

## Predicted Exposures to Steroid Estrogens in U.K. Rivers Correlate with Widespread Sexual Disruption in Wild Fish Populations

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Steroidal estrogens, originating principally from human excretion, are likely to play a major role in causing widespread endocrine disruption in wild populations of the roach (*Rutilus rutilus*), a common cyprinid fish, in rivers contaminated by treated sewage effluents. Given the extent of this problem, risk assessment models are needed to predict the location and severity of endocrine disruption in river catchments and to identify areas where regulation of sewage discharges to remove these contaminants is necessary. In this study we attempted to correlate the extent of endocrine disruption in roach in British rivers, with their predicted exposure to steroid estrogens derived from the human population. The predictions of steroid estrogen exposure at each river site were determined by combining the modeled concentrations of the individual steroid estrogens [ $17\beta$ -estradiol ( $E_2$ ), estrone ( $E_1$ ), and  $17\alpha$ -ethinylestradiol ( $EE_2$ )] in each sewage effluent with their predicted dilution in the immediate receiving water. This model was applied to 45 sites on 39 rivers throughout the United Kingdom. Each site studied was then categorized as either high, medium, or low "risk" on the basis of the assumed additive potency of the three steroid estrogens calculated from data derived from published studies in various cyprinid fish species. We sampled 1,438 wild roach from the predicted high-, medium-, and low-risk river sites and examined them for evidence and severity of endocrine disruption. Both the incidence and the severity of intersex in wild roach were significantly correlated with the predicted concentrations of the natural estrogens ( $E_1$  and  $E_2$ ) and the synthetic contraceptive pill estrogen ( $EE_2$ ) present. Predicted steroid estrogen exposure was, however, less well correlated with the plasma vitellogenin concentration measured in the same fish. Moreover, we found no correlation between any of the end points measured in the roach and the proportion of industrial effluents entering the rivers we studied. Overall, our results provide further and substantive evidence to support the hypothesis that steroidal estrogens play a major role in causing intersex in wild freshwater fish in rivers in the United Kingdom and clearly show that the location and severity of these endocrine-disrupting effects can be predicted. **Key words:** disruption, endocrine, estrogen, estrone, estradiol, ethinylestradiol, intersex, roach, vitellogenin. *Environ Health Perspect* 114(suppl 1):32–39 (2006). doi:10.1289/ehp.8050 available via <http://dx.doi.org/> [Online 21 October 2005]

Increasing evidence shows that wild populations of both estuarine and freshwater fish are being exposed to endocrine-disrupting chemicals (EDCs) in concentrations sufficient to cause disruption of their reproductive physiology [reviewed by Jobling and Tyler (2003)]. In particular, the occurrence of intersex fish in a number of countries has been associated with the proximity of these fish to point source sewage effluent discharges (Allen et al. 1999a, 1999b; Aravindakshan et al. 2004; Folmar et al. 1996, 2001; Gercken and Sordyl 2002; Harshbarger et al. 2000; Hassanin et al. 2002; Hecker et al. 2002; Jobling et al. 1998; Kavanagh et al. 2004; Minier et al. 2000; van Aerle et al. 2001; Vethaak et al. 2002; Vigano et al. 2001). Intersex fish can have feminized reproductive ducts and/or developing oocytes within their testes (Nolan et al. 2001). They can also have abnormal concentrations of sex steroid hormones (Jobling et al. 2002a) and (often) elevated concentrations of the estrogen-dependent blood protein vitellogenin (VTG) in

their blood (Jobling et al. 1998). In severely feminized fish, fertility is reduced (Jobling et al. 2002b); hence, the contribution of these fish to the growth rate of the population is likely to be reduced. Studies published to date strongly suggest that the concentration of sewage effluent in a river is a major cause of intersexuality in wild roach (Jobling et al. 1998). Furthermore, the association between the degree of intersexuality in fish and their plasma VTG concentration suggests that the two effects have a common cause and, therefore, the estrogenic constituents of sewage effluents are likely to be responsible for the occurrence of intersexuality in wild fish populations (Jobling et al. 1998).

Toxicity, identification, and evaluation procedures employing *in vitro* estrogen screening assays have identified the steroid estrogens as being the most significant estrogenic compounds present in most sewage effluents (e.g., Desbrow et al. 1998; Korner et al. 2001; Matsui et al. 2000). Because of their human origin, steroid estrogens are regularly detected

in domestic sewage effluents throughout Europe, Japan, and the United States, with concentrations ranging from < 1 to 48 ng/L for  $17\beta$ -estradiol ( $E_2$ ), from 1 to 76 ng/L for estrone ( $E_1$ ), and from < 1 to 7 ng/L for  $17\alpha$ -ethinylestradiol ( $EE_2$ ) (e.g., Desbrow et al. 1998; Johnson et al. 2000; Komori et al. 2004; Niven et al. 2001). The concentrations of these estrogens in river water and their impact on fish are dictated in the first instance by the available dilution in the receiving water. Over time (and with increasing distance from the discharge point), biodegradation and sorption (Jurgens et al. 2002; Williams et al. 1999) further lower these concentrations, as has been illustrated clearly in the river Nene in the United Kingdom (Williams et al. 2003). Although the concentrations of these estrogenic substances in effluents are extremely low (in the tens of nanograms per liter range), when replicated in laboratory experiments, they are high enough to induce VTG synthesis and intersex in some fish species (e.g., Balch et al. 2004; Lange et al. 2001; Metcalfe et al. 2001; Nash et al. 2004; Orn et al. 2003; Palace et al. 2002; Thorpe et al. 2003a; Van den Belt et al. 2004). Furthermore, laboratory studies have shown that the combined effects of steroid estrogens can be additive (Brian et al. 2005; Silva et al. 2002; Thorpe et al. 2003b). Hence, it is now an acknowledged possibility that even where the water concentration of one of these steroid

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estrogens is below the lowest observable effect concentration (LOEC), the combined mixture of steroid estrogens present could still cause an effect.

Association does not always imply causation, however, and there is still no overwhelming evidence that steroidal estrogens are the only cause of endocrine disruption in wild freshwater fish. Xenobiotic endocrine disruptors with estrogenic properties, such as 4-*t*-nonylphenol, are also present in some effluents at high enough concentrations alone to cause significant effects on reproductive development and function (Blackburn and Waldock 1995; Harries et al. 1996; Sheahan et al. 2002; Sole et al. 2000). The parent alkylphenol polyethoxylates may be discharged into the sewers from both domestic cleaning products (Bennie 1999) and from more specialized industrial users.

Undoubtedly, the most important issue from a regulatory perspective is to determine whether steroidal estrogens cause the majority of the disruptive reproductive effects seen in wild fish populations. One approach to testing this hypothesis is to search for relationships between the spatial distribution and severity of endocrine disruption, the size of the local human population, and the available dilution in the river water. The human excretion of steroid estrogens is predictable (Johnson and Williams 2004; Johnson et al. 2000) and should be linked to the human population (at least outside significant animal husbandry areas). Conversely, the discharge of different xenobiotic EDCs is likely to be far more random (Blackburn and Waldock 1995).

If a convincing correlation were to be found between sexual disruption in wild fish and the predicted concentrations of steroid estrogens within the river water in which they reside, it would provide confidence to regulators for using such steroid estrogen models to predict the location and severity of endocrine disruption in river catchments, and consequently, where regulatory controls might need to be implemented.

## Materials and Methods

**Risk assessment. Estimation of effluent loads.** We estimated effluent discharge concentrations of steroidal estrogens using the method of Johnson and Williams (2004); therefore, only a brief overview of this approach will be given here. E<sub>1</sub> and E<sub>2</sub> are natural steroid estrogens excreted by humans in varying amounts, depending on their age, sex, and whether the females are pregnant. EE<sub>2</sub> is used in the contraceptive pill and in hormone replacement therapy drugs and is excreted by women taking these products. The load of these steroids arriving at a sewage treatment works (STW) will be a linear function of the population served by that works. Johnson and

Williams (2004) reviewed the literature on excretion values of these steroid estrogens by various cohorts of the human populations. They calculated that on average each person excreted 0.89 µg/day of EE<sub>2</sub>, 3.3 µg/day of E<sub>2</sub>, and 13.8 µg/day of E<sub>1</sub> (either as free hormone or in forms likely to be transformed into the free hormones). Thus, simply multiplying the domestic population served by an STW gives the load of these chemicals in the influent to the sewage treatment works.

The sewage treatment process removes a significant proportion of the steroid estrogens. From a review of the published data, Johnson and Williams (2004) suggested that removal efficiencies of 85, 65, and 82% for EE<sub>2</sub>, E<sub>1</sub>, and E<sub>2</sub>, respectively, would be expected from activated sludge treatment works (commonly used for large conurbations). Written mathematically, the average daily load of an individual steroid delivered to the receiving water, *L<sub>e</sub>*, is given by

$$L_e = (1-r_e)P\alpha_e, \quad [1]$$

where *r<sub>e</sub>* is the fraction of the steroid removed by the STW, *P* is the domestic population served by the STW, and *α<sub>e</sub>* is the *per capita* steroid load excreted. The latter value varies for each steroid estrogen and reflects the amount excreted by males and females at various times in their lives. It is important to note that while the model assumes that the efficiency of all STW is the same and constant, there is evidence that the efficiency of similar or individual STW can vary, even on a daily basis (Baronti et al. 2000; Williams et al. 2003).

**Population equivalent and nonindustrial component.** STW are routinely described with respect to the population equivalent (PE) that they handle. The value is used by water companies as a measure of the organic strength of the wastewater (based on a biological oxygen demand of the water of 65 g/day generated by a human in European Union countries). PE reflects the net waste of the connected human population and industrial concerns. For any steroid estrogen prediction, it is therefore vital to obtain the true human PE associated with the works. Both the human and industrial PEs for each of the STW were obtained by contacting the water utilities responsible for their operation.

**STW flows.** The flow of sewage effluent leaving each sewage works was measured (wherever possible) on two occasions a few weeks apart during periods when there had not been significant rainfall to represent dry weather flows. This measurement provided effluent flow derived from human discharges and for "typical" summer conditions. Overall, we observed the following relationship:

$$F(L/day) = 327PE. \quad [2]$$

Because in some cases it was not possible to obtain flow values for all the STW in the survey, the above flow relationship was assumed and applied to all STW.

**Estimation of river flows at fish capture sites.** STW effluents are likely to have maximum impact under low river flow conditions. Therefore, to give a reasonable worst-case risk assessment, a flow rate of the receiving river that corresponds to typical summer levels was used. The 95th percentile river flow (the flow that is exceeded 95% of the time) was selected. The 95th percentile river flow was estimated for the fish capture sites by scaling the 95th percentile flow value from the nearest (generally downstream) gauging station by area. Thus we derived the following expression:

$$F_{cs} = (F_{gd} - F)(A_{cs}/A_{gd}) + F, \quad [3]$$

where *A* is the area; subscripts *cs* and *gd* refer to the capture site and the downstream gauging site, respectively, and *F* is the flow of the STW. The nearest gauging station, flow, and area for each site were taken from Marsh and Lees (2003). The catchment area draining to the capture site was estimated using the Flood Estimation Handbook CD-Rom software (Centre for Ecology and Hydrology 1999). The flow at a (fish capture) site can be predicted when only flow data from a downstream gauging site is available by comparing the areas draining to the two sites. The flow at a fish capture site can be predicted by comparing the flow data of a downstream gauging site with the flow data of the catchment area to the capture site. Thus, if the larger catchment area associated with the downstream site generates so much flow per unit area, then the flow for the upstream site, with its smaller catchment, can be calculated. But this area-based calculation can be obtained only by first subtracting the flow of the STW within the catchment. Once this deduction has been made, the flow of the STW upstream of the fish capture site must be added to complete the calculation. When the only appropriate flow gauging site was upstream of the capture site, the flow at the fish capture site was estimated as the sum of the area-adjusted flow plus the dry weather flow from the STW.

**Estimation of steroid concentrations in rivers at fish capture sites.** The concentration of each steroid in each of the rivers, *C<sub>r</sub>*, was then given by

$$C_r = L_e/(F_{cs} + F). \quad [4]$$

Note that *C<sub>r</sub>* = *L<sub>e</sub>* is derived from Equation 1; *F<sub>cs</sub>* is derived from Equation 3; and *F* is derived from Equation 2. *F<sub>cs</sub>* is the 95th percentile river flow at the fish capture point, and *F* is the effluent flow from the STW. This calculation assumes that there are no steroid estrogens in the river upstream of the discharge point.

Equation 4 was used to estimate the concentration of the three steroid estrogens  $E_2$ ,  $E_1$ , and  $EE_2$  in each of the rivers sampled.

**Setting of the risk categories for each biological end point.** Estimation of the relative potencies of  $E_1$ ,  $E_2$ , and  $EE_2$ . Steroid estrogens cause a wide range of feminizing effects in fish, including the induction of intersex and the synthesis of VTG in male fish. Moreover, it is increasingly acknowledged that the combined effects of steroid estrogens on any of these end points are likely to be additive (Brian et al. 2005; Silva et al. 2002; Thorpe et al. 2003b). Hence, they are better predicted using a toxic equivalency approach.

The relative potencies of each steroid also depend on the end points measured, the fish species used, and the timing and duration of the exposure. With these factors in mind, we estimated predicted equivalent concentrations of  $E_2$  separately for induction of VTG and for induction of intersex, using data from studies on cyprinid fish only. We used this procedure to try to avoid false positives or false negatives caused by the possible differences in sensitivity to the three estrogens among fish species. Wherever possible, data to assess relative potencies were used only from studies in which the actual concentrations of estrogen dosed had been analytically verified.

The  $E_2$  equivalent concentrations calculated using the previously described methods were compared with the no observed effect concentrations (NOECs) and LOECs of  $E_2$  required to induce feminization (VTG induction or intersex) in cyprinid fish. We then placed each sample site into high-risk (> LOEC), medium-risk (between the NOEC and the LOEC), or low-risk (< NOEC) categories for each end point separately, using the values discussed below as boundaries.

**VITELLOGENIN INDUCTION.** We found three studies in the literature on the relative potencies of the steroid estrogens in inducing VTG in cyprinid fish *in vivo*. Van den Belt et al. (2004) reported relative potencies of  $1E_2:0.8E_1:30.6EE_2$  in adult female zebrafish (*Danio rerio*) in a 21-day study, while Brian et al. (2005) reported  $1E_2:40EE_2$  ( $E_1$  was not tested) in adult male fathead minnow (*Pimephales promelas*) during an identical exposure period. Thorpe et al. (2001) reported  $1E_2:0.36E_1:25EE_2$  in female fathead minnow after 14 days of exposure. Studies on the potency of  $E_1$  in male cyprinid fish (Panter et al. 1998; Routledge et al. 1998) indicate that its potency is about 1.5 times lower than that of  $E_2$  (Brion et al. 2004). On the basis of this information, we used the relative potencies of  $1E_2:0.75E_1:40EE_2$  to calculate the equivalent concentrations of  $E_2$  for the VTG end point.

The LOECs and NOECs selected for setting of the high-, medium-, and low-risk categories were from *in vivo* studies on adult

male cyprinid fish over a 3-week exposure period, and were thus:

$E_1$ : NOEC = 9.9 ng/L; LOEC = 31.8 ng/L (Panter et al. 1998)

$E_2$ : NOEC = 5 ng/L; LOEC = 25 ng/L (Brion et al. 2004)

$EE_2$ : NOEC = 0.07 ng/L; LOEC = 0.7 ng/L (Pawlowski et al. 2004)

**INTERSEX INDUCTION.** We found no articles that adequately assessed the relative effects of steroid estrogens on the induction of intersex. An article by Metcalfe et al. (2001) attempted to compare the effects of  $E_1$ ,  $E_2$ , and  $EE_2$  on the intersex end point in the Japanese medaka. The results regarding the potency of  $EE_2$ , however, were inconclusive. Although the authors suggest a LOEC for  $EE_2$  of 0.1 ng/L, 10 ng/L did not cause an effect; hence, a clear dose-response curve was not apparent. More recent studies (Balch et al. 2004) suggested that the LOEC for  $EE_2$  on the intersex end point may be closer to 2 ng  $EE_2$ /L, which indicates that the relative potencies of  $E_2$ ,  $EE_2$ , and  $E_1$  for inducing intersex are probably around 1:5:1 for this end point.

The LOECs and NOECs selected for setting the risk categories for the induction of intersex were also taken from *in vivo* studies on medaka (*Oryzias latipes*), as they are the most conclusive studies for the suite of steroid estrogens of interest in which the exposure concentrations of the three steroids were measured (Balch et al. 2004; Metcalfe et al. 2001). The data used were from long-term studies in which the fish were exposed to the test chemicals from hatch to sexual maturity (approximately 4 months). These data led us to the following relationships:

$E_1$ : NOEC = 1 ng/L; LOEC = 10 ng/L (Metcalfe et al. 2001)

$E_2$ : NOEC = 1 ng/L; LOEC = 10 ng/L (Metcalfe et al. 2001)

$EE_2$ : NOEC = 0.2 ng/L; LOEC = 2 ng/L (Balch et al. 2004).

**Fish sampling site selection.** Forty-five sites were selected representing a range of high-, medium-, and low-risk categories, as defined by the predictive model. Other necessary criteria for site selection included an abundance of roach and the existence of data on the characteristics of sewage discharges that entered the rivers.

The sites were defined as 2-km river sections around the point of discharge from the named STW (i.e., 1 km upstream and 1 km downstream). If an insufficient number of fish were caught within the 2-km stretch, the site was extended up to a farther 9 km downstream. Fish sampled from these sites were in many cases (but not all) still subject to exposure to sewage effluents from smaller works located even farther upstream.

Descriptions of each capture site regarding the characteristics of the STW directly upstream of the capture point and the predicted  $E_1$ ,  $E_2$ ,  $EE_2$ , and  $E_2$  equivalent concentrations at the capture point are given in Table 1.

**Biological samples.** Between 10 and 60 adult male and female roach were collected from each location between May 2002 and October 2003. All fish were taken to the Fish Health Laboratory in Brampton, UK, for necropsy. Fish were killed with a lethal dose of anesthetic [MS-222 or benzocaine, according to Home Office regulations (Home Office 2006)]. Blood was collected via the caudal sinus into 1-mL heparinized syringes containing aprotinin (2 trypsin inhibitor units/mL). After centrifugation, the plasma was frozen on dry ice for transportation and stored at  $-70^\circ$  before VTG analysis. Total length, total weight, and gonadal weight were determined for each fish. Both gonads were removed and preserved in Bouins fixative for 6 hr before they were removed to 70% alcohol in preparation for histological processing.

**Histological analysis.** We divided gonads from each fish into three equal portions. Representative transverse sections 3–5 mm thick were taken from the center of each portion to provide a total of 6 sections per fish—one section each from the anterior, mid, and posterior regions of each gonad. The sections were then processed histologically, embedded in paraffin, and sectioned at 3  $\mu$ m. All sections were stained with Mayer's hematoxylin-eosin, mounted, and examined by light microscopy. We determined the gonadal status of the fish as described in Nolan et al. (2001) and noted any abnormalities in sexual development (e.g., abnormal reproductive ducts or germ cells).

We described the number of oocytes in the testes of intersex fish and, thus, the severity of this condition, using a numerical scale that ranged from 0 (all testis tissue) to 7 (all ovarian tissue) (Table 2). We assigned testes sections to one of eight categories on the basis of the number of oocytes present. The arithmetic mean of the scores (measured on six sections per fish) for each intersex fish was used to derive an average intersex index (or severity score) for each sample.

**Quantification of plasma VTG concentrations.** Quantification of VTG in the plasma samples was achieved using an established homologous carp enzyme-linked immunosorbent assay (Tyler et al. 1999) that has been validated for use with a wide variety of cyprinid fish (Tyler et al. 1996).

**Statistical analyses.** We performed the statistical analyses using the STATVIEW statistical program (Cherwell Scientific, Oxford, UK). Before analysis, the data obtained were log transformed where necessary to improve normality and homogeneity of variance.

Plasma VTG concentrations measured in male, female, or intersex fish from sites within

each risk category were categorized according to the age of the fish and the month in which the fish were sampled. Analyses of variance were then carried out to determine the effect of risk category, sex, age, and time of sampling on this variable.

The proportions of male, female, and intersex fish at each site and within each risk category were also determined. The incidence of intersexuality in “perceived male fish” of different ages was calculated by determination of the percentage of “males” that were found to be intersex, with feminized reproductive ducts, multiple ducts, and/or ovotestes after histological examination. Chi-squared analyses were then used to determine a) deviations from the

expected sex ratio in fish sampled from the different risk categories, and b) differences in the proportions of “male” fish with oocytes within their testes, feminized ducts, and/or multiple sperm ducts between different sampling sites and between different risk categories.

Differences in the intersex index were analyzed using a two-way analysis of variance (ANOVA) with site, risk category, and age as between factors. This analysis was followed by Fisher’s protected least-squares difference (to examine all pairwise comparisons). The relationship between the age of the “male” fish within each risk category and the incidence and severity of intersex or VTG concentration was examined using regression analyses.

To examine the possible relationship between predicted exposure to steroid estrogens and estrogenic effects in roach, we used the following approaches: In addition to the predicted concentration of steroid estrogens in the receiving water, each sample site was also given an industrial impact number. Because industrial PEs can be 0–100% of the total PE, we gave each STW a score of 0–10 industrial units based on the proportion of the total PE from industrial sources. We adjusted these industrial unit numbers, using the dilution calculation in the model, to give industrial impact scores for each sample site (Table 2). We then performed regression analyses on fish of different ages to examine the relationships between the plasma

**Table 1.** Descriptions of each capture site in the United Kingdom regarding the characteristics of the STW directly upstream of the capture point and the predicted E<sub>1</sub>, E<sub>2</sub>, EE<sub>2</sub>, and E<sub>2</sub> equivalent concentrations at the capture point.

STW name	Receiving river	Estimated STW flow (m <sup>3</sup> /sec)	Estimated river flow (m <sup>3</sup> /sec)	Population equivalent (no.)		E <sub>2</sub> equivalent (ng/L)			No. of discharge licenses upstream of this STW	
				Domestic	Total	VTG <sup>a</sup>	Intersex 1 <sup>b</sup>	Industrial index <sup>c</sup>	> 10,000 PE	< 10,000 PE
Flitwick	Flit	0.11	0.28	26,370	27,317	10.43	6.72	1.24	1	0
Hitchin	Hiz	0.13	0.49	31,737	32,353	7.19	4.63	0.39	0	0
Clifton	Ivel	0.05	0.47	13,604	13,623	3.22	2.07	0.03	2	0
Leek	Churnet	0.19	0.35	20,650	48,639	6.42	4.13	16.21	0	0
Ilkeston	Erewash	0.19	0.36	44,500	49,000	13.67	8.80	2.56	5	3
Melton Mowbray	Eye	0.23	0.27	27,732	58,337	11.17	7.19	19.17	0	14
Blackburn Meadows	Don	2.24	3.86	458,791	573,489	13.12	8.45	0.52	1	0
Worsborough	Trib of Dearne	0.08	0.13	18,810	19,441	16.08	10.35	2.52	0	0
Walbutt	Foss	0.07	0.37	18,768	18,780	5.63	3.62	0.02	0	6
Wisley	Wey	0.09	1.93	22,240	22,320	1.27	0.82	0.02	5	15
Harpenden	Stanford	0.12	0.13	31,600	31,600	26.00	16.74	0.00	0	14
Swindon	Ray	0.77	0.77	177,530	197,867	25.36	16.33	1.33	0	0
Reading	Foudry Brook	1.09	1.30	180,000	280,000	15.32	9.87	2.76	0	0
Lincoln	Sincil Dyke	0.41	1.39	97,348	105,494	7.75	4.99	0.56	2	25
North Hykeham	Witham	0.07	0.71	18,737	18,811	2.91	1.87	0.06	0	27
Louth	Louth Canal	0.06	0.28	14,332	ND	6.98	4.49	ND	0	0
Broadholme	Nene	0.74	0.96	173,574	188,838	19.92	12.82	0.84	2	43
Braintree	Brain	0.07	0.12	17,901	18,633	16.51	10.63	3.29	0	7
Bocking	Blackwater	0.07	0.15	17,770	17,769	12.65	8.14	0.00	0	6
Halstead	Colne	0.00	0.09	107	107	0.14	0.09	0.00	0	6
Stowmarket	Gipping	0.07	0.08	17,220	18,075	23.81	15.33	5.93	0	5
Thetford	Little Ouse	0.16	1.18	20,000	40,000	1.86	1.20	4.22	0	18
Cambridge	R. Cam	0.59	0.89	140,166	151,444	17.43	11.22	0.84	1	17
Whittlesey	Whittlesey Dyke	0.06	ND	14,897	14,921	ND	ND	0.27	ND	ND
Nuneaton	Anker	0.35	0.44	79,341	88,841	20.01	12.88	2.45	1	13
Atherstone	Anker	0.05	0.27	11,014	12,911	4.53	2.92	5.48	2	13
Pye Bridge	Erewash	0.03	0.11	7,883	8,303	7.90	5.08	4.60	2	15
Rugby	Avon	0.35	0.43	72,944	89,531	18.52	11.92	4.27	0	28
Pershore	Bow	0.04	0.15	11,027	11,058	8.36	5.38	0.20	1	34
Brighouse	Calder	0.22	2.25	48,706	55,769	2.38	1.54	0.56	6	2
Mitchell Laithe	Calder	1.11	4.27	156,376	285,463	4.04	2.60	1.06	8	3
Maple Lodge	Grand Union Canal	1.82	ND	455,586	467,167	ND	ND	0.14		ND
Ashford	Great Stour	0.58	0.58	82,203	149,829	15.51	9.99	7.72	0	ND
Canterbury	Great Stour	0.27	1.50	67,254	68,502	4.93	3.18	0.12	1	ND
Lingfield	Ray Brook	0.04	0.07	10,982	10,996	17.34	11.16	0.18	0	ND
Hatfield	Trib of Lee	0.06	0.41	14,884	14,913	4.00	2.58	0.05	1	5
Camberley	Blackwater	0.56	0.65	141,412	143,708	24.10	15.52	0.25	2	1
Wargrave	Loddon	0.44	4.70	111,600	112,407	2.62	1.69	0.02	7	30
Horley	Mole	0.13	0.39	32,113	32,162	9.13	5.88	0.04	1	1
Chertsey	Bourne	0.29	0.29	71,774	75,285	26.95	17.35	1.59	1	0
Bicester	Langford Brook	0.16	0.95	36,488	39,900	4.22	2.72	0.90	0	0
Newbury	Kennet	0.43	3.15	110,051	ND	3.97	2.56	ND	1	20
Wateringbury	Medway	0.04	1.51	9,622	9,622	0.70	0.45	0.00	0	ND
Letchworth	Hiz	0.16	0.18	39,397	42,257	23.95	15.42	3.73	1	0
Tewksbury	Severn	0.09	19.42	18,758	22,290	0.11	0.07	0.08		
Farnham	Wey	0.14	0.72	36,762	36,960	7.98	5.14	6.12	1	2
Eastleigh Chickenhal	Itchen	0.38	2.92	80,624	98,469	5.59	3.60	0.07	ND	ND

ND, no data available. <sup>a</sup>VTG: E<sub>2</sub> equivalent (ng/L) = [E<sub>2</sub>] + 0.75 [E<sub>1</sub>] + 40.0 [EE<sub>2</sub>]. <sup>b</sup>Intersex 1: E<sub>2</sub> equivalent (ng/L) = [E<sub>2</sub>] + [E<sub>1</sub>] + 5.0 [EE<sub>2</sub>]. <sup>c</sup>Industrial index calculated as fraction of industrial PE multiplied by 10 and divided by the river flow.

VTG/intersex index and the predicted concentrations of steroid estrogens (using the human population equivalent values) and between the plasma VTG/intersex index and the industrial impact number.

### Results and Discussion

**Overview.** Predicted individual concentrations of E<sub>1</sub>, E<sub>2</sub>, and EE<sub>2</sub> in the rivers where roach were captured ranged from 0.07 to 13.78 ng/L for E<sub>1</sub>, from 0.01 to 1.7 ng/L for E<sub>2</sub>, and from zero to 0.37 ng/L for EE<sub>2</sub>. Individually, with the exception of estrone, these concentrations generally were not thought to be sufficient to cause feminizing effects in fish. However, when we combined all three steroids using E<sub>2</sub> equivalent concentrations, many more locations were predicted to cause endocrine-disrupting effects in fish (based on data from studies on small laboratory cyprinid fish species). When we calculated equivalent concentrations from E<sub>2equiv/intersex</sub> = 1E<sub>2</sub> + 5EE<sub>2</sub> + 1E<sub>1</sub>, they ranged from 0.060 to 17.34 ng/L. When we calculated equivalent concentrations using VTG as the end point, however (using E<sub>2equiv/VTG</sub> = 1E<sub>2</sub> + 40EE<sub>2</sub> + 0.75E<sub>1</sub>), they ranged from 0.48 ng E<sub>2</sub>/L to 26.85 ng E<sub>2</sub>/L. Both methods for calculating the E<sub>2</sub> equivalent

concentrations yielded concentrations of E<sub>2</sub> that were above the chosen NOECs for intersex (1 ng/L) and VTG (5 ng/L) for all sites. At some sites, the predicted E<sub>2</sub> equivalent concentrations were higher than the chosen LOECs (25 ng/L for VTG and 10 ng/L for intersex). It should be noted that to ensure comparability, the LOECs and NOECs for VTG were from the limited data available on the induction of this end point in short-term studies. Very recent long-term (lifetime) studies with medaka indicate that exposure to estradiol can induce VTG at concentrations ≥ 8.66 ng E<sub>2</sub>/L (Seki et al. 2005), thus lowering the threshold for the induction of this response under these conditions.

The results of the biological analyses revealed that intersex fish were present at many of the sites sampled (Figure 1A). When fish collected from all of the sites in each of the high-, medium-, and low-risk categories were pooled, clear differences could be discerned between predicted high-, medium-, and low-risk groups for intersex incidence and severity (Figures 1B, 2, 3, and 4). The pooled VTG analyses, however, revealed no clear dose-dependent relationship between VTG concentration and E<sub>2</sub> equivalent in the male and intersex fish ( $r^2 = 0.003$ ,  $p = 0.184$ ; Figure 5). This result was thought to be because the fish were sampled at different times throughout the year. Hence, seasonal variations in endogenous and/or exogenous estrogen (and, therefore, plasma VTG) concentrations in female, male, and intersex fish may have complicated the interpretation of the data (Figure 5). It is also possible that long-term (lifelong) exposure to steroid estrogens might attenuate the expected response and clarify the relationships between exposure and effect that are difficult to discern. Nash et al. (2004) reported a strong down-regulation of the VTG response in the F<sub>1</sub> generation of zebrafish after multigenerational long term exposure of zebrafish to EE<sub>2</sub>. Seki et al. (2005) also reported this phenomenon in F<sub>1</sub> medaka. Therefore, it is likely that some of the variation in VTG concentrations in exposed male and

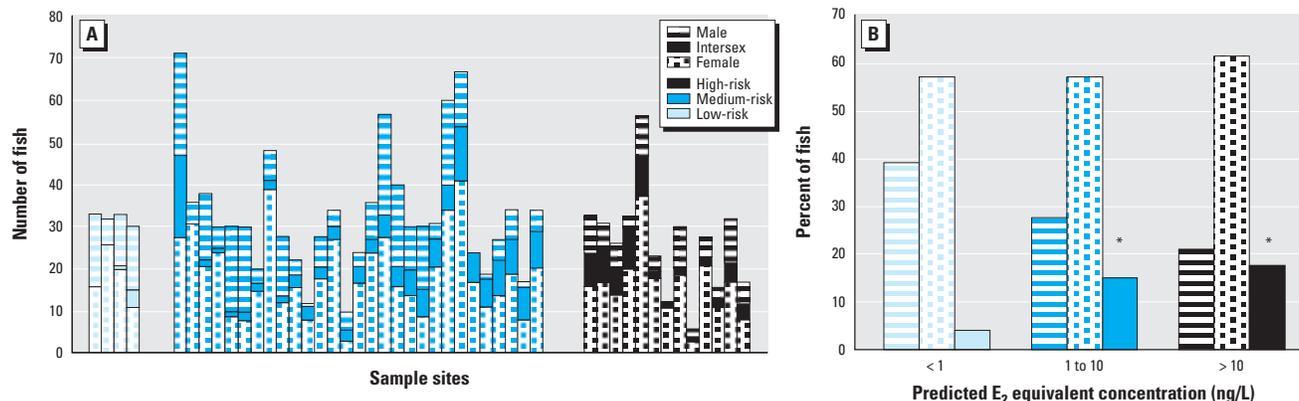
female fish in the wild is caused by differences in the timing and duration of their exposure to estrogenic compounds.

Despite the obvious differences in biological effects between fish sampled from pooled high-, medium-, and low-risk sites, the biological results also revealed large variations in the various effects between the fish sampled from the different sites as well as between fish from the same site. Consequently, on some occasions, when a single low-risk site was compared with a single high-risk site, the expected differences in effects between the fish were not as clear as when pooled data were used. This finding is not surprising when one considers the range of possible confounding variables such as the number of fish captured, their proximity to the sewage discharge, the unknown extent of the true territorial nature of the fish, the age of the captured fish (which may influence the incidence and severity of intersex), inaccuracies in the estimation of sewage and water flow volumes, the true efficiency of the STW relative to one another, and the presence of steroids from upstream STW.

**Feminization.** The proportions of female roach sampled from each of the sites within each risk category are summarized in Figure 1. A total of 1,438 fish were analyzed. It was not possible to compare statistically the sex ratios for all of the individual sites because insufficient fish were captured from some of the sites. Departures from the expected sex ratio of 50:50 male:female were found at several of the sites, but these sites were not found to be associated with the risk category in which they were placed. At some study sites, more males than females were found, but in most cases more females than males or intersex fish were found. When all data were pooled, however, we found no statistically significant difference between the proportions of females in each of the risk categories (61.8% high, 57.4% medium, 57.0% low,  $p > 0.05$ ; Figure 1B). These findings would be predicted by the model described above; feminization of the sex ratio,

**Table 2.** The intersex index scoring system.

Score 0 = Normal male testis.
Score 1 = Multifocal ovotestis with 1–5 oocytes (usually singly) scattered among the testicular tissue.
Score 2 = Multifocal ovotestis, 6–20 oocytes often in small clusters scattered among the testicular tissue.
Score 3 = Multifocal ovotestis, 21–50 oocytes in clusters.
Score 4 = > 50 < 100 oocytes. Section is usually multifocal and has the appearance of a mosaic of testicular and ovarian tissue.
Score 5 = > 100 oocytes, usually multifocal but could also be focal with clearly identifiable zones of ovarian and testicular tissue separated from the testicular tissue.
Score 6 = > 50% of the gonadal tissue on the section is ovarian and is clearly separated from the testicular tissue by epithelial cells and phagocytic tissues.
Score 7 = 100% of gonadal tissue on the section is ovarian.



**Figure 1.** Sex ratios of roach sampled. (A) The proportions of each sex at each study site. (B) Sex ratios of roach sampled (pooled data). Significant difference from the expected sex ratio (50:50): \* $p < 0.05$ .

caused by exposure to steroids during early life, would be expected to occur only where the concentration of E<sub>2</sub> (or its equivalent) approached 100 ng E<sub>2</sub>/L. This concentration of “total steroid estrogen” was not predicted to be present at any of the sites we studied.

**Incidence of intersex.** Our histological examination of the testes from male roach revealed that a significant proportion of these fish were intersex, as characterized by the presence of developing eggs (oocytes) within the testes and/or an ovarian cavity (female reproductive duct) in addition to the sperm duct. Moreover, a small proportion of the fish had two sperm ducts seen on either side of the ovarian cavity (in transverse section).

In general agreement with the model, intersex fish were found at all high- and medium-risk sites and in a much more limited frequency at the low-risk sites (Figure 1A). When the sites were pooled and placed into risk categories (Figure 1B), we found the overall prevalence of intersex fish to be influenced strongly by the predicted exposure of the fish to steroid estrogens. Analysis of the pooled data (from all year classes) within each risk category (Figure 2) indicated that the incidence of intersex in “male” fish was significantly greater at the high-risk (31.25% with oocytes in testes and 20.31% with ovarian cavities, *n* = 128) and medium-risk (22.24% with oocytes in testes and 14.74%

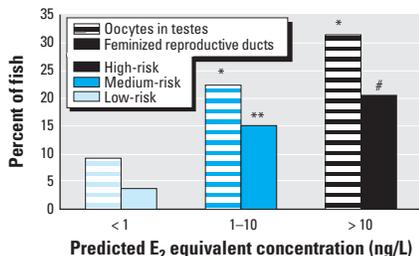
with ovarian cavities, *n* = 409) sites compared to the incidence of intersex in “male” fish at the low-risk sites (9.1% of the males with oocytes and 3.57% with ovarian cavities, *n* = 55; chi square = 11.066, *p* = 0.0040 for oocytes in testes and chi square = 11.078, *p* = 0.0257 for ovarian cavities). There were only four sites where the E<sub>2equiv/intersex</sub> was predicted to be below the NOEC for intersex induction in medaka. As predicted by the model, few intersex fish were found at these sites (Figure 1A).

The proportion of fish with oocytes in their testes was also shown to be strongly influenced by their age (*r*<sup>2</sup> = 0.7, *p* < 0.0001 overall; Figure 3), but a similar association was not seen between the age of the fish and the incidence of oviducts (*r*<sup>2</sup> = 0.297, *p* = 0.175; data not shown). Feminization of the reproductive ducts is known to occur during early life (Rodgers-Gray et al. 2001), whereas the incidence of ovotestes appears to be a progressive condition (Jobling S, Tyler CR, Brighty GC, Sumpter JP, unpublished data). Consequently, on the basis of the available knowledge of intersex in roach, these results were as we expected. The fact that ovotestis is a progressive condition that appears to arise as a consequence of long-term exposure to estrogens could also be the reason why so many intersex roach were found at the medium-risk sites (where E<sub>2equiv/intersex</sub> was greater than the NOEC but less than the LOEC for intersex in medaka). Long-term exposure of adult roach to estrogenic effluents may play a role in the manifestation of these effects, as it is well known that the threshold concentration of effluent required to induce VTG synthesis decreases with increased duration of exposure (Rodgers-Gray et al. 2000; van Aerle et al. 2002). It is also possible, however, that ovotestis occurs as a delayed reaction to larval exposure to endocrine disruptors that does not manifest itself until the fish undergo puberty (at around 2 years of age). This possibility has not been explored experimentally.

Convincing plots that illustrate how the incidence of ovotestes increases with age and predicted exposure for each risk category (high: *r*<sup>2</sup> = 0.8775, *p* = 0.0089; medium: *r*<sup>2</sup> = 0.9473, *p* = 0.0011; low: *r*<sup>2</sup> = 0.6965, *p* = 0.0512) are presented in Figure 3. The strong associations suggest that if one knows the age of a fish and its predicted exposure to steroid estrogens, the incidence of ovotestes can be estimated using one of these three curves.

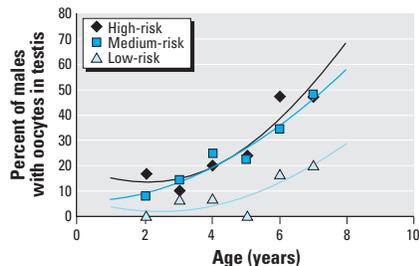
**Severity of the intersex condition.** As established in previous studies of intersexuality in roach in U.K. rivers (Jobling et al. 1998), in the present study, we found a large variation in the severity of feminization of the intersex fish. Intersexuality in the fish ranged from those with a few oocytes within the testicular tissue (index = 1–2) to those fish with predominantly female tissue scattered within small patches of testicular tissue (index 5–6).

Comparisons of the intersex index between different fish indicated that the mean intersex index varied considerably both within and between sites. ANOVA showed that the mean intersex index (measured in intersex fish only) also varied significantly between the three risk categories (*p* = 0.0119) and that it was higher in fish from the high risk category than in the medium-risk (*p* = 0.0047) category. The mean intersex index in the high-risk category was also higher than that in the low-risk category, although this distinction was not significant at the 95% level (*p* = 0.091) because of the low number of fish that were intersex in the low-risk category and the variation in their intersex indices (Figure 4A, solid bars). A combined intersex index was also calculated for each category (Figure 4A; striped bars) by including those fish without oocytes in their testes (scoring 0) in the calculation of the mean percentage of fish that were intersex. This process includes both males and intersex fish and gives rise to an index that considers both the incidence and severity of intersex at each of the sites sampled. Using this index, significant

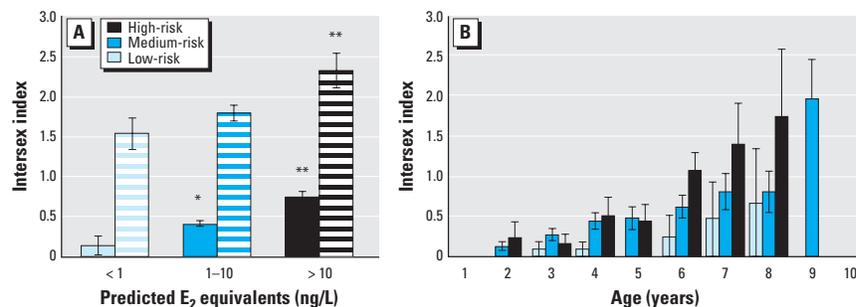


**Figure 2.** The proportion of “male” roach with oocytes in their testes or feminized reproductive ducts from pooled high- (> 10 ng E<sub>2</sub> equivalent/L), medium- (1–10 ng/L), and low-risk (< 1 ng/L E<sub>2</sub> equivalent) sites.

Significant differences from the expected sex ratio at the following significance levels: \**p* = 0.05, \*\**p* = 0.01, #*p* = 0.001.



**Figure 3.** Relationship between the incidence of oocytes in the testes of roach caught from high-, medium-, and low-risk categories and age of roach at capture. Each point represents an individual site within each category.



**Figure 4.** (A) Mean intersex index values in pooled samples of roach collected from predicted high-, medium-, and low-risk sites. The number of oocytes within the testes was assessed using the intersex index (0–7). The mean of the scores (six sections per fish) for all intersex fish was used to derive the intersex index for each group of fish. Both the absolute index (fish with ovotestes only, striped bars) and the combined index (including the males and intersex fish with no oocytes in testes, solid bars) are shown. (B) Average intersex index for each age group of fish and for each category (high-, medium-, and low-risk). Error bars indicate SD. Significant differences from the low-risk category: \**p* = 0.05, \*\**p* = 0.01.

differences in the mean index were seen between the high- and medium-risk categories ( $p = 0.0005$ ), the high- and low-risk categories ( $p < 0.0001$ ), and the medium- and low-risk categories ( $p = 0.0488$ ). As with the incidence of ovotestes, the severity of feminization (or intersex index) was related to the age of the fish when they were sampled. Figure 4B illustrates how the severity of the intersex condition can be estimated for fish in particular age classes for the high-, medium-, and low-risk categories.

**VTG induction.** Analysis of the VTG concentrations measured in the wild roach (Figure 5) provided strong evidence that some populations of fish were exposed to estrogenic contaminants. In contrast with the other end points, however, the predictions of the model for this end point did not correlate as well with the biological data. At many sites where VTG induction was not predicted, it was nonetheless found in the fish, and at some sites where VTG induction was expected, it was not found. It is well documented that long-term exposure results in a lowering of the threshold concentration of estrogen/effluent required to invoke a VTG response (Rodgers-Gray et al. 2000). Conversely, Nash et al. (2004) reported that lifelong (multigenerational) exposure to EE<sub>2</sub> might result in a reduced vitellogenic response in males because of acclimation of the fish to their surroundings.

In addition to these factors, there was also a seasonal variation in the VTG concentrations, particularly in the females (as expected). However, rather unexpectedly, there also appeared to be a similar trend in the VTG concentrations found in the males and intersex fish (Figure 5). Further studies are necessary to determine whether the apparent seasonality in VTG induction seen in this study is a real phenomenon, as the limited data collected rely almost entirely on the strength of the low values seen in the samples collected in July. It should be noted that seasonality in VTG induction in wild male fish was also reported in marine flounder by Kleinkauf et al. (2004); Scott et al. (2006) found this induction was attributed to seasonal variations in exogenous rather than endogenous estrogen.

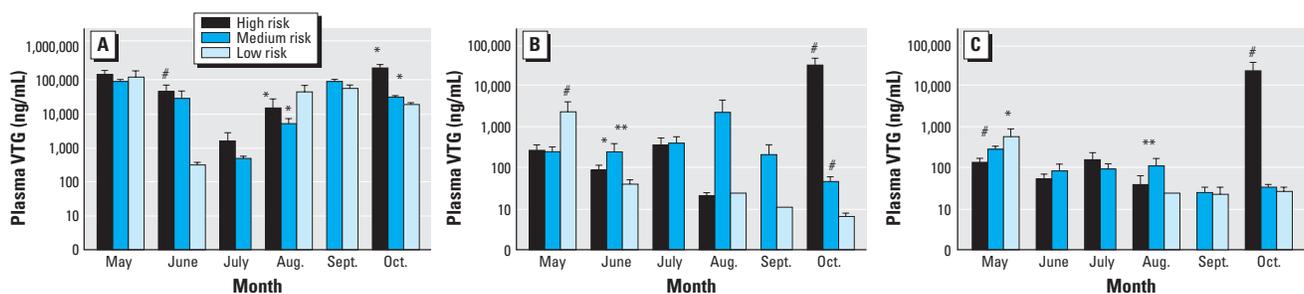
While the intersex condition appears to be a result of an accumulative or early life exposure to estrogens, VTG can be manufactured in the liver within a few days following exposure to estrogens, and it has a half-life in the plasma from 10 to 21 days (Schmid et al. 2002). Consequently, in contrast to the other indicators, VTG is not a stable biomarker of long-term exposure to estrogens, and it is much more likely than intersex to be sensitive to changes in short-term temporal factors, related either to fish movement or to changes in river flow. The risk class, on the other hand, is based on the average low-flow conditions and does not have any intra- or interannual variation built into its estimation. It is more suitable therefore, to make comparisons of the model's predictions with end points indicative of long-term exposure. Predictions suitable for VTG end points would require exposure estimates and risk classification based on river flow and STW effluents flows and concentrations for the several weeks preceding fish capture.

**Assessment of the relationship between intersex in wild roach and steroidal estrogens present in sewage effluents.** We designed the present study to test whether the degree and prevalence of sexual disruption in wild roach at a range of river sites across the United Kingdom could be predicted using a simple combined estrogen and a hydrological model. The hypothesis that steroid estrogens are the major common cause of endocrine disruption in fish would, therefore, predict that endocrine disruption would not be generally related to the industrial component of sewage effluent. To address this question, we attempted to correlate the incidence and severity of intersex with the industrial index (industrial PE on a scale of 1–10 divided by river flow of effluent entering the rivers immediately above the points where the fish were sampled; Table 2).

Using this approach, we found no apparent correlation between the industrial index and the intersex index ( $r^2 = 0.013$ ,  $p = 0.2$ ). This nonsignificant correlation can be compared with the relationship between predicted E<sub>2</sub> equivalents and the intersex index, where a weak although highly statistically significant

( $r^2 = 0.081$ ,  $F = 11.765$ ,  $p = 0.0008$ ) relationship was seen. Similar regression analyses were performed for E<sub>1</sub>, E<sub>2</sub>, and EE<sub>2</sub> individually, and for all three of these analyses there were highly significant relationships between each steroid and the intersex index (data not shown). These results suggest strongly that the concentration of steroidal estrogens in the river is one of the most likely contributing causes of intersex in wild fish populations, although it is interesting to note that this regression coefficient of only 0.08 indicates that the equivalent estrogen concentration explains only 8% of the variation in the intersex index. Indeed, the convincing correlations between the age of the fish and their intersex index ( $r^2 =$  from 0.6965 to 0.94) indicate that the remaining variation in the intersex index in wild fish populations likely is caused by variations in the age of the intersex fish. Moreover, it is theoretically possible that another component of domestic effluent gives rise to risk categories similar to those for steroid estrogens and that this other component is instead responsible for the correlations that we have observed and attributed to estrogen exposure. Previous work on the fractionation of STW effluent, however, identified the steroid estrogens as the most potent estrogens, making this theoretical possibility highly unlikely.

From a practical point of view, this exercise has demonstrated that predictive hydrologically based exposure models can be used on their own to predict the severity of intersex (but not necessarily VTG induction) in roach in waters receiving treated sewage effluents. Intersex appears to be a very suitable indicator because, if, as it appears, it is an accumulating phenomenon, it integrates the many variables that may change widely on a day-to-day basis. Further efforts are necessary to improve the accuracy of the model. The model assumes that all STW work to an equivalent efficiency, which is clearly an erroneous assumption. This risk assessment methodology was based on the assumption that single STW exclude any upstream influences. Clearly, river catchment-wide assessment would be preferable despite the initial effort involved.



**Figure 5.** Monthly variation in VTG concentrations in female (A), intersex (B), and male (C) roach within each of the predicted risk categories: high-risk, > 10 ng E<sub>2</sub> equivalent/L; medium-risk, 1–10 ng/L; low-risk, < 1 ng/L. The month in which the fish were sampled is also shown. All sites were within 9 km of a sewage effluent input. Error bars indicate SD. Significant difference from the low-risk category: \* $p = 0.05$ , \*\* $p = 0.01$ , # $p = 0.001$ .

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