

1 **The Chandrayaan-1 X-ray Spectrometer: First Results**

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48 spectroscopy

49

50 **Abstract**

51

52 We present X-ray fluorescence observations of the lunar surface, made by the Chandrayaan-1 X-
53 ray Spectrometer during two solar flare events early in the mission (12th December 2008 and 10th
54 January 2009). Modelling of the X-ray spectra with an abundance algorithm allows quantitative
55 estimates of the MgO/SiO₂ and Al₂O₃/SiO₂ ratios to be made for the two regions, which are in
56 mainly basaltic areas of the lunar nearside. One of these ground tracks includes the Apollo 14
57 landing site on the Fra Mauro Formation. Within the 1 σ errors provided, the results are inside
58 the range of basaltic samples from the Apollo and Luna collections. The Apollo 14 soil
59 composition is in agreement with the results from the January flare at the 1 σ uncertainty level.
60 Discrepancies are observed between our results and compositions derived for the same areas by
61 the Lunar Prospector gamma-ray spectrometer; some possible reasons for this are discussed.

62

63 1. Introduction

64

65 Remote sensing of the Moon reveals on a global scale the compositional (Lucey et al., 1998;
66 2000; Lawrence et al., 2002; 2003; Lucey and Cahill, 2006; Prettyman et al., 2006; Wöhler et al.,
67 2011) and mineralogical (Belton et al., 1994; Pieters and Tompkins, 1999; Lucey, 2004; Pieters
68 et al., 2006; Glotch et al., 2010; Greenhagen et al., 2010) heterogeneities of its surface. Such
69 missions have revealed that the Moon's surface is more diverse than originally thought, based on
70 the studies of the geological samples returned by the Apollo and Luna missions (Taylor et al.,
71 1991; Lucey et al., 2006; Shearer et al., 2006). These remotely sensed data led to the recognition
72 that the Moon can be broadly divided into three compositional terranes (Jolliff et al., 2000) that
73 reflect the surface expression of the Moon's (i) primary crust (the Feldspathic Highlands
74 Terrane), (ii) secondary crust (magmatic and volcanic deposits associated with the nearside
75 Procellarum KREEP Terrane), and (iii) possible lower crust exposed in the South Pole-Aitken
76 Terrane.

77

78 The new perspectives provided by compositional remote sensing datasets are fundamental to the
79 understanding of the Moon's geological diversity and history. However, the picture is not yet
80 complete. In particular, some key rock-forming elements, such as Mg, Al, Si and Ca, have only
81 been mapped globally at low spatial resolutions (~150 km per pixel) using gamma-ray
82 spectroscopy (Prettyman et al., 2006), although a recently published method based on optical
83 spectral data (Wöhler et al., 2011) proposes that mapping some of these elements may be
84 possible with higher spatial resolution. X-ray fluorescence (XRF) instruments on Apollo 15 and
85 Apollo 16 (Adler et al., 1972a; 1972b; Adler and Trombka, 1977; Andre et al., 1977) were able
86 to map the distribution of Mg, Al and Si for features down to ~15 km, but for only about a tenth
87 of the lunar surface (Andre et al., 1977), as depicted in Figure 1a. Furthermore, for other key
88 elements (i.e., Ti and Fe) there are significant discrepancies between the Clementine and Lunar
89 Prospector datasets (Lawrence et al., 2002; Gillis et al., 2004; Lucey et al., 2006; Prettyman et
90 al., 2006). Additional XRF detections of Ti and Fe in the lunar surface were made using D-
91 CIXS (Demonstration of a Compact Imaging X-ray Spectrometer) onboard ESA's SMART-1
92 (Grande et al., 2007; Swinyard et al., 2009), but these have very limited extent and spatial
93 resolution. As such, the tasks of characterising the compositional heterogeneity of the lunar

94 surface, identifying surface expressions of key lithologies, and interpreting this information in
95 terms of the Moon's evolution remain unfinished. Figure 1a summarises the extent of the lunar
96 surface that has been mapped compositionally with XRF by the Apollo 15 and 16, D-CIXS and
97 C1XS (Chandrayaan-1 X-ray Spectrometer) instruments. The new C1XS dataset (uncalibrated
98 data is currently available to the public in the PDS; a calibrated dataset should be released later
99 in 2011) covers approximately 5% of the lunar surface that has not been previously mapped by
100 XRF. The majority of these data pertain to Mg, Al and Si, but in select cases (e.g., data
101 presented by Narendranath et al., 2011) other elements (i.e., Ca and Fe) were also detected.

102

103 **2. The C1XS instrument and X-ray fluorescence**

104

105 C1XS was a compact X-ray spectrometer (Grande et al., 2009a; 2009b; Howe et al., 2009) that
106 flew onboard Chandrayaan-1, India's first mission to the Moon (Bhandari, 2005; Goswami and
107 Annadurai, 2009). The mission operated in a lunar mapping orbit from December 2008 until its
108 premature end in August 2009. The experiment utilised planetary X-ray fluorescence
109 spectroscopy to measure the abundances of major rock forming elements in the lunar surface.
110 The technique is only possible for atmosphere-free bodies in the inner solar system, where the
111 flux of incident solar X-rays is high enough to cause fluorescent X-ray emission from the
112 uppermost hundred microns in the planetary surface (Yin et al., 1993). The emitted X-rays are
113 characteristic of elements from which they originate and they can therefore be used to help
114 constrain variations in local surface geology. Normal levels of solar intensity result in the
115 excitation of low atomic number elements, including several common in rock-forming minerals
116 such as Mg, Al and Si. During solar flares, intense levels of solar X-rays are emitted and the
117 excitation of heavier atomic-number elements such as K, Ca, Ti and Fe can also occur. A
118 detailed description of the scientific goals of the instrument has been given by Crawford et al.
119 (2009) and a full explanation of the technique is given by Clark and Trombka (1997).

120

121 C1XS exploited technology inherited from the D-CIXS instrument on ESA's SMART-1 mission
122 (Grande et al., 2003; 2007; Swinyard et al., 2009). The instrument consisted of 24 nadir pointing
123 swept charge device (SCD) detectors (Holland et al., 2004; Smith et al., 2007; Smith and Gow,
124 2009) that provided high detection efficiency in the 1 – 7 keV energy range containing the X-ray

125 fluorescence lines of elements of interest. Micro-machined gold-coated collimators provided a
126 28° (full width) field of view (FOV), equivalent to a 50 km (1.65° arc length) surface footprint
127 from Chandrayaan-1's initial 100 km altitude orbit, and 100 km from its later (i.e., after 19th May
128 2009) raised orbit of 200 km. A controllable, 5 mm-thick aluminium door provided radiation
129 shielding for the instrument during the launch and cruise phases, and contained a ⁵⁵Fe calibration
130 source for each SCD detector. Full details of the instrument design and onboard data processing
131 methodology can be found in Howe et al. (2009). The instrument's detection efficiency was
132 derived as 42% at 1.48 keV from pre-flight laboratory measurements (Narendranath et al.,
133 2010a) and in-flight calibrations provided the FWHM of the SCDs: 163 eV at 5.9 keV and -8°C
134 (Narendranath et al. 2011).

135
136 The XRF flux from a planetary surface is highly dependent on the intensity and shape of the
137 incident solar X-ray spectrum, which is highly variable even on short timescales (e.g., Donnelly,
138 1976; Bouwer, 1983; Crosby et al., 1993). To make quantitative estimates of elemental
139 abundances in planetary regoliths via XRF spectroscopy it is therefore necessary to obtain an
140 accurate measure of the solar X-ray spectrum and the planetary XRF spectrum simultaneously.
141 For this reason C1XS was accompanied on Chandrayaan-1 by an X-ray Solar Monitor (XSM)
142 instrument (Alha et al., 2009), which was based on a similar design flown on the SMART-1
143 mission (Huovelin et al., 2002; Alha et al., 2008). The XSM device consisted of a separate
144 detector unit on the spacecraft that monitored solar X-ray emission. This non-imaging high
145 purity silicon PIN sensor had a collimated wide FOV of 52° radius (circular field), which
146 enabled Sun visibility during a significant fraction of the mission lifetime. XSM had an energy
147 range (1 – 20 keV), spectral resolution (~250 eV at 5.9 keV) and sensitivity (~8000 counts/s for
148 an X1 flare; 10^{-4} Wm⁻² in the wavelength range 0.1 – 0.8 nm) and was designed to provide
149 sufficient information on the solar X-ray flux reaching the lunar surface to enable accurate
150 interpretation of the fluorescent lines measured by C1XS. The sensitivity estimate is based on a
151 simulated observation of an X1 flare using an observed solar X-ray spectrum from the SMART-1
152 XSM, which is rescaled from M-class to X-class and convolved with the response function
153 derived from the calibrations of the Chandrayaan-1 XSM. However, as discussed in Section 4
154 below, the data reported here were obtained for A-class flares, an order of magnitude weaker
155 than those XSM was designed to characterise (i.e., B-class flares and above; Alha et al., 2008).

156 As a consequence, the fluxes from these flares were insufficient for XSM to determine reliably
157 the input spectrum, and other methods therefore had to be adopted to estimate the intensity and
158 shape of the exciting solar spectra.

159

160 **3. First observations**

161

162 Shortly after commissioning, C1XS observed XRF from the lunar surface during two A-class
163 solar flares ($10^{-8} - 10^{-7} \text{ Wm}^{-2}$) on 12th December 2008 and 10th January 2009. The first of these
164 flares has a ground track (~1150 km in length) that crosses the full latitudinal extent of Mare
165 Serenitatis, and also samples the highland areas to the north and south (see Table 1 and
166 Figure 1b). It passes through lava flows within Mare Serenitatis that likely erupted between
167 ~3.8 Ga and ~2.8 Ga (according to the crater-count ages of Hiesinger et al., 2000). These lava
168 flows appear (see Figure 2) to be low-Ti basalts (~3 – 4 wt. % TiO_2) with relatively high FeO
169 contents (~16 – 20 wt. %). The feldspathic highland regions at the north and south of the ground
170 track are characterised by lower FeO and TiO_2 concentrations.

171

172 The second flare observation, on 10th January 2009, follows a track through Mare Insularum,
173 Mare Cognitum and Mare Nubium (see Table 1 and Figure 1b) which includes the Apollo 14
174 landing site (3°38'43" S; 17°28'17" W) located in the Fra Mauro Formation. The analysis of this
175 flare therefore provides an opportunity to test the C1XS data reduction methodologies (Swinyard
176 et al., 2009; 2010; Narendranath et al., 2010b; Weider et al., 2011) with ground truth information
177 from the Apollo 14 sample collection. The Fra Mauro Formation is interpreted as continuous
178 ejecta from the Imbrium basin (Wilhelms et al., 1987; Stöffler et al., 2006), although it is likely
179 to be intermixed with more locally derived material during ballistic sedimentation (e.g.,
180 Morrison and Oberbeck, 1975; Haskin et al., 2002). As such, the Apollo 14 landing site cannot
181 be considered to be representative of either maria or the ancient feldspathic highlands (Lucey et
182 al., 2006). The samples collected tend to be impact breccias with generally basaltic or KREEP-
183 rich compositions (Hiesinger and Head, 2006) and radiometric ages that range from ~3.95 Ga
184 (Stadermann et al., 1991) to ~3.77 Ga (Stöffler et al., 1989; Stadermann et al., 1991). According
185 to Hiesinger et al. (2003), the lava flows that comprise the remaining footprint of this flare range
186 from ~3.1 Ga to ~3.7 Ga. They also tend to have greater TiO_2 concentrations (from ~2.5 up to

187 ~8 wt. %) than those in the 12th December 2008 flare ground track, but with a similar FeO
188 content (see Figure 3).

189

190 **4. Data processing, abundance modelling and sources of error**

191

192 During both the observations described in this paper, C1XS collected X-ray flux data in the time-
193 tagged single pixel mode (see Howe et al., 2009) and were converted into 16 second binned
194 spectra. Pre-flight and in-flight calibration information (Narendranath et al., 2010a), along with
195 instrument housekeeping data were used to calibrate each spectrum. For these observations, data
196 from all 24 detectors were co-added in time in order to obtain sufficient counting statistics.
197 Lunar XRF spectrograms for both flares are shown in Figure 4. In each case an average detector
198 background level was subtracted; these backgrounds were determined from long-integrations
199 obtained during periods of quiet (i.e., undetectable) solar activity. We note that both
200 observations reported here were obtained while the Moon was in the Earth's magnetotail,
201 resulting in somewhat higher background levels than when the Moon is outside the magnetotail.
202 The final background-subtracted, energy-calibrated spectra were then modelled in an abundance
203 algorithm to obtain quantitative elemental abundance estimates for the parts of lunar surface
204 observed.

205

206 The abundance algorithm employed (Swinyard et al., 2009; 2010) has been shown to
207 successfully recover major element abundances within ± 1 element wt. % for geological
208 specimens of known composition (Weider et al., 2011). It follows the methods of Clark and
209 Trombka (1997) which modifies the fundamental parameters approach (e.g., He and Van Espen,
210 1991) for the analysis of unknown materials using a wide, polychromatic source of X-rays that is
211 relevant for planetary XRF spectroscopy. In addition to the energy-calibrated, background-
212 subtracted C1XS spectra, the algorithm requires as inputs: (i) a modelled solar spectrum
213 containing both the bremsstrahlung continuum and solar emission lines, (ii) the efficiency of the
214 C1XS instrument as a function of energy, (iii) an arbitrary initial rock composition, and (iv) the
215 phase angle of the observation. Using this information the algorithm iterates around the initial
216 rock composition until a best fit to the C1XS spectrum is found (determined by chi-squared
217 minimisation). Each elemental fluorescence line is tested in isolation, starting with the highest

218 energy lines whose abundances are updated before the next line is modelled. When all the lines
219 have been tested the new values are used as the fixed initial composition and the procedure is
220 repeated until there is no variation between runs (normally two complete iterations).

221
222 The model assumes a fixed Si abundance, thus all the other modelled elemental abundances are
223 relative to the Si abundance chosen. This normalisation is possible because the Si concentration
224 within the lunar regolith only varies between about 18 – 23 elemental wt. % (e.g., Rhodes and
225 Blanchard, 1981; Fruland, 1983, Morris et al., 1983; Simon et al., 1985; McKay et al., 1986;
226 1989; Jerde et al., 1987; 1990). This variation in Si abundance has only a minor effect on the Mg
227 and Al results; much larger uncertainties are introduced to the methodology due to our lack of
228 direct and high spectral resolution knowledge of the incident solar flare spectrum, which
229 dominates over all other uncertainties in the methodology.

230
231 By always expressing results as ratios of two elements inaccuracies due to calibration
232 uncertainties, and variations in XRF intensity caused by physical and compositional differences
233 in the regolith, should mostly be negated (Clark and Trombka, 1997), at least for the three low-
234 energy elements (Mg, Al and Si) considered in this paper (Weider et al., 2011). This issue has
235 been the focus of recent analogue laboratory experiments (e.g., Maruyama et al., 2008; Näränen
236 et al., 2008; Weider et al., 2011) that have investigated the role of viewing geometry and surface
237 roughness on the XRF intensity measured from regolith-like samples. These experiments have
238 demonstrated a phase angle-related increase in XRF from compositionally heterogeneous
239 samples, which is energy dependent, and it may therefore be problematic to express abundances
240 as ratios of two elements that differ significantly in energy (e.g., Si and Fe) for data obtained at
241 phase angles above $\sim 60^\circ$ (Weider et al., 2011). The same studies (Maruyama et al., 2008;
242 Näränen et al., 2008; Weider et al., 2011) also document a decrease in XRF with increasing
243 grain-size from heterogeneous samples, but such an effect is unlikely to have an impact on the
244 analysis of XRF data from well-mixed (gardened) planetary regoliths such as the Moon's,
245 especially on the large spatial scales involved.

246
247 Although the XSM (see Section 2.1) was flown onboard Chandrayaan-1 in order to measure the
248 solar X-ray spectrum during periods of C1XS data acquisition, the solar X-ray flux during the A-

249 class flare observations discussed in this paper was insufficient for XSM to reliably characterise
250 the incident spectrum. For this reason an alternative source for an incident solar spectrum is
251 required. We have used the *atomdb* (version 2.0.0) database and modelling software (Harvard
252 Chandra X-ray Center: http://cxc.harvard.edu/atomdb/features_idl_html) for this purpose. This
253 software generates a high-resolution modelled solar spectrum with both the bremsstrahlung
254 continuum and superimposed emission lines at a number of different temperatures. Based on the
255 work of Kay et al. (2003) we estimate that the temperatures of the A-class flares observed here
256 were between about 2.5 MK and 3.1 MK. The flare temperatures cannot have been significantly
257 higher without exciting the Ca K_{α} line at 3.7 keV which is not observed (see Figure 4). To allow
258 for errors introduced by the uncertainty in the flare temperatures, we have modelled the C1XS
259 spectra using both the upper and lower temperature limits and factored this into our quoted errors
260 on the derived abundances (Section 5).

261
262 As described by Swinyard et al. (2010), the C1XS instrument efficiency has been derived from a
263 model based on the expected X-ray absorption properties of the SCD detectors, calibrated with
264 laboratory efficiency measurements of monochromated X-rays conducted during the pre-flight
265 calibration campaign (see also Narendranath et al., 2010a). These measurements were made
266 with the same thresholds and event selection criteria as employed in lunar orbit. The resulting
267 efficiencies were found to be consistent with the SCD efficiency measurements obtained from
268 observations of the Crab Nebula by the D-CIXS instrument on SMART-1 (Grande et al., 2007;
269 Swinyard et al., 2009). We note that our abundance modelling procedure, which ratios
270 abundances relative to Si, is relatively insensitive to the absolute instrument efficiency values,
271 especially for the closely spaced low-energy lines discussed here.

272
273 As the initial rock composition for the modelling we adopt the average lunar surface composition
274 derived from Lunar Prospector gamma-ray data (Prettyman et al., 2006; i.e., Mg: 4.35 wt. %;
275 Al: 12.35 wt. %; Ca: 11.29 wt. %; Ti: 0.56 wt. %; Fe: 5.93 wt. %; as noted above Si was fixed at
276 21.00 wt. %). However, it is important to realise that owing to the iterative nature of the method,
277 the final results obtained for Mg and Al are independent of the assumed initial composition
278 (Weider, 2011). The mean phase angle of each observation, also required by the model, is
279 obtained from the instrument pointing (SPICE) data.

280

281 5. Results

282

283 5.1. 12th December 2008 flare

284

285 The fitted spectrum (between 0.7 keV and 2.5 keV) for the 12th December 2008 flare is shown in
286 Figure 5a, and for a subsection of this flare (whose footprint is entirely within Mare Serenitatis)
287 in Figure 5b. These spectra show the three easily resolved low-energy peaks of Mg K_α
288 (1.25 keV), Al K_α, (1.49 keV) and Si K_α (1.74 keV). Although not resolved in these spectra, we
289 note that the modelling also includes the adjacent K_β lines. These spectra also appear to exhibit
290 weak emission at ~1.00 keV, which may possibly be due to the Na K_α line at 1.04 keV.
291 However, as discussed below, this region in C1XS spectra is likely to be dominated by scattered
292 solar X-rays making any such assignment uncertain. The modelled abundances for Mg and Al
293 are given in Table 2. The mean MgO/SiO₂ and Al₂O₃/SiO₂ ratios (averaged from the modelling
294 at the two flare temperatures) for both flare sections are given in Table 3, along with errors
295 which combine the 1 σ fitting errors and the range that arises from the modelling at two flare
296 temperatures. These values are also shown in Figure 6a, where the C1XS results are compared
297 to various lunar samples and Lunar Prospector values.

298

299 5.2. 10th January 2009 flare

300

301 Figure 5c shows the fitted spectrum for the whole of the 10th January 2009 flare. Figure 5d
302 shows the spectrum for a subsection corresponding to the Fra Mauro Formation which includes
303 the Apollo 14 landing site. The modelled elemental abundances for these spectra are given in
304 Table 2 and the resulting MgO/SiO₂ and Al₂O₃/SiO₂ ratios are given in Table 3. These are
305 compared to lunar samples and Lunar Prospector values in Figure 6b.

306

307 Again, these spectra possibly exhibit a weak emission at ~1.00 keV, which could be due to the
308 Na K_α line. Moreover, this emission appears stronger in the Fra Mauro section of the ground
309 track (Figure 5d). The observed line strength would imply a Na abundance of between
310 ~1.10 ± 0.28 wt. % and 1.65 ± 0.48 wt. % (~1.5 to 2.2 wt. % Na₂O), depending on the flare

311 temperature. This would be consistent with the average Apollo 14 soil composition being
312 considerably richer in Na₂O (0.70 wt. %) than for all the other landing sites, whose values are
313 between 0.31 wt. % and 0.54 wt. % (McKay et al., 1991). If this interpretation is correct, then
314 these observations would represent the first XRF observation of Na on the Moon. However, as
315 noted above, the spectral region of the Na K_α line is expected to be dominated by scattered solar
316 lines which, depending on the scattering efficiency, could mimic the emission of lunar Na XRF.
317 We illustrate this in Figure 7, which clearly shows that for high scattering efficiency the entire
318 Na ‘line’ could be due to scattered solar lines, whereas the neighbouring Mg, Al and Si lines are
319 essentially unaffected. We therefore have to caution that any interpretation of this feature as
320 being due to Na XRF alone can only be tentative as it is not possible with these data to
321 unambiguously fit the level of the scattered solar spectrum.

322

323 **6. Discussion**

324

325 6.1. 12th December 2008 flare

326

327 The C1XS element ratios (with 1 σ errors) for the mare basalt region of Mare Serenitatis
328 (Figure 2) are within the compositional range of mare basalts (Figure 6a). Data collected from
329 the whole flare footprint (Figure 2) have higher Al₂O₃/SiO₂ ratios, consistent with its ground
330 track including feldspathic highland areas at its two ends. Generally, the Lunar Prospector
331 gamma-ray compositions for the flare region overlap with the C1XS results in terms of
332 Al₂O₃/SiO₂ within the 1 σ errors, but the C1XS results are consistently lower in terms of
333 MgO/SiO₂. There could be three possible reasons (or a combination of these) for this
334 discrepancy: (i) the C1XS results presented here are inaccurate; (ii) the Lunar Prospector results
335 presented by Prettyman et al. (2006) are inaccurate; or (iii) there is an intrinsic problem with
336 comparing planetary XRF and gamma-ray spectroscopy data. The likelihood of these options is
337 discussed further in Section 6.4.

338

339 6.2. 10th January 2009 flare

340

341 The MgO/SiO₂ and Al₂O₃/SiO₂ ratios derived from the 10th January 2009 flare observations are
342 within the range exhibited by mare basalt samples (Figure 6b). The ground track of this flare
343 includes the Apollo 14 landing site, and as such, the C1XS results should have a similar
344 composition to the average soil composition for Apollo 14, which is labelled in Figure 6b. This
345 is indeed the case, with the Apollo 14 soil composition lying within the 1 σ errors of the whole
346 flare ratio and close to the Fra Mauro C1XS data point (although these two points are essentially
347 the same within the errors).

348

349 The discrepancies between the C1XS and Lunar Prospector values observed for the 12th
350 December 2008 flare are also observed for the 10th January 2009 flare (Figure 6b), although
351 perhaps to a lesser extent. This suggests that the validity of comparing these two datasets
352 warrants further investigation and is discussed below in Section 6.4.

353

354 6.3. Variation in spatial scales

355

356 It is possible that the agreement between the Apollo 14 soil sample composition and the C1XS
357 derived MgO/SiO₂ and Al₂O₃/SiO₂ ratios is merely coincidental, owing to the disparity in the
358 spatial scales represented by the soil samples (<0.8 km²) and the C1XS footprint (~20,000 km²).
359 This is also true in the case of relating Lunar Prospector gamma-ray data and the soil samples.
360 FeO and TiO₂ concentrations derived from Clementine multispectral reflectance data can be used
361 to investigate this issue. The concentrations derived (using the algorithms of Gillis et al., 2003;
362 2004) for pixels containing only the immediate area around the Apollo 14 site and the Fra Mauro
363 region sampled by C1XS (i.e., within the dashed lines in Figure 3) are similar (within 1 wt. %).
364 The Apollo 14 site concentration and the actual soil data (reported by McKay et al., 1991 and
365 references therein) are equal in terms of TiO₂, but differ by ~3 wt. % in terms of FeO. The
366 expected variation in compositions derived from remotely sensed data at different spatial scales
367 is therefore apparent to some extent in the Clementine data. Fe and Ti were not observed in the
368 C1XS data reported here, so a direct comparison is not possible. We merely note that
369 compositional variations on the order of a few wt. % between the local (sample) scale and the
370 orbital remote sensing measurements are not unexpected.

371

372 6.4. Planetary XRF vs. gamma-ray spectroscopy

373

374 As outlined in Section 6.1, the lack of agreement between the C1XS results presented in this
375 paper and the corresponding Lunar Prospector gamma-ray compositions (especially in terms of
376 MgO/SiO_2) could be due to a combination of factors. Given (i) that the abundance algorithm
377 methodology used here has been proven using laboratory test data from a lunar regolith simulant
378 (Weider et al., 2011); (ii) the agreement of the 10th January 2009 result and the ground truth
379 Apollo 14 sample composition; and (iii) that the errors associated with the uncertain solar flare
380 temperatures are much larger than the algorithm's fitting errors, it is thought that the lack of
381 agreement between the C1XS and Lunar Prospector results is unlikely to be due to the
382 inaccuracy of the C1XS abundances presented here.

383

384 The better agreement between the sample and C1XS data than between the C1XS and Lunar
385 Prospector data, or indeed than between the sample and Lunar Prospector data, further suggest
386 that the discrepancy is more likely to lie with the Lunar Prospector values for Mg (at least on
387 such a local scale as this, rather than for the global dataset). It has been noted (e.g., Wöhler et
388 al., 2011), that for the respective landing sites (based on sample compositions compiled by
389 Elphic et al., 2000) and the Lunar Prospector gamma-ray data, the correlation is not always good.
390 This is especially true for Mg and Al, and particularly the former, which is systematically
391 overestimated (by up to ~5 element wt. %) by Lunar Prospector. Furthermore, Lunar Prospector
392 SiO_2 values (as presented by Prettyman et al., 2006) tend to be lower than Apollo soil
393 compositions. If the Lunar Prospector data points in Figure 6 are normalised not to the
394 Prettyman et al., (2006) SiO_2 values for the particular pixel, but to a sample average or the mean
395 global Lunar Prospector value (i.e., ~43 to 45 wt. %), the ratios in terms of both MgO and Al_2O_3
396 become smaller. The $\text{Al}_2\text{O}_3/\text{SiO}_2$ Lunar Prospector and C1XS values agree better in all cases,
397 but the Lunar Prospector MgO/SiO_2 values remain higher than the C1XS results, within the
398 errors given. These issues with the Lunar Prospector dataset could therefore, at least in part,
399 explain the C1XS-Lunar Prospector discrepancies and also why they are greater for Mg than Al.

400

401 It is also important to discuss the third possibility; that the discrepancies arise due to intrinsic
402 differences between the two techniques, rather than because of either dataset having inherent

403 inaccuracies. The difference in energy of the radiation measured by the two techniques means
404 that XRF only samples the top 100 microns of the lunar regolith (Yin et al., 1993), whereas
405 gamma-rays can penetrate to a depth of ~1 m (Prettyman et al., 2006); and also that XRF
406 spectroscopy is subject to matrix effects (e.g., Mantler, 2006). XRF analysis involves
407 attenuation corrections that are dependent on the composition of the target material (e.g., Clark
408 and Trombka, 1997); such attenuation corrections are not required for gamma-rays above 1 MeV
409 for the compositional range of lunar materials (Prettyman et al., 2006).

410

411 The different sampling depths of the techniques may mean that compositionally distinct parts of
412 the regolith are measured, with XRF “seeing” only the finest fraction of the soil at the very
413 surface (up to ~100 μm), and gamma-rays seeing a greater mixture, down to a depth of ~1 m.
414 The study of lunar regolith cores that were obtained during the Apollo missions have revealed an
415 uppermost reworked, layer that varies between ~10 cm and ~50 cm deep. Beneath this layer are
416 more complex facies consisting of layers of ejecta material from both large and small craters
417 (McKay et al., 1991). It is therefore possible that the geochemistry of the very top of the regolith
418 measured by XRF is different from the bulk composition of the top 1 m. In addition, regolith
419 grain sorting processes (e.g., seismic shaking; Ostrach and Robinson, 2010) may be able to
420 stratify the regolith and produce compositional layering, at least at in the uppermost layers
421 observed here. The possible importance of such effects on the interpretation of lunar XRF
422 spectroscopy has also been noted by Narendranath et al. (2011). Similar effects have been
423 proposed by Riner et al. (2008) to explain the significantly higher Fe/Si ratios determined from
424 the XRS on NEAR for the asteroid Eros (Lim and Nittler, 2009) than those determined from the
425 GRS. Such compositional layering may also help explain the discrepancies between FeO and
426 TiO_2 concentrations derived from the Lunar Prospector gamma-ray and Clementine multispectral
427 reflectance datasets that have previously been noted (e.g., Lucey et al., 2006).

428

429 An alternative explanation for possible compositional stratification may be the presence of
430 impact-formed, amorphous and inclusion-rich rims (60 – 200 nm thick) on the surface of
431 individual soil grains (e.g., Keller and McKay, 1997). These are thought to be one of the main
432 causes of spectral darkening due to space weathering in reflectance spectroscopy (Pieters et al.,
433 1993; Allen et al., 1996; Keller and McKay, 1997). However, any compositional anomalies in

434 these very thin rims (even in terms of the ten to hundred micron scale to which XRF
435 spectroscopy is sensitive) are unlikely to play a significant role in affecting the C1XS results.

436
437 Clearly, the issue of comparing planetary XRF and gamma-ray spectroscopy results is an
438 important one that warrants further investigation. This should soon be possible through
439 comparisons of C1XS data with the new gamma-ray results from the Kaguya mission (e.g.,
440 Gasnault et al., 2009).

441

442 **7. Conclusions**

443

444 XRF data obtained by C1XS during two A-class solar flares early in the mission have been
445 analysed in this paper. The ground tracks of the observations pass through: (i) Mare Serenitatis
446 and (ii) Mare Insularum, Mare Cognitum and Mare Nubium, including the Apollo 14 landing site
447 in the Fra Mauro Formation. The calibrated, gain-corrected and background subtracted X-ray
448 spectra for these flares have been fitted using an abundance algorithm in order to provide
449 estimates of the MgO/SiO₂ and Al₂O₃/SiO₂ ratios for the two separate regions. The results agree,
450 within the 1 σ errors, with existing lunar sample compositions and the Apollo 14 average soil
451 composition has been replicated with the flare data that includes this landing site. This
452 represents an independent measure of lunar elemental abundances that can be compared to, but
453 not calibrated with, ground-truth sample data (unlike other lunar remote sensing datasets).

454

455 There is, however, some discrepancy between our results and compositions derived from Lunar
456 Prospector gamma-ray data and we suggest that the validity of comparing planetary XRF and
457 gamma-ray spectroscopy results be investigated in more detail. This is especially important for
458 other planetary bodies where no ground truth sample data are available. Examples include Eros
459 (which has already been studied using these techniques; Nittler et al., 2001), and Mercury (with
460 the forthcoming XRS and GRS datasets from MESSENGER (Goldsten et al., 2007; Schlemm et
461 al., 2007) and BepiColombo (Fraser et al., 2010; Rothery et al., 2010). The C1XS-Lunar
462 Prospector discrepancies may be evidence of compositional layering in the uppermost metre of
463 the lunar regolith, where the finest fraction of the soil is compositionally distinct from the bulk
464 material at depth.

465

466 The C1XS spectra from the Fra Mauro Formation include a possible detection of Na. When
467 modelled this peak provides a Na abundance estimate that is consistent with the Apollo 14
468 average soil composition (the most Na-rich of the lunar soils). However due to uncertainties in
469 the solar spectrum at this energy and the inability to separate the contributions from scattered
470 solar lines and XRF from the lunar surface, this result is not presented with confidence.

471

472 While this paper documents the first solar flare events observed by the C1XS instrument, the
473 data yield for just Mg and Al, is relatively small. Other results (e.g., Narendranath et al., 2011)
474 from higher energy events illustrate better the full power (including data for Ca and Fe) of the
475 C1XS instrument and XRF spectroscopy for the Moon and other suitable solar system bodies.

476

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478

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485

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845

845 Table 1. Boresight location and phase angle during the December 12th 2008 and 10th January
 846 2009 A-class solar flare C1XS observations.

	12 th December 2008				10 th January 2009			
	Time (U.T.)	Boresight longitude (°)	Boresight latitude (°)	Phase angle (°)	Time (U.T.)	Boresight longitude (°)	Boresight latitude (°)	Phase angle (°)
Start	02:27:00	14.01	44.24	45.17	15:56:00	-17.34	6.92	25.83
End	02:39:00	12.89	8.14	9.63	16:08:00	-18.18	-29.70	37.73

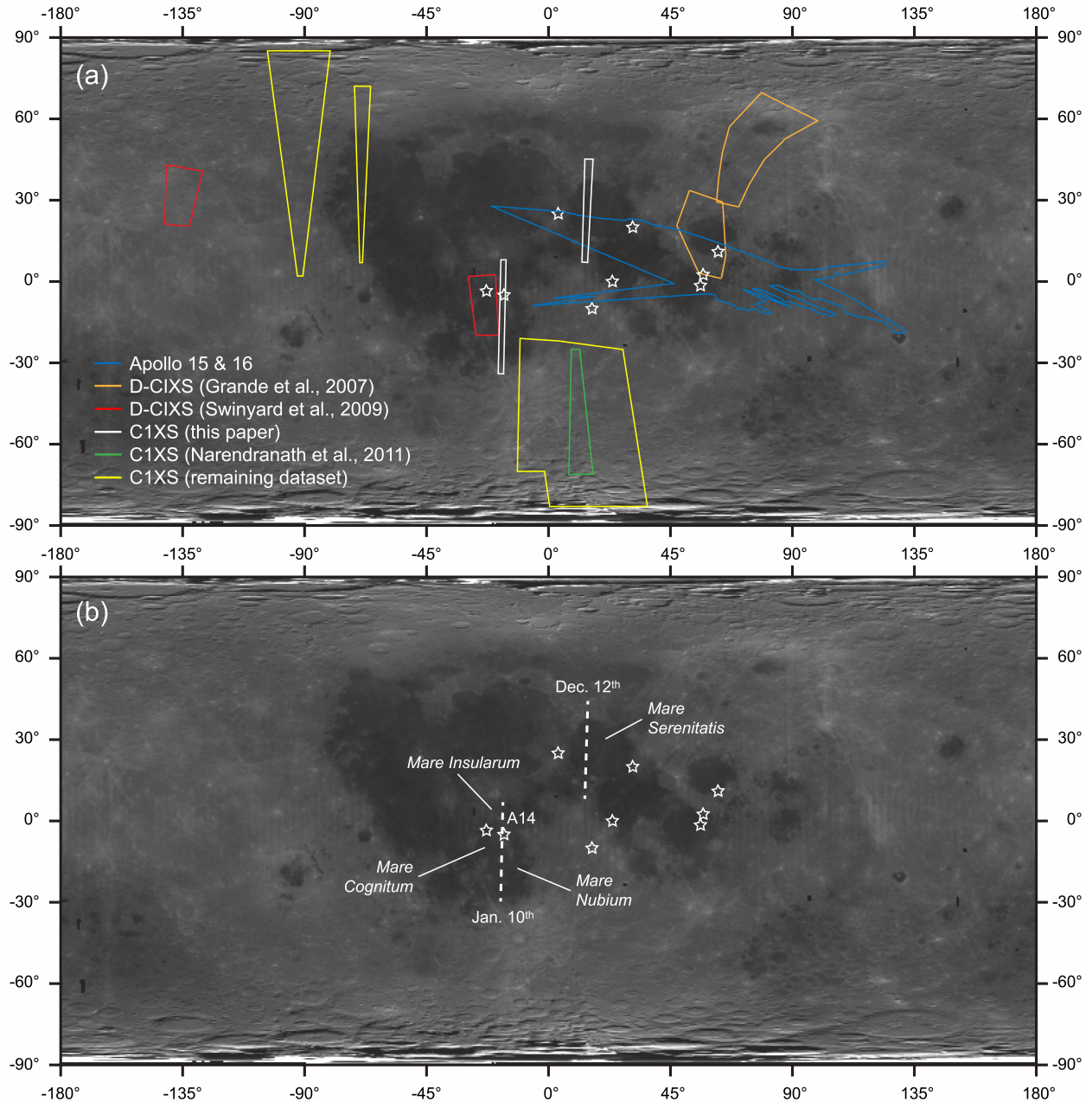
847
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 849 Table 2. Modelled abundances (elemental wt. %) for the lines in the spectra of both flares, with
 850 1 σ fitting errors provided. Values are given for the upper and lower limits adopted for the flare
 851 temperatures (here expressed in units of a million kelvin; MK). Oxygen and silicon values are
 852 fixed in the modelling at the Lunar Prospector (Prettyman et al., 2006) average lunar surface
 853 composition values of 44.00 and 21.00 element wt. % respectively.

	12 th December 2008				10 th January 2009			
	<i>All</i>		<i>Mare</i>		<i>All</i>		<i>Fra Mauro</i>	
	2.5 MK	3.1 MK	2.5 MK	3.1 MK	2.5 MK	3.1 MK	2.5 MK	3.1 MK
Mg	3.00 ± 0.13	4.50 ± 0.13	3.00 ± 0.13	4.50 ± 0.38	4.75 ± 0.13	7.00 ± 0.25	3.75 ± 0.38	5.75 ± 0.63
Al	7.75 ± 0.38	10.00 ± 0.38	5.25 ± 0.88	6.50 ± 1.13	8.00 ± 0.50	10.50 ± 0.63	7.25 ± 1.13	9.50 ± 1.50

854
 855
 856 Table 3. Mean MgO/SiO₂ and Al₂O₃/SiO₂ ratios for 12th December 2008 and 10th January 2009
 857 flares, as well as their smaller subsections. These mean values are calculated from the individual
 858 modelling of the spectra at both 2.5 MK and 3.1 MK (see Table 2). The errors combine the
 859 fitting errors and the range in values incorporated due to the two temperature models. The C1XS
 860 values are compared with the Lunar Prospector (L.P.) gamma-ray results (Prettyman et al., 2006)
 861 for pixels that overlap with the C1XS regions (as shown in Figure 2 and Figure 3).

	MgO/SiO ₂			Al ₂ O ₃ /SiO ₂		
	C1XS	L.P. Mean	L.P. Values	C1XS	L.P. Mean	L.P. Values
12th Dec. 2008 Whole flare	0.14 ± 0.03	0.28	0.27 ± 0.03 0.25 ± 0.03 0.28 ± 0.03 0.34 ± 0.03	0.37 ± 0.06	0.40	0.45 ± 0.04 0.36 ± 0.04 0.43 ± 0.03 0.76 ± 0.03
12th Dec. 2008 Mare	0.14 ± 0.04	0.28	0.25 ± 0.03 0.29 ± 0.03 0.31 ± 0.03 0.27 ± 0.03	0.25 ± 0.07	0.29	0.29 ± 0.04 0.29 ± 0.04 0.25 ± 0.04 0.34 ± 0.03
10th Jan. 2009 Whole flare	0.20 ± 0.05	0.27	0.24 ± 0.02 0.22 ± 0.03 0.13 ± 0.03 0.25 ± 0.03 0.33 ± 0.03 0.31 ± 0.03	0.36 ± 0.07	0.44	0.44 ± 0.03 0.55 ± 0.03 0.32 ± 0.03 0.39 ± 0.03 0.37 ± 0.03 0.53 ± 0.03
10th Jan. 2009 Fra Mauro	0.16 ± 0.05	0.32	0.33 ± 0.03 0.34 ± 0.03 0.28 ± 0.03	0.33 ± 0.10	0.45	0.34 ± 0.03 0.47 ± 0.03 0.54 ± 0.04

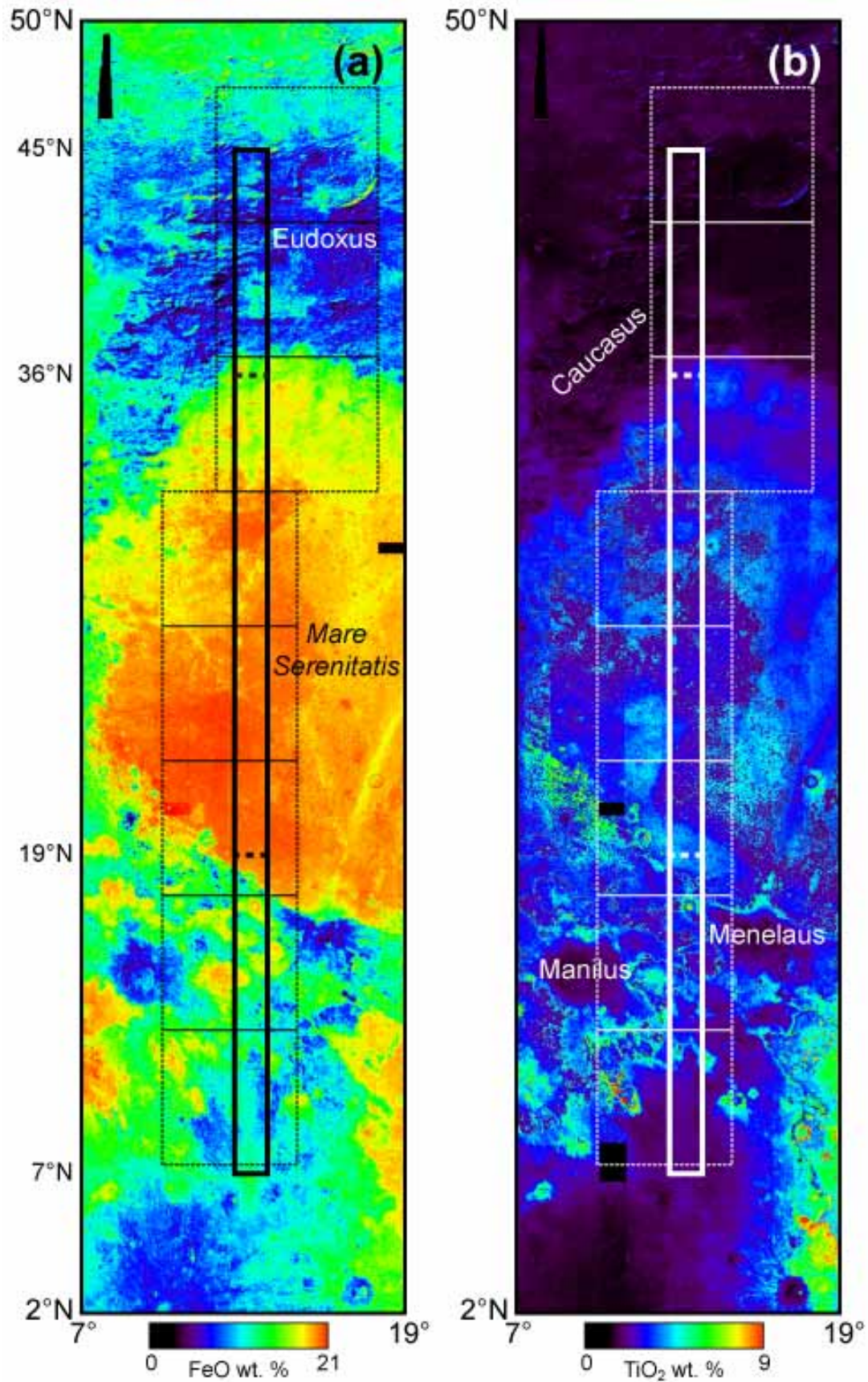
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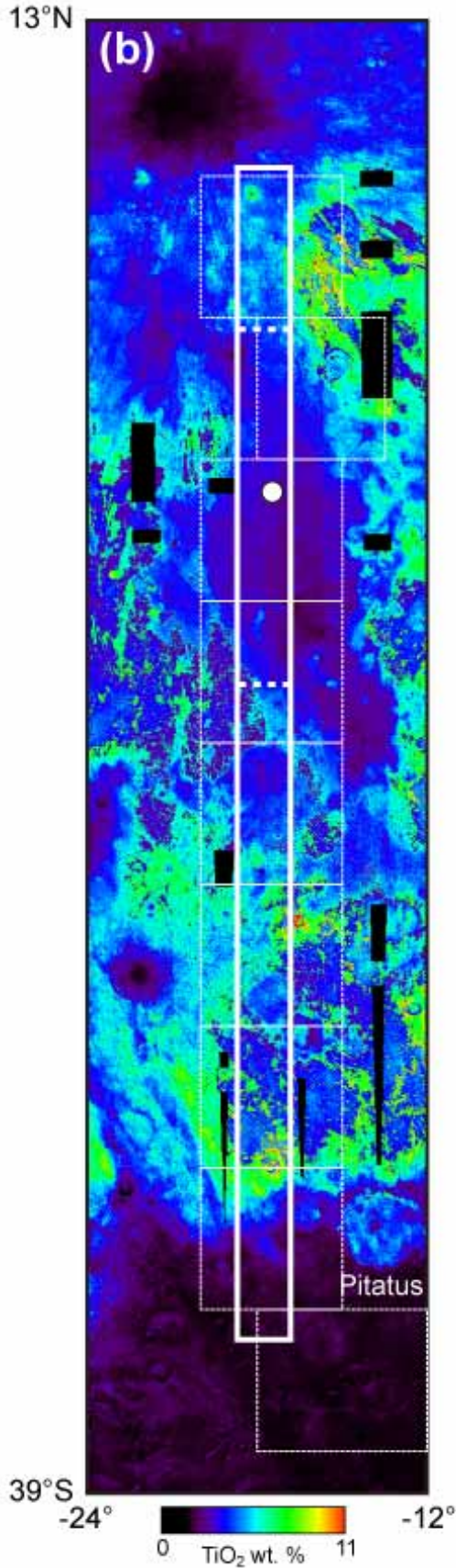
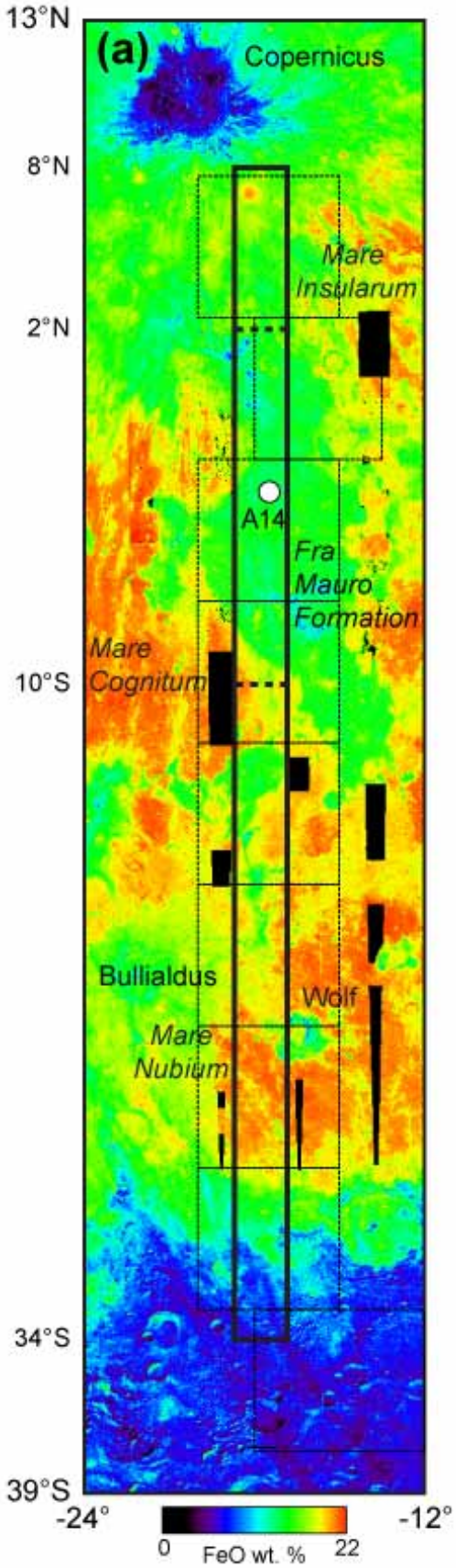
864 Figure 1. (a) Clementine albedo (750 nm) basemap of the Moon summarising XRF mapping of
 865 the lunar surface achieved by the Apollo 15 and 16, D-CIXS and C1XS instruments. All these
 866 data provide abundance information for Mg, Al, and Si; in some cases (Grande et al., 2007;
 867 Swinyard et al., 2009; Narendranath et al., 2011) other elements (i.e., Ca, Ti and Fe) are also
 868 detected. (b) Shows the boresight ground tracks (dashed lines) of the 12th December 2008 and
 869 10th January 2009 C1XS observations, as well as the Apollo and Luna sample return mission
 870 landing sites (stars).

871



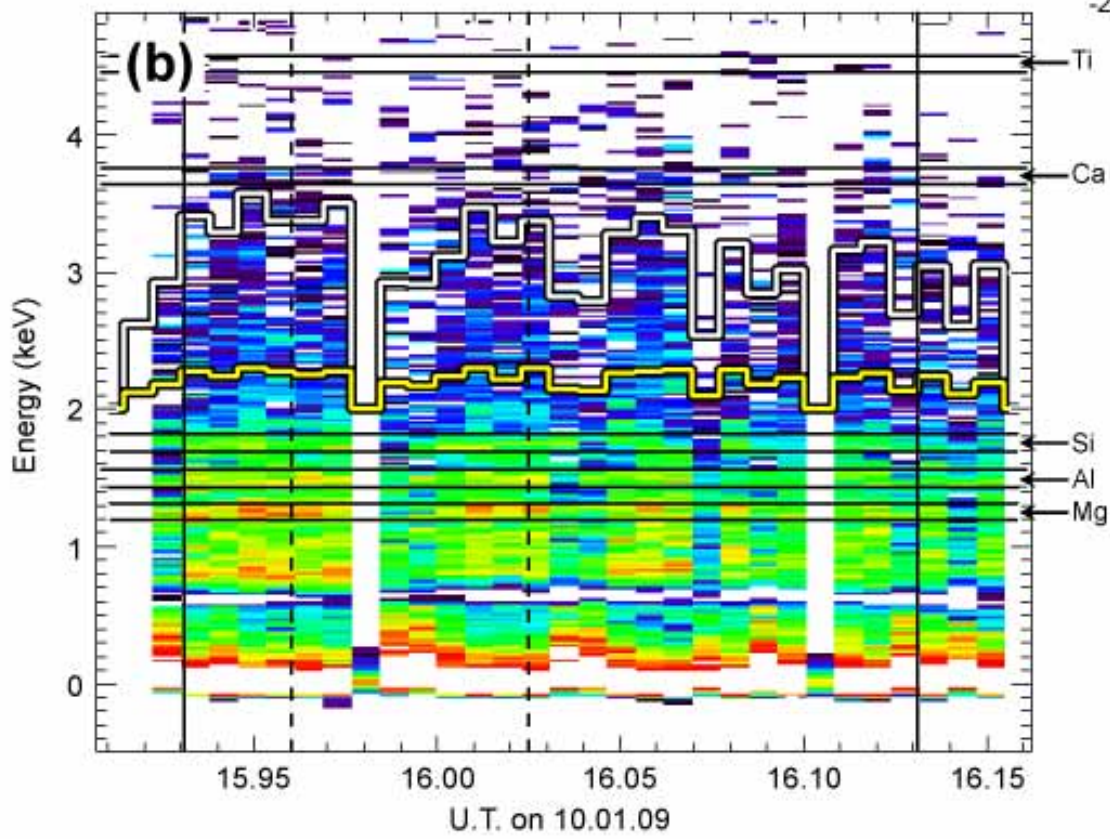
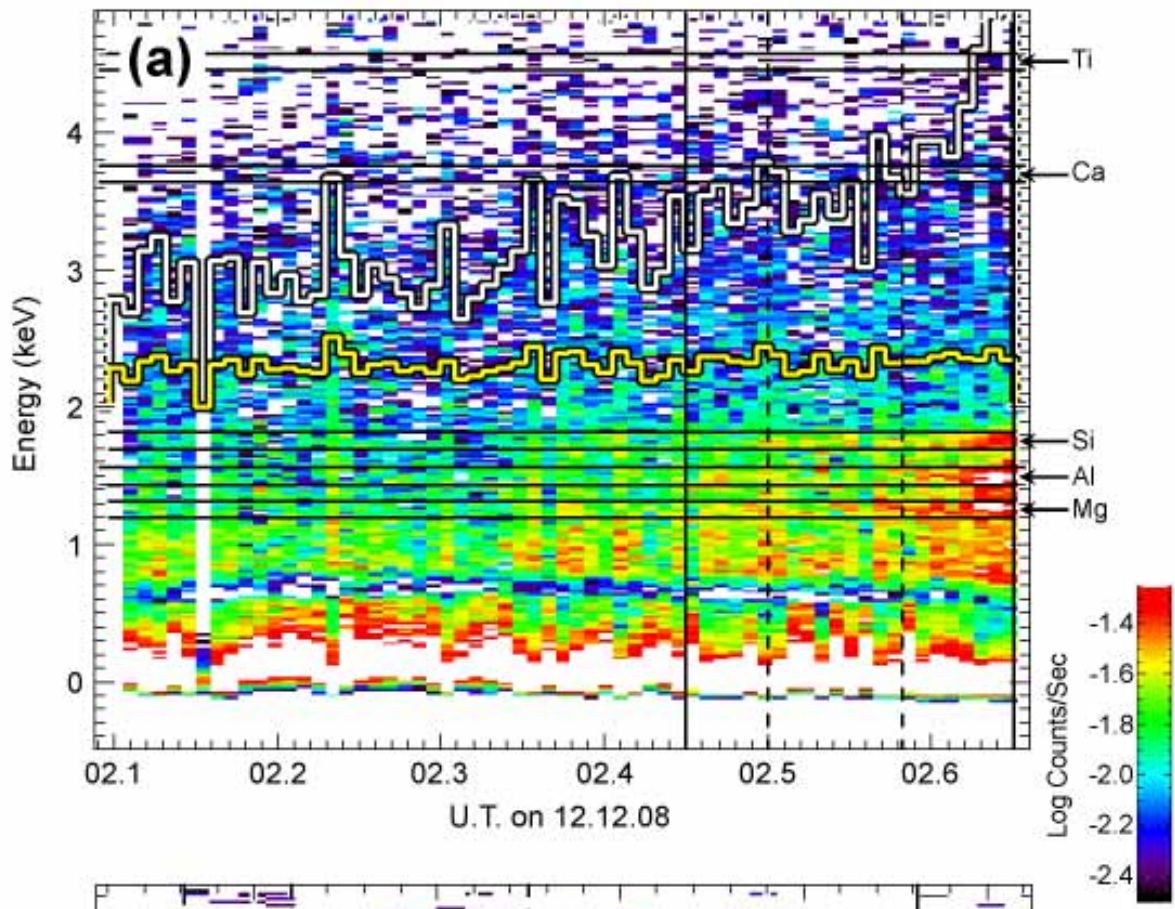
873 Figure 2. Maps of: (a) FeO wt. % and (b) TiO₂ wt. % content for the 12th December 2008 flare
874 region, derived from Clementine multispectral reflectance data according to the algorithms of
875 Gillis et al. (2004) and Gillis et al. (2003) respectively. The flare ground track (bold) and the
876 mare subsection (bold dashed lines) are indicated; the width of the outlined boxes corresponds to
877 the approximate FWHM (i.e., 25 km) of the detector footprint. Also shown are the boundaries
878 (faint dotted lines) of the 5° Lunar Prospector gamma-ray dataset pixels for the region coinciding
879 with the C1XS ground track.

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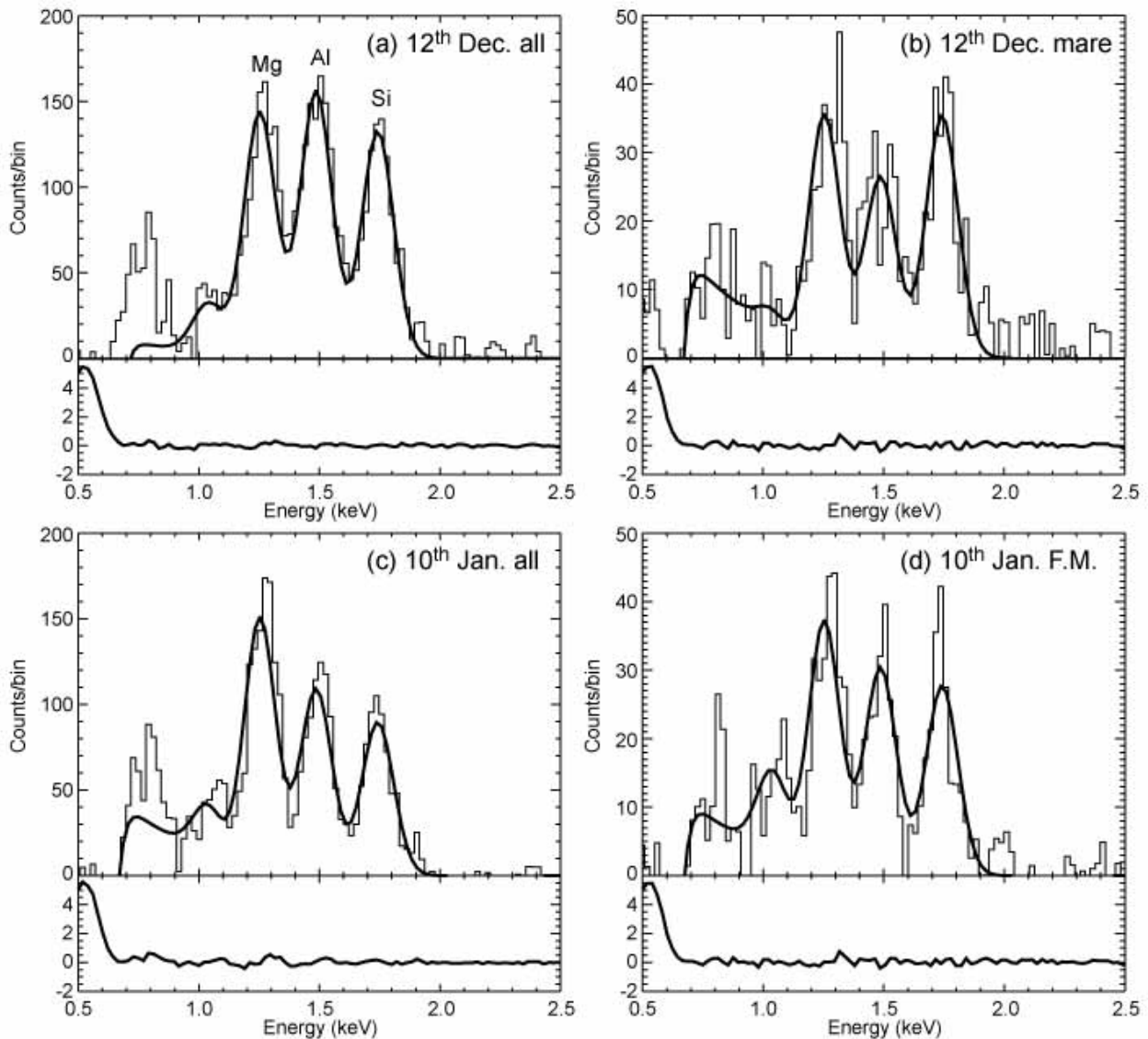


882 Figure 3. Maps of: (a) FeO wt. % and (b) TiO₂ wt. % content for the 10th January 2009 flare
883 region, derived from Clementine multispectral reflectance data according to the algorithms of
884 Gillis et al. (2004) and Gillis et al. (2003) respectively. The flare ground track (bold) and the Fra
885 Mauro Formation subsection (bold dashed lines) are indicated; the width of the outlined boxes
886 corresponds to the approximate FWHM (i.e., 25 km) of the detector footprint. Also shown are
887 the boundaries (faint dotted lines) of the 5° Lunar Prospector gamma-ray dataset pixels for the
888 region coinciding with the C1XS ground track.

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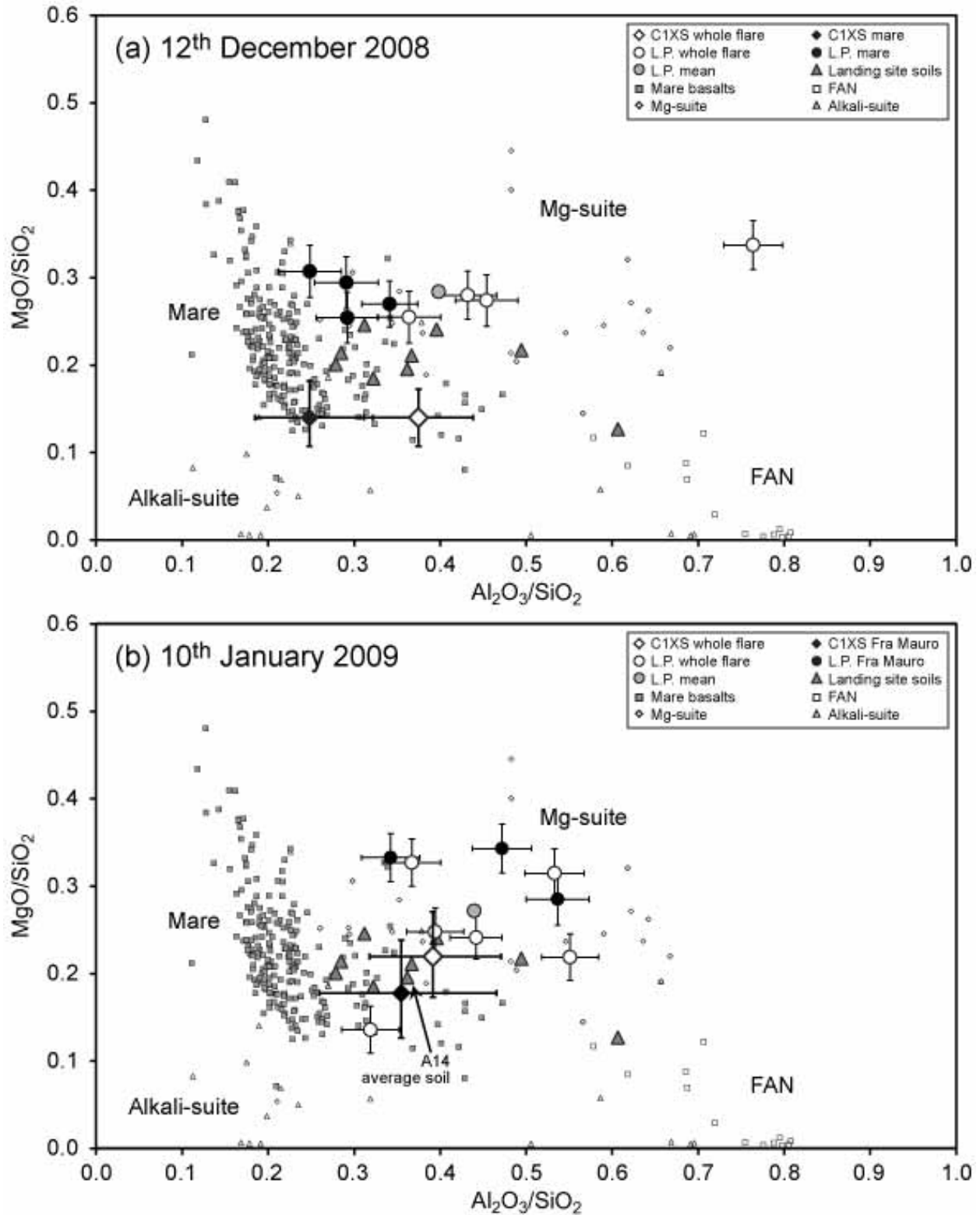


891 Figure 4. Spectrograms for the (a) 12th December 2008 and (b) 10th January 2009 flares,
 892 showing how the X-ray flux intensity varies with time and energy. The solid vertical lines
 893 indicate the start and end of each analysis; the dotted lines indicate the mare region in (a) and the
 894 Fra Mauro region in (b). The energies of the Mg, Al, Si, Ca and Ti K_α lines are indicated (note
 895 that no flux is detected for the latter two lines). The yellow and white histograms show the
 896 integrated counts in the energy ranges 1 – 2 keV and 4 – 17 keV respectively.
 897



898
 899 Figure 5. The background-subtracted C1XS spectra for: (a) the whole of the 12th December
 900 2008 flare through Mare Serenitatis, (b) its mare subsection, (c) the entire 10th January 2009 flare
 901 through Mare Insularm, Mare Cognitum and Mare Nubium, and (d) the Fra Mauro Formation

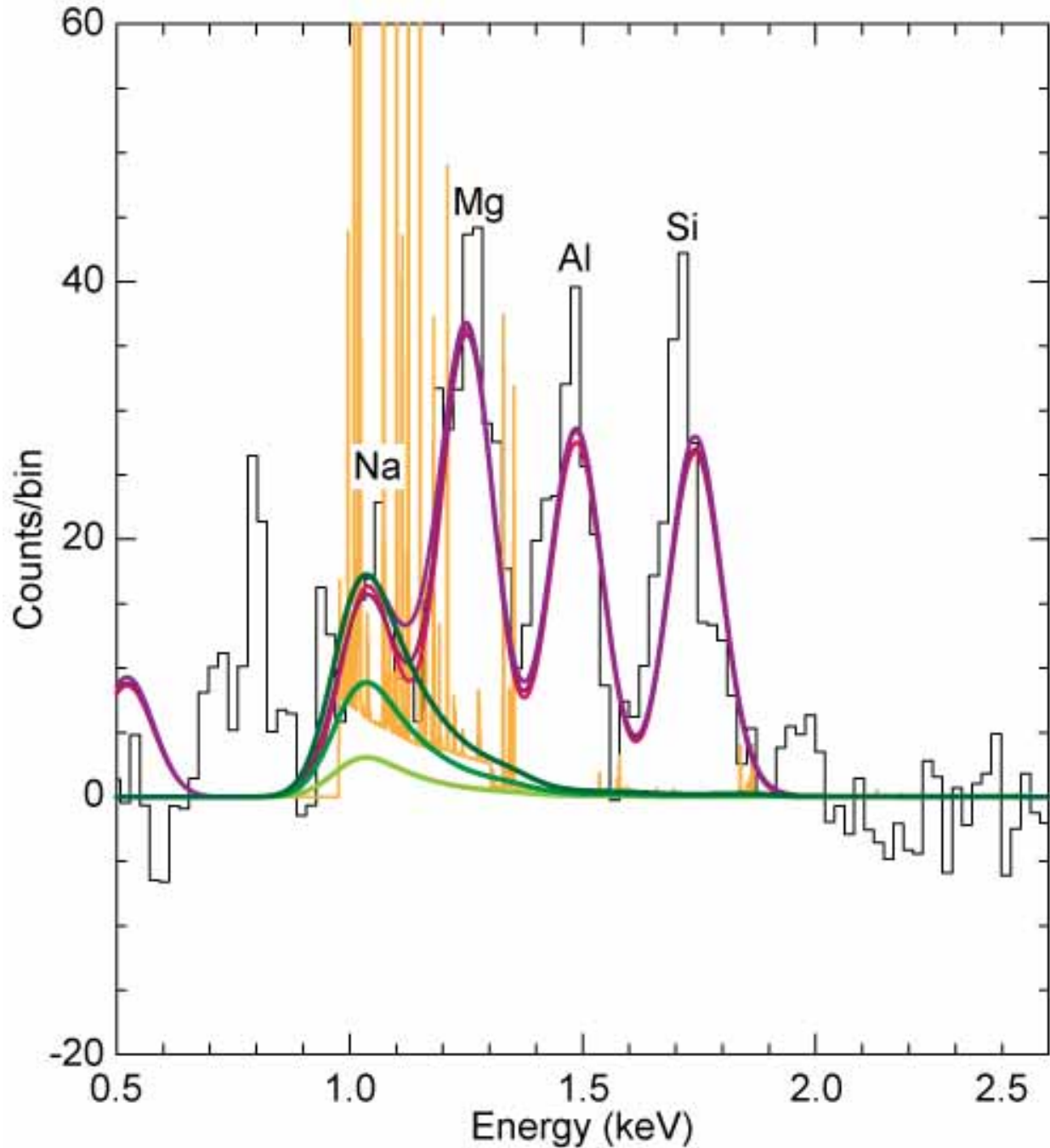
902 subsection. The RAL abundance algorithm fitted model (generated using a 3.1 MK solar model)
903 for each spectrum is also shown (smooth bold line), as well as the weighted residual in each case.
904 The Mg K_{α} (1.25 keV), Al K_{α} (1.49 keV) and Si K_{α} (1.74 keV) peaks are clearly resolved in each
905 case. The more indistinct peak at ~ 1 keV may be partly due to Na K_{α} (1.04 keV), but likely
906 contains a contribution due to scattered solar lines (see Figure 7); the apparent ‘peak’ below
907 1 keV is a data processing artefact caused by instrument noise.
908



909

910 Figure 6. MgO/SiO_2 vs. $\text{Al}_2\text{O}_3/\text{SiO}_2$ plots showing the C1XS abundance ratios (given in Table
 911 3) derived for the (a) 12th December 2008 and (b) 10th January 2009 flares. For both flares, the

912 ratio for the whole ground track is shown as well as for the subsection. The error bars on the
913 C1XS values incorporate the 1σ fitting errors as well as the range in values provided by the two
914 flare temperature models. Also shown are: (i) the Lunar Prospector (L.P.) gamma-ray data
915 (Prettyman et al., 2006) for pixels that overlap with the flare ground tracks (as shown in
916 Figures 2 and 3); (ii) the average returned soil compositions (from Table 7.15 of McKay et al.,
917 1991) from each of the Apollo and Luna landing sites; and (iii) sample compositions of various
918 lunar lithologies: the mare basalts, ferroan anorthosites (FAN), Mg-suite and alkali suite (data
919 from Papike et al., 1998 and references therein), whose general fields are marked.
920



921
 922 Figure 7. The possible consequences of scattered solar lines on the detection of Na K_{α} at
 923 1.04 keV. The solid black line shows the data for the Fra Mauro region (as shown in Figure 5d).
 924 The orange line shows the expected energies and strengths of scattered solar lines, as predicted
 925 by the atomdb model (see Section 4); the green lines show these convolved to the C1XS
 926 resolution, allowing for a low-energy detector cut-off at 1 keV, with different levels of scattering
 927 efficiency from the lunar surface. Here we have used 0.05, 0.15 and 0.30 respectively for this

928 scattering efficiency factor. The red-purple lines are the equivalent fluorescence model
929 predictions for these background levels. Note how scattered solar lines may mimic the
930 appearance of lunar Na XRF when the scattering factor is high enough and yet the Mg, Al and Si
931 line strengths are largely unaffected. The apparent 'peak' below 1 keV in the actual data is a
932 processing artefact caused by instrument noise.
933