambda_i$$
(5)

187

By introducing  $\lambda$  into the intricate system, decision makers can gain many feasible plans through 188 repeatedly modifying the tradeoffs among satisfaction constraints by shifting credibility levels 189 (Tu et al., 2015). Therefore, how to choose the credibility level ( $\lambda$ ) would have significant impact 190 on the optimal strategies in water resources management. Nevertheless, it is difficult to present 191 the multiple preferences of decision makers in the actual processes of water resources allocation. 192 The multi-preference based programming (MP) approach is useful for handling fuzzy credibility 193 levels of different decision makers (Ji et al., 2018). Generally, the conservatively oriented 194 decision makers prefer to select the larger credibility level with high water demand satisfaction, 195 the neutrally oriented decision makers usually take the middle credibility level to reach the 196 projected goal, and the radically oriented decision makers are willing to choose the smaller 197 credibility level with extremely high system benefits. 198

199

An α-cut is defined as the set of elements that belong to a fuzzy set <sup>M</sup>/<sub>i</sub> at least to the degree of α
(Zhang and Huang, 2010; Ji et al., 2018). Based on the α-cut level, the value range of
corresponding credibility level under three different attitudes of decision makers can be obtained.
In fact, some system parameters can hardly be expressed as fuzzy sets when the information
quality is failed to create membership functions. However, these parameters can be presented as
intervals by knowing their lower and upper bounds (Li et al., 2008; Zhang and Huang, 2011b).

Hence, through coordinating MP and IPP into FCP, a general MIFCP can be described as:

207 Min 
$$E^{\pm} = \sum_{j=1}^{n} c_{j}^{\pm} x_{j}^{\pm}$$
 (6a)

208 subject to:

209 
$$\sum_{j=1}^{n} a_{ij}^{\pm} x_{j}^{\pm} \leq b_{i} + (1 - 2\lambda_{i}^{4})(b_{i} - \underline{b}_{i}), i = 1, 2, ..., m$$
(6b)

(6c)

210 
$$x_{j}^{\pm} \ge 0, \ j = 1, 2, ..., n$$

211

where  $a_{ij}^{\pm} \in \left\{R^{\pm}\right\}^{m \times n}$ ,  $c_{j}^{\pm} \in \left\{R^{\pm}\right\}^{1 \times n}$ ,  $x_{j}^{\pm} \in \left\{R^{\pm}\right\}^{n \times 1}$ ;  $R^{\pm}$  mean interval numbers ('-' is the lower 212 bound and '+' is the upper bound);  $\mathcal{X}_{i}^{b}$  present ambiguous credibility levels with 213 multi-preference (Ji et al., 2018).  $x_i^{\pm}$  present decision variables that are divided into two sorts: 214 continuous and binary variables. Since the follow-up developed MIFCP-RWMS model includes 215 216 more than 3,900 parameters and 600 decision variables, and there is no constraint violation existing in the interval linear programming (ILP) solution space (i.e. the symbols of  $a_j^{\pm}$  and  $c_j^{\pm}$ 217 in MIFCP-RWMS model are simultaneously positive or negative) (Fan and Huang, 2012; Yu et 218 al., 2018). Therefore, the two-step method (TSM) that can effectively solve the ILP issues by its 219 220 high computational efficiency will be used for obtaining the lower and upper bounds of the desired objective function values (Huang et al., 1992). Based on the simplex algorithm, the 221 resulting linear programming model (RWMS-MIFCP model) will be solved through Lingo 222 version 10.0 (Dantzig, 1955; Cottle and Dantzig, 1970; Dantzig, 1982; Huang and Loucks, 2000; 223 Singh and Yadav, 2015; Rani et al., 2016). 224

226 The first sub-model of MIFCP corresponding to  $f^+$  is:

227 Max 
$$f^+ = \sum_{j=1}^k c_j^+ x_j^+ + \sum_{j=k+1}^n c_j^+ x_j^-$$
 (7a)

subject to:

229 
$$\sum_{j=1}^{k} \left| a_{ij}^{\pm} \right|^{-} Sign\left( a_{ij}^{\pm} \right) x_{j}^{+} + \sum_{j=k+1}^{n} \left| a_{ij}^{\pm} \right|^{+} Sign\left( a_{ij}^{\pm} \right) x_{j}^{-} \le b_{i} + \left( 1 - 2\lambda_{i}^{0} \right) \left( b_{i} - \underline{b}_{i} \right), i = 1, 2, ..., m.$$
(7b)

230 
$$x_j^+ \ge 0, j = 1, 2, ..., k$$
 (7c)

231 
$$x_j^- \ge 0, j = k+1, k+2, ..., n$$
 (7d)

232

Solutions of  $x_{jopt}^{+}$  (j = 1, 2, ..., k) and  $x_{jopt}^{-}$  (j = k + 1, k + 2, ..., n) can be obtained through solving sub-model (7). Based on the solutions of model (7), the sub-model corresponding to  $f^{-}$  can be formulated as follows (assume that  $b_i^{\pm} > 0$  and  $f^{\pm} > 0$ ):

236 
$$\operatorname{Max} f^{-} = \sum_{j=1}^{k} c_{j}^{-} x_{j}^{-} + \sum_{j=k+1}^{n} c_{j}^{-} x_{j}^{+}$$
(8a)

237 subject to:

238 
$$\sum_{j=1}^{k} \left| a_{ij}^{\pm} \right|^{+} Sign\left( a_{ij}^{\pm} \right) x_{j}^{-} + \sum_{j=k+1}^{n} \left| a_{ij}^{\pm} \right|^{-} Sign\left( a_{ij}^{\pm} \right) x_{j}^{+} \le b_{i} + \left( 1 - 2\lambda_{i}^{0} \right) \left( b_{i} - \underline{b}_{i} \right), i = 1, 2, ..., m.$$
(8b)

239 
$$0 \le x_j^- \le x_{jopt}^+, j = 1, 2, ..., k$$
 (8c)

240 
$$x_j^+ \ge x_{jopt}^-, j = k+1, k+2, ..., n$$
 (8d)

241

From model (8), solutions of 
$$x_{jopt}^{-}(j=1,2,...,k)$$
 and  $x_{jopt}^{+}(j=k+1,k+2,...,n)$  can be

obtained (Huang and Loucks, 2000). Thus, the final solutions of  $f_{opt}^{\pm} = [f_{opt}^{-}, f_{opt}^{+}]$  and

244  $x_{jopt}^{\pm} = \left[ x_{jopt}^{-}, x_{jopt}^{+} \right]$  can be obtained for model (6).

245

246 **3. Application** 

247

248 3.1 Statement of problems

249

Henan Province is located in the central part of China, which covers an area of around  $167 \times 10^3$ 250  $km^2$  and has a population of  $107.88 \times 10^6$ , as shown in Figure 1. With the rapid development of 251 socio-economy, the per capita gross domestic production (GDP) of Henan reached  $42.58 \times 10^3$ 252 (¥), while the per capita water resources of Henan was merely 442.6 m<sup>3</sup> (less than 1/5 the 253 national average) (HSY, 2017; ND, 2017). Unbalanced spatial and temporal distributions of 254 water resources aggravated the contradiction between regional economic development and water 255 resources demand, particularly in the area of dense population and developed industry. 256 257 Confronting with these situations, the Middle Route of South to North Water Diversion Project (MRSNWDP) would be of indispensability in alleviating tremendous pressure on water 258 resources and promoting sustainable development of Henan Province. Furthermore, the 259 260 excessive exploitation of groundwater caused the degradation of water-quality and the exhaustion of rivers and lakes. These mentioned problems would not only restrict the sustainable 261 development of local socio-economic activities but also affect the protection of eco-environment. 262 Therefore, it is essential for local government to make decisions in a sustainable pathway for 263 allocation of multiple water resources for multiple water-using departments in order to alleviate 264 the contradictions among economic development, water demand-supply balance and 265 environmental pollution mitigation. 266

267 ----268 Place Figure 1 here
269 ----270

## 271 3.2 MIFCP-RWMS modeling formulation

272

273	The detailed framework of the formulated MIFCP-RWMS model is shown in Figure 2. The first						
274	part is the identification of complexity and uncertainty, including multiple water resources (i.e.						
275	surface water, groundwater and other water), multiple water-using departments (i.e. agriculture,						
276	industry, life and ecology), multiple water-receiving cities (i.e. Zhengzhou, Kaifeng, Luoyang,						
277	Pingdingshan, Anyang, Hebi, Xinxiang, Jiaozuo, Puyang, Xuchang, Luohe, Sanmenxia, Nanyang,						
278	Shangqiu, Xinyang, Zhoukou, Zhumadian and Jiyuan), and multiple planning periods (i.e. years						
279	of 2020, 2025 and 2030). In the second part, the MIFCP method was developed based on the IPP,						
280	FCP and MP methods, which could not only resolve uncertainties presented as interval values						
281	and fuzzy sets but also reflect multiple preferences of decision makers towards the conservative,						
282	neutral and radical attitudes. The last part was the formulation of MIFCP-RWMS model and its						
283	application to Henan Province, including the objective, constraints and solutions.						
284							
285	Place Figure 2 here						
286							

287

over the planning horizon, including the benefits from four water resources demand departments

In this study, the objective of MIFCP-RWMS model is to maximize the total system benefits

and the cost of three supplying water resources (Fu et al., 2018). The objective function can beformulated as follows:

292

293 Max 
$$f^{\pm} = (1) + (2) + (3) + (4)$$
 (9a)

294 (1) Benefits for agriculture:

295 
$$\sum_{s=1}^{3} \sum_{c=1}^{18} \sum_{t=1}^{3} AW_{s,c,t}^{\pm} \times \left(AB_{s,c,t}^{\pm} - AC_{s,c,t}^{\pm}\right)$$
(9b)

296 (2) Benefits for industry:

297 
$$\sum_{s=1}^{3} \sum_{c=1}^{18} \sum_{t=1}^{3} IW_{s,c,t}^{\pm} \times \left( IB_{s,c,t}^{\pm} - IC_{s,c,t}^{\pm} \right)$$
(9c)

298 (3) Benefits for life:

299 
$$\sum_{s=1}^{3} \sum_{c=1}^{18} \sum_{t=1}^{3} LW_{s,c,t}^{\pm} \times \left( LB_{s,c,t}^{\pm} - LC_{s,c,t}^{\pm} \right)$$
(9d)

300 (4) *Benefits for ecology:* 

301 
$$\sum_{s=1}^{3} \sum_{c=1}^{18} \sum_{t=1}^{3} EW_{s,c,t}^{\pm} \times \left( EB_{s,c,t}^{\pm} - EC_{s,c,t}^{\pm} \right)$$
(9e)

302

where  $f^{\pm}$  is the objective function reflecting system benefits in planning periods (¥); *s* denotes water resource, with (*s* = 1, 2, 3); *c* denotes city, (*c* = 1, ..., 18); *t* denotes planning period, (*t* = 1, 2, 3);  $AW_{s,c,t}^{\pm}$ ,  $IW_{s,c,t}^{\pm}$ , and  $EW_{s,c,t}^{\pm}$  is the amount of water allocated to agriculture, industry, life and ecology in planning period (m<sup>3</sup>);  $AB_{s,c,t}^{\pm}$ ,  $IB_{s,c,t}^{\pm}$  and  $EB_{s,c,t}^{\pm}$  are the benefits coefficient of agriculture, industry, life and ecology (¥/m<sup>3</sup>);  $AC_{s,c,t}^{\pm}$ ,  $IC_{s,c,t}^{\pm}$ ,  $LC_{s,c,t}^{\pm}$  and  $EC_{s,c,t}^{\pm}$  are the cost coefficient of agriculture, industry, life and ecology

309 (
$$\frac{4}{m^3}$$
);

Constraints mainly consist of water resources demand-supply, pollutions discharge, water
resources for limitation constraint, policy constraint as well as nonnegative constraints. These
constraints can be depicted as follows:

314

315 (1) *The total amount of water supply constraint:* 

316 
$$\sum_{c=1}^{18} \left( AW_{s,c,t}^{\pm} + IW_{s,c,t}^{\pm} + LW_{s,c,t}^{\pm} + EW_{s,c,t}^{\pm} \right) \le \sum_{c=1}^{18} WS_{s,c,t}^{\pm}$$
(10)

317

318 where constraint (10) is the supplying of water availability constraints.  $WS_{s,c,t}^{\pm}$  is the supplying 319 of available water (m<sup>3</sup>).

320

### 321 (2) *The total amount of water demand constraints:*

 $Cr\left\{\sum_{i=1}^{3} IW_{s,c,t}^{\pm} \geq IWD_{c,t}\right\} \geq \mathcal{X}_{i}^{\phi}$ 

322

$$Cr\left\{\sum_{s=1}^{3} AW_{s,c,t}^{\pm} \ge AW_{D_{c,t}}\right\} \ge \lambda_{i}^{4}$$

$$\sum_{s=1}^{3} AW_{s,c,t}^{\pm} \ge AWD_{c,t} + \left(1 - 2 \times \lambda_{i}^{4}\right) \left(AWD_{c,t} - AW\underline{D}_{c,t}\right)$$
(11a)

$$\sum_{s=1}^{3} IW_{s,c,t}^{\pm} \ge IWD_{c,t} + \left(1 - 2 \times \lambda_{i}^{46}\right) \left(IWD_{c,t} - IW\underline{D}_{c,t}\right)$$
(11b)

$$Cr\left\{\sum_{s=1}^{3} LW_{s,c,t}^{\pm} \ge LWD_{c,t}\right\} \ge \lambda_{i}^{49}$$

$$\sum_{s=1}^{3} LW_{s,c,t}^{\pm} \ge LWD_{c,t} + \left(1 - 2 \times \lambda_{i}^{49}\right) \left(LWD_{c,t} - LWD_{c,t}\right)$$
(11c)

$$Cr\left\{\sum_{s=1}^{3} EW_{s,c,t}^{\pm} \ge EWD_{c,t}\right\} \ge \lambda_{i}^{49}$$

$$\sum_{s=1}^{3} EW_{s,c,t}^{\pm} \ge EWD_{c,t} + \left(1 - 2 \times \lambda_{i}^{49}\right) \left(EWD_{c,t} - EWD_{c,t}\right)$$
(11d)

- where constraints (11a) (11d) are the total amount of water resources demand constraints;
- 328  $AWD_{c,t}^{\pm}$ ,  $IWD_{c,t}^{\pm}$ ,  $LWD_{c,t}^{\pm}$  and  $EWD_{c,t}^{\pm}$  are the amount of water resources demand for
- 329 agriculture, industry, life and ecology  $(m^3)$ .
- 330

### 331 (3) Waste water discharge requirement constraints:

$$332 \qquad \sum_{s=1}^{3} AW_{s,c,t}^{\pm} \times ADS_{c,t} \le ATS_{c,t}^{\pm}$$
(12a)

333 
$$\sum_{s=1}^{3} IW_{s,c,t}^{\pm} \times IDS_{c,t} \leq ITS_{c,t}^{\pm}$$
(12b)

334 
$$\sum_{s=1}^{3} LW_{s,c,t}^{\pm} \times LDS_{c,t} \leq LTS_{c,t}^{\pm}$$
(12c)

З	3	5
J	J	9

where constraints (12a) and (12c) are the waste water discharge requirement constraints;  $ADS_{c,t}$   $IDS_{c,t}$  and  $LDS_{c,t}$  are the waste water discharge coefficient of agriculture, industry and life (tonne/m<sup>3</sup>).  $ATS_{c,t}^{\pm}$ ,  $ITS_{c,t}^{\pm}$  and  $LTS_{c,t}^{\pm}$  are the total volume of agricultural, industrial and living waste water discharge permission (tonne).

340

#### 341 (4) COD emission limitation constraints:

342 
$$\sum_{s=1}^{3} AW_{s,c,t}^{\pm} \times ADS_{c,t} \times ACO_{c,t} \leq ATCO_{c,t}^{\pm}$$
(13a)

343 
$$\sum_{s=1}^{3} IW_{s,c,t}^{\pm} \times IDS_{c,t} \times ICO_{c,t} \leq ITCO_{c,t}^{\pm}$$
(13b)

344 
$$\sum_{s=1}^{3} LW_{s,c,t}^{\pm} \times LDS_{c,t} \times LCO_{c,t} \leq LTCO_{c,t}^{\pm}$$
(13c)

where constraints (13a) - (13c) are the COD emission limitation constraints;  $ACO_{c,t}$ ,  $ICO_{c,t}$ and  $LCO_{c,t}$  are the concentration coefficient of COD discharge in agricultural, industrial and living waste water discharge;  $ATCO_{c,t}^{\pm}$ ,  $ITCO_{c,t}^{\pm}$  and  $LTCO_{c,t}^{\pm}$  are the total volume of COD discharge of agriculture, industry and life (tonne).

350

351 (5) *NH*<sub>3</sub>-*N* emission limitation constraints:

352 
$$\sum_{s=1}^{5} AW_{s,c,t}^{\pm} \times ADS_{c,t} \times AAN_{c,t} \le ATAN_{c,t}^{\pm}$$
(14a)

353 
$$\sum_{s=1}^{3} IW_{s,c,t}^{\pm} \times IDS_{c,t} \times IAN_{c,t} \leq ITAN_{c,t}^{\pm}$$
(14b)

354 
$$\sum_{s=1}^{3} LW_{s,c,t}^{\pm} \times LDS_{c,t} \times LAN_{c,t} \leq LTAN_{c,t}^{\pm}$$
(14c)

355

where constraints (14a) - (14c) are the NH<sub>3</sub>-N emission limitation constraints;  $AAN_{c,t}$ ,  $IAN_{c,t}$ and  $LAN_{c,t}$  are the concentration coefficient of NH<sub>3</sub>-N discharge in agricultural, industrial and living waste water discharge;  $ATAN_{c,t}^{\pm}$ ,  $ITAN_{c,t}^{\pm}$  and  $LTAN_{c,t}^{\pm}$  are the total volume of NH<sub>3</sub>-N discharge of agriculture, industry and life (tonne).

361 (6) *Water resources for limitation constraint:* 

$$362 \qquad \begin{array}{l} AW_{s,c,t}^{\pm} \leq AXU_{s,c,t} \times AWD_{c,t}^{\pm} \\ AW_{s,c,t}^{\pm} \geq AXL_{s,c,t} \times AWD_{c,t}^{\pm} \end{array}$$
(15a)

$$\frac{IW_{s,c,t}^{\pm} \leq IXU_{s,c,t} \times IWD_{c,t}^{\pm}}{IW_{s,c,t}^{\pm} \geq IXL_{s,c,t} \times IWD_{c,t}^{\pm}}$$
(15b)

$$\frac{LW_{s,c,t}^{\pm} \leq LXU_{s,c,t} \times LWD_{c,t}^{\pm}}{LW_{s,c,t}^{\pm} \geq LXL_{s,c,t} \times LWD_{c,t}^{\pm}}$$
(15c)

$$\frac{EW_{s,c,t}^{\pm} \leq EXU_{s,c,t} \times EWD_{c,t}^{\pm}}{EW_{s,c,t}^{\pm} \geq EXL_{s,c,t} \times EWD_{c,t}^{\pm}}$$
(15d)

366

where constraint (15a) - (15d) is the water sources limitation constraint;  $AXU_{s,c,t}$ ,  $IXU_{s,c,t}$ ,  $LXU_{s,c,t}$  and  $EXU_{s,c,t}$  are the maximum proportion of water resource allocation for agriculture, industry, life, ecology;  $AXL_{s,c,t}$ ,  $IXL_{s,c,t}$ ,  $LXL_{s,c,t}$  and  $EXL_{s,c,t}$  are the minimum proportion of water resource allocation for agriculture, industry, life, ecology.

371

### 372 (7) *Policy constraint:*

373 
$$\sum_{s=1}^{3} \sum_{c=1}^{18} \left( AW_{s,c,t}^{\pm} + IW_{s,c,t}^{\pm} + LW_{s,c,t}^{\pm} + EW_{s,c,t}^{\pm} \right) \le PTW_{t}$$
(16)

374

where constraint (16) is the policy constraint;  $PTW_t$  is the total amount of allowed water consumption in 2020 (m<sup>3</sup>).

377

378 (9) Nonnegative constraints:

379 
$$AW_{s,c,t}^{\pm}, IW_{s,c,t}^{\pm}, LW_{s,c,t}^{\pm}, EW_{s,c,t}^{\pm} \ge 0$$
 (17)

381	Constraint (10) means that the amount of supplying water by three water resources in each city
382	are limited, and the total supplying water for each department should not exceed the available
383	water resources (Fu et al., 2018). Constraints (11a) - (11d) indicate that the water resources
384	demand of different departments should be satisfied in order to ensure the water supply security
385	(Tu et al., 2015). Among the water allocation schemes, the allocation for life should take the first
386	priority owing to the harmonious development of social economy as well as the huge economic
387	benefits when its water requirements are being met (Li et al., 2018; Chen et al., 2017).
388	Constraints (12a) and (12c) limit the discharge of agricultural, industrial and living waste water
389	in every city for protecting the ecological environment (Liu et al., 2018). Constraints (13a) - (13c)
390	and constraints (14a) - (14c) are used for ensuring that the amount of COD and NH <sub>3</sub> -N emissions
391	should be less than the maximum emission limit (Xie et al., 2018). Constraints (15a) - (15d) are
392	formulated to ensure that the proportion of the water resources should not exceed the
393	requirements (Zhang and Guo, 2017). Constraint (16) is established to ensure that the annual
394	water resources consumption should be controlled within the prescribed scope by 2020 (HWRB,
395	2017).

*3.3 Data collection and analysis* 

The Appendix shows the specific abbreviation. The modeling data were mainly consist of
economic, social, water resources and environmental parameters. These data were obtained from
related literatures, site investigation, statistical yearbooks, and websites (Li et al., 2013; HWRB,

2017; HSY, 2017; WPN, 2017; Xie et al., 2018; Wang et al., 2019). However, the modeling 402 coefficients could hardly be deterministic or offer enough precision due to poor information 403 quality, observation errors and subjective experiences (Xu et al., 2017). In this study, 404 uncertainties were depicted in various formats to present the complexities and uncertainties of 405 the MIFCP-RWMS model. In detail, the inputs of the optimization model (i.e. benefits, cost, the 406 407 supplying of surface water, groundwater and other water, the allowable emissions of waste water, COD and NH<sub>3</sub>-H) were expressed as intervals based on the annual historical data (HWRB, 2017; 408 HSY, 2017; WPN, 2017). In addition, the water demands for agriculture, industry, life and 409 ecology were encoded as fuzzy sets based on expert consultations and estimations (Zhang and 410 Huang, 2011a; Xu et al., 2017). According to the survey among the decision makers in RWMS, 411 their preferences regarding water allocation tend to be different attitudes (i.e. conservative, 412 neutral and radical), which indicates that the credibility level ( $\lambda$ ) selection has great influence on 413 the optimal solutions (Ji et al., 2018). By introducing fuzzy set theory, the ambiguous credibility 414 level can be expressed as fuzzy number to reflect the fuzziness of decision maker's attitudes 415 (Zhang and Huang, 2010; Ji et al., 2018). The curves of  $\alpha$ -cut level and relative credibility level 416 under the attitudes of three types of decision makers were depicted in Figure 3. Table 1 depicts 417 418 water supply in 18 cities of Henan Province in 2017. Table 2 illustrates department water demand in 18 cities of Henan Province in 2017. 419 420 \_\_\_\_\_ 421 Place Tables 1,2 and Figure 3 here

- 422 -----
- 423
- 424 4. Result Analysis

427

Figure 4 shows the allocated water for three attitudes of decision makers in Zhengzhou City 428 during the planning horizon under  $\alpha = 0.5$ . Different attitudes of decision makers with diverse 429 430 credibility level ( $\lambda$ ) would have great influence on the supplying water. For example, the total supplying water during the entire planning horizon would increase by  $[0.24, 0.25] \times 10^9 \text{ m}^3$ 431 (conservative attitude),  $[0.22, 0.25] \times 10^9$  m<sup>3</sup> (neutral attitude) and  $[0.23, 0.25] \times 10^9$  m<sup>3</sup> (radical 432 attitude), respectively. This is because the rapid population growth, the gradually increased 433 industrialization and urbanization would result in the growing demand for water resources; those 434 would urge the total supplying water to increase through improving the water utilization 435 efficiencies. Besides, different allocated water would be affected by three attitudes of decision 436 makers. Specifically, when the attitudes of decision makers were conservative, the total amount 437 438 of surface water during the planning horizon would increase by 24.42 %, the total amount of groundwater would reduce by 12.41 %, and the total amount of other water would increase by 439 24.66 %, respectively. This phenomenon can be attributed to the construction and operation of 440 441 water conservancy projects such as the South to North Water Diversion Project. For the groundwater, local government would formulate relevant policies to restrict the exploitation of 442 443 groundwater. For the other water, local government would advocate the adjustment of 444 water-saving industries, encourage the reuse of water and speed up the development of waste water treatment technologies. Moreover, since the surface water has higher return compared to 445 other two types of water resources, the proportion of allocated surface water would be the largest. 446 447 Summarily, decision makers in different cities should select suitable water allocation schemes

based on the realities of the local water resources and the requirements of various departments inorder to achieve the maximum system benefits.

450 -----

451 Place Figure 4 here

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- 453

Figure 5 presents the supplying water from three water sources under different  $\alpha$ -cut levels 454 related to the three attitudes of decision makers. The water supply of different cities from three 455 water sources would vary greatly from each other. For example, under radical attitude of decision 456 makers, the supplying surface water in Xinyang city would be about 4.59 times more than that in 457 Zhumadian City. However, the supplying groundwater in Xinyang City would be about 3.28 458 times less than that in Zhumadian City. In addition, the amount of other supplying water would 459 be larger in Zhengzhou City and relatively smaller in other cities owing to the uneven 460 461 distribution and various utilization policies of water resources in different cities. Three attitudes of decision makers with different  $\alpha$ -cut levels would have great influence on the supplying water 462 from three water sources in different cities. For example, for conservative attitude of decision 463 464 makers, the lower bound of supplying water would not be affected by the change of  $\alpha$ -cut levels, its value would always be  $2.93 \times 10^9$  m<sup>3</sup>; while the upper bound of the supplying water would 465 466 lessen with the increase of  $\alpha$ -cut levels. For neutral attitude of decision makers, the upper and lower bounds of supplying water would be influenced simultaneously. For instance, the upper 467 value would be reduced from  $2.99 \times 10^9$  m<sup>3</sup> ( $\alpha = 0.5$ ) to  $2.98 \times 10^9$  m<sup>3</sup> ( $\alpha = 1$ ), while the lower 468 value would be increased from  $2.94 \times 10^9$  m<sup>3</sup> ( $\alpha = 0.5$ ) to  $2.95 \times 10^9$  m<sup>3</sup> ( $\alpha = 1$ ). For radical 469 attitude of decision makers, the upper bound of supplying water would not be affected by the 470

471	alter of $\alpha$ -cut level, which would be kept $3.00 \times 10^9$ m <sup>3</sup> ; while the lower bound of supplying
472	water would be increased with the augment of $\alpha$ -cut level. This is because different credibility
473	level ( $\lambda$ ) would make the water requirements of each department get different satisfaction
474	degrees. Generally, comprehensive water resources optimal distribution management strategies
475	(e.g., the attitude of decision makers, the advancement of irrigation modes as well as the
476	optimization of water sources allocation patterns) need to be implemented according to the
477	real-world conditions.
478	
479	Place Figure 5 here
480	
481	
482	4.2 Solution of water allocation
483	

Figure 6 presents the results of water allocation in different cities under three attitudes of 484 decision makers during the entire planning horizon. For the conservative attitude of decision 485 makers under  $\alpha = 0.5$ , groundwater would account for 32.40 % of the total supplying water in 486 Zhengzhou City, while it would be 49.26 % of the total supplying water in Xuchang City. This is 487 because each city has unique geographical location and water resources utilization policies, the 488 489 supplying water would be diverse in different cities. Additionally, there would be significant 490 variances in the amount of water usage for different departments. For example, when the attitudes of decision maker were conservative under  $\alpha = 0.5$ , the surface water in Zhengzhou City 491 for agriculture, industry, life and ecology would be 53.83 %, 38.09 %, 54.11 % and 47.08%, 492 493 respectively. This is because different departments would require diverse water quantities owing

to various social-economic development requirements. Furthermore, there was a significant 494 dissimilarity in the amount of water usage in different cities which suggested that decision 495 496 makers need to take both the actual availability of water resources and the related policy requirements into account and balance the contradictions of water resources for various 497 departments. Besides, three attitudes of decision makers would give rise to markedly changes in 498 499 water distribution. For instance, the allocated water for life in Zhengzhou City would be  $2.09 \times$  $10^9$  m<sup>3</sup> (conservative attitude),  $2.12 \times 10^9$  m<sup>3</sup> (neutral attitude) and  $2.15 \times 10^9$  m<sup>3</sup> (radical 500 attitude), respectively. This is because three attitudes of decision makers took different credibility 501 levels ( $\lambda$ ) into consideration, and the credibility levels ( $\lambda$ ) under conservative, neutral and radical 502 attitudes of decision makers would decrease successively. Moreover, different credibility levels 503  $(\lambda)$  would make the water requirements of each department get different satisfaction degrees, the 504 higher credibility level would bring about smaller allocated water resources in every department. 505 Therefore, decision makers should fully consider the characteristics associated with different 506 507 requirements of water demand and supply in each city and try to adopt various attitudes of decision makers to identify effective water allocation schemes. 508

- 509 -----
- 510 Place Figure 6 here
- 511 -----
- 512

Figure 7 shows the allocated water under three attitudes of decision makers during the entire
planning horizon. Generally, the allocated water would be different with time for different
departments in each city toward different attitudes. For instance, when the attitudes of decision
makers were neutral, the share of agricultural water in period 1 would account for 53.92% of the

517	total water consumption, which were higher than that of other departments in Anyang City;						
518	comparably, the industry water in period 1 for Zhengzhou City and Xuchang City would take the						
519	primary roles which occupied 25.16 % and 27.76 %, respectively. This phenomenon would be						
520	caused by the water resources condition, industrial structure, living standard, and economic						
521	development. Besides, for conservative attitude of decision makers under $\alpha = 0.5$ , the allocated						
522	agricultural water in Xinyang City over the planning horizon would decrease by $57.05 \times 10^6$ m <sup>3</sup> ,						
523	while the allocated water for industry, life and ecology would increase by $12.80 \times 10^6 \text{ m}^3$ , $65.84 \times 10^6 \text{ m}^3$ , $85.84 \times 10^6 \text{ m}^3$ , $8$						
524	$10^6 \text{ m}^3$ and $11.42 \times 10^6 \text{ m}^3$ , respectively. This phenomenon would be attributed to the						
525	development of industrialization, urbanization, the steady population growth, as well as the						
526	significant improvement in human life. Since the exploitation of agricultural water-saving						
527	irrigation technology and the adjustment of planting structure played significant roles in the						
528	water consumption of agriculture, the distribution of agricultural water would decrease with time.						
529	Generally, polices and strategies should be made not only to ensure basic water demand of						
530	different departments, but also to improve water efficiency of each department, especially in						
531	agriculture and industry.						
532							
533	Place Figure 7 here						
534							
535							
536	4.3 Solution of waste water, COD and NH <sub>3</sub> -N emissions						
537							
538	Figure 8 shows the volume of industrial waste water, COD and NH <sub>3</sub> -N emissions for three						
539	attitudes of decision makers during the whole planning horizon under $\alpha = 0.5$ . There were						

obvious differences in the volume of discharged waste water for different cities. For example, for 540 the radical attitude of decision makers, the volume of industrial waste water in Zhengzhou City 541 and Xinxiang City were relatively large, which were  $415.30 \times 10^6$  tonne and  $128.19 \times 10^6$  tonne, 542 respectively. On the contrary, the industrial waste water in Hebi City and Jiyuan City were 543 relatively small, which were  $36.83 \times 10^6$  tonne and  $21.77 \times 10^6$  tonne, respectively. This 544 phenomenon were the results of various industrial types, industrial development conditions and 545 industrial water usage status in different cities. Since the capacity of industrial waste water 546 treatment was altered from different cities, the concentration of major pollutants in waste water 547 were also distinct. The volume of discharged COD in Nanyang City would be  $15.39 \times 10^3$  tonne, 548 which were 18.43 times bigger than that in Jiyuan City. Additionally, it can be clearly seen that 549 the amounts of pollutants under radical attitude of decision makers are significantly greater than 550 that under the conservative attitude of decision makers. This is because the radical attitude of 551 decision makers would take smaller credibility level ( $\lambda$ ) into consideration, the water demand of 552 553 different departments would be more fully satisfied, then there would be more consumed water in the industry. In contrast, the conservative decision makers would have a relatively small 554 amount of waste water. Results indicated that various attitudes of decision makers would lead to 555 556 the change of pollutant emissions. Moreover, the pollutants mitigation levels would correspond to the city's industrial system returns. The net benefits for industry would have a decreasing 557 558 tendency as the pollutant-reduction levels increasing. Results implied that effective water usage 559 attitudes should be desired to reduce pollutant emissions, improve water utilization efficiencies 560 and achieve the sustainable development of industry.

561 -----

562 Place Figure 8 here

564

565	4.4	System	benefits

566

Figure 9 shows the system benefits under conservative, neutral and radical attitudes of decision 567 makers during the planning horizon. For conservative attitude of decision makers, the altered 568  $\alpha$ -cut levels would have no impact on the lower bound of system benefits, which would be 569 always equivalent to  $19.26 \times 10^{12}$  ¥; while the system benefits in upper bound would be 570 decreased from  $19.97 \times 10^{12}$  ¥ ( $\alpha = 0.5$ ) to  $19.88 \times 10^{12}$  ¥ ( $\alpha = 1$ ). For neutral attitudes of 571 decision makers, when  $\alpha$  was 0.5, 0.8 and 1, the upper bound of system benefits would be 20.23 572  $\times 10^{12}$  ¥, 20.20  $\times 10^{12}$  ¥ and 20.18  $\times 10^{12}$  ¥, respectively. The lower bound of system benefits 573 would be  $19.43 \times 10^{12}$  ¥,  $19.46 \times 10^{12}$  ¥ and  $19.48 \times 10^{12}$  ¥, respectively. For the radical attitudes 574 of decision makers, the upper bound of system benefits would not be affected by the change of 575  $\alpha$ -cut level, it would be always equivalent to  $20.42 \times 10^{12}$  ¥. As the increase of  $\alpha$ -cut level, the 576 lower bound of system benefits would be increased from  $19.67 \times 10^{12}$  ¥ ( $\alpha = 0.5$ ) to  $19.75 \times 10^{12}$ 577  $\frac{1}{2}$  ( $\alpha = 1$ ). Generally, the reason was that diverse attitudes of decision makers took distinct 578 579 credibility level ( $\lambda$ ) into consideration, the credibility level ( $\lambda$ ) under conservative, neutral and radical attitudes of decision makers would decrease successively. Different credibility levels ( $\lambda$ ) 580 581 would make the water resources demand of each department get different satisfaction degrees. 582 Results also indicated that the conservative decision makers with the higher credibility level ( $\lambda$ ) would lead to a less system benefits and support smaller system risk; comparably, the radical 583 decision makers with a lower credibility level ( $\lambda$ ) would gain a greater system benefits and bear 584 585 larger system risk; the neutral decision makers would secure the medium value of credibility

586	level ( $\lambda$ ), and the reasonable probability that the projected goals will be reached (Ji et al., 2018).
587	
588	Place Figure 9 here
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590	
591	5. Discussion
592	
593	5.1 Comparisons among three attitudes and practical water requirements
594	
595	Generally, water resource managers should rationally utilize water resources (i.e. surface water,
596	groundwater and other water) and strengthen the unified dispatch of water resources in order to
597	alleviate the restriction of water resources shortage and protect the security of water-supply.
598	According to the requirement of "13th Five-Year Plan (13th FYP)" in Zhengzhou City (i.e. Water
599	Resources Consumption Total and Intensity Dual Control Work Implementation Plan), the total
600	annual water consumption of Zhengzhou City would be controlled within $2.25 \times 10^9$ m <sup>3</sup> by 2020
601	(ZMSM, 2017). Figure 4 shows that the amount of water allocated to Zhengzhou City in period 1
602	would be $[2.18, 2.22] \times 10^9$ m <sup>3</sup> (conservative attitude), $[2.21, 2.26] \times 10^9$ m <sup>3</sup> (neutral attitude)
603	and [2.23, 2.28] $\times$ 10 <sup>9</sup> m <sup>3</sup> (radical attitude), respectively. This phenomenon illustrated that the
604	results under three attitudes would be diverse, and the amount of allocated water with the
605	conservative attitude would be smaller than that permitted in 13 <sup>th</sup> FYP. As shown in Figure 10a,
606	when $\alpha = 0.8$ , the system benefits with the radical attitude (i.e. [19.73, 20.42] × 10 <sup>12</sup> ¥) would be
607	higher than that with the conservative attitude (i.e. [19.26, 19.91] $\times$ 10 <sup>12</sup> ¥). It is mainly because
608	the radical decision makers would prefer achieving the maximum system benefits and having

more allocated water resources. Conversely, the conservative decision makers would tend to seek 609 the system benefits within the prerequisite of minimum water resources consumption. 610 611 Comparatively, the neutral decision makers would choose medium system benefits with intermediate system satisfaction (Ji et al., 2018). For example, in the actual RWMS of Henan 612 Province, some cities (i.e. Puyang City and Jiaozuo City) would pursue the development of local 613 614 economy, which would prompt the rapid increase of water demand in each department. Therefore, the local decision makers would be willing to adopt a radical attitude for allocating a larger 615 amount of water resources and meeting economic development requirements. On the contrary, 616 some cities (i.e. Zhengzhou City and Nanyang City) would be committed to building a 617 water-eco-civilized city, the local decision makers would choose conservative attitude to 618 implement strict water utilization programs, vigorously promote the construction of water-saving 619 society, and strictly control waste water discharge. In summary, water resources managers should 620 decide the appropriate attitude based on their own development status and economic goals 621 622 (HWRB, 2017).

623

#### 624 5.2 Comparisons among MIFCP, LP, IPP and IFCP

625

The study case would turn into an interval fuzzy-credibility constrained programming (IFCP) problem, when the fuzzy credibility levels under three attitudes were simplified into the assumed credibility levels (Zhang et al., 2019b; Zhang et al., 2020). The study problem could also be solved through an IPP method by transforming the fuzzy chance constraints into deterministic constraints (Liu and Hang, 2013). Then, the study problem could be further addressed through the conventional linear programming (LP) approach by simplifying the interval numbers as

632	deterministic values (Karterakis et al., 2007). Figure 10 illustrates the compared results among						
633	LP, IPP, IFCP and MIFCP (i.e. conservative, neutral, radical) methods. As shown in Figure 10b,						
634	the amount of allocated water in Zhengzhou City during the whole planning horizon would be						
635	$7.14 \times 10^9$ m <sup>3</sup> in LP, [7.06, 7.19] $\times 10^9$ m <sup>3</sup> in IPP, respectively. Results indicated that values						
636	obtained in LP would be within the water allocation range obtained by IPP with consideration of						
637	observation error, price fluctuation and subjective inference. Nevertheless, as the scope of						
638	interval values increased, the results by IPP would become more ambiguous and would not						
639	reflect the system credibility (Ji et al., 2018). IFCP could overcome the above limitations and						
640	improve the system effectiveness by conducting the tradeoffs among satisfaction of constraints						
641	and different credibility levels (Li et al., 2013). However, in practical problems, decision makers						
642	would have distinct attitudes towards their subjective preferences. MIFCP could reflect the						
643	preferred credibility level of constraints based on three attitudes of decision makers, which						
644	would produce a series of schemes for water resources allocation, system benefits and pollutant						
645	emissions. For example, the conservative decision makers would prefer to allocate less water						
646	resources for the sake of high system reliability. On the contrary, the radical decision makers						
647	would tend to allocate more water resources for maximum system benefits. As shown in Figure						
648	10b, the upper bound of water allocation in Zhengzhou City during the planning horizon under $\alpha$						
649	= 0.8 would be $7.17 \times 10^9$ m <sup>3</sup> (IFCP), $7.06 \times 10^9$ m <sup>3</sup> (conservative), $7.15 \times 10^9$ m <sup>3</sup> (neutral), 7.25						
650	$\times10^9m^3$ (radical), respectively. Therefore, the proposed MIFCP method is superior to LP, IPP						
651	and IFCP methods. It can provide more comprehensive and reliable choices for decision						
652	managers and be applied to a wider range of problems. Summarily, the MIFCP-RWMS model						
653	could effectively describe the multiple preferences of decision makers in the process of water						
654	resource allocation among 18 cities.						

655	
656	Place Figure 10 here
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6. Conclusions

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In this study, a MIFCP method has been developed by integrating FCP, IPP and attitudes of
decision makers into a framework. MIFCP can effectively deal with uncertainties expressed as
interval and fuzzy information and reflect multiple preferences of decision makers. Then, a
MIFCP-RWMS model has been established for planning the RWMS of Henan Province, China.
Solutions of multiple attitudes of decision makers associated with varied credibility levels have
also been obtained in the MIFCP-RWMS model.

667

668 Several findings related to water allocation, waste water discharge, COD and NH<sub>3</sub>-N emissions as well as system benefits can be summarized as follows: over the planning horizon, a) the total 669 supplying water from the radically oriented decision maker can increase by  $1.82 \times 10^9$  m<sup>3</sup> 670 671 compared to that from the conservatively oriented decision maker; b) for the radically oriented decision maker, the water allocation for agriculture, industry, life and ecology can respectively 672 673 change by 0.24%, 0.37%, 0.67% and 0.44% as the  $\alpha$ -cut level changes from 0.5 to 1; and c) 674 uncertainties associated with different credibility levels and attitudes can result in varied solutions of water allocation, pollutant emissions and system benefits, and uncertainties can 675 jointly make the system benefits change about 5.66%. 676

The MIFCP-RWMS model can provide useful strategies for planning the RWMS by considering 678 uncertainties expressed as interval, fuzzy information and different attitudes of decision makers. 679 680 The MIFCP-RWMS model cannot only provide some realistic supports for the study area but also supply series of theoretical suggestions for other study regions in terms of the regions' scale, 681 complexity and uncertainty (Yu and Li, 2019; Li et al., 2019b). However, there are some potential 682 limitations that need to be addressed in future studies. Firstly, this study merely discusses the 683 model's reasonability and applicability in the real case of Henan Province, it neglects the 684 verification in other study areas such as Zhangweinan River Basin, Shanxi Province and 685 Xinjiang Uygur Autonomous Region, thus some comparative analyses shall be further extended 686 (Liu et al., 2016; Wang et al., 2017; Luo et al., 2019). Secondly, in this study, three preference 687 attitudes of decision makers are only divided by the prediction of the future available water 688 resources, which are incapable of analyzing the decision makers' preferences on water demand 689 estimation and economic development in the future. For instance, the domestic water demand 690 691 may subject to socio-economic factors (population, household size, income, education and life style), climatic characteristics (temperature and humidity) and public water policies and 692 strategies (Makki et al., 2015; Kozłowski et al., 2018). Hence, some sensitivity analyses towards 693 694 other key parameters (e.g. water demand, water price, water discharge requirement) shall be further adopted in the MIFCP-RWMS model (Jiang et al., 2018; Poirier-Pocovi and Bailey, 695 696 2020).

697

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699

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- computational procedures of the proposed MIFCP approach as well as the LP, IPP and IFCP
- methods is available at ??????. Both data and code used in this paper are available from the
- authors upon request (<u>yulei2018@zzu.edu.cn</u>). The authors are grateful to the editors and the
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# 945 List of Table Captions

946

- Table 1. Water supply in 18 cities of Henan Province in 2017  $(10^9 \text{ m}^3)$ .
- Table 2. Water demand in 18 cities of Henan Province in 2017  $(10^9 \text{ m}^3)$ .

950	List of Figure Captions
951	
952	Figure 1 The study area
953	Figure 2 The framework of MIFCP-RWMS model
954	Figure 3 The curves of $\alpha$ -cut level and relative credibility level under the attitudes of three types
955	of decision makers
956	Figure 4 Amount of water supply under three attitudes of decision makers during the total
957	planning periods under $\alpha = 0.5 \ (10^6 \ \text{m}^3)$
958	Figure 5 Amount of water resources supply under three attitudes of decision makers $(10^9 \text{ m}^3)$
959	Figure 6 Amount of water allocation under three attitudes of decision makers in different cities
960	under $\alpha = 0.5 \ (10^9 \ \text{m}^3)$
961	Figure 7 Amount of water allocation under three attitudes of decision makers under $\alpha = 0.5$ (10 <sup>9</sup> )
962	m <sup>3</sup> )
963	Figure 8 Amount of main pollutants under different attitudes of decision makers under $\alpha = 0.5$
964	Figure 9 System benefits under three attitudes of decision makers with different $\alpha$ -cut levels
965	$(10^{12}  \text{¥})$
966	Figure 10 Compared results among MIFCP, LP, IPP and IFCP and under $\alpha = 0.8$