

1

## **Validating the Breathing Vigilance Questionnaire for use in Dysfunctional Breathing**

Jennifer Steinmann<sup>1,2,\*</sup>, Adam Lewis<sup>2,\*</sup>, Toby J. Ellmers<sup>3,4</sup>, Mandy Jones<sup>2</sup>, Vicky MacBean<sup>2,5</sup>,  
Elmar Kal<sup>2,4,5</sup>

\* JS and AL are shared first author

<sup>1</sup> Guy's and St Thomas' NHS Foundation Trust, London, UK

<sup>2</sup> College of Health, Medicine and Life Sciences, Department of Health Sciences, Brunel University  
London, UK

<sup>3</sup> Department of Brain Sciences, Imperial College London, UK

<sup>4</sup> Centre for Cognitive Neuroscience, Brunel University London, UK

<sup>5</sup> Centre for Smart Technology Advancements for Health and Rehabilitation, Brunel University  
London, UK

Author Note: The authors declare that they have no competing interests.

Correspondence concerning this article should be addressed to:

Dr Elmar Kal

College of Health, Medicine and Life Sciences

Brunel University London

Uxbridge, United Kingdom, UB8 3PH

Email address: [elmar.kal@brunel.ac.uk](mailto:elmar.kal@brunel.ac.uk)

2 **Word count (main text): 3627 words**

3 **Take Home Message**

4 Anxious, vigilant monitoring of breathing may contribute to dysfunctional breathing. We validated a  
5 short self-reported outcome measure that allows researchers and clinicians to measure how much  
6 individuals display such breathing-specific vigilance.

7

8

9 Abstract

10 Dysfunctional breathing (DB) is common among people with and without primary respiratory  
11 pathology. While anxiety can contribute to DB, the underpinning mechanism is unclear. One  
12 explanation is that anxiety induces conscious, vigilant monitoring of breathing, disrupting  
13 'automatic' breathing mechanics. We validated a new tool that quantifies such breathing-related  
14 'vigilance': the Breathing Vigilance Questionnaire (Breathe-VQ).

15 Three-hundred-and-forty healthy adults ( $M_{age}=27.3$  years, range: 18-71; 161 men) were recruited  
16 online. We developed an initial Breathe-VQ (11 items, 1-5 Likert scale) based on the Pain Vigilance  
17 and Awareness Scale, using feedback from the target population and clinicians. At baseline,  
18 participants completed the Breathe-VQ, Nijmegen Questionnaire (NQ), State-Trait Anxiety Inventory  
19 (form 2), and Movement-Specific Reinvestment Scale (assessing general conscious processing).  
20 Eighty-three people repeated the Breathe-VQ two weeks later.

21 Five items were removed based on item-level analysis. The resulting six-item Breathe-VQ  
22 questionnaire (score range: 6-30) has excellent internal ( $\alpha=.892$ ) and test-retest reliability  
23 ( $ICC=.810$ ), a minimal detectable change of 6.5, and no floor/ceiling effects. Validity was evidenced  
24 by significant positive correlations with trait anxiety and conscious processing scores ( $r's=.35-.46$ ).  
25 Participants at high-risk of having DB ( $NQ>23$ ;  $N=76$ ) had significantly higher Breathe-VQ score  
26 ( $M=19.1\pm 5.0$ ) than low-risk peers ( $N=225$ ;  $M=13.8\pm 5.4$ ;  $p<.001$ ). In this 'high-risk' group, Breathe-VQ  
27 and NQ-scores were significantly associated ( $p=.005$ ), even when controlling for risk factors (e.g.,  
28 trait anxiety).

29 The Breathe-VQ is a valid and reliable tool to measure breathing vigilance. High breathing vigilance  
30 may contribute to DB, and could represent a therapeutic target. Further research is warranted to  
31 test the Breathe-VQ's prognostic value, and assess intervention effects.

32

33

34

## 35 1. Introduction

36 Dysfunctional breathing (DB) is a breathing disorder where people demonstrate maladaptive  
37 breathing pattern changes, such as hyperventilation [1,2], erratic breathing [2,3], reduced breath  
38 holding ability [4], and frequent sighing [5]. People with dysfunctional breathing frequently  
39 experience air hunger, in addition to non-breathing related symptoms (e.g., pain, dizziness; [6]), and  
40 report reduced quality of life [3,7]. DB frequently occurs *secondary* to specific respiratory conditions,  
41 such as asthma and Chronic Obstructive Pulmonary Disease (COPD; [8]), and affects many people  
42 with 'long COVID' [9]. However, for around 10-20% of the general population, DB is *primary* [10,11],  
43 and cannot be linked to clear pathophysiological changes [2].

44 Breathing exercises are a primary component of treatment of DB [1,12]. Such exercises are intended  
45 to 'retrain' breathing control, enabling individuals to shift toward diaphragmatic breathing, lower  
46 respiratory rate, and reduce upper-chest excursions while breathing [1,12]. Usually these breathing  
47 exercises are accompanied by education on DB and relaxation techniques [13], as DB seems to be  
48 linked to anxiety and associated changes in attention [14,15]. However, whilst some studies show  
49 promising results [13,15], there is currently no conclusive evidence for any specific treatment of DB  
50 [12].

51 One factor that complicates the treatment of DB is the lack of clarity around its aetiology.  
52 Psychological factors, especially anxiety, may directly alter breathing control [16], and play a key role  
53 in the onset and maintenance of DB symptoms [15,17,18]. Anxiety is suggested to lead to increased  
54 attention to breathing [14], and to affect the perception of breathing sensations [22]<sup>1</sup>. We  
55 hypothesise this is due to enhanced vigilant monitoring of breathing sensations, or what we would  
56 refer to as excessive 'breathing vigilance' (see also [14]): *the anxious monitoring of breathing*  
57 *sensations with the aim of rapidly detecting changes that could signal a threat to breathing state.*  
58 Excessive breathing-vigilance will both elevate breathing awareness – reducing the threshold for  
59 detecting changes in breathing – as well as bias its interpretation – increasing the likelihood that  
60 changes will be interpreted as signalling imminent harm. Put simply, a 'hypervigilant' individual will  
61 be more likely to notice breathing changes and interpret these as threatening. This elicits conscious  
62 attempts to regulate breathing [e.g., 14] to counteract these perceived changes. Yet breathing is  
63 typically a subconscious process, making it susceptible to disruption from conscious interference.  
64 This creates a potential vicious cycle where inaccurate perceptions and inefficient adaptations to  
65 breathing further reinforce anxiety and vigilance [21]. Similar vigilance-based mechanisms have also  
66 been implicated in other conditions affecting bodily functions that are (typically) subconsciously  
67 controlled, but where the typical physiological substrate is not present (e.g., pain/postural control;  
68 [23-26]). As of yet, however, we cannot directly test the role of vigilance in DB, as we lack a  
69 measurement instrument that specifically assesses breathing vigilance.

70 Therefore, the present study primarily aimed to develop an instrument that measures an individual's  
71 general tendency to experience breathing-vigilance in daily life. Measurement instruments exist that  
72 investigate related constructs, such as the Breathlessness Beliefs Questionnaire (BBQ; [27]), the  
73 Multidimensional Dyspnoea Profile (MDP; [28]), and the Dyspnoea-12 [29]. However, none measure  
74 *vigilance* directly, but rather associated factors, e.g. beliefs about breathing symptoms. The  
75 Multidimensional Assessment of Interoceptive Awareness (MAIA) questionnaire [30] and Body  
76 Vigilance Scale [21] both combine concepts of awareness of bodily sensations and different factors

---

<sup>1</sup> This is a core feature of what is often referred to as 'interoception' in the literature: "...the ability to identify, access, understand, and respond appropriately to the patterns of internal signals" (p3 [19], [20]).

77 relating to attention, but neither were developed specifically for breathing – which limits their utility  
78 for use in DB, as vigilance is likely domain-specific [31]. Further, the recently developed Three-  
79 Dimensional Interoceptive Sensations Questionnaire [32] includes specific items related to breathing  
80 awareness in general, but do not capture the anxiety component of breathing vigilance.

81 Therefore, the current study aimed to develop and validate a self-reported breathing-specific  
82 vigilance questionnaire (Breathe-VQ) that directly measures vigilance of breathing, and captures the  
83 potential interplay between conscious monitoring/control of breathing and anxiety. For this  
84 purpose, a pain-specific measure (the Pain Vigilance and Awareness Questionnaire; [23]) was  
85 adapted to inform the creation of the Breathe-VQ, which subsequently was validated in a large  
86 sample of adults without primary respiratory conditions, recruited from the general population. As  
87 stated earlier, primary DB is known to be prevalent in the general adult population, affecting around  
88 one in every five individuals [10,11].

89

## 90 2. Methods

### 91 2.1. Participants

#### 92 2.1.1. Recruitment

93 Three-hundred-and-forty adults were recruited for this study (between January-July 2021).  
94 Regarding sample size, key analyses in this study were the factor analyses and retest reliability  
95 analysis (section 2.4 describes these tests in detail). For the former, a subject-to-variable ratio of at  
96 least 10:1 has been recommended, and as the aim for the questionnaire was to measure one factor  
97 only (breathing vigilance as unitary construct) 100 participants would be required in total. However,  
98 it was decided to err on the side of caution and to aim for two samples of 150-200 participants for  
99 each analysis [33]. For test-retest reliability, the aim was to have a minimal number of 60 individuals  
100 with complete data for the Breathe-VQ at both T1 and T2, as this would ensure 80% power to detect  
101 an intraclass correlation coefficient of .80 (95%CI: .70-.90). Anticipating drop-out, the first 130  
102 participants were also invited to complete the questionnaire at T2, but no further invites were sent  
103 out once 90 participants had completed the questionnaire at T2.

104 Recruitment took place online, using two complementary modes of recruitment: (i) Recruitment  
105 through Brunel University London’s Division of Psychology Research Participant Sign-up System  
106 (SONA); (ii) Recruitment through ‘Testable Minds’ (<https://www.testable.org/>), a GDPR-compliant,  
107 well-established global online platform for participant recruitment. Participants recruited through  
108 SONA were given study credits in exchange for participation, while participants recruited through  
109 Testable Minds were given monetary compensation (\$3).

110 As this study was focused on people with primary dysfunctional breathing, participants were  
111 recruited from the general population, using the following eligibility criteria: (i)  $\geq 18$  years of age, (ii)  
112 no self-reported diagnosis of respiratory and/or cardiac conditions, (iii) no diagnosis of COVID-19  
113 within the preceding three months and/or chronic COVID syndrome (“long-COVID”).<sup>2</sup>

---

<sup>2</sup> We excluded people with (ii) or (iii) because we were primarily interested in primary dysfunctional breathing for this initial validation study.

114 Institutional ethical approval was obtained from the College of Health, Medicine and Life Sciences  
115 Research Ethics Committee of Brunel University London. All participants provided online written  
116 informed consent prior to participation.

## 117 **2.2. Measurement instruments**

### 118 **2.2.1. Breathe-VQ – Initial development**

119 The 14-item version of the Pain Vigilance and Awareness Scale [23,34] was adapted to create initial  
120 items for the Breathe-VQ. This version was then refined through 4 iterations of feedback from  
121 researchers with expertise in respiratory research and/or psychological theory (JS, EK, TE, VM, MJ,  
122 AL) as well as members of the intended population (N=15, age: 23-28 years, gender: 2 male, 13  
123 female). The team then decided on the contents of the Breathe-VQ that would undergo formal  
124 validation, based on the feedback on the readability and face validity of the items. An Open Science  
125 Framework page (<https://osf.io/shqtf/>) details the (justification for) different iterations and changes  
126 made. The final agreed-upon Breathe-VQ that was completed by participants for further validation is  
127 presented in Table 1.

### 128 **2.2.2. Nijmegen Questionnaire**

129 The Nijmegen Questionnaire (NQ; [35]) was used to screen symptoms indicative of dysfunctional  
130 breathing. This measure comprises 16 items (scores 0-4; total score: 0-64). Scores >23 have been  
131 argued to suggest hyperventilation syndrome, a type of dysfunctional breathing [35].

132

133 **\*\*\* TABLE 1 NEAR HERE\*\*\***

134

### 135 **2.2.3. Trait anxiety and movement-specific reinvestment**

136 For the construct validity analysis, both trait-anxiety and trait-propensity to consciously monitor and  
137 control motor processes were assessed.

138 The State-Trait Anxiety Inventory (STAI-2; [36]) was used to measure trait-anxiety. The Trait form  
139 contains 20 items (scored 1-4), and total scores range between 0-80. Higher scores indicate greater  
140 trait anxiety.

141 The Movement-Specific Reinvestment Scale (MSRS; [37]) measured how much people consciously  
142 monitor and control motor processes. This questionnaire contains 10 items, scored from one  
143 (“strongly disagree”) to six (“strongly agree”). Five items form the subscale “Conscious Motor  
144 Processing” (probing *control* of movement), while the other 5 items form the “Movement Self-  
145 Consciousness” subscale (probing movement self-awareness). Subscale scores range from 5-30,  
146 higher scores reflecting greater conscious movement processing.

## 147 **2.3. Procedures**

### 148 **2.3.1. Timepoint 1 (T1)**

149 Participants completed the study online. After providing informed consent, participants answered  
150 screening questions, to determine eligibility. They would then complete additional questions on age,  
151 sex, general health, (earlier) diagnosis of anxiety and/or depression, followed by the Breathe-VQ,  
152 NQ, MSRS, and STAI-2 (in this order).

### 153 **2.3.2. Timepoint 2 (T2)**

154 To assess test-retest reliability, participants received an email invitation to complete the Breathe-VQ  
155 a second time, two weeks after T1 (M: 14.7±2.7, range: 13-26). If necessary, a one-off reminder  
156 email was sent one week later. This time period was considered sufficient to minimise recall bias.

## 157 **2.4. Data analysis and statistics**

158 All data were analysed with SPSS and AMOS (version 26; IBM, Chicago, IL). Alpha was set at  $p=.05$ .  
159 Figure 1 summarises the flow of the study and analyses. Analyses involved four different steps:

### 160 **2.4.1. Step 1 – Initial screening of items**

161 In step 1, individual items' behaviour was analysed. Items were flagged for removal if:

- 162 • there were a large number of missing (or multiple) responses (>5%)
- 163 • >50% of responses were the minimum or maximum score
- 164 • test-retest reliability was low (2-way, random effect, consistency single measures ICC<.5;  
165 [38]).

166 The research team discussed flagged items, and reached agreement on whether these should be  
167 excluded from the subsequent analysis steps.

### 168 **2.4.2. Step 2 - Dimension reduction and validation**

169 Step 2 concerned exploratory factor analysis and subsequent confirmatory factor analysis.  
170 Participants were first randomly allocated (using random.org, 50:50 ratio) to either an 'exploratory'  
171 or 'confirmatory' subsample (see Figure 1). Exploratory analysis (principal axis factoring; varimax  
172 rotation) was done using the T1 Breathe-VQ data (on items retained after step 1). The inflection  
173 point in the scree plot was used to identify the number of latent factors for the scale. Removal of  
174 items was considered if they loaded insufficiently (<0.4; [39]) on a factor, loaded on multiple factors,  
175 and/or if they showed low item-rest correlations ( $r<0.3$ ).

176 Next, confirmatory factor analysis was performed to assess if the data fitted the factor-structure as  
177 determined with the preceding exploratory factor analysis, using the T1 data of the 'confirmatory'  
178 subgroup. The procedure entailed analysis of the variance-covariance matrix with maximum  
179 likelihood estimation [40]. Items were constrained to load on the factor(s) they should load on based  
180 on the exploratory factor analysis. Pairs of error terms within each factor were allowed to co-vary if  
181 this improved model fit. Model fit was evaluated using standard criteria (see Supplementary  
182 material 2 for details [41-43]).

183 Subsequently, "measurement invariance" was determined, to assess whether the scale structure  
184 was similar for men and women – this because women are more likely to experience DB [10], which  
185 may affect their interpretation of the questionnaire. See Supplementary material 2 for details [44].

### 186 **2.4.3. Step 3 - Reliability and measurement error**

187 Internal consistency (Cronbach's alpha) and test-retest reliability (2-way, random effect, consistency,  
188 single measures ICC) of the finalised Breathe-VQ was determined. Alpha and ICC >.70 indicate  
189 sufficient reliability. In addition, measurement error ( $SEM = SD + 2*\sqrt{1-ICC}$ ; [45]), and minimal  
190 detectable change on group and individual level were calculated ( $MDC_{group} = SEM \times 1.96 \times \sqrt{2}/\sqrt{n}$ ;  
191  $MDC_{individual} = SEM \times 1.96 \times \sqrt{2}$ ; [46]). Finally, floor and ceiling effects for the total Breathe-VQ score  
192 were screened for (i.e., >15% of participants scoring lowest/highest possible scores [47,48]).

### 193 **2.4.4. Step 4 - Construct validity**

194 Construct validity was assessed by correlating (Pearson's  $r$ ) Breathe-VQ total scores with (i) STAI, and

195 (ii) MSRS subscale scores. Construct validity would be evidenced in case of significant weak to  
196 moderate correlations (.3-.5), as this would evidence that trait conscious processing and trait anxiety  
197 are related yet distinct constructs (a measure of divergent validity).

198 Next, independent samples t-test were used to assess whether people at risk of having DB (NQ>23)  
199 have higher total Breathe-VQ scores compared to low-risk peers (NQ≤23). This aspect of construct  
200 validity is also known as “known-group validity”. Further, a ROC plot was used to determine the cut-  
201 off for the Breathe-VQ scale for which there was an optimal trade-off between sensitivity and  
202 specificity when differentiating between the ‘high risk of DB’ and ‘low risk of DB’ group.

203 Finally, linear regression analysis investigated whether total Breathe-VQ scores would be  
204 significantly associated with severity of DB-related symptoms (NQ) *within the group of people at risk*  
205 *of DB* (see above), when controlling for confounding variables (age, gender, trait-anxiety score, and  
206 depression diagnosis; [10,14,15,17]).

207

## 208 3. Results

### 209 3.1. Participant characteristics

210 Figure 1 summarises the flow of the study. In total, 340 participants completed the study at T1, of  
211 which 17 were excluded due to self-reported respiratory and/or cardiovascular diagnosis.  
212 Table 2 lists the characteristics of the remaining 323 participants. Participants were relatively young  
213 and scored relatively high on the Nijmegen Questionnaire and STAI-2. Table 2 also lists the  
214 characteristics of the test-retest subsample (i.e., those individuals who also completed the  
215 questionnaire at T2). Note that this subsample was found to be somewhat younger, to include more  
216 women, and to have a higher score on the NQ compared to the overall sample.

217

218 \*\*\* FIGURE 1 NEAR HERE \*\*\*

219 \*\*\* TABLE 2 NEAR HERE \*\*\*

220

### 221 3.2 Step 1 – Initial screening of items.

222 For the initial 11-item Breathe-VQ, no clear issues were noted regarding missing values (N=26 in  
223 total, N≤6 (1.9%) for separate items). Reliability was acceptable to good for items 1-6 and 10-11  
224 (ICC≥.581, range: .581-.704). Items 7 (ICC=.466) and 9 (ICC=.329) had low test-retest reliability  
225 (ICC<.500). Item 8 showed a potential floor effect (minimum value >50% of responses). Therefore,  
226 items 7-9 were removed from the questionnaire prior to further analyses. Supplementary material 1  
227 summarises item-level characteristics.

228

### 229 3.3. Step 2 - Dimension reduction and validation

#### 230 3.3.1. Exploratory factor analysis.

231 Exploratory factor analysis on the 8 selected items (items 1-6, and items 10-11) revealed a one-  
232 factor solution (Table 3). Item 10 exhibited a very low factor loading (.114), while item 11 was the  
233 only item with a loading <.700. Upon reflection, the research team deemed item 10 to not fully  
234 capture breathing vigilance, but rather its behavioural consequences. Item 11’s relatively lower  
235 loading suggests potential issues with this item’s interpretation. Coupled to the borderline floor  
236 effect for both items (42% and 46%, see Supplementary material 2) it was therefore decided to



237 remove both items, and run the analysis a second time. As shown in Table 3, all six items still loaded  
238 highly on one factor only. Items 1-6 were therefore selected for the subsequent confirmatory factor  
239 analysis.

240

241

\*\*\* TABLE 3 NEAR HERE \*\*\*

242

### 243 3.3.2. Confirmatory factor analysis

244 Item-factor loadings were positive and high (.64-.81), and model fit indices were good ( $\chi^2(8)=10.046$ ,  
245  $p=.262$ ;  $\chi^2/df=1.256$ ; CFI=.995; GFI=.978; RMSEA=.041 [.000, .108]; SRMR=0.030). Further tests  
246 supported measurement invariance, which indicates that the scale structure is similar across men  
247 and women. See Supplemental material 3 for further details.

248 Figure 2 presents the final Breathe-VQ. On average, participants scored 15.1 points (SD=5.9) at T1.

### 249 3.4. Step 3 - Reliability and measurement error

250 The test-retest sample's (N=83; Figure 1) Breathe-VQ scores were highly similar for T1 (M=15.6,  
251 SD=15.4) and T2 (M=15.4, SD=5.1), showing excellent retest-reliability (ICC=.810, 95%CI[.721, .873]).  
252 Standard error of measurement was 2.33 points. As such, the minimal detectable change was  
253 estimated at 0.7 on group level, and 6.5 on individual level.

254 Results showed excellent internal consistency ( $\alpha = .892$ ). No indications of floor or ceiling effects  
255 were evident, as only 5.0% (N=16) of individuals scored the minimal possible score (6 points), and  
256 1.2% (N=4) scored the maximal possible score (30 points).

### 257 3.5. Step 4 - Validity

258 Regarding construct validity, Breathe-VQ sum scores significantly correlated to scores on the STAI  
259 ( $r=.351$ ,  $p<.001$ , N=297), and participants' Conscious Motor Processing ( $r=.459$ ,  $p<.001$ , N=302) and  
260 Movement Self-Consciousness ( $r=.385$ ,  $p<.001$ , N=302) scores. This supported divergent validity.

261 Regarding 'known-group' validity, the 'low risk of DB' group (N<24; N=216) had significantly lower  
262 scores (M=13.8, SD=5.4, range=6-30) on the Breathe-VQ compared to the 74 people in the 'high risk  
263 of DB' group (M=19.1, SD=5.0, range= 9-30;  $t(288)=7.760$ ,  $p<.001$ ,  $d=1.05$ ). ROC analysis revealed an  
264 area-under-the-curve of .771 for the Breathe-VQ for predicting 'risk of DB' group status (95% CI:  
265 .712-.831). A cut-off of 16.5 was identified to have optimal sensitivity (.718) and specificity (.681)  
266 when differentiating between 'low-risk' and 'high-risk' of DB groups.

267 Finally, linear regression analysis showed that, within the 'high risk of DB' group, Breathe-VQ scores  
268 were significantly associated with the scores on the NQ – even when controlling for confounding  
269 variables (trait anxiety, age, sex, depression diagnosis). That is, explained variance significantly  
270 increased when Breathe-VQ scores were added in a second analysis step ( $\Delta R^2=.100$ ,  $p=.005$ ; see  
271 Supplementary material 3).

272

273

\*\*\* FIGURE 2 NEAR HERE \*\*\*

## 274 4. Discussion

275 This study describes the development of the novel, simple-to-use Breathe-VQ. This is a self-reported  
276 outcome measure of an individual's anxious monitoring of their breathing state. The Breathe-VQ is a

277 simple brief six-question patient-reported questionnaire and is free to use for non-commercial  
278 purposes (CC BY-NC-SA licence). This study shows the questionnaire to be valid and reliable, and also  
279 provides specific preliminary thresholds for differentiating between people with and without risk of  
280 DB (16.5 points) and for minimal detectable differences at group and individual level. Finally,  
281 Breathe-VQ scores were positively associated with NQ scores in participants at risk of having DB,  
282 even when controlling for other factors associated with DB, suggesting that Breathe-VQ scores scale  
283 with severity of complaints. Combined, this shows that the Breathe-VQ is a valid and reliable tool for  
284 measuring breathing vigilance in the general population (i.e., those without specific respiratory  
285 conditions other than potential primary dysfunctional breathing).

286 Breathing is typically a mostly automated physiological function that requires little conscious  
287 monitoring or control. However, in our sample, those participants at risk of DB often displayed  
288 vigilant monitoring of their breathing. It is important to stress that we cannot draw causal inferences  
289 based on our cross-sectional data. Yet there is a real likelihood that this vigilance may in fact be  
290 excessive (i.e., they may be “hypervigilant” towards breathing), and may contribute to and/or  
291 maintain breathing-related complaints. Studies on balance control, which like breathing is  
292 traditionally viewed as an ‘automatic’ physiological function, show that people will become  
293 consciously focused on their balance during situations that threaten their stability (e.g., walking  
294 across uneven ground or standing at height). This, in turn, has been shown to induce distorted  
295 perceptions of instability – whereby people perceive themselves to be more imbalanced than they  
296 actually are [26]. It seems plausible that the same mechanisms may be at play in people with DB.  
297 Note though, that in the current study, the greater breathing vigilance reported by people at risk of  
298 DB may also be *the result of* having experienced maladaptive breathing. Likely, a reciprocal  
299 relationship exists, where *hypervigilance* may both be triggered by, and a trigger of, disrupted  
300 breathing mechanics. Future studies need to further explore the nature of the relationship between  
301 breathing vigilance and dysfunctional breathing.

302 The Breathe-VQ provides a means to screen for breathing-specific vigilance in the general  
303 population. We present a specific cut-off that may prove useful in distinguishing between those with  
304 ‘normal’ vigilance (below 16.5 points) and those with elevated vigilance. Studies may evaluate  
305 whether those with elevated scores will develop DB at follow-up, or will benefit from interventions  
306 that aim to reduce vigilance. Such findings would support a causal role for breathing vigilance, and  
307 would be an important step in evaluating potential clinical utility of the scale. For people with  
308 excessive breathing-related vigilance, it may be useful to adopt intervention methods that aim to  
309 help ‘recalibrate’ perceptions and appraisal of breathing ([50]). Mindfulness based approaches may  
310 help in this regard [50], especially in combination with exercises aimed at re-educating  
311 interpretation of breathing related bodily signals, and anxiety-alleviating interventions. Some arts-in-  
312 health practices such as Singing for Lung Health [51] may be useful in this regard, as well as more  
313 generally used mind-body movement therapies such as yoga, or tai-chi [50].

#### 314 **Limitations**

315 Data were collected during a period in which there were very strict COVID-19 restrictions. As such,  
316 participants may have been more relatively more aware of their breathing in general. Indeed, this  
317 may explain the relatively high proportion of people with elevated trait anxiety and NQ scores in our  
318 sample. Second, we used a threshold of greater than 23 on the NQ and, while this may indicate a  
319 greater risk of having DB, it is not by itself sufficient to diagnose DB. Third, there were differences in  
320 age and gender between the overall sample and the subsample who repeated the questionnaire  
321 completion for test-retest reliability purposes. Yet, as the confirmatory factor analysis revealed  
322 measurement invariance for gender, we are confident this did not substantially influence our results.

323 Fourth, as this study did not validate the Breathe-VQ against measures that assess generic  
324 interoception (i.e., the breathing-specific items of the THISQ; [32]), future studies could explore the  
325 relationship between breathing vigilance and breathing-specific interoception. Finally, the study  
326 focused on primary DB only, and as such caution is warranted when extrapolating findings to  
327 populations with respiratory conditions (with or without secondary DB). For such populations, given  
328 the time scales of most interventions (such as pulmonary rehabilitation), it would be important to  
329 ascertain how stable breathing vigilance scores are over periods of time longer than the two-week  
330 retest interval employed in the present study.

### 331 **Further research**

332 Further work is now needed to investigate if the questionnaire scores can be used to predict future  
333 development of DB, and/or changes in DB severity over time. This would require studies in which the  
334 questionnaire is tested in a sample who have confirmed DB (diagnosed by a trained clinician, using  
335 appropriate multidimensional assessment methods (52)). The questionnaire should also be tested in  
336 people who have chronic respiratory diseases, and determine its responsiveness to change following  
337 pulmonary rehabilitation.

### 338 **Conclusion**

339 Dysfunctional breathing in the absence of clear underlying pathology is a common health issue. The  
340 underpinning mechanisms are poorly understood. In this study, we adapted a pain vigilance  
341 questionnaire to develop the Breathe-VQ. This scale is a valid and reliable tool to measure vigilance  
342 of breathing in an otherwise healthy population consisting of individuals with and without suspected  
343 DB. We found large and significant differences in breathing vigilance (Breathe-VQ scores) between  
344 those with a high vs low risk of DB, and scores scaled with NQ scores in those with a high risk of DB.  
345 Further research is now warranted exploring the Breathe-VQ in clinical populations and establishing  
346 intervention effects on vigilance of breathing.

### 347 **Rights Retention Strategy Statement**

348 This research was supported by Brunel University London, publicly funded by Research England. A CC  
349 BY is applied to the AAM arising from this submission, in accordance with the  
350 University's Open Access Mandate.

351

352 **TABLES**

353 **Table 1.** Initial 11-item version of the Breathe-VQ.

	Never	2	Sometimes	4	Always
1. I closely monitor how difficult my breathing feels	1	2	3	4	5
2. I become alarmed when I experience breathlessness or tightness in my chest	1	2	3	4	5
3. I am highly aware of small changes in how my breathing feels	1	2	3	4	5
4. I feel as if I am more aware of my breathing than other people	1	2	3	4	5
5. When something happens that affects my breathing, I am anxious to work out how breathless I am	1	2	3	4	5
6. I worry about fluctuations in my breathing	1	2	3	4	5
7. I avoid situations that I fear will increase feelings of breathlessness	1	2	3	4	5
8. I become preoccupied with monitoring my breathing	1	2	3	4	5
9. I remain calm in situations that affect my breathing	1	2	3	4	5
10. I worry that physical activity will increase my sensation of breathlessness	1	2	3	4	5
11. I dwell on my breathing	1	2	3	4	5

354 **NB:** Instructions were as follows: “Please read the sentences below and choose a number between 1 (never)  
 355 and 5 (always) that best describes how you typically feel in relation to your breathing.”  
 356

357

358 **Table 2.** Characteristics of total sample (N=323) and of the subsample that completed test-retest  
 359 measurements (N=83).

		<b>Total Sample (N=323)</b>	<b>Retest Reliability Subsample (N = 83)</b>
<b>General</b>	Male / Female / Non-binary (N)	161 / 160 / 2	9 / 73 / 1
	Age (years; M ±SD (range))	27.3 ± 9.8 (18–71) <sup>a</sup>	22.1 ± 5.6 (18–49)
<b>Nijmegen Questionnaire</b>	Total score (M ±SD (range))	17.8 ± 10.0 (0–49) <sup>b</sup>	21.3 ± 9.4 (0–45) <sup>e</sup>
	Score>23 (n, %)	76 (24%)	26 (31%)
<b>Self-reported General Health</b>	Excellent (n (%))	74 (22.9%)	15 (18.1%)
	Very Good (n (%))	142 (44.0%)	43 (51.8%)
	Good (n (%))	85 (26.3%)	22 (26.5%)
	Fair (n (%))	16 (5.0%)	3 (3.6%)
	Poor (n (%))	3 (0.9%)	0 (0%)
	Missing (n (%))	3 (0.9%)	0 (0%)
<b>Psychological Characteristics / Traits</b>	Diagnosis of Depression (n (%))	51 (16%)	13 (16%)
	Diagnosis of Anxiety (n (%))	68 (21%)	21 (25%)
	Trait Anxiety (STAI-2; M ±SD (range))	46.6 ± 12.4 (21–80) <sup>c</sup>	48.1 ± 11.4 (26–78) <sup>f</sup>
	MSRS – CMP (M ±SD (range))	15.9 ± 5.7 (5–30) <sup>d</sup>	15.0 ± 5.4 (5–28) <sup>g</sup>
	MSRS – MS-C (M ±SD (range))	16.2 ± 6.7 (5–30) <sup>d</sup>	16.0 ± 6.2 (5–28) <sup>g</sup>

360 <sup>a</sup>22 missing values; <sup>b</sup>1 missing value; <sup>c</sup>18 missing values; <sup>d</sup>11 missing values; <sup>e</sup>6 missing values; <sup>f</sup>2 missing values;  
 361 <sup>g</sup>3 missing values;

362 **Abbreviations:** M = mean; MSRS – CMP = Movement-Specific Reinvestment Scale, Conscious Movement  
 363 Processing subscale; MSRS - MS-C = Movement-Specific Reinvestment Scale, Movement Self-Consciousness  
 364 subscale; n = number; SD = standard deviation; STAI-2 = State-Trait Anxiety form 2 (trait assessment);  
 365  
 366

367 **Table 3.** Factor loadings for each item, presented separately for each of the two runs of the  
 368 exploratory factor analysis.

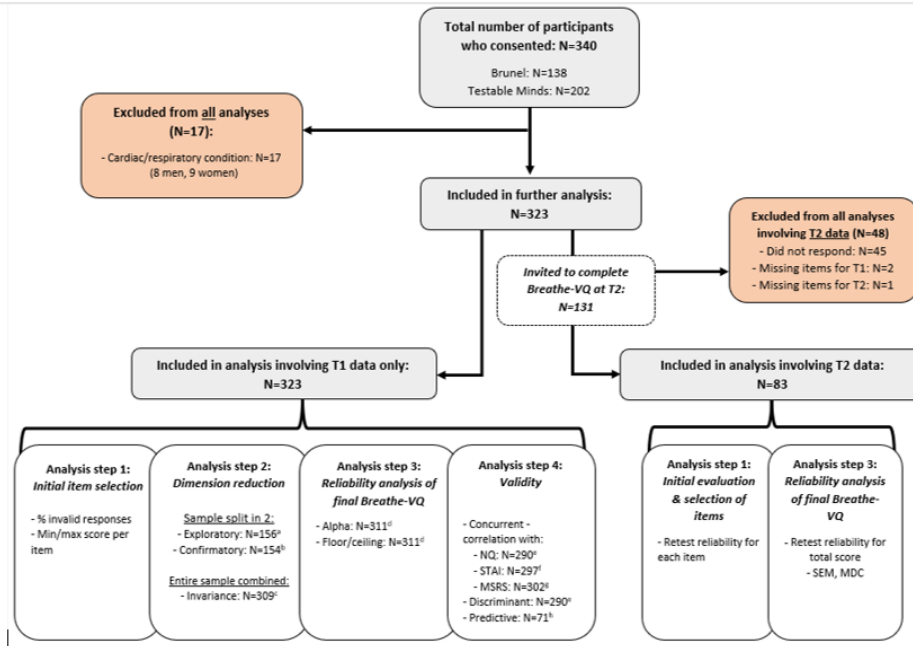
Item	RUN 1 <sup>a</sup>	RUN 2 <sup>b</sup> (after excluding items 10, 11)
	Factor Loadings (explained variance 59.4%)	Factor Loadings (explained variance 68.8%)
1. I closely monitor how difficult my breathing feels	<b>.742</b>	<b>.796</b>
2. I become alarmed when I experience breathlessness or tightness in my chest	<b>.729</b>	<b>.795</b>
3. I am highly aware of small changes in how my breathing feels	<b>.812</b>	<b>.768</b>
4. I feel as if I am more aware of my breathing than other people	<b>.745</b>	<b>.767</b>
5. When something happens that affects my breathing, I am anxious to work out how breathless I am	<b>.768</b>	<b>.819</b>
6. I worry about fluctuations in my breathing	<b>.741</b>	<b>.802</b>
10. I worry that physical activity will increase my sensation of breathlessness	.114	<i>n/a</i>
11. I dwell on my breathing	.512	<i>n/a</i>

369 <sup>a</sup> Kaiser-Meyer-Olkin assessment (KMO)=.899; all individual KMOs≥.748 (>0.5 threshold [33]).

370 <sup>b</sup> KMO=.900; individual KMOs≥.890;

371

372



**Figure 1. Study flow.** Participants were recruited (online) through Brunel and Testable Minds. The figure shows who were in- and excluded for which analysis, and why. <sup>a</sup> 8 participants excluded (missing value(s)); <sup>b</sup> 5 participants excluded (missing value(s)); <sup>c</sup> 14 participants excluded (N=2: stated they did not identify as female/male; N=12: missing values); <sup>d</sup> 12 participants excluded (missing values); <sup>e</sup> 33 participants excluded (N=21: missing value for NQ; N=1: missing value for NQ & Breathe-VQ; N=11: missing value for Breathe-VQ); <sup>f</sup> 26 participants excluded (N=14: missing value for STAI; N=4 missing value for both STAI & Breathe-VQ; N=8: missing value for Breathe-VQ); <sup>g</sup> 21 participants excluded (N=9: missing value for MSRS; N=2 missing value for both MSRS & Breathe-VQ; N=10: missing value for Breathe-VQ); <sup>h</sup> 76 participants initially included, as their NQ scores >23. 5 of these excluded due to missing STAI or Breathe-VQ scores.

374  
375  
376

**Figure 2. Final Breathing Vigilance Questionnaire (Breathe-VQ).**

Breathing Vigilance Questionnaire (Breathe-VQ)					
Please read the sentences below and choose a number between 1 (never) and 5 (always) that best describes how you typically feel in relation to your breathing.					
	Never	Sometimes			Always
1. I closely monitor how difficult my breathing feels	1	2	3	4	5
2. I become alarmed when I experience breathlessness or tightness in my chest	1	2	3	4	5
3. I am highly aware of small changes in how my breathing feels	1	2	3	4	5
4. I feel as if I am more aware of my breathing than other people	1	2	3	4	5
5. When something happens that affects my breathing, I am anxious to work out how breathless I am	1	2	3	4	5
6. I worry about fluctuations in my breathing	1	2	3	4	5

NB: Item scores are summed to yield a total score ranging from 6-30 points, with higher scores suggesting greater breathing vigilance.

377  
378

379 **REFERENCES**

- 380 1. Bott J, Blumenthal S, Buxton M, et al. Guidelines for the physiotherapy management of the adult,  
381 medical, spontaneously breathing patient. *Thorax* 2009; 64: 1-52.  
382 <http://dx.doi.org/10.1136/thx.2008.110726>
- 383 2. Morgan MDL. Dysfunctional breathing in asthma: is it common, identifiable and correctable?  
384 *Thorax* 2002; 57(Suppl II): ii31-5.
- 385 3. Thomas M, McKinley RK, Foy C, et al. Breathing retraining for dysfunctional breathing in asthma: a  
386 randomised controlled trial. *Thorax* 2003; 58: 110–15. <http://dx.doi.org/10.1136/thorax.58.2.110>
- 387 4. Courtney R, Greenwood KM, Cohen M. Relationships between measures of dysfunctional  
388 breathing in a population with concerns about their breathing. *J Bodywork Mov Ther* 2011; 15: 24-  
389 34. <https://doi.org/10.1016/j.jbmt.2010.06.004>
- 390 5. Hormbrey J, Jacobi MS, Patil CP, et al. CO2 response and pattern of breathing in patients with  
391 symptomatic hyperventilation compared with asthmatic and normal subjects. *Eur Respir J* 1988; 1:  
392 846–52.
- 393 6. Boulding R, Stacey R, Niven R, Fowler SJ. Dysfunctional breathing: a review of the literature and  
394 proposal for classification. *Eur Resp Rev* 2016; 25: 287-94. [https://doi.org/10.1183/16000617.0088-](https://doi.org/10.1183/16000617.0088-2015)  
395 [2015](https://doi.org/10.1183/16000617.0088-2015)
- 396 7. Hagman C, Janson C, Emtner M. A comparison between patients with dysfunctional breathing and  
397 patients with asthma. *Clin Resp J* 2008; 2: 86-91. <https://doi.org/10.1111/j.1752-699X.2007.00036.x>
- 398 8. Law N, Ruane LE, Low K, et al. Dysfunctional breathing is more frequent in chronic obstructive  
399 pulmonary disease than in asthma and in health. *Resp Physiol Neurobiol* 2018; 247: 20-3.  
400 <https://doi.org/10.1016/j.resp.2017.08.011>
- 401 9. Frésard I, Genecand L, Altarelli M, et al. Dysfunctional breathing diagnosed by cardiopulmonary  
402 exercise testing in 'long COVID' patients with persistent dyspnoea. *BMJ Open Resp Res.* 2022; 9(1):  
403 e001126. <https://doi.org/10.1136/bmjresp-2021-001126>
- 404 10. Thomas M, McKinley RK, Freeman E, et al. The prevalence of dysfunctional breathing in adults in  
405 the community with and without asthma. *Prim Care Respir J* 2005; 14: 78-82.  
406 <https://doi.org/10.1016/j.pcrj.2004.10.007>
- 407 11. Ok JM, Park YB, Park YJ. Association of dysfunctional breathing with health-related quality of life:  
408 A cross-sectional study in a young population. *PLoS One* 2018; 13: e0205634.  
409 <https://doi.org/10.1371/journal.pone.0205634>
- 410 12. Jones M, Harvey A, Marston L, O'Connell NE. Breathing exercises for dysfunctional  
411 breathing/hyperventilation syndrome in adults. *Cochrane Database Syst Rev* 2013; 5: CD009041.  
412 <https://doi.org/10.1002/14651858.CD009041.pub2>
- 413 13. Hagman C, Janson C, Emtner M. Breathing retraining-a five-year follow-up of patients with  
414 dysfunctional breathing. *Respir Med* 2011; 105: 1153-9. <https://doi.org/10.1016/j.rmed.2011.03.006>
- 415 14. Vidotto L, Bigliassi M, Jones M, et al. Stop thinking! I can't! Do attentional mechanisms underlie  
416 primary dysfunctional breathing? *Front Physiol* 2018; 9 :782.  
417 <https://doi.org/10.3389/fphys.2018.00782>
- 418 15. Han JN, Zhu YJ, Li SW, et al. Medically unexplained dyspnea: psychophysiological characteristics  
419 and role of breathing therapy. *Chin Med J* 2004; 117: 6-13. [https://doi.org/10.3760/cma.j.issn.0366-](https://doi.org/10.3760/cma.j.issn.0366-6999.2004.01.103)  
420 [6999.2004.01.103](https://doi.org/10.3760/cma.j.issn.0366-6999.2004.01.103)



- 421 16. Liu S, Ye M, Pao GM, et al. Divergent brainstem opioidergic pathways that coordinate breathing  
422 with pain and emotions. *Neuron* 2022; 110: 857-73. <https://doi.org/10.1016/j.neuron.2021.11.029>
- 423 17. Denton E, Bondarenko J, Tay T, et al. Factors associated with dysfunctional breathing in patients  
424 with difficult to treat asthma. *J Allergy Clin Immunol Pract* 2019; 7: 1471-6.  
425 <https://doi.org/10.1016/j.jaip.2018.11.037>
- 426 18. Nardi AE, Freire RC, Zin WA. Panic disorder and control of breathing. *Resp Physiol Neurobiol*  
427 2009; 167: 133-43. <https://doi.org/10.1016/j.resp.2008.07.011>
- 428 19. Price CJ, Hooven C. Interoceptive awareness skills for emotion regulation: Theory and approach  
429 of mindful awareness in body-oriented therapy (MABT). *Front Psychol* 2018; 9: 798.  
430 <https://doi.org/10.3389/fpsyg.2018.00798>
- 431 20. Bonaz B, Lane RD, Oshinsky ML, et al. Diseases, disorders, and comorbidities of interoception.  
432 *Trends Neurosci* 2021; 44: 39-51.
- 433 21. Schmidt NB, Lerew DR, Trakowski JH. Body vigilance in panic disorder: evaluating attention to  
434 bodily perturbations. *J Consul Clin Psychol* 1997; 65: 214-220.  
435 <https://psycnet.apa.org/doi/10.1037/0022-006X.65.2.214>
- 436 22. Harrison OK, Köchli L, Marino S, et al. Interoception of breathing and its relationship with  
437 anxiety. *Neuron* 2021;109: 4080-93. <https://doi.org/10.1016/j.neuron.2021.09.045>
- 438 23. McCracken LM. "Attention" to pain in persons with chronic pain: a behavioral approach. *Behav*  
439 *Ther* 1997; 28: 271-84. [https://doi.org/10.1016/S0005-7894\(97\)80047-0](https://doi.org/10.1016/S0005-7894(97)80047-0)
- 440 24. Kimble M, Boxwala M, Bean W, et al. The impact of hypervigilance: evidence for a forward  
441 feedback loop. *J Anx Disord* 2014; 28: 241-5. <https://doi.org/10.1016/j.janxdis.2013.12.006>
- 442 25. Popkirov S, Staab JP, Stone J. Persistent postural-perceptual dizziness (PPPD): a common,  
443 characteristic and treatable cause of chronic dizziness. *Practical Neurol* 2018; 18: 5-13.  
444 <http://dx.doi.org/10.1136/practneurol-2017-001809>
- 445 26. Ellmers TJ, Kal EC, Young WR. Consciously processing balance leads to distorted perceptions of  
446 instability in older adults. *J Neurol* 2021; 268: 1374-84. <https://doi.org/10.1007/s00415-020-10288-6>
- 447 27. De Peuter S, Janssens T, Van Diest I, et al. Dyspnea-related anxiety: the Dutch version of the  
448 Breathlessness Beliefs Questionnaire. *Chron Resp Dis* 2011; 8: 11-9.  
449 <https://doi.org/10.1177%2F1479972310383592>
- 450 28. Banzett RB, O'Donnell CR, Guilfoyle TE, et al. Multidimensional Dyspnea Profile: an instrument  
451 for clinical and laboratory research. *Eur Resp J* 2015; 45: 1681-91.  
452 <http://dx.doi.org/10.1183/09031936.00030115>
- 453 29. Yorke J, Moosavi SH, Shuldham C, Jones PW. Quantification of dyspnoea using descriptors:  
454 development and initial testing of the Dyspnoea-12. *Thorax* 2010; 65: 21-6.  
455 <http://dx.doi.org/10.1136/thx.2009.118521>
- 456 30. Mehling WE, Acree M, Stewart A, et al. The multidimensional assessment of interoceptive  
457 awareness, version 2 (MAIA-2). *PloS One* 2018; 13: e0208034.  
458 <https://doi.org/10.1371/journal.pone.0208034>

- 459 31. Hollins M, Harper D, Gallagher S, et al. Perceived intensity and unpleasantness of cutaneous and  
460 auditory stimuli: an evaluation of the generalized hypervigilance hypothesis. *Pain* 2009; 141: 215-21.  
461 <https://doi.org/10.1016/j.pain.2008.10.003>
- 462 32. Vlemincx E, Walentynowicz M, Zamariola G, et al. A novel self-report scale of interoception: the  
463 three-domain interoceptive sensations questionnaire (THISQ). *Psychol Health* 2021; 1-20.  
464 <https://doi.org/10.1080/08870446.2021.2009479>
- 465 33. Field, A., *Discovering statistics using IBM SPSS statistics*. 5<sup>th</sup> Edn. Sage Publications Ltd, London,  
466 2018.
- 467 34. Heathcote LC, Simons LE. Stuck on pain? Assessing children's vigilance and awareness of pain  
468 sensations. *Eur J Pain* 2020; 24: 1339-47. <https://doi.org/10.1002/ejp.1581>
- 469 35. Van Dixhoorn J, Duivenvoorden HJ. Efficacy of Nijmegen Questionnaire in recognition of the  
470 hyperventilation syndrome. *J Psychosom Res* 1985; 29: 199-206. [https://doi.org/10.1016/0022-  
471 3999\(85\)90042-X](https://doi.org/10.1016/0022-3999(85)90042-X)
- 472 36. Spielberger CD, Gorsuch RL, Lushene R, et al. *Manual for the State-Trait Anxiety Inventory*. Palo  
473 Alto, CA: Consulting Psychologists Press, 1983.
- 474 37. Masters RSW, Eves FF, Maxwell J. Development of a movement specific Reinvestment Scale.  
475 Proceedings of the ISSP 11th World Congress of Sport Psychology, Sydney, Australia, 2005.
- 476 38. Portney LG, Watkins MP. *Foundations of clinical research: applications to practice*. 3rd Edn.  
477 Upper Saddle River, NJ, Pearson/Prentice Hall, 2009.
- 478 39. Stevens JP. *Applied multivariate statistics for the social sciences*. 4th Edn. Hillsdale, New York:  
479 Erlbaum, 2002.
- 480 40. West SG, Finch JF, Curran PJ. Structural equation models with non-normal variables. Problems  
481 and remedies. In: Hoyle RH, ed. *Structural equation modeling: concepts, issues and applications*.  
482 Newbury Park, CA: Sage; 1995; pp. 56–75.
- 483 41. Hu L, Bentler PM. Cut-off criteria for fit indexes in covariance structure analysis: conventional  
484 criteria versus new alternatives. *Struct Equ Modeling* 1999; 6: 1–55.  
485 <https://doi.org/10.1080/10705519909540118>
- 486 42. Medsker GJ, Williams LJ, Holahan PJ. A review of current practices for evaluating causal models  
487 in organizational behavior and human resources management research. *J Manage* 1994; 20: 439–64.  
488 <https://doi.org/10.1177%2F014920639402000207>
- 489 43. Browne MW, Cudeck R. Alternative ways of assessing model fit. *Sociol Method Res* 1992; 21:  
490 230–58. <https://doi.org/10.1177%2F0049124192021002005>
- 491 44. Chen FF. Sensitivity of goodness of fit indexes to lack of measurement invariance. *Struct Equ*  
492 *Modeling* 2007; 14: 464-504. <https://doi.org/10.1080/10705510701301834>
- 493 45. Weir JP. Quantifying test-retest reliability using the intraclass correlation coefficient and the  
494 SEM. *J Strength Cond Res* 2005; 19: 231–40. <https://doi.org/10.1519/15184.1>
- 495 46. De Vet HCW, Terwee CB, Knol DL, et al. When to use agreement versus reliability measures. *J Clin*  
496 *Epidemiol* 2006; 59: 1033–9. <https://doi.org/10.1016/j.jclinepi.2005.10.015>

497 47. Mokkink LB, Terwee CB, Knol DL, et al. The COSMIN checklist for evaluating the methodological  
498 quality of studies on measurement properties: a clarification of its content. *BMC Med Res Methodol*  
499 2010; 10: 22. <https://doi.org/10.1186/1471-2288-10-2>

500 48. Streiner DL, Norman GR. *Health measurement scales. A practical guide to their development and*  
501 *use*. 4th Ddn. New York: Oxford University Press, 2008.

502 49. Ellmers TJ, Wilson MR, Kal EC, Young WR. Standing up to threats: Translating the two-system  
503 model of fear to balance control in older adults. *Exp Gerontol* 2022; 158: 111647.  
504 <https://doi.org/10.1016/j.exger.2021.111647>

505 50. Weng HY, Feldman JL, Leggio L, Napadow V, Park J, Price CJ. Interventions and manipulations of  
506 interoception. *Trends Neurosci* 2021; 44: 52-62. <https://doi.org/10.1016/j.tins.2020.09.010>

507 51. Lewis A, Cave P, Stern M, et al. Singing for Lung Health—a systematic review of the literature and  
508 consensus statement. *NPJ Prim Care Respir Med* 2016; 26: 16080.  
509 <https://doi.org/10.1038/npjpcrm.2016.80>

510 52. Todd S, Walsted ES, Grillo L, Livingston R, Menzies-Gow A, Hull JH. Novel assessment tool to  
511 detect breathing pattern disorder in patients with refractory asthma. *Respirology*. 2018; 23: 284-90.  
512 <https://doi.org/10.1111/resp.13173>

513

514 **SUPPLEMENTAL MATERIALS:**  
 515 **Supplementary Material 1. Results of initial screening of items.**

<i>Item</i>	<i>n / % missing</i>	<i>% min/max score</i>	<i>ICC (95% CI)</i>	<i>Included?</i>
1. I closely monitor how difficult my breathing feels	2 / 0.6%	27% / 4%	.705 (.577, .799)	Yes
2. I become alarmed when I experience breathlessness or tightness in my chest	2 / 0.6%	16% / 16%	.573 (.409, .702)	Yes
3. I am highly aware of small changes in how my breathing feels	1 / 0.3%	20% / 7%	.609 (.454, .728)	Yes
4. I feel as if I am more aware of my breathing than other people	2 / 0.6%	34% / 6%	.705 (.578, .799)	Yes
5. When something happens that affects my breathing, I am anxious to work out how breathless I am	1 / 0.3%	25% / 9%	.692 (.561, .790)	Yes
6. I worry about fluctuations in my breathing	4 / 1.2%	35% / 4%	.646 (.500, .755)	Yes
7. I avoid situations that I fear will increase feelings of breathlessness	3 / 0.9%	43% / 6%	.464 (.277, .617)	No
8. I become preoccupied with monitoring my breathing	2 / 0.6%	54% / 1%	.571 (.406, .701)	No
9. I remain calm in situations that affect my breathing	6 / 1.9%	7% / 14%	.381 (.181, .550)	No
10. I worry that physical activity will increase my sensation of breathlessness	2 / 0.6%	42% / 4%	.712 (.588, .804)	Yes
11. I dwell on my breathing	1 / 0.3%	46% / 1%	.675 (.538, .777)	Yes

516 **Table S1.**

517 **NB:** Predetermined cut-off values were 5% (missing cases per item), 50% (% of maximal / minimal scores for an  
 518 item), and ICC<.500. Excluded items – items 7, 8, and 9 - are highlighted in red.

519

520 **Supplementary Material 2. Factor Analyses**

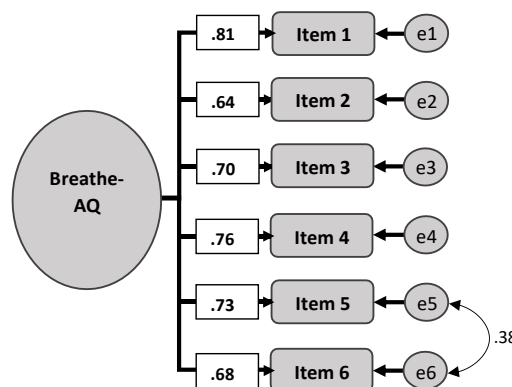
521 For the confirmatory factor analysis, we evaluated model fit of a model where items 1-6 were  
522 constrained to load on one underlying factor/construct (based on the exploratory analysis' results).  
523 T1 data from the 'confirmatory subsample' were used for this purpose. We then assessed the  
524 standardised item-factor loadings, the chi-square statistic – both raw ( $\chi^2$ ) and divided by its degrees  
525 of freedom ( $\chi^2/df$ ; both should be close to zero for good fit), goodness-of-fit and comparative fit  
526 indices (CFI; values>.95 indicate good fit), standardized root mean squared residual (SRMR;  
527 values<.08 indicate good fit), and the root mean square error of approximation (RMSEA; values<.05  
528 indicate good fit [40-42].

529  
530 In an initial run, we found standardised item-factor loadings for items 1-6 to be positive and high  
531 (.65-.79). While model fit indices showed mixed results ( $\chi^2(9)=26.338, p=.002; \chi^2/df=2.926; CFI=.958;$   
532  $GFI=.941; RMSEA=.112 [.064, .163]; SRMR=0.043$ ), inspection of modification indices revealed model  
533 fit could be improved by allowing items 5 and 6's error terms to covary (MI=12.584). In a second  
534 analysis run, we found that item-factor loadings remained positive and high when these error terms  
535 covaried (.64-.81; Figure S2). Further, model fit indices substantially improved, and were now good  
536 overall:  $\chi^2(8)=10.046, p=.262; \chi^2/df=1.256; CFI=.995; GFI=.978; RMSEA=.041 [.000, .108];$   
537  $SRMR=0.030$ .

538 Table S2 shows the results of measurement invariance testing. For this analysis, model fit was  
539 assessed when item-factor loadings were free to differ between male and female subgroups  
540 (configural invariance), when item-factor loadings were equated across groups (so-called metric  
541 invariance testing), and when both the item-factor loadings and the intercepts of the model were  
542 equated across groups (so-called scalar invariance). As model fit remained statistically similar across  
543 all these three steps – i.e., non-significant change in  $\chi^2, \Delta CFI<0.010 \Delta RMSEA<0.015,$  and  
544  $\Delta SRMR<0.030$  (metric invariance) or  $<0.010$  (scalar invariance) – the scale's structure can be  
545 considered to be similar regardless of group status (cut-offs based on [43]).

546 In sum, confirmatory factor analysis supported the results obtained by the exploratory factor  
547 analysis: We can be confident the scale taps into one underlying construct (breathing vigilance) and  
548 that this scale structure is similar for men and women (measurement invariance).

549



550

551 **Figure S2. Final overall model yielded by the confirmatory factor analysis.** Shown are the standardized item-  
552 factor loadings. Abbreviated item numbers refer to the 6 selected items of the Breathing Vigilance  
553 Questionnaire (Breathe-VQ). Also shown are the covariance between the residual error terms ('e') of items 5  
554 and 6.

555

556 **Table S2. Results of measurement invariance testing.**

Invariance test	$\chi^2$	$\chi^2/df$	CFI	RMSEA (90%CI)	SRMR	Model comp.	$\Delta\chi^2$	$\Delta$ CFI	$\Delta$ RMSEA $\Delta$ SRMR	Decision
<b>1. Config.</b>	24.560 df=16 <i>p</i> =.078	1.535	.991	.042 [.000, .073]	.028	N/A	N/A	N/A	N/A	<b>Accept</b>
<b>2. Metric</b>	26.710 df=21 <i>p</i> =.181	1.272	.994	.030 [.000, .060]	.030	1	2.149 df=5 <i>p</i> =.828	.003	-.012 .002	<b>Accept</b>
<b>3. Scalar</b>	27.884 df=22 <i>p</i> =.180	1.267	.994	.030 [.000, .059]	.035	2	1.174 df=1 <i>p</i> =0.27 9	.000	.000 .005	<b>Accept</b>

**Abbreviations:** CFI = Comparative fit index; Config. = Configural; GFI = Goodness-of-fit index; Model comp. = Model comparison; N/A= Not applicable; RMSEA = Root mean square error of approximation; SRMR = Standardized root mean squared residual; df = degrees of freedom;

**NB:** None of the changes in the indices exceeded the threshold for acceptable model fit change ( $\Delta$ CFI<0.010  $\Delta$ RMSEA<0.015, and  $\Delta$ SRMR<0.030 (metric invariance) or <0.010 (scalar invariance));

557

558

559

560 **Supplemental Material 3.** Results of the linear regression analysis.

561 Table S3 presents the results regarding the linear association between breathing vigilance scores  
 562 (Breathe-VQ) and Nijmegen Questionnaire scores, within a subgroup of people at risk of having DB  
 563 (N=71). Note that, while 76 participants fell in the ‘high risk of DB’ category, 5 of these could not be  
 564 included as they had missing items for either the Nijmegen, STAI, or Breathe-VQ questionnaires (and  
 565 hence scores could not be calculated for these measures).

566 **Table S3. Results of regression model.**

<b>MODEL 1</b>					
Dependent variable: <b>Nijmegen Questionnaire scores</b>					
	<i>B (SE)</i>	[95% CI]	<i>p</i>	<i>R</i> <sup>2</sup>	<i>R</i> <sup>2</sup> change
<b>Step 1</b>				<b>.139 (<i>p</i>=.040)</b>	
Constant	21.598 (6.678)	[8.265, 34.931]	<b>.002</b>		
Trait Anxiety (STAI)	.206 (.072)	[.062, .350]	<b>.006</b>		
Age (in years)	-.032 (.105)	[-.241, .178]	.763		
Gender	.458 (1.481)	[-2.500, 3.416]	.758		
Depression Diagnosis	-1.013 (1.507)	[-4.021, 1.995]	.504		
<b>Step 2</b>				<b>.239 (<i>p</i>=.003)    .100 (.005)</b>	
Constant	14.531 (6.773)	[1.005, 28.057]	<b>.036</b>		
Trait Anxiety (STAI)	.203 (.068)	[.066, .339]	<b>.004</b>		
Age (in years)	.018 (.101)	[-.184, .219]	.861		
Gender	.512 (1.403)	[-2.291, 3.315]	.717		
Depression Diagnosis	-1.812 (1.453)	[-4.715, 1.090]	.217		
Breathing Vigilance (Breathe-VQ)	.385 (.132)	[.122, .648]	<b>.005</b>		

567 **Abbreviations:** CI = confidence interval; SE = standard error; STAI = State-Trait Anxiety Inventory;

568

569

570