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Article Person Identification using Temporal Analysis of Facial Blood Flow

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Abstract: Biometrics play an important role in modern access control and security systems. The need of novel biometrics to complement traditional biometrics has been at the forefront of research. 2 The Facial Blood Flow (FBF) biometric trait, recently proposed by our team, is a spatio-temporal 3 representation of facial blood flow, constructed using motion magnification from facial areas where skin is visible. Due to its design and construction, the FBF does not need information from the 5 eyes, nose, or mouth, and, therefore, it yields a versatile biometric of great potential. In this work, we evaluate the effectiveness of novel Temporal Partitioning and FFT-based features that capture the temporal evolution of facial blood flow. These new features, along with a "time-distributed" 8 CNN-based deep learning architecture, are experimentally shown to increase the performance of 9 FBF-based person identification, compared to our previous efforts. This study provides further 10 evidence of the FBF's potential for use in biometric identification. 11

Keywords: biometrics; motion magnification; facial blood flow

1. Introduction

In recent decades, the importance of biometric identification has been increasing steadily. The biometric characteristics of people and their use in recognition systems are now popular for the authentication of individuals [1]. Most mobile devices employ traditional biometric traits, including fingerprints and face, for the verification of the identity of their user. The face and the visual facial characteristics have always been used for identity verification with great success [2–5]. A constraint in common face recognition systems is that they may be sensitive to facial expression variations [4], especially when faces are captured in the wild. Fingerprints have also been widely used in person identification in current mobile phones and police forensics [6-8], but their operation relies on the proximity of the sensing probe to the acquired fingerprint.

The research community always seeks novel biometric technologies in order to comple-24 ment traditional biometric systems and increase their accuracy [9]. Apart from traditional biometric traits, which have been thoroughly tested and deployed, new biometric modalities have also been devised and explored, such as palm [10], vein [11], ear [12], eye blinking 27 [13] or EEG [14]. These biometrics are either deployed in stand-alone identification applications, or are used as part of multi-modal biometric systems [1]. In such multi-modal cases, the novel biometric traits are usually complementary to traditional biometrics, aiming to enhance end-to-end system performance.

Video amplification was first introduced by Wu et al. [15] and aimed at amplifying, 32 and making visible to the human eye, small motions in videos captured using an ordinary 33 camera. In [15,16], it was demonstrated that, by applying video amplification on facial 34 image sequences, it is possible to visualise the flow of facial blood. In [17], a complex 35 steerable pyramid decomposition was employed and motion amplification was applied 36

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solely on the phase component of the decomposition. This enabled motion amplification to focus on the edge information that is prevalent in the phase component. Due to its ability to reveal imperceptible information, motion magnification has been applied to various fields, ranging from computer vision and biomedical imaging to civil and mechanical engineering [18–21].

The widespread use of masks in public places during the COVID19 pandemic opened another possible application domain for motion magnification. Facial masks cover most facial areas that are normally used by efficient face recognition systems. In a situation where part of the face is covered, it would be most useful to devise a facial biometric trait that focuses on the less textured facial areas and does not rely on the visibility of conventional facial landmarks, such as eyes, nose, mouth and eyebrows. In addition, any new facial biometric should be robust to facial expression variations. For the above reasons, we will not be comparing traditional face biometrics with the proposed facial blood flow biometric trait.

Facial blood flow has seen a lot of medical applications recently [22–24]. Inspired 51 by the application of video magnification to the visualization of subtle facial motion, we 52 embarked on exploring the use of facial blood flow patterns as a biometric trait. To that 53 end, we sought evidence that suggests that facial blood flow can act as a biometric. In 54 [25], Buddharaju et al. explored the possibility of performing face identification using 55 thermal infrared cameras. In their study, they support, based on medical evidence and 56 their conducted experiments, that the facial network of veins and arteries can have great 57 variability among individuals, and thus it can act as a distinguishing trait. In addition, 58 they show that the extraction of such facial traits can have repeatability in individuals over 59 multiple days and, as such, they can serve as a biometric. 60

In our previous work, we investigated the use of Facial Blood Flow (FBF) as a potential 61 biometric trait. The method we developed uses commercial RGB cameras (24 bit Color cam-62 eras with HD resolution (1920×1080 pixels) and 25-30 fps) and performs image processing 63 and motion magnification to detect and visualize facial blood flow patterns that can be used 64 for biometric identification. In [26], we proposed a baseline method that used Facial Blood 65 Flow (FBF) for person identification. In that method, FBF was extracted from a person's 66 face using a commercial RGB camera. We used motion amplification [15] to enhance and 67 reveal the actual blood flow in common RGB video streams. Our method in [26] was a 68 contactless method that did not utilize any traditional facial features, i.e., eyes, nose, mouth, 69 eyebrows. Instead, it extracted small facial areas that are not commonly obstructed by 70 facial hair, and it used the motion-amplified video to extract spatio-temporal blood flow 71 information. That approach was shown to work well as a distinctive biometric [26]. Unlike 72 the work in [25], our method does not need a high-grade infrared camera to capture the 73 vein-arteries structure of the face. Instead, our approach uses a low-cost commercial RGB 74 camera to capture three facial areas and use image processing to construct the FBF. In [27], 75 we improved our baseline method by taking into account the temporal evolution of FBF 76 within a period, which was not explicitly considered in [26]. The importance of temporal 77 evolution was stressed before in the literature [28,29] Furthermore, we adopted a deep 78 Convolutional Neural Network (CNN) architecture, which improved the accuracy of the 79 baseline system. 80

In this paper, we propose an improved new framework, based on several additional features that exploit the temporal evolution of FBF, i.e., temporal partitioning and FFTbased features. Further, we examine a number of different deep learning architectures for the classification task. Our ablation study over different features and network architectures yields that the final proposed system achieves efficient person-identification. The proposed system features a 'time-distributed' CNN architecture to capture the temporal evolution of FBF.

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Figure 1. The proposed person identification system based on Facial Blood Flow (FBF).



Figure 2. (a) Original face image, (b) Face Detection using [30], (c) Active Appearance Model (AAM) fit using [31]. The three control points (two from the AAM and another inferred from the other two) are highlighted, (d) Detection of the forehead region using two control points, (e), (f) Detection of the left and right facial regions using the left and right control points respectively. (The subject in this figure has agreed to have his image included in the paper for demonstration purposes.)

2. A Facial blood-flow biometric system

This section describes the individual subsystems of the proposed facial blood-flow biometric system. The proposed system is depicted in Fig. 1. In the following subsections, each individual module of the system is described in detail.

2.1. Video capture

The proposed system uses a RGB camera in order to capture the Facial Blood Flow (FBF) of a person. FBF is a periodical phenomenon with period equal to the subject's heart rate. The common human pulse rate at rest varies between 60 to 120 bpm (beats per minute), which translates to frequencies between 1Hz and 2Hz. Thus, a commercial RGB camera with 30 fps (i.e., 30Hz) video capture will be sufficient to capture several frames from each cycle of facial blood flow. Although video resolution is less important, modern commercial cameras offer HD quality video capture, which is most suitable for our target application. More details regarding the data collection process are provided in the experimental results 100 section. 101

2.2. Facial Blood Flow Motion Amplification

The next step in the system is to perform motion amplification in the input video. 103 Motion amplification is a remarkable algorithm that amplifies subtle movements in an RGB 104 video. The algorithm detects and amplifies motion, and adds amplified versions of the 105 motion to the original video in order to enhance it visually [15]. Motion amplification is the 106 means by which Facial Blood Flow (FBF) is calculated. Therefore, motion amplification is 107 extremely important in our system. In other biometrics (conventional face recognition, gait 108 recognition, or iris recognition), due to the fact that their respective features are directly 109 visible, motion amplification is not required. 110

In [15], Wu et al. proposed an Eulerian motion amplification approach, where each 111 image frame from the input video is decomposed into a multi-scale Laplacian pyramid 112 and motion amplification is applied to each scale before reconstruction. In order to amplify 113 movements that exist around object boundaries, i.e., image edges, Wadhwa et al. [17] 114 proposed a phase-based motion amplification scheme, where each frame from the input 115 video is decomposed into a complex steerable pyramid, where the amplification is applied 116 to the phase component of the decomposition only. This approach exploits the well-known 117 fact that edge information in images is best represented by the phase information in the 118 Fourier domain [32]. This approach achieves superior motion amplification around object 119 edges and boundaries, as opposed to the Eulerian motion amplification which is more 120 efficient in enhancing motion that exists within the texture of an object. 121

The motion amplification algorithm will not only amplify subtle motion taking place 122 within the object, but will also amplify the subject's global motion. Hence, if the subject 123 is moving as a whole, its global movement will also be amplified, producing output that 124 is not useful for our application. Therefore, an important requirement for the efficient 125 function of motion amplification is that the captured subject must be as still as possible, 126 exhibiting minimal global movement. For that reason, in our application we capture human 127 subjects who have been advised to stay as motionless as they can. In practice, however, it is 128 unavoidable that there will be some slight global movement during capturing. Due to that, 129 if motion amplification was used directly on the original video, the output would not be 130 particularly informative regarding subtle facial movements. To avoid such problems, we 131 apply pre-processing in order to filter out unavoidable small global motions before motion 132 amplification is applied. 133

As a first processing step, we use the phase-based motion amplification [17] with a 134 negative amplification factor α , in the range of [-1,0), in order to reverse any unwanted 135 global motion. The actual value of α was determined experimentally. As explained earlier, 136 the phase-based motion amplification is more sensitive to edge movements that are due 137 to unwanted small global movements, observed when the subject is moving as a whole. 138 Therefore, applying negative amplification using the phase-based motion amplification 139 algorithm will stabilise the subject within video as much as possible without affecting the 140 subtle movements in the facial texture area, which is where the facial blood flow can be 141 observed. 142

Subsequently, we use the Eulerian Video Magnification method by Wu et al. [15] to 143 amplify the facial blood-flow. The Eulerian video magnification method tends to magnify 144 the image value oscillations, thus it has proven [15] to be ideal for amplifying blood 145 circulation. In contrast to [15], where a new (motion-enhanced) video sequence was created, 146 i.e., the amplified motion is added to the original video, in order to create a highlighted 147 video, our focus is only on the extraction of the amplified facial blood flow. Consequently, 148 we extract the amplified motion, without adding it to the original video, as in [15]. In 149 order to keep computational complexity low, we apply motion amplification to a grayscale 150 version of the input video. The result of this procedure is the calculated facial blood flow 151 of a subject, represented in the form of an image sequence Q(x, y, t), where x, y denote 152 the image coordinates and t the frame index. Here, we should stress that without motion 153 magnification, the signal Q(x, y, t) is zero, therefore there are no extracted features to model. 154 Finally, in our approach we only use grayscale data. The reason is that different colour 155 planes would produce different apparent motions, which would lead to inaccurate facial 156 blood flow calculation. Therefore, using aggregate information in the form of grayscale 157 pixel intensities is a safer option. 158

2.3. Extraction of facial regions of interest

To verify the validity of FBF as a biometric, we rely on facial areas that do not contain 160 facial landmarks, which are used in conventional face biometry. Traditional facial recogni-161 tion biometric approaches use the entire face, including the eyes, nose and mouth, the shape 162 of which are specific to each individual and, therefore, have significant discriminatory 163 capacity. Instead, in our approach, we consider the use of facial areas that do not include 164 discriminatory landmarks, but are certain to involve substantial blood flow that can be 165 revealed. With that in mind, we focused on three selected areas of interest: a) the lower 166 forehead area, b) the skin area below the left eye, and c) the skin area below the right eye. 167 These areas feature mainly facial skin without landmarks, and are ideal for FBF acquisition. 168 This selection of facial areas is supported by the findings in the work of Buddharaju et al. 169 [25], which is an exploration of the facial areas containing veins and arteries that can be 170 used for person identification. As it can be seen, the forehead and the two left and right 171 cheek areas contain multiple veins and arteries, a fact that makes them particularly suitable 172 for the observation and representation of facial blood flow. This finding is also supported 173 in the work by von Arx et al. [33], where a complete atlas of the facial blood vessels is 174

presented. Another advantage of our chosen facial areas is that they are always visible or can easily become visible and easy to capture. The special situation where only the forehead area is visible is examined as a separate experiment. Such a situation arises when faces are partly covered by a mask.

To detect these regions, we use the Zhu-Ramanan face detector [30] to isolate the facial area. Then, we fit an Active Appearance Model (AAM) [34] with 68 facial features using the implementation of Bulat and Tzimiropoulos [31]. Using the facial features, inferred by the AAM, we select an area of 71×201 pixels above the eyes and eyebrows to extract the forehead area. The left and right facial areas are determined by positioning two 71×101 rectangles below the eyes.

The exact positions of the left and right facial areas are calculated by exploiting the po-185 sition of key-points on the eyes and eyebrows. In Fig. 2(d) we depict the eyebrows' highest 186 and rightmost points using blue and green points respectively. Using this information we 187 can infer the point where the forehead commences above the eyebrows. The *x*-coordinate 188 of the eyebrows' highest point and the *y*-coordinate of the eyebrows' rightmost point are 189 used to form the starting point of the forehead, which is shown as a yellow cross in Fig. 190 2(d). The width and height of the forehead rectangle are fixed, thus extending the rectangle 191 over both eyebrows. In a similar fashion, we exploit the leftmost eyebrows' point as a 192 reference point (green point in Fig. 2(e)), and proceed down by 120 pixels so as to detect the 193 bottom left corner of the rectangle for the left facial. Equally, using the rightmost eyebrow 194 point, we can set a rectangle containing the right facial area (see Fig. 2(f)). Fig. 2 shows 195 an example of the rectangle positioning procedure. The sizes of the rectangles were set 196 based on experimentation. An alternative approach would be to deploy image registration 197 algorithms to fully automate rectangle positioning. Nonetheless, in order to keep the setup 198 as simple as possible, and not introduce distortions due to image transformation, we kept 199 these sizes constant and asked the participants to sit at fixed distance from the camera. 200 This ensured that minimal registration problems would appear. The AAM for the facial 201 structure can provide sufficient information to tackle the face registration problems that 202 would arise if the algorithm is applied in less controlled environments [35]. Snapshots from 203 the three extracted videos from the three areas are depicted in Fig. 3. 204



(a) Forehead



(b) Left area

(c) Right area

Figure 3. Snapshots from the three extracted areas for the subject in Fig. 2. It is clear that these areas don't contain any traditional facial biometric traits.

2.4. Temporal and Spectral Feature Extraction

The next step is to extract efficient feature structures that will enable robust person ²⁰⁶ identification. In order to achieve faster and more practical identification, we limit the ²⁰⁷ duration of the clips that will be presented to the deep learning classifier to T = 1 sec, ²⁰⁸ so as to capture at least one cycle of blood circulation. Nonetheless, as it is not always ²⁰⁹

easy to discern the start, end, or other stages within the facial blood cycle, synchronization issues may occur. This highlights the importance of extracting features that are robust to phase variations within the observed periodic phenomenon. In [26,27], we proposed two baseline methodologies, which we extend here and show that they can lead to increased performance.

2.4.1. Temporal features

In [26], we proposed to average all frames in each video clip, creating the average frame 216 that was used for training and recognition. Although that approach provided robustness to 217 phase variations, it ignored the temporal evolution of the FBF within the clip. Thus, in this 218 paper, we propose a temporal partitioning, i.e., divide each clip into five sub-clips of equal 219 duration T_s , and calculate the average FBF for each sub-clip separately. Assuming that we 220 have a video sequence Q(x, y, t), where x, y are the spatial co-ordinates and t represents 221 the temporal evolution, the proposed features $F_{temp}(x, y, k)$ can be described, as follows 222 (see Fig. 4): 223

$$F_{temp}(x, y, k) = \frac{1}{T_s} \sum_{t=(k-1)T_s}^{kT_s} \mathcal{Q}(x, y, t), \quad 1 \le k \le 5$$
(1)

The average image and the temporal averages for the FBF biometric, extracted from



Figure 4. Division of a video clip into five sub-clips. Temporal averages are calculated for each sub-clip.

the forehead region of two subjects, are depicted in Fig. 5 and 6 respectively. These templates clearly show the different FBF patterns in different individuals and, therefore, they demonstrate the discriminatory capacity of the FBF biometric.

2.4.2. Transform-domain phase-invariant features

In [27], we proposed to use the 1D-Discrete-Cosine Transform (DCT) along the temporal axis of the sequence Q(x, y, t), i.e. 230

$$F_{DCT}(x,y,k) = \sum_{t=0}^{T} \mathcal{Q}(x,y,t) \cos\left[\frac{\pi k}{T}(t+\frac{1}{2})\right], 0 \le k \le T$$
(2)

The above DCT features provided a viable solution, since the DCT is real-valued and ²³¹ approximately phase-invariant. ²³²

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Figure 5. Temporal features for the FBF biometric extracted from the forehead region. The averaged image template [26] is shown for for subject A and B. Lighter colors represent greater values while darker colors represent smaller values (best seen in color).



Figure 6. Temporal features for the FBF biometric extracted from the forehead region. The proposed temporal averages of (1) is shown for subject A and B. Lighter colors represent greater values while darker colors represent smaller values (best seen in color).

In the present work, however, we propose to use the abs-magnitude of the Fast-Fourier Transform (FFT), since the FFT is always phase-invariant. In addition, because of the magnitude FFT's even symmetry, the negative frequency components of the transformation can be dropped, yielding smaller-sized features. The proposed feature is given by: 236

$$F_{FFT}(x,y,k) = \left| \sum_{t=0}^{T} \mathcal{Q}(x,y,t) e^{-j\frac{2\pi tk}{T}} \right|, \qquad 0 \le k \le T$$
(3)

As in the case of DCT features, the proposed FFT features are calculated by applying the FFT transform along the temporal dimension of the input sequence. The two transform-domain features are depicted in Fig. 7 for the forehead region. In either case, the extracted features are 3D matrices with the first two dimensions representing the image spatial coordinates (pixel location), and the third dimension representing the transform-domain content of each pixel (pixel value). 240 241 242 242

2.5. Deep Learning Architectures for classification

Many deep learning architectures were investigated to model the evolution in time or frequency of the input features, including 3D CNN [36] and Long-Short Time Memory (LSTM) modules [37]. Nonetheless, in our experiments the most successful was an architecture that included 'time'-distributed 2D CNN modules [38]. In other words, it is



Figure 7. Frequency-domain features for the FBF biometric extracted from the forehead region of subject A. (a) DCT features [27], (b) FFT-features calculated using (3).

a CNN structure, consisting of 2D filters that are applied on the spatial co-ordinates x, y254 and remain unchanged over the *z*-axis, which describes time or frequency, depending on 255 whether the spatio-temporal or spatio-frequency 3D feature is used. The proposed architec-256 ture is depicted in Figure 8. As seen, it consists of a smaller VGG16-like [39] structure of 257 four 'time'-distributed 2D convolutional layers, followed by two fully-connected layers 258 for classification. More specifically, the first 'time'-distributed 2D CNN contains 162×2 259 filters, the second 32 2 \times 2 filters, which are then followed by a 2 \times 2 max pooling layer. 260 Consequently, two more CNN layers of 32.2×2 filters each and a 2×2 max pooling layer. 261 ReLUs are used after each CNN layer and the Dropout regularisation method [40] using 262 a parameter value of 0.2 is used after each max pooling layer. The features are flattened 263 and presented to two fully-connected layers (FCN or Dense), the first with 64 nodes and 264 the second, being the output layer, with 13 nodes, equal to the number of people to the 265 dataset. After the first FCN, Batch Normalisation (BN) [41] is used along with ReLU and 266 the Dropout regularisation method with a parameter value of 0.3. The output of the last 267 layer is presented to the Softmax activation function. The size of the input is not fixed in 268 order to accommodate the features from the three areas of interest, which are of different 269 size. The parameter values for dropout, and the number and size of filters at each layer, 270 were determined based on experimentation.



"Time-distributed" 2D Convolution

Figure 8. The proposed CNN structure with 'time'-distributed 2D convolutions used in the convolutional layers of the network. Conv2D refers to a 'time'-distributed 2D convolutional layer, ReLU and Softmax refer to the corresponding activation functions, Dropout refers to Dropout regularisation [40], BN refers to Batch Normalisation. The number of filters and the size of the filters is indicated at the top of the respective level.

The system should also combine features from three different facial areas in order to perform person identification. In [27], we determined that the features should be processed separately by the convolutive architecture, proposed in Fig. 8, without the Dense layers (pipeline) and the final features should be concatenated and be presented to a single fullyconnected (Dense) stage for classification (Ensemble 1) (see Fig. 9(a)). The Dense Layer

consists of 64 weights. Fig. 9(b) depicts a second method (Ensemble 2), where the features from each facial area are independently processed by the proposed convolutive architecture and a separate Dense stage, before being concatenated to the final FCN stage. Each of these Dense stages has 64 nodes. The output dense layer has 13 nodes, equal to the number of subjects in the dataset.



Figure 9. Two ensemble methods to combine the features from the three facial regions of interest. Pipeline refers to the architecture of Fig. 8 without the fully-connected (Dense) layers.

Table 1. Identification Accuracy for the validation dataset for the three different features and various scenarios. Salt-n-pepper augmentation on FFT features and the combination of the three areas with Ensemble 2 yields the best performance. Values in bold denote the best performance.

	Forehead only	All areas		
Features		Ensemble 1	Ensemble 2	
			No augment.	With augment.
Average Image [26]	68.76%	77.53%	79.72%	79.79%
DCT Features [27]	73.19%	82.61%	84.66%	84.78%
Temporal Partitioning	72.54%	84.51%	85.56%	85.6%
FFT Features	74.03%	85.02%	87.20%	89.04%

3. Experiments

3.1. Dataset - Implementation

To test the efficiency of the proposed Facial Blood Flow (FBF) biometric, we created a 284 new dataset. A GoPro Hero 4 Black camera was used for video recording at 1920×1080 285 resolution and 30 frames per second. Facial image sequences from a total of 13 subjects were 286 recorded in a room with natural light. This was needed because the motion magnification 287 algorithm tends to magnify subtle, nearly invisible, movements and oscillations produced 288 by the flickering of artificial light, which would result in contaminated output. Subjects 289 were asked to sit on a chair at a fixed distance ($\sim 1m$) from the camera and to remain 290 as motionless as possible during the recording. This guidance was meant to prevent the 291 occurrence of registration problems due to incidental body movement. The camera was 292 positioned at the same height for all subjects, almost at eyes level, in order to minimise 293 registration issues. Eighteen (18) recordings were captured from each subject, each lasting 294 20 seconds. These recordings were captured for each subject over two different days. This 295 produced a total of 360 seconds of recording per subject, which was used for training. A 296 week later, three additional recordings per subject were captured on a single day. The addi-297 tional recordings amounted to a total of 60 seconds per subject, and were used for system 298 testing. Conducting the recordings over three different days strengthens our confidence 299 in the temporal robustness of the proposed biometric trait and in its appropriateness for 300 practical use. All recordings were segmented into 1-second clips, yielding 360 training clips 301 and 60 validation clips for each subject. The compiled data can be made available upon 302 request. An example of the captured video frames can be seen in Fig. 2. Video capturing 303 has been performed in a controlled environment, ensuring that no artificial light was used 304

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and that the subjects were instructed to remain as still as possible. Although this may seem as a limitation of the method, it is a realistic constraint to have a controlled environment for 306 identity verification, e.g. in a police station or at border control.

The Movement Motion Attenuation and the Facial Blood Flow Amplification algo-308 rithms were implemented using MATLAB code, provided by [17] and [15] respectively. For 309 the motion attenuation module, the value of $\alpha = -0.75$ was used, as it was experimentally 310 seen to yield satisfactory results. The amplification factor was set to $\alpha = 120$, while the 311 frequency range of amplification was set between 0.83 - 1 Hz, as suggested in [15]. The 312 face isolation and AAM fitting stages were based on the method in [31]. The proposed deep 313 architectures were developed in Python using TensorFlow on a PC with an NVidia RTX 314 A6000 GPU, running Ubuntu Linux 20.04¹. Stochastic Gradient Descent (SGD) was used 315 to train the deep network, using categorical cross entropy as a cost function, whereas the 316 learning rate was set to 0.01 and momentum was set to 0.9. The network was trained for 30 317 epochs using a batch size of 64 samples. 318

3.2. Results - Ablation Study

As it was shown in [26], using only forehead features for person identification yields 320 inferior performance in comparison to using the combination of all three proposed facial 321 areas. In the present work, we re-evaluate the use of the forehead area in conjunction with 322 our new FBF representations and the architecture shown in Fig. 8. Results are shown in 323 Table 1. As seen, similar to [26], the forehead area alone still exhibits moderate biometric 324 performance. 325

Subsequently, we evaluated the combination of the three facial areas, using the two 326 combination approaches, shown in Fig 9. In Table 1, we present the identification accuracy 327 achieved by the two combination methods. Clearly, the Ensemble 2 architecture yields 328 the best results regardless of the feature used. Therefore, it seems that the most efficient 329 strategy is to process each feature through individual FCNs before combining features in 330 the output (final) layer. In addition, it is clear from Table 1 that the inclusion of the temporal 331 element surely improves performance. All architectures that incorporate the temporal 332 element (DCT Features, Temporal Partitioning and FFT Features) perform better than using 333 the Average Image. 334

Finally, we experimented with data augmentation. We attempted three types of aug-335 mentation that seemed suitable for our problem: Gaussian noise, Salt-n-pepper noise, and 336 Vertical flipping. Noise addition was considered because it was shown to improve network 337 generalisation and reduce overfitting [42]. Out of the three options, only the addition 338 of salt-n-pepper noise to the final extracted features appeared to improve performance. 339 Specifically, the saturation of 10% of each image pixel to equal percentage of black and 340 white pixels seemed to yield performance improvement. This augmentation doubled the 341 available training data. Results are shown in Table 1 in terms of Classification Accuracy. 342

As seen in Table 1, augmentation with salt-n-pepper noise improved the performance 343 of all feature sets. Further, the proposed FFT features are consistently superior in all 344 scenarios, reaching a recognition rate equal to 89.04%. The Temporal Partitioning features 345 rank second in performance, followed by the DCT Features [27] and the Average Image 346 feature of [26]. The performance achieved by our new features and architecture is an 347 improvement over the system in [27], and shows the potential of the FBF biometric trait 348 for effective person identification. The evolution of the loss function and accuracy over 349 30 epochs for the proposed "time-distributed" VGG network with the FFT-features and 350 the Ensemble 2 strategy is depicted in Fig. 10. The confusion matrix for the proposed 351 architecture and features is shown in Fig. 11. It is clear that the system does not overfit and 352 in addition the classification performance is robust since only minor mis-classifications are 353 observed. 354

¹ The code is available at https://github.com/mitia98/FBF_person_id



Figure 10. The evolution of the loss function and accuracy over 30 epochs for the proposed "timedistributed" VGG network with the FFT-features and the Ensemble 2 strategy.



Figure 11. The confusion matrix for the proposed "time-distributed" VGG network with the FFT-features and the Ensemble 2 strategy.

In Table 2, we present an ablation study with other deep architectures that were 355 examined in our investigation. The study was performed using the FFT features from all 356 regions of interest and the Ensemble 1 architecture in terms of Accuracy and False Positive 357 Rate. The study included 2D-CNN VGG, 3D-CNN VGG, the "time-distributed" VGG of 358 Fig. 8, stacked LSTM and the "time-distributed" VGG with LSTM layers. The exact details 359 of these architectures are not presented here, due to limited interest, but they are of similar 360 size to the one presented in Fig. 8, and therefore are a good basis for direct comparisons. 361 From the results presented in Table 2, it is clear that the inclusion of LSTM modules did not 362 improve performance. Instead, a more shallow "time-distributed" VGG is more efficient. 363

Table 3 presents comparisons in terms of computational complexity. Complexity is 364 measured in terms of the required number of network parameters, the network size (in 365 MBs), and the average inference time per batch. The compared systems are the afore-366 mentioned reference architectures, which use FFT features from all regions of interest, 367 and the Ensemble 1 architecture. In terms of parameters and size, the Time-Distributed 368 CNN (VGG version), which achieved the highest identification performance, is the largest 369 network, with 55.7 million parameters requiring 435.6 MB of storage. In terms of inference 370 time, that architecture ranks in the middle. Although inference times were measured in a 371 high-specification machine, they are very small and can comfortably support a practical 372 real-time identification scenario. In particular, the per-sample inference time achieved 373 by the best-performing Time-Distributed CNN network is equal to 0.742/64 = 11.6 ms, 374 where the total inference time was divided by the number of samples (64) in the batch. 375 Such low inference times make our proposed application viable for use even on everyday 376 computational platforms (e.g., common PCs). 377 **Table 2.** Comparison between different Ensemble-1 architectures, in conjunction with FFT features extracted from all facial regions of interest. No data augmentation was applied. Values in bold denote the best performance. The proposed "time-distributed" VGG architecture yields the best performance.

Model	Accuracy	False Positive Rate
2D-CNN VGG version	71.01%	2.6%
3D-CNN VGG version	81.26%	1.64%
Time Distr. CNN VGG version	85.02%	1.27%
Stacked LSTM	78.05%	1.62%
Time Distr. CNN VGG version + LSTM	79.59%	1.51%

Table 3. Comparison in terms of computational complexity, presenting the number of parameters, model size, and inference time (per batch) for each of the examined architectures. The proposed "time-distributed" VGG architecture has the greatest number of parameters and model size, nonetheless it doesn't require the greatest inference time per batch.

Model	No. of Parameters	Model Size (MB)	Inference Time per batch (sec)
2D-CNN VGG version	7M	54.7	0.733
3D-CNN VGG version	13.9M	109.3	0.736
Time Distr. CNN VGG version	55.7M	435.6	0.742
Stacked LSTM	14.89M	116.4	0.771
Time Distr. CNN VGG version			
+LSTM	14.03M	109.9	0.786

4. Conclusions

In this paper, we presented evidence of the validity of Facial Blood Flow (FBF) as 379 a biometric trait. We examined two new features: the FFT features and the temporal 380 partitioning features, which yield improved performance over the performance achieved 381 using the DCT features we proposed in our past work. In the present paper, We also 382 proposed a new 'time'-distributed CNN architecture that consolidates the performance 383 gains using the new features. The presented algorithms and experiments demonstrate the 384 effectiveness of FBF as a stand-alone or a complementary biometric trait and serve as a 385 well-founded proof-of-concept. In our future work, we will expand our experiments using 386 larger datasets and multimodal features. More specifically, we are planing to study the 387 performance of the FBF biometric on a more diverse database, exploring also the use of 388 multi-spectral cameras. In future research, 3D modelling can be used for very accurate 389 compensation of incidental head movements before blood flow calculation is performed. 390

Author Contributions: For research articles with several authors, a short paragraph specifying their391individual contributions must be provided. The following statements should be used "Conceptual-392ization, N.M. and N.B.; methodology, N.M. and N.B.; software, M.R.; validation, M.R., T.S. and N.M.;393formal analysis, N.M. and N.B.; investigation, M.R.; writing—original draft preparation, M.R. and394T.S.; writing—review and editing, N.M. and N.B.; visualization, M.R. and T.S.; supervision, N.M. and395N.B.; project administration, N.M. All authors have read and agreed to the published version of the396manuscript.", please turn to the CRediT taxonomy for the term explanation. Authorship must be397limited to those who have contributed substantially to the work reported.398

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Informed Consent Statement: Written informed consent has been obtained from all participants in the study, including the patient(s) whose information is included in this paper. The consent form

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includes a full explanation of the nature and purpose of the study, as well as any potential risks and
 benefits of participation. The participants were informed that their participation is voluntary, and
 that they have the right to withdraw from the study at any time without any negative consequences.
 The participants were also informed that their information will be kept confidential and anonymous,
 and that the data collected will only be used for the purposes of the research study
 Data Availability Statement: We encourage all authors of articles published in MDPI journals to
 share their research data. In this section, please provide details regarding where data supporting

share their research data. In this section, please provide details regarding where data supporting reported results can be found, including links to publicly archived datasets analyzed or generated during the study. Where no new data were created, or where data is unavailable due to privacy or ethical restrictions, a statement is still required. Suggested Data Availability Statements are available in section "MDPI Research Data Policies" at https://www.mdpi.com/ethics.

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