

Article

# Crystal Chemistry and Physical Properties of A Quaternary Intermetallic Compound, $\theta$ -(Al<sub>0.8718</sub>Cu<sub>0.0256</sub>Si<sub>0.1026</sub>)<sub>13</sub>Fe<sub>4</sub>

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**Abstract:**  $\theta$ -Al<sub>13</sub>Fe<sub>4</sub> particles form as a primary Fe intermetallic compound (Fe-IMC) during the casting of commercial Al metals and alloys that inevitably contain Fe and Si as impurities. Moreover, the excellent mechanical performances of the Al-Cu alloys demand knowledge about the structural chemistry of the Fe-IMCs, including the  $\theta$ -phase in the quaternary Al-Cu-Fe-Si system. Here, we investigate the stability, crystal structure, and electronic and mechanical properties of the Cu and Si co-doped  $\theta$ -phase using a first-principles density-functional theory approach. The calculations reveal high stability of a quaternary  $\theta$ -phase with chemical composition (Al<sub>0.8718</sub>Cu<sub>0.0256</sub>Si<sub>0.1026</sub>)<sub>13</sub>Fe<sub>4</sub> at ambient conditions. Thermodynamics and statistical analysis show a broad range of Si content in the structure at the casting temperature. The Cu and Si (co-)doping enhances the bulk modulus of the compounds. The calculated bulk modulus of the quaternary  $\theta$ -phase is 129 GPa. The findings help characterize the  $\theta$ -phase in the quaternary Al-Si-Fe-Cu system and understand the formation of the  $\theta$ -phase and related phase transformations in the various Al alloys during casting.

**Keywords:** Fe-intermetallic compounds; Si-Cu co-doping;  $\theta$ -Al<sub>13</sub>Fe<sub>4</sub>; quaternary Al-Fe-Cu-Si compound; structural chemistry; physical properties; first-principles calculations



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## 1. Introduction

Commercial aluminum metals and alloys inevitably contain impurities such as iron (Fe) and silicon (Si) [1–3]. Fe has low solubility in aluminum (<0.05 wt%), which persuades its existence in the forms of iron intermetallic compounds (Fe-IMCs), including the primary  $\theta$ -Al<sub>13</sub>Fe<sub>4</sub>. During casting, first  $\theta$ -Al<sub>13</sub>Fe<sub>4</sub> particles form and then they transform to other Fe-IMCs with the decreasing temperature [2,4–9]. Si is often added to Al alloys to improve the mechanical performance of the cast parts [1,2,7,8]. Si may dissolve into the Fe-IMCs [4,6–10]. These Fe-IMCs have nontrivial impacts on the mechanical performances of the cast parts [2,7–11]. It is, thus, essential to remove the Fe-IMCs in Al-metal/alloys during liquid handling and to cast processes in the industry [2,8,9]. This becomes even more important for recycling Al scraps for our circular economy [12–14]. To reach these goals, knowledge about the formation, stability and structural and physical properties of the Fe-IMCs, particularly the primary  $\theta$ -Al<sub>13</sub>Fe<sub>4</sub> phase in various Al alloys, is crucial.

There have been many experimental efforts on the formation and phase transformations in Al-based metals and alloys during casting [2,5–10], as well as the thermal stability [15–18] and its crystal structure [19,20] and the physical properties [21] of  $\theta$ -Al<sub>13</sub>Fe<sub>4</sub>. Crystallographically,  $\theta$ -Al<sub>13</sub>Fe<sub>4</sub> is rich in variation. It has a monoclinic lattice with space group C2/m (nr. 12). The experimental lattice parameters are,  $a = 15.492$  Å,  $b = 8.078$  Å,  $c = 11.471$  Å and  $\beta = 107.69^\circ$  [19]. This cell contains 102 atoms (78 Al and 24 Fe) in 20 different Wyckoff positions (15 Al and 5 Fe). Each Fe atom has nine to eleven Al neighbors; meanwhile, the Al atoms have 10 to 12 neighbors, with the exception of Al2, which has six neighbors with bonds shorter than 3.0 Å [19].

$\theta$ -Al<sub>13</sub>Fe<sub>4</sub> is also the prototype of the family of  $\theta$ -M<sub>13</sub>Fe<sub>4</sub> (M = Co, Fe, Ni, Pt) [22]. Moreover, the rich volatility of the metallic species indicates the possibility of dissolving

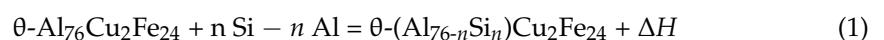
other metallic atoms into this structure to form multicomponent crystals, including the solution of the transition metals, Co, Cr, Ni, and Pt, at the Fe sites [21,23]. Experimental and theoretical studies have also found Si solution at the Al sites in  $\theta$ -Al<sub>13</sub>Fe<sub>4</sub> [2–4,6–11]. Moreover, to improve the mechanical performances of the cast parts, Cu is often added to produce Al-Cu alloys [1,3,15]. Experiments have revealed Cu solution in  $\theta$ -Al<sub>13</sub>Fe<sub>4</sub> [24,25].

Theoretical approaches, especially parameter-free first-principles methods, have been successfully applied to investigate the stability, structural and electronic properties of various Fe-IMCs [16,17,26–28]. First-principle calculations have also been used for the bulk [22,28–30], its surfaces [31,32], as well as the intrinsic defects in  $\theta$ -Al<sub>13</sub>Fe<sub>4</sub> [33,34]. Recently, more interest was drawn to Si [35] and Cu [36] solutions in  $\theta$ -Al<sub>13</sub>Fe<sub>4</sub>.

In the present study, we investigate Si-Cu co-doping in  $\theta$ -Al<sub>13</sub>Fe<sub>4</sub> using the first-principles density-functional theory within generalized gradient approximation (DFT-GGA). Hubbard U correction was included to describe the Cu 3d electrons, which is important for adequately describing the local chemical bonding [36]. Our study reveals Cu-Si co-solution in the  $\theta$ -phase, forming a stable quaternary  $\theta$ -Al<sub>68</sub>Cu<sub>2</sub>Si<sub>8</sub>Fe<sub>24</sub> at ambient conditions. Thermodynamics analysis shows the dependence of chemical compositions on preparation conditions. The calculations also provide the bulk modulus of the stable  $\theta$ -phases. The findings here help us to understand the formation, stability, crystal structure, and properties of the  $\theta$ -phase in the quaternary Al-Fe-Cu-Si system for the properties of cast Al alloys.

## 2. Methods

The previous first-principles study revealed the high stability of  $\theta$ -Al<sub>76</sub>Cu<sub>2</sub>Fe<sub>24</sub> in the ternary Al-Fe-Cu system [36]. This compound is used here as the starting point. The solid-solid reactions with Si solution at the Al sites can be defined as,



The related formation energy of Si-containing configurations is,

$$\Delta E = E(\theta\text{-(Al}_{76-n}\text{Si}_n)\text{Cu}_2\text{Fe}_{24}) - \{E(\theta\text{-Al}_{76}\text{Cu}_2\text{Fe}_{24}) + n [E(\text{Si}) - E(\text{Al})]\} \quad (2)$$

Here  $\Delta H$  is the enthalpy of the reaction (1).  $E(\theta\text{-(Al}_{76-n}\text{Si}_n)\text{Cu}_2\text{Fe}_{24})$ ,  $E(\theta\text{-Al}_{76}\text{Cu}_2\text{Fe}_{24})$ ,  $E(\text{Si})$ , and  $E(\text{Al})$  represent the calculated total valence-electron energies of  $\theta\text{-(Al}_{76-n}\text{Si}_n)\text{Cu}_2\text{Fe}_{24}$ ,  $\theta\text{-Al}_{76}\text{Cu}_2\text{Fe}_{24}$ , and the elemental solids Si and  $\alpha$ -Al, respectively. The unit in Equation (2) is eV/cell. A negative value of  $\Delta E$  means that the reaction (1) is exothermic and favored. At ambient conditions ( $T = 0 \text{ K}$ ,  $P = 0 \text{ Pa}$ ), the formation energy is equal to the negative value of the reaction enthalpy in Equation (1),  $\Delta E = -\Delta H$ , when the zero-point vibration energy term is ignored.

For the calculations, we employ a plane-wave method implemented in the first-principles code VASP (Vienna Ab initio Simulation Package) [37,38]. We also use the spin-polarized generalized gradient approximation [39] within the projector-augmented wave method [40] for the exchange and correlation energy terms. This is because the generalized gradient approximation describes the 3d transition metals well [41,42]. Considering the localized nature of the Cu 3d electrons, we employed the Hubbard U correction [43,44] with  $U = 4 \text{ eV}$ , according to the previous study, to avoid the unphysical interaction of the localized Cu 3d electrons with the neighboring atoms [36,45]. The cut-off energy of the wave functions was set at 550.0 eV and the cut-off energy of the augmentation functions was 700.0 eV. These energies are significantly higher than the corresponding default values for the elements. The electronic wave functions were sampled on a  $4 \times 8 \times 6$  grid with 70–110 k-points in the irreducible Brillouin zone of the conventional cell of the  $\theta$ -phase, depending on the symmetry using the Monkhorst–Pack scheme [46]. Both the lattice parameters and the coordinates of the atoms were fully relaxed. Different  $k$ -meshes and cut-off energies were used for the waves and augmentation waves, respectively. The tests showed good convergence ( $<1 \text{ meV/atom}$ ).

### 3. Results and Discussions

Using the above mentioned code and settings, the calculations produced lattice parameters for the elemental solids [47]:  $a = 3.039 \text{ \AA}$  for  $\alpha$ -Al with a face-centered cubic cell (experimental value,  $a = 3.0325 \text{ \AA}$  at 0 K [48], the same for the rest);  $a = 5.468 \text{ \AA}$  for Si of the diamond-type structure ( $a = 5.42982 \text{ \AA}$  [48]);  $a = 2.831 \text{ \AA}$  for the ferromagnetic  $\alpha$ -Fe with a body-centered cubic cell ( $a = 2.8607 \text{ \AA}$  [48]); and  $a = 3.622 \text{ \AA}$  for Cu ( $a = 3.6032 \text{ \AA}$  [48]). The calculated magnetic moment for  $\alpha$ -Fe is  $2.18 \mu_B/\text{Fe}$ , the same as the previous work [27]. The calculated lattice parameters agree with the experimental values with deviations within 1%. The calculations also produced total valence-electron energies for these elemental solids, which will be used to study the formation energies of the related  $\theta$ -phases.

Calculations for the Si solutions in the Fe sites in  $\theta$ -Al<sub>76</sub>Cu<sub>2</sub>Fe<sub>24</sub>, with respect to this parent ternary compound and the elemental solids,  $\alpha$ -Al and  $\alpha$ -Fe, showed that the Si solution at the Fe sites is costly, with formation energies high than 0.5 eV/Si. This indicates that it is unlikely for the Si solution to form in the Fe sites in the  $\theta$ -phase.

#### 3.1. Si Solution in $\theta$ -Al<sub>76</sub>Cu<sub>2</sub>Fe<sub>24</sub>

The Al atoms at each Al site are fully replaced by Si. In this way, the symmetry of the systems is maintained. The calculations revealed non-spin-polarized solutions for all the  $\theta$ -phases. The obtained results (lattice parameters, cell volume, and formation energies, according to Equation (2)) are listed in Table 1. The coordination numbers of the nearest neighbors for the Si atoms in the optimized structures are included in Table 1.

**Table 1.** The calculated results (lattice parameters, atomic coordinates, cell volumes, coordination numbers (CNN), Bader's charges at the Si sites ( $q$ ) and formation energies according to Equation (2) for Si solutions at the Al sites. The Wyckoff sites are fully replaced by Si and thus, the symmetry of the systems is the same as that of the  $\theta$ -Al<sub>13</sub>Fe<sub>4</sub> (space group, C2/m) [19].

Si-Sites	Local Sym.	Lattice Parameters and Volume $a(\text{\AA}), b(\text{\AA}), c(\text{\AA}), \beta(^{\circ}); V(\text{\AA}^3)$	CNN(Si)	$q(\text{e/Si})$	$\Delta E$ (eV/Cell)
$\theta$ -Al <sub>76</sub> Cu <sub>2</sub> Fe <sub>24</sub>	-	15.501, 7.928, 12.459, 108.15; 1455.08	-	-	0.00
4Si at Al1	4i, m	15.446, 7.978, 12.346, 108.24; 1444.98	3Fe, 7Al	-0.79	+0.48
4Si at Al2	4i, m	15.449, 7.909, 12.540, 108.18; 1455.64	2Fe, 10Al	-0.27	+0.95
4Si at Al3	4i, m	15.373, 7.995, 12.541, 109.31; 1454.38	2Fe, 10Al	-0.59	+1.25
4Si at Al4	4i, m	15.412, 7.930, 12.403, 107.90; 1442.54	4Fe, 1Si, 6Al	-0.77	+0.17
4Si at Al5	4i, m	15.228, 7.992, 12.532, 107.60; 1453.68	2Fe, 10Al	-0.64	+1.45
4Si at Al6	4i, m	15.446, 7.967, 12.356, 108.37; 1443.11	3Fe, 7Al	-0.66	-0.22
4Si at Al8	4i, m	15.454, 7.906, 12.385, 107.97; 1439.44	4Fe, 7Al	-0.88	-0.63
4Si at Al9	4i, m	15.454, 7.926, 12.395, 108.27; 1441.69	4Fe, 7Al	-1.18	-0.45
8Si at Al10	8j, 1	15.421, 7.959, 12.385, 109.18; 1435.65	3Fe, 9Al	-0.88	+1.41
8Si at Al11	8j, 1	15.419, 7.958, 12.289, 107.70; 1436.54	3Fe, 9Al	-0.85	+1.41
8Si at Al12	8j, 1	15.275, 7.943, 12.376, 107.01; 1436.02	3Fe, 9Al	-0.70	+1.45
8Si at Al13	8j, 1	15.249, 7.955, 12.518, 108.78; 1437.56	3Fe, 1Cu, 8Al	-0.52	+1.41
8Si at Al14	8j, 1	15.461, 7.957, 12.295, 108.21; 1436.78	2Fe, 1Si, 9Al	-0.79	+0.92
4Si at Al15	4g, 2	15.422, 7.891, 12.481, 108.09; 1443.70	4Fe, 8Al	-0.46	+0.50

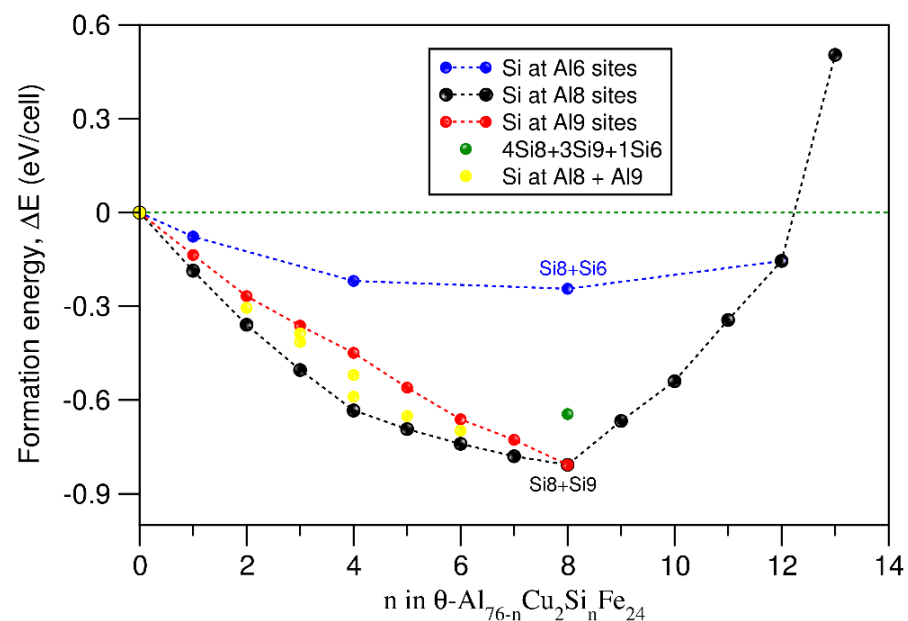
Table 1 shows the overall trends of the calculated results with the Si solutions in the Al sites in  $\theta$ -Al<sub>76</sub>Cu<sub>2</sub>Fe<sub>24</sub>. There are only three configurations with Si at the Al8 (denoted as Si8, same for the rest), whereas Al9 and Al6 sites have negative formation energies (Table 1). We also employ the (n Si m) to represent the condition of the calculation; 'n' means the number of Si atoms, and 'm' the Al sites. The 4Si4 has a small positive formation energy

(0.174 eV/cell). The remaining configurations have high values of positive formation energies ranging between 0.48 eV/cell and 1.50 eV/cell. The order of the stability of the stable configurations (from high to low) is 4Si8 > 4Si9 > 4Si6. This order of stability is different from that of Si solutions in pristine  $\theta$ -Al<sub>78</sub>Fe<sub>24</sub>, where the configuration with 4Si9 is the most stable one [35].

The coordination of the Si atoms in the configuration of 4Si8 is similar to that of 4Si9. Each Si in the configurations has four Fe neighbors and seven Al neighbors (Table 1). In the 4Si4, each Si also has four Fe neighbors. In 4Si6, each Si atom has three Fe neighbors. The analysis indicates that each Si has three or four Fe neighbors in the stable configurations.

As shown in Table 1, the volume of the Si solution configurations decreases with increasing Si contents in general, with the exception of the highly unstable configuration of 4Si2. This corresponds to the smaller atomic volume of Si with respect to Al [48]. Table 1 also shows that the most stable configuration (4Si8) has the smallest volume among the configurations with the four Si solutions at the Al1 sites.

Next, we focused on the stable configurations with Si solutions at Al8, Al9 and Al6. We performed structural optimizations and total energy calculations for the Si solutions with various contents at the three Al sites. Moreover, we also investigated the configurations of the Si solutions at the mixed Al8, Al9, and Al6 sites. The obtained configurations and related formation energies for the more stable structures are shown in Figure 1.



**Figure 1.** The calculated formation energies on Si contents ( $n$ ) in  $\theta$ -Al<sub>76- $n$</sub> Cu<sub>2</sub>Si <sub>$n$</sub> Fe<sub>24</sub> with respect to  $\theta$ -Al<sub>76</sub>Cu<sub>2</sub>Fe<sub>24</sub> and the elemental solids Al and Si (Equation (2)). In the figure, the ( $n$  Si  $m$ ) was used to represent the condition of the calculation. ‘ $n$ ’ means the number of Si atoms, and ‘ $m$ ’ the Al sites. In details, the marks represent the formation energies for the configurations with (the order of) Si atoms at the corresponding Al sites: The black filled spheres represent the formation energies relating to that Si atoms first occupy the Al8 sites and gradually the Al9 sites secondly, and the Al6 at last, the red spheres that Si atoms occupy the Al9 sites first and gradually the Al8 sites secondly, the blue spheres that Si first occupies the Al6 sites and gradually the Al8 sites secondly, and the Al9 at last. The yellow spheres represent the formation energies with the configurations with Si atoms at the Al8 and Al9 sites in a mixed way. The green sphere represents the formation energy of the 4Si8, 3Si9 and 1Si6 configuration.

Figure 1 shows that the formation energy decreases with the increased Si content for Si solutions at the individual Al sites and with the same order of stability, as shown in Table 1, (from high to low): Si8 > Si9 >> Si6, being consistent with the results in Table 1.

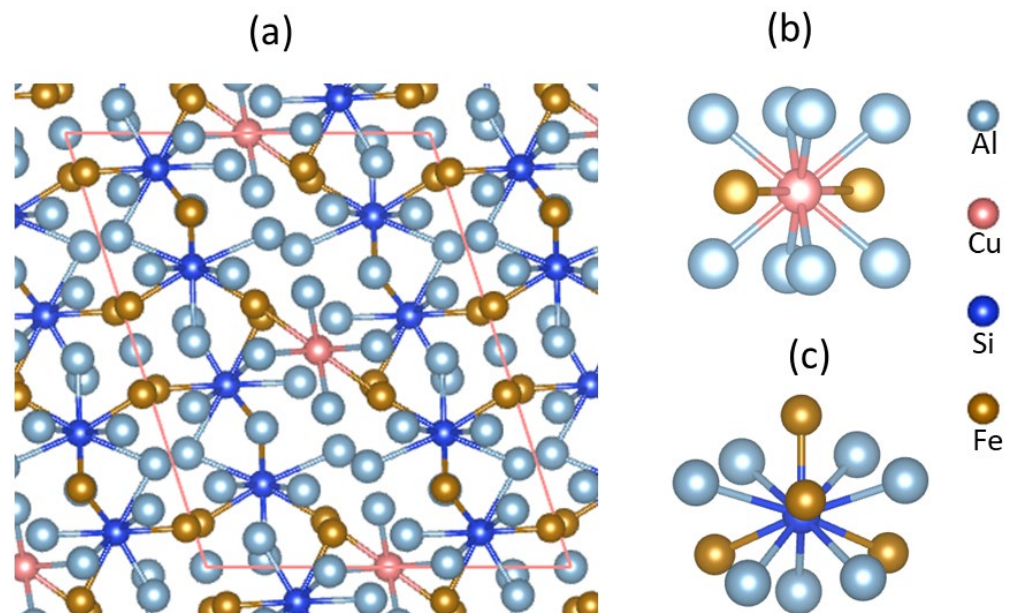
The Si solutions at mixing Al8 and Al9 have the formation energies in-between those at the corresponding Al8 and Al9 sites with the same Si content.

The investigation revealed that the most stable configurations have 4Si8 and 4Si9 with the composition  $\theta\text{-Al}_{68}\text{Cu}_2\text{Si}_8\text{Fe}_{24}$ . This has been justified for more arrangements with the same Si content. The next stable configuration with this composition has 4Si8 + 3Si9 + 1Si, the formation energy of which is approximately 0.16 eV/cell higher than the stable one, as shown in Figure 1.

The solutions of Si at the Al6 sites in  $\theta\text{-Al}_{68}\text{Cu}_2\text{Si}_8\text{Fe}_{24}$  produced the most stable configurations with the same Si content. The calculations showed that the formation energy increases with the Si content. The maximum Si content with negative formation energy is 12Si at the Al9, Al8, and Al6 sites. The calculations also showed that further Si solutions at the other Al sites, e.g., Al4 in  $\theta\text{-Al}_{68}\text{Cu}_2\text{Si}_8\text{Fe}_{24}$ , increase the formation energy notably, indicating such solutions as being unfavored.

### 3.2. Crystal Chemistry of $\theta\text{-Al}_{68}\text{Cu}_2\text{Si}_8\text{Fe}_{24}$

The optimized structure for the most stable  $\theta\text{-Al}_{68}\text{Cu}_2\text{Si}_8\text{Fe}_{24}$ , schematically along its [010] orientation, is shown in Figure 2. The atomic coordinates are shown in Table 2.



**Figure 2.** Schematic structure of  $\theta\text{-Al}_{68}\text{Cu}_2\text{Si}_8\text{Fe}_{24}$  along its [010] projection (a). The schematic coordination of Cu (b) and Si (c) is shown, respectively. The pink lines in (a) represent a-axis (parallel) and c-axis (upwards) of the unit cell.

**Table 2.** Calculated results for  $\theta\text{-Al}_{68}\text{Cu}_2\text{Si}_8\text{Fe}_{24}$ . The space group is C2/m (nr. 12). The calculated lattice parameters are  $a = 15.390 \text{ \AA}$ ,  $b = 7.910 \text{ \AA}$ ,  $c = 12.309 \text{ \AA}$ ,  $\beta = 108.06^\circ$ .

Label Sites	Coordinates of Atoms $x, y, z$	Interatomic Distances ( $\text{\AA}$ )	$q(e/\text{atom})$
Al1, 4i	0.0657, 0.0000, 0.1709	Al1-3Fe: 2.47, 2.50, 2.53; -1Si: 2.48; -6Al: 2.79( $\times 2$ ); 2.81( $\times 2$ ); 2.84( $\times 2$ )	+1.24
Al2, 4i	0.3212, 0.0000, 0.2759	Al2-2Fe: 2.41( $\times 2$ ); -10Al: 2.85( $\times 2$ ), 2.92( $\times 2$ ), 2.98( $\times 2$ ); 3.03( $\times 2$ ); 3.09( $\times 2$ )	+1.17
Al3, 4i	0.2320, 0.0000, 0.5323	Al3-4Fe: 2.41, 2.55, 3.13( $\times 2$ ); -1Si: 2.81 -9Al: 2.66, 2.76( $\times 2$ ), 2.85( $\times 2$ ), 2.88( $\times 2$ ); 2.90( $\times 2$ )	+1.07



Table 2. Cont.

Label Sites	Coordinates of Atoms <i>x, y, z</i>	Interatomic Distances (Å)	<i>q</i> (e/atom)
Al4, 4i	0.0740, 0.0000, 0.5784	Al4-4Fe: 2.51, 2.56(×2), 2.57; -1Si: 2.48 -6Al: 2.49, 2.66(×3), 2.76(×2)	+1.33
Al5, 4i	0.2378, 0.0000, 0.9503	Al5-4Fe: 2.39, 2.41, 3.05(×2); -2Si: 2.66, 2.74; -8Al: 2.74(×2), 2.76(×2), 2.87(×2), 2.90(×2)	+1.49
Al6, 4i	0.4801, 0.0000, 0.8323	Al6-3Fe: 2.41, 2.49(×2); -1Si: 2.51; -6Al: 2.79(×2), 2.80(×2), 2.84(×2)	+1.34
Cu7, 2c	0.5000, 0.0000, 0.5000	Cu7-2Fe: 2.64(×2); -8Al: 2.57(×4), 2.66(×4)	−1.00
Si8, 4i	0.3089, 0.0000, 0.7716	Si8-4Fe: 2.42(×2), 2.46, 2.49; -7Al: 2.51, 2.62(×2), 2.66(×2), 2.74, 2.81	−0.91
Si9, 4i	0.0800, 0.0000, 0.7823	Si9-4Fe: 2.38, 2.44(×2), 2.61; -7Al: 2.48(×2), 2.63(×2), 2.66, 2.67(×2)	−1.19
Al10, 8j	0.1849, 0.2172, 0.1094	Al10-3Fe: 2.49(×2), 2.64; -1Si: 2.66; -8Al: 2.61, 2.74, 2.76, 2.79, 2.82(×2), 2.90, 2.98	+1.23
Al11, 8j	0.3684, 0.2131, 0.1090	Al11-3Fe: 2.47, 2.49, 2.68; -1Si: 2.63; -8Al: 2.61, 2.76, 2.77, 2.79, 2.82, 2.83, 2.87, 2.92	+1.26
Al12, 8j	0.1767, 0.2206, 0.3352	Al12-3Fe: 2.46, 2.55, 2.61; -1Si: 2.62; -8Al: 2.68, 2.81, 2.82, 2.85, 2.90(×3), 3.09	+1.22
Al13, 8j	0.4906, 0.2221, 0.3344	Al13-3Fe: 2.45, 2.55, 2.61; -1Cu: 2.66; -1Si: 2.67 -7Al: 2.68, 2.76, 2.83, 2.84, 2.90, 2.96, 3.03	+1.34
Al14, 8j	0.3660, 0.2056, 0.4758	Al14-2Fe: 2.46, 2.49; -1Cu: 2.57; -9Al: 2.66, 2.68(×2), 2.76, 2.85, 2.88, 2.90, 2.92, 2.96, (3.25)	+1.09
Al15, 4g	0.0000, 0.2505, 0.0000	Al15-4Fe: 2.48(×4); -8Al: 2.76(×2), 2.77(×2), 2.80(×2), 2.84(×2)	+1.32
Fe1, 4i	0.0782, 0.0000, 0.3762	Fe1-1Si: 2.61; -10Al: 2.46(×2), 2.47, 2.51, 2.55 (×3), 2.57, 2.92(×2)	−2.91
Fe2, 4i	0.3937, 0.0000, 0.6322	Fe2-1Cu: 2.64; -1Si: 2.46; -8Al: 2.41(×2), 2.45(×2), 2.46(×2), 2.55(×2)	−3.54
Fe3, 4i	0.0900, 0.0000, 0.9792	Fe3-1Fe: 2.97; -1Si: 2.38; -9Al: 2.41, 2.48(×2), 2.49(×2), 2.50, 2.53, 2.68(×2)	−3.14
Fe4, 4i	0.3986, 0.0000, 0.9786	Fe4-1Fe: 3.00; -1Si: 2.49; -9Al: 2.39, 2.47(×2), 2.48(×2), 2.49(×2), 2.64(×2)	−3.42
Fe5, 8j	0.3185, 0.3048, 0.2738	Fe5-1Fe: 3.09; -2Si: 2.42, 2.44; -9Al: 2.41, 2.49(×3), 2.56, 2.61(×2), 3.05, 3.13	−2.82

The frame of the structure of  $\theta$ -Al<sub>68</sub>Cu<sub>2</sub>Si<sub>8</sub>Fe<sub>24</sub> is the same as that of the pristine  $\theta$ -Al<sub>78</sub>Fe<sub>24</sub> [19,33]. Here, we focus on the special Al sites, Al7 (2Cu7), Al8 (4Si8), and Al9

(4Si9), in the Cu-Si co-solutioned  $\theta$ -phase. The chemical bonds of those selected atoms in the different configurations are shown in Table 3.

**Table 3.** Comparison of the local coordination for the selected atoms in the pristine, Cu or /and Si solutioned and Si-Cu co-solutioned  $\theta$ -phase.

Site	$\theta$ -Al <sub>78</sub> Fe <sub>24</sub>	$\theta$ -Al <sub>76</sub> Cu <sub>2</sub> Fe <sub>24</sub>	$\theta$ -Al <sub>74</sub> Si <sub>4</sub> Fe <sub>24</sub>	$\theta$ -Al <sub>68</sub> Cu <sub>2</sub> Si <sub>8</sub> Fe <sub>24</sub>
M7, 2c	Al7-2Fe: 2.47(×2) -8Al: 2.69(×4),2.80(×4)	Cu7-2Fe: 2.61(×2) -8Al: 2.49(×4),2.80(×4)	Al7-2Fe: 2.46(×2) -8Al: 2.69(×4),2.79(×4)	Cu7-2Fe: 2.64(×2); -8Al: 2.57(×4), 2.66(×4)
M8, 4i	Al8-4Fe:2.47(×2), 2.61,2.70 -7Al: 2.56, 2.67(×4), 2.81(×2)	Al8-4Fe:2.47(×2),2.58,2.63 -7Al: 2.55, 2.66(×2), 2.67(×2), 2.79(×2)	Al8-4Fe:2.48(×2),2.59, 2.66 -7Al:2.54, 2.64(×2), 2.68(×2), 2.77, 2.84	Si8-4Fe: 2.42(×2), 2.46, 2.49 -7Al: 2.51, 2.62(×2), 2.66(×2), 2.74, 2.81
M9, 4i	Al9-4Fe:2.46,2.49(×2),2.86 -7Al: 2.53, 2.54,2.63(×2), 2.67(×3)	Al9-4Fe:2.49,2.50(×2),2.75 -7Al: 2.52 (×2), 2.52 (×2), 2.69, 2.70(×2)	Si9-4Fe: 2.37, 2.42(×2), 2.77 -7Al:2.51(×2),2.62(×2), 2.66 (×3)	Si9-4Fe: 2.38, 2.44(×2), 2.61 -7Al: 2.48(×2), 2.63(×2), 2.66,2.67(×2)

Tables 2 and 3 showed that the coordination numbers of the atoms at the same site in the different compositions are the same. However, there are some subtle differences between  $\theta$ -Al<sub>68</sub>Cu<sub>2</sub>Si<sub>8</sub>Fe<sub>24</sub> and the other configurations.

The Cu7-Fe bond length is 2.61 Å in  $\theta$ -Al<sub>76</sub>Cu<sub>2</sub>Fe<sub>24</sub>, which is close to that of (2.64 Å) in  $\theta$ -Al<sub>68</sub>Cu<sub>2</sub>Si<sub>8</sub>Fe<sub>24</sub>. However, these Cu7-Fe bond lengths are larger than the corresponding Al7-Fe bonds (~2.46 Å) in  $\theta$ -Al<sub>78</sub>Fe<sub>24</sub> and  $\theta$ -Al<sub>74</sub>Si<sub>4</sub>Fe<sub>24</sub>. Meanwhile, the Cu7-Al bonds, on average (~2.63 Å), in the Cu-containing configurations are shorter than the Al7-Al bonds in the binary Al-Fe and the ternary Al-Fe-Si compounds (~2.74 Å), as shown in Table 3. This phenomenon corresponds well to the smaller Cu volume than Al [44].

### 3.3. Electronic Properties of $\theta$ -Al<sub>68</sub>Cu<sub>2</sub>Si<sub>8</sub>Fe<sub>24</sub>

Using the settings in Section 2, we performed electronic band structure calculations for the stable  $\theta$ -Al<sub>68</sub>Cu<sub>2</sub>Si<sub>8</sub>Fe<sub>24</sub>. The obtained electron density distributions and partial density of states (pDOS) for selected atoms and the total density of states (tDOS) are shown in Figures 3 and 4, respectively.

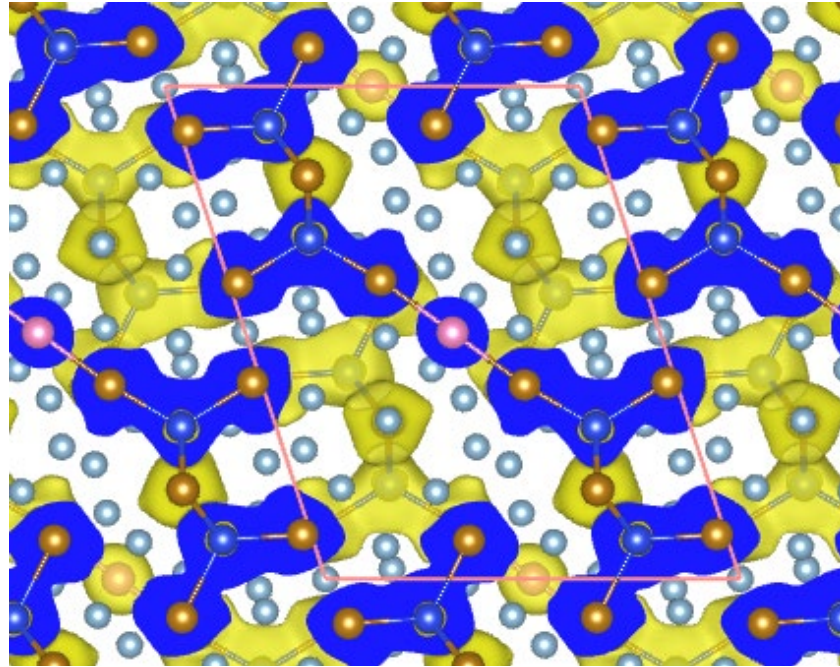
To better understand the interatomic interactions in  $\theta$ -Al<sub>68</sub>Cu<sub>2</sub>Si<sub>8</sub>Fe<sub>24</sub>, we also performed Bader charge analysis, which divides an atom into solid via the zero-flux surfaces between the atom and its surrounding atoms ( $\delta\rho(r)/\delta r = 0$ ) [49]. Based on the calculated electron density distribution (Figure 3), the obtained charges at the atomic sites are included in Table 2.

As shown in Figure 4, the Si 3s and 3p states are between −11.6 eV and −0.5 eV and the conduction bands. There is a valley between −0.5 eV and 0 eV, such as a pseudo-gap. The Si 3s states are positioned at the lower valence band (−11.6 eV to −6.0 eV). There are also some Si 3s states at the lower part of the conduction band. Meanwhile, the Si 3p state dominates the upper part of the valence bands and the conduction bands from 2.5 eV. There is admixing between the Si 3s and 3p states, corresponding to the sp<sup>3</sup> hybrid.

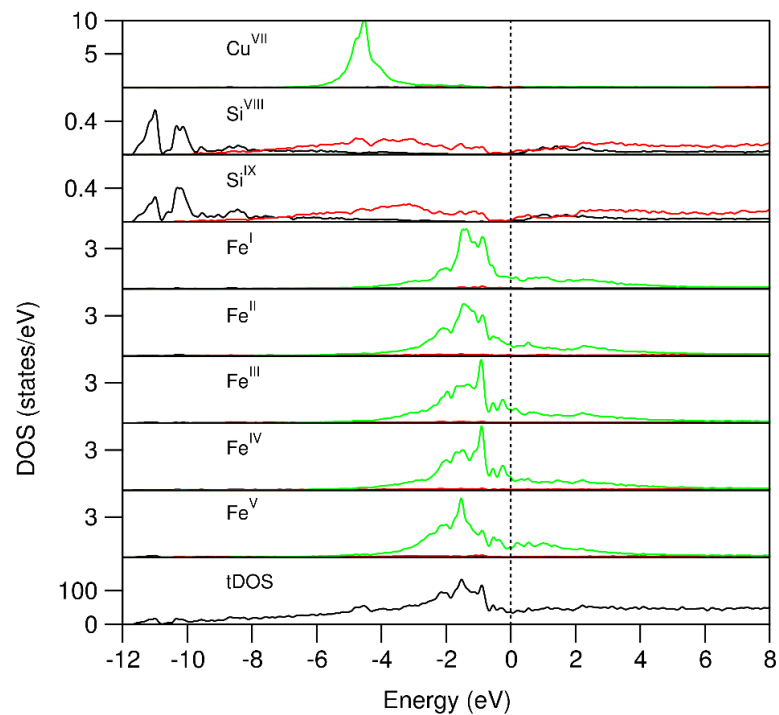
The Cu 3d states dominate the curve with a peak at −4.5 eV and with a bandwidth of approximately 1.0 eV. This value peak is notably lower than that in pure Cu (−2.3 eV) from the first-principles calculations with Hubbard U correction and the photoemission spectrum measurements [45]. This indicates that the Cu in the structure gains electrons from the environments, which is confirmed by Bader's charge analysis (Table 2). Moreover, the narrow bandwidth indicates the localized nature of the Cu 3d electrons, which contribute little to the chemical bonding between the Cu and the neighboring atoms.

The Fe 3d states dominate the upper part of the valence band (from −4.0 eV to −0.5 eV). A considerable density of Fe 3d states is located at the Fermi level. Such broad peaks indicate the rather delocalized nature of the Fe 3d states in the compound. The Fe 3d states are almost fully occupied, consistent with the significant gains of electrons from their neighboring atoms. This also means that Fe atoms obtain electrons from the surrounding

Al atoms. The Al 3s 3p states are all over the valence and conduction bands with lower densities, corresponding to the metallic nature of the element, indicating their ionic and covalent nature. This agrees with the non-spin-polarized state for the Fe atoms.



**Figure 3.** Electron density distributions in  $\theta$ - $\text{Al}_{68}\text{Cu}_2\text{Si}_8\text{Fe}_{24}$  with electron density iso-surfaces (the yellow clouds,  $\rho_0(r) = 0.045e/\text{\AA}^3$ ). The meaning of the spheres is the same as Figure 2. The Si-Fe-Si clusters are indicated by the pink lines.



**Figure 4.** Partial density of states (pDOS) of the selected atoms in and the total density of states (tDOS) of  $\theta$ - $\text{Al}_{68}\text{Cu}_2\text{Si}_8\text{Fe}_{24}$ . The black lines for the pDOS of atoms represent s-characters, red p-characters and green 3d-characters. The vertical dotted line represents the Fermi level (at zero eV). We observed that the Fermi level is dominated by Fe 3d states.



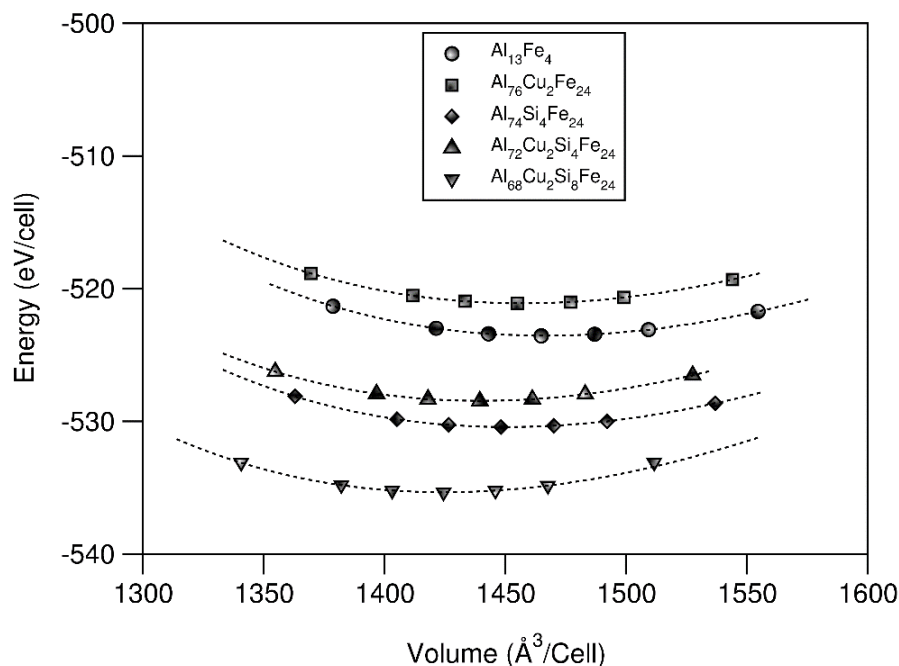
Moreover, from Figures 3 and 4, and Table 2, we obtained the following results:

- (1) Al atoms have few electrons around them, corresponding to their metallic nature, as such metals are composed of free electrons and ions. Bader's charge analysis showed the Al atoms are positively charged with a loss of 1.1 e/Al to 1.5 e/Al (Table 2).
- (2) Cu atoms have almost spherically shaped clouds of dense electrons around them. This is due to the itinerant 3d electrons (Figure 4). Each Cu gains 1.0 e/Cu (Table 2) from neighboring Al atoms.
- (3) Fe atoms also exhibit irregularly shaped clouds of high densities, indicating interactions between Fe 3d states with neighboring atoms, including Si, Cu and Al. Bader charge analysis showed more significant electron gains for the Fe atoms with charges ranging between  $-2.82$  e/Fe and  $-3.54$  e/Fe, corresponding to its electronegativity value being larger than Al. Correspondingly, the Fe atoms are non-spin-polarized in the compounds.
- (4) Si atoms have high electron density around them, and they connect to Fe atoms, forming Si-Fe and Si-Fe-Cu clusters. They are negatively charged with  $-0.9$  e/Si8 to  $-1.2$  e/Si9 from the neighboring Al atoms.
- (5) The number of charge transfers between the atoms, Al, Cu, and Si also indicate the covalent nature of  $\theta$ -Al<sub>68</sub>Cu<sub>2</sub>Si<sub>8</sub>Fe<sub>24</sub>.

In brief, electronically Cu 3d states are fully occupied and positioned approximately 4.5 eV below the Fermi level with a small bandwidth, indicating little contribution to the chemical bonding. The Fe 3d states dominate the upper valence band and the Fermi level. The Al atoms are positively charged, whereas the Si, Fe, and Cu atoms are negatively charged. This compound exhibits ionic, covalent, and metallic triple nature.

### 3.4. Stability and Mechanical Properties of the $\theta$ -Phases

The dependences of the total valence-electron energies on the volumes are investigated for the binary, ternary and quaternary  $\theta$ -phases. Using the Murnaghan equation of states [50,51], we obtained the parameters (ground electronic energies, ground state volume, bulk modulus) of the related compounds. The obtained relation between the total valence-electron energies and volumes is plotted in Figure 5 and Table 4.



**Figure 5.** The relationships between the total valence-electron energies on the cell volumes. The dotted lines represent the fitting according to the Murnaghan equation of states [50,51].

**Table 4.** The obtained results for the related configurations using the Murnaghan equation of state.  $V_0$  represents the optimized volume,  $E_0$  the energy,  $B_0$  the bulk modulus and  $B_0'$  the first derivative. The formation energies ( $\Delta E_{\text{form}}$ ) with respects to the elemental solids according to Equation (3) also included.

Composition	$V_0(\text{\AA}^3/\text{Cell})$	$E_0(\text{eV}/\text{Cell})$	$B_0(\text{GPa})$	$B_0'$	$\Delta E_{\text{form}}(\text{eV}/\text{cell})$ in () kJ/mol
$\theta\text{-Al}_{78}\text{Fe}_{24}$	1466.4	−523.54	121.8	4.3	−33.52(−31.7)
$\theta\text{-Al}_{76}\text{Cu}_2\text{Fe}_{24}$	1457.2	−521.10	122.5	4.3	−33.84(−32.0)
$\theta\text{-Al}_{74}\text{Si}_4\text{Fe}_{24}$	1450.8	−530.42	124.3	4.4	−33.69(−31.9)
$\theta\text{-Al}_{72}\text{Cu}_2\text{Si}_4\text{Fe}_{24}$	1439.6	−528.45	127.3	4.2	−34.24(−32.4)
$\theta\text{-Al}_{68}\text{Cu}_2\text{Si}_8\text{Fe}_{24}$	1424.6	−535.34	129.2	4.4	−34.65(−32.8)

As shown in Figure 5, the energy-volume values fit well using the Murnaghan equation of states [51]. As summarized in Table 4, the solutions of the Si-Cu atoms at the Al sites decrease the volume of the pristine  $\theta\text{-Al}_{13}\text{Fe}_4$  unit cell. This corresponds to the shorter M-Al and M-Fe ( $M = \text{Si}$  or  $\text{Cu}$ ) than the corresponding Al-Al and Al-Fe bonds (Table 3).

Table 4 also shows that solutions of Si or Cu enhance the bulk modulus of the  $\theta$ -phases. The quaternary  $\theta\text{-Al}_{68}\text{Cu}_2\text{Si}_8\text{Fe}_{24}$  has the maximum bulk modulus (129 GPa) which is higher than that (122 GPa) of the pristine  $\theta\text{-Al}_{13}\text{Fe}_4$  and those of the ternary compounds (123 to 127 GPa).

To provide a comparison of the stability of the binary, ternary and quaternary  $\theta$ -structures, we investigated their formation energies with respect to the elemental solids in a systematic way. The formation energy of a compound  $\text{Al}_{78-n-m}\text{Si}_n\text{Cu}_m\text{Fe}_{24}$  ( $0 \leq n, 0 \leq m \leq 2$ ) with respect to the elemental solids,  $\alpha\text{-Al}$ ,  $\alpha\text{-Fe}$ , Si and Cu is here defined as

$$\Delta E(\text{Al}_{78-n-m}\text{Si}_n\text{Cu}_m\text{Fe}_{24}) = E(\text{Al}_{78-n-m}\text{Si}_n\text{Cu}_m\text{Fe}_{24}) - [(76-n-m) E(\text{Al}) + 24 E(\text{Fe}) + n E(\text{Si}) + m E(\text{Cu})], \quad (3)$$

here,  $E(\text{Al}_{78-n-m}\text{Si}_n\text{Cu}_m\text{Fe}_{24})$ ,  $E(\text{Fe})$ ,  $E(\text{Al})$ ,  $E(\text{Si})$  and  $E(\text{Cu})$  are, respectively, the calculated total energy for  $\theta\text{-Al}_{78-n-m}\text{Si}_n\text{Cu}_m\text{Fe}_{24}$ , and the elemental solids,  $\alpha\text{-Al}$ ,  $\alpha\text{-Fe}$ , Si and Cu. The calculated formation energies are shown in Table 4.

As shown in Table 4, the Si and Cu solutions in  $\theta\text{-Al}_{13}\text{Fe}_4$  form, respectively, the ternary  $\theta\text{-Al}_{74}\text{Si}_4\text{Fe}_{24}$  and  $\theta\text{-Al}_{76}\text{Cu}_2\text{Fe}_{24}$  compounds, are more stable than the parent binary phase. Table 4 also shows that the Si and Cu co-solution in  $\theta\text{-Al}_{13}\text{Fe}_4$  form, the quaternary  $\theta\text{-Al}_{72}\text{Cu}_2\text{Si}_4\text{Fe}_{24}$  and  $\theta\text{-Al}_{68}\text{Cu}_2\text{Si}_8\text{Fe}_{24}$ , are more stable than the binary and the ternary phases, with the latter being the most stable under ambient conditions.

The calculated bulk modulus of the  $\theta$ -phases provided us information about their mechanical properties. Meanwhile, for better knowledge regarding the elastic properties of these complex compounds, the related elastic constant coefficients and related phonon spectra require further investigation.

### 3.5. Formation Range and Stability of $\theta\text{-(Al}_{76-n}\text{Si}_n\text{Cu}_2)\text{Fe}_{24}$ at Casting Temperature

The first-principles calculations showed that Si atoms prefer the Al8 and Al9 sites in the  $\theta$ -phase. Meanwhile, the energy difference between the Si at the Al8 and Al at the Al9 sites is insignificant. For  $n = 1$  in  $\theta\text{-(Al}_{76-n}\text{Si}_n\text{Cu}_2)\text{Fe}_{24}$ , the energy difference value between the 1Si8 and 1Si9 is small (0.05 eV/cell) and increases with the increasing the Si content. The energy difference reaches its maximum value with  $n = 4$  (0.184 eV/cell). Meanwhile, the formation energies for configurations Si at the mixed Al8 and Al9 sites with  $-n = 2$  to 4 are in-between those at Al8 or Al9. This indicates extra freedom of Si solutions in  $\theta\text{-Al}_{76}\text{Cu}_2\text{Fe}_{24}$  at elevated temperatures. With higher the Si concentration ( $n = 5$  to 8), the energy differences between the 4Si8 +  $m$ Si9 configurations and the  $m$ Si8 + 4Si9 ( $m = 1$  to 3) decrease with the Si content. For  $m = 1$ , the energy difference is 0.133 eV/cell and for  $m = 4$  it becomes 0 eV. This analysis also means extra freedom for Si solutions in  $\theta\text{-Al}_{76}\text{Cu}_2\text{Fe}_{24}$

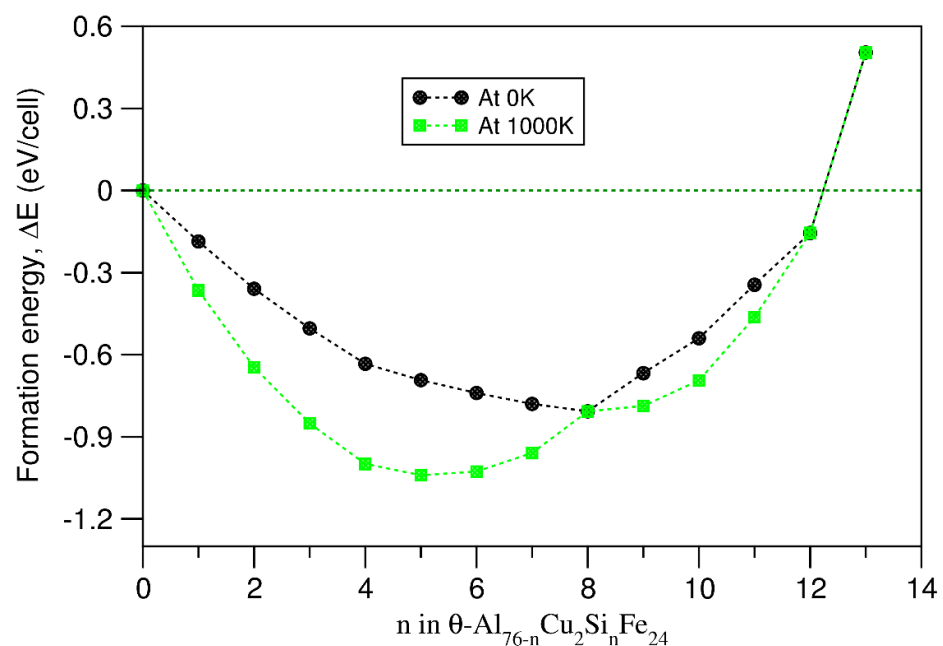
with higher Si contents. Next, we discuss the stability of the Cu-Si co-solutioned  $\theta$ -phase at the casting temperature.

The experiments showed that the  $\theta$ -phases are formed during casting, typically at around 1000 K, at which temperature the extra freedom of the Si solutions in the Fe-IMC contributes to the free energy of the system:

$$\Delta G = \Delta H - T \Delta S \quad (4)$$

where  $\Delta S = R \ln w$ , and  $w$  is the number of configurations of the same Si content and  $R$  ( $= 8.617 \times 10^{-5} \text{ eV/K}$ ) is the Boltzmann constant.

To simplify the analysis, we employed the random model to obtain the number of configurations for the  $\theta$ - $(\text{Al}_{76-n}\text{Si}_n)\text{Cu}_2\text{Fe}_{24}$ . The obtained dependence of the estimated free formation energies on the Si content at 1000 K is shown in Figure 6.



**Figure 6.** The relation between the assessed formation energy at 1000 K and the Si content using the random model for Si at Al8 and Al9 sites when  $n < 8$  and at Al6 when  $-n > 8$ . The related lowest formation energies at 0 K are included for comparison.

Thermodynamics and statistical analysis revealed that, at the casting temperature, in a Si-poor alloy, the Si content ranges between 3.9 at.% and 6.0 at.% or  $n = 4$  to 6 in the  $\theta$ - $(\text{Al}_{76-n}\text{Si}_n)\text{Cu}_2\text{Fe}_{24}$ . The exact composition depends on the chemical environment and the formation temperature.

The present investigations revealed that the most stable configuration at ambient conditions is  $\theta$ - $(\text{Al}_{68}\text{Si}_8)\text{Cu}_2\text{Fe}_{24}$ , with Cu at the Al7 and Si at the Al8 and Al9 sites. The calculations also produced a bulk modulus to be 129 GPa for this stable configuration. The study also provided detailed information about the structural and electronic properties of this stable configuration. This obtained information is useful to characterize this phase in the cast alloys and to obtain insight into the contribution of this phase to the mechanical properties of the products.

Thermodynamics and statistical analysis revealed that the extra freedom of Si substitutions at the Al sites strongly impacts the stability and chemical composition of the formed  $\theta$ -phase at the casting temperature. Using the random model for the Si at the Al8 and Al9 sites, we found that at 1000 K, the Si partial occupations at the Al8 and Al9 sites, particularly the former, are preferred. The estimated chemical compositions are  $\theta$ - $(\text{Al}_{76-n}\text{Si}_n)\text{Cu}_2\text{Fe}_{24}$  with  $4 < n < 6$  at 1000 K. Moreover, the configurational entropy contributions further stabi-

lize the  $\theta$ -phase. This information is helpful in investigating the formation of the  $\theta$ -phase at the phase transformations of the  $\theta$ -phase to other Fe-IMCs during the casting and related annealing processes of various Al-based alloys [7,52,53].

#### 4. Conclusions

The present first-principles study revealed a quaternary compound of high stability with the chemical composition  $\theta$ -Al<sub>68</sub>Si<sub>8</sub>Cu<sub>2</sub>Fe<sub>24</sub> or  $\theta$ -Al<sub>11.3333</sub>Si<sub>1.3333</sub>Cu<sub>0.3333</sub>Fe<sub>4</sub> at ambient conditions. The calculated lattice parameters are  $a = 15.390 \text{ \AA}$ ,  $b = 7.910 \text{ \AA}$ ,  $c = 12.309 \text{ \AA}$ ,  $\beta = 108.06^\circ$  and volume =  $1424.53 \text{ \AA}^3/\text{cell}$ . The lengths of the axis and the cell volume are smaller than the corresponding axis of the binary  $\theta$ -Al<sub>13</sub>Fe<sub>4</sub>. This compound exhibits a chemically ionic, metallic, and covalent triple nature. The calculated bulk modulus for this quaternary compound is 129 GPa, higher than the related binary  $\theta$ -Al<sub>13</sub>Fe<sub>4</sub> (118 GPa), ternary  $\theta$ -compounds  $\theta$ -Al<sub>12.6667</sub>Cu<sub>0.3333</sub>Fe<sub>4</sub> (122 GPa) and  $\theta$ -Al<sub>12.3333</sub>Si<sub>0.6667</sub>Fe<sub>4</sub> (124 GPa). The obtained information helps characterize the  $\theta$ -phase in the quaternary Al-Fe-Si-Cu system and the role of this phase in the mechanical performance of the related cast Al-based alloys. The analysis also showed that configurational entropy enhances the stability of the partially Si solutions in this phase, forming  $\theta$ -(Al<sub>76-n</sub>Si<sub>n</sub>)Cu<sub>2</sub>Fe<sub>24</sub> with  $4 < n < 6$  at 1000 K. This information is helpful in investigating the formation of the  $\theta$ -phase at the phase transformations of the  $\theta$ -phase to other Fe-IMCs during casting and related annealing processes.

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